



STATE OF MAINE  
**WORKERS' COMPENSATION BOARD**  
 OFFICE OF MONITORING, AUDIT AND ENFORCEMENT  
 27 STATE HOUSE STATION  
 AUGUSTA, MAINE 04333-0027

JANET T. MILLS  
 GOVERNOR

**COMPLAINT FOR AUDIT**

JOHN C. ROHDE  
 EXECUTIVE DIRECTOR

**Insurer, Self-Administered Employer or Third-Party Adjusting Company (TPA)**

Name of Insurer, Self-Administered Employer or TPA: \_\_\_\_\_

Claim Handler Name: \_\_\_\_\_

Street Address: \_\_\_\_\_

City/State/Zip Code: \_\_\_\_\_

Telephone: (\_\_\_\_) \_\_\_\_\_

**Claim(s) Involved**

Workers' Compensation Board File # (if available): \_\_\_\_\_

Name of Employee: \_\_\_\_\_

Street Address: \_\_\_\_\_

City/State/Zip Code: \_\_\_\_\_

Telephone: (\_\_\_\_) \_\_\_\_\_

Social Security Number (only last four digits required): \_\_\_\_\_

Date of Injury: \_\_\_\_\_

**Nature of Complaint (attach supporting documentation):**

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

The Complainant asks the Board to conduct an investigation to determine if the insurer, self-administered employer or third-party administrator has violated 39-A M.R.S.A. Section 359 by engaging in a pattern of questionable claims-handling techniques or repeated unreasonably contested claims and/or has violated Section 360(2) by committing a willful violation of the Act or committing fraud or intentional misrepresentation. The Complainant asks that the Board assess all applicable penalties.

**Party Filing Complaint**

Name: \_\_\_\_\_

Street Address: \_\_\_\_\_

City/State/Zip Code: \_\_\_\_\_

Telephone: (\_\_\_\_) \_\_\_\_\_

\_\_\_\_\_  
 Signature of Complainant

\_\_\_\_\_  
 Date of Complaint