



# QUARTERLY COMPLIANCE REPORT

STATE OF MAINE  
WORKERS' COMPENSATION BOARD

Third Quarter 2021  
July 1, 2021 - September 30, 2021

Office of Monitoring, Audit &  
Enforcement

John C. Rohde  
Executive Director

27 State House Station  
Augusta, Maine 04333-0027

**State of Maine  
Workers' Compensation Board  
Quarterly Compliance Report  
Second Quarter 2021**

**Table of Contents**

1.	Executive Summary	Pages 1-2
2.	Lost Time First Report of Injury Filings	Page 3
3.	Initial Indemnity Payments	Page 4
4.	Initial Memorandum of Payment Filings	Page 5
5.	Initial Indemnity Notice of Controversy Filings	Page 6
6.	Wage Information	Page 7

**Table of Charts**

1.	Lost Time First Report of Injury Filings Timeliness Distribution	Page 3
2.	Lost Time First Report of Injury Filings Quarterly Compliance	Page 3
3.	Lost Time First Report of Injury Filings Compliance Trend	Page 3
4.	Initial Indemnity Payments Timeliness Distribution	Page 4
5.	Initial Indemnity Payments Quarterly Compliance	Page 4
6.	Initial Indemnity Payments Compliance Trend	Page 4
7.	Initial Memorandum of Payment Filings Timeliness Distribution	Page 5
8.	Initial Memorandum of Payment Filings Quarterly Compliance	Page 5
9.	Initial Memorandum of Payment Filings Compliance Trend	Page 5
10.	Initial Indemnity Notice of Controversy Filings Timeliness Distribution	Page 6
11.	Initial Indemnity Notice of Controversy Filings Quarterly Compliance	Page 6
12.	Initial Indemnity Notice of Controversy Filings Compliance Trend	Page 6
13.	Wage Statement Timeliness Distribution	Page 7
14.	Fringe Benefits Worksheet(s) Timeliness Distribution	Page 7

**Appendices**

A.	Insurance Group Compliance Lost Time FROI Filings and Initial Indemnity Payments	Pages A1-A15
B.	Insurance Group Compliance Initial MOP and Initial Indemnity NOC Filings	Pages B1-B15
C.	Insurance Group Compliance Wage Statements and Fringe Benefit Form Filings	Pages C1-C13

## Executive Summary

On January 11, 2022 the Maine Workers' Compensation Board of Directors approved the 2021 Third Quarter (July 1, 2021 - September 30, 2021) Compliance Report. This report represents the efforts of the Office of Monitoring, Audit and Enforcement and insurers, self-insurers, and third-party administrators (collectively "insurers").

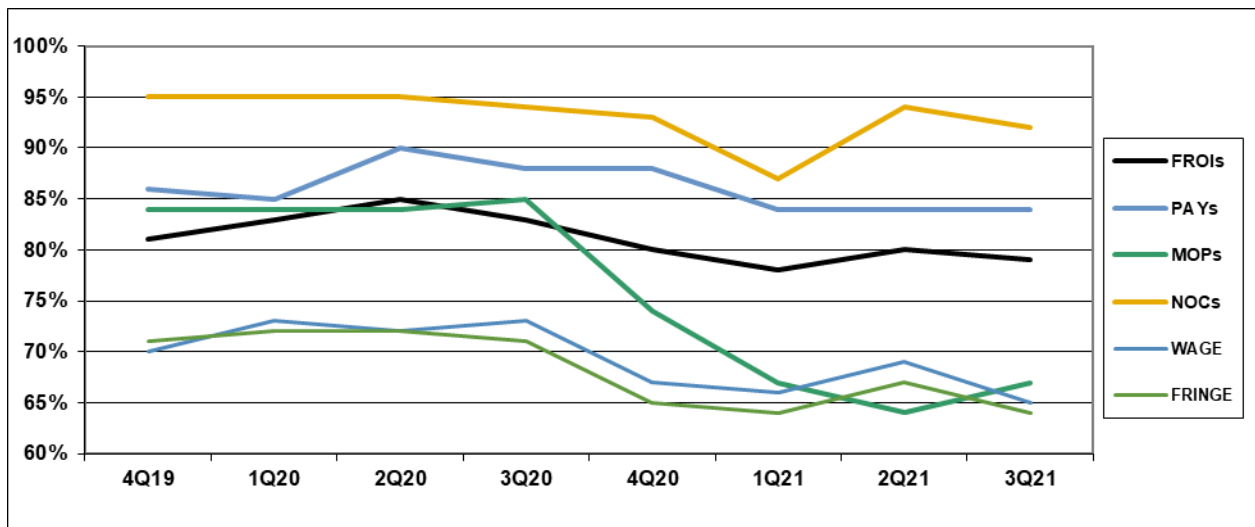
### I. COMPLIANCE OVERVIEW

The Reconciliation Report was sent to 109 insurers on October 25, 2021; 87 responded, 15 were not required to respond and 7 did not respond.

The 3Q21 report represents results based upon data received by November 30, 2021. The results are:

	Number of Days	Benchmark	4Q19	1Q20	2Q20	3Q20	4Q20	1Q21	2Q21	3Q21
FROIs	7	85%	81%	83%	85%	83%	80%	78%	80%	79%
PAYs	14	87%	86%	85%	90%	88%	88%	84%	84%	84%
MOPs	17	85%	84%	84%	84%	85%	74%	67%	64%	67%
NOCs	14	90%	95%	95%	95%	94%	93%	87%	94%	92%
WAGE	30	75%	70%	73%	72%	73%	67%	66%	69%	65%
FRINGE	30	75%	71%	72%	72%	71%	65%	64%	67%	64%

**Compliance Benchmark Tracking**



## II. CAVEATS & EXPLANATIONS

### A. General

- Question marks (“?”) within this report indicate that the insurer did not provide all of the data required to measure compliance in that particular area.

### B. Lost Time First Report of Injury (FROI) Filings

- Compliance with this benchmark exists when the FROI is filed (accepted EDI transaction, with or without errors) within 7 days after the employer receives notice or knowledge of an employee injury that has caused the employee to lose a day’s work.

### C. Initial Indemnity Payments (PAYs)

- Compliance with this benchmark exists when the check is mailed within the later of (i) 14 days after the employer’s notice or knowledge of incapacity or (ii) the first day of compensability plus 6 days.
- If an employer continues to pay the employee’s salary, payments are deemed timely for purposes of compliance if made consistent with the employer’s usual payroll practice.

### D. Initial Memorandum of Payment (MOP) Filings

- Compliance with this benchmark exists when the MOP is received within 17 days of the employer’s notice or knowledge of incapacity.

### E. Initial Indemnity Notice of Controversy (NOC) Filings

- Compliance with this benchmark exists when the NOC is filed (accepted EDI transaction, with or without errors) within 14 days after the employer receives notice or knowledge of the incapacity or death. Measurement excludes filings submitted with full denial reason codes 3A-3H (No Coverage).

### F. Wage Information

- Compliance with the benchmark (WCB-2 and WCB-2B forms) exists when the wage information is filed within 30 days of the employer receiving notice or knowledge of incapacity.

## III. COMPLETED AUDITS

The Board conducts compliance audits of insurers to ensure that all obligations under the Workers’ Compensation Act are met. The functions of the audit program include, but are not limited to: ensuring that all reporting requirements of the Board are met, auditing the timeliness of benefit payments, auditing the accuracy of indemnity payments, evaluating claims-handling techniques, and determining whether claims are unreasonably contested.

There were no audits completed in the 3Q21.

## LOST TIME FIRST REPORT OF INJURY FILINGS

Chart 1: Timeliness Distribution

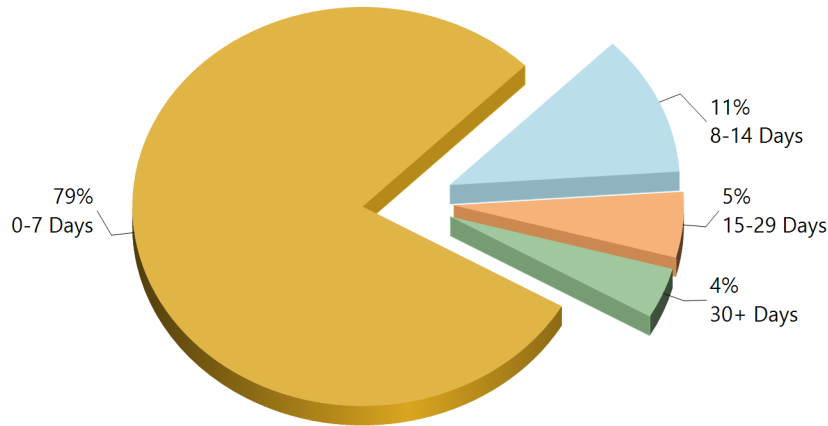


Table 1: Received Within

0-7 Days	2,943	79%
8-14 Days	425	11%
15-29 Days	197	5%
30+ Days	153	4%
? Days	0	0%
<b>Total</b>	<b>3,718</b>	<b>100%</b>

\*The percentages may not always add to 100% due to rounding

Chart 2: Quarterly Compliance

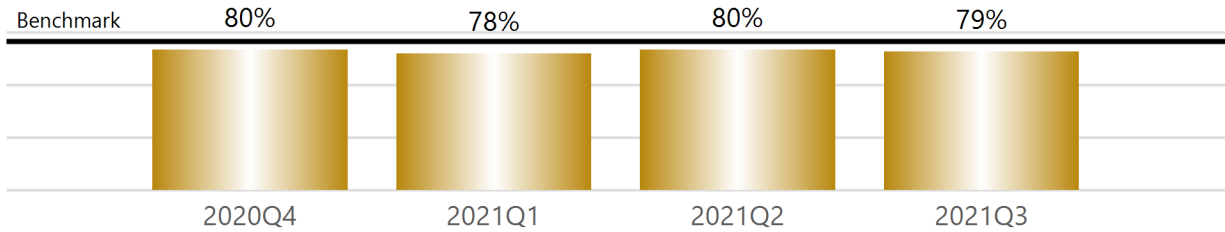
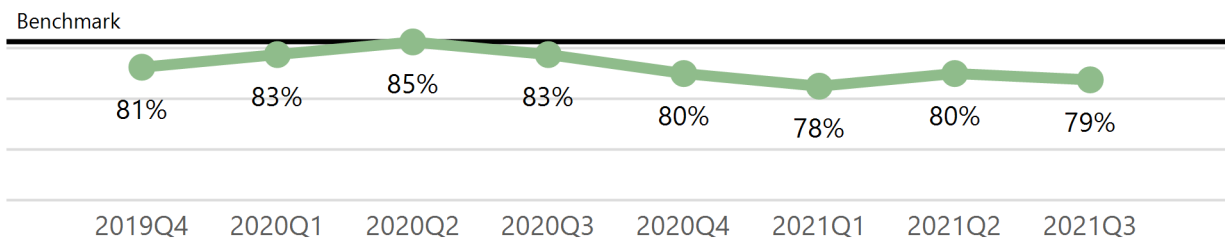
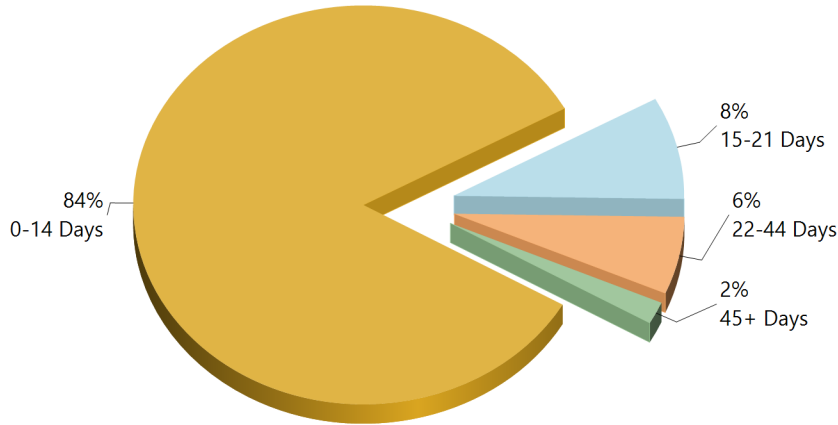


Chart 3: Compliance Trend



## INITIAL INDEMNITY PAYMENTS

**Chart 4: Timeliness Distribution**



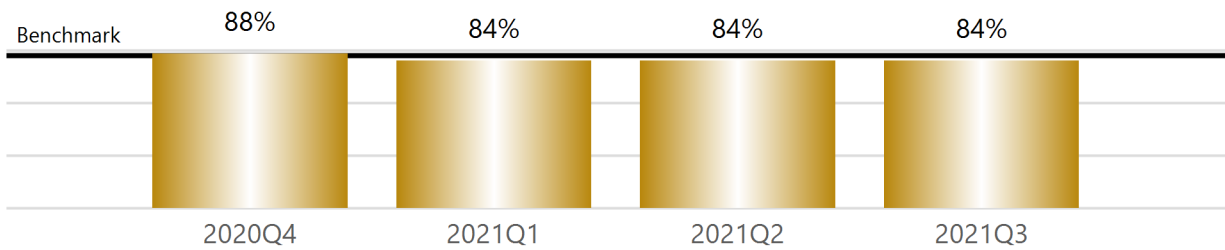
**Table 2: Made Within**

0-14 Days	786	84%
15-21 Days	78	8%
22-44 Days	59	6%
45+ Days	17	2%
? Days	1	0%
<b>Total</b>	<b>941</b>	<b>100%</b>

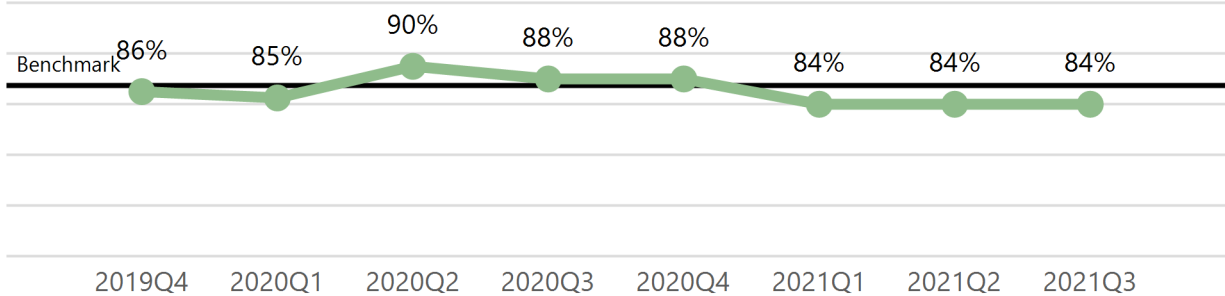
\*The percentages may not always add to 100% due to rounding

Initial indemnity payments are monitored to ensure that payments are initiated within the time limits established in Section 205. As a result of these efforts, \$25,300 was issued to claimants in penalties and there is another \$11,050 in penalties awaiting resolution.

**Chart 5: Quarterly Compliance**

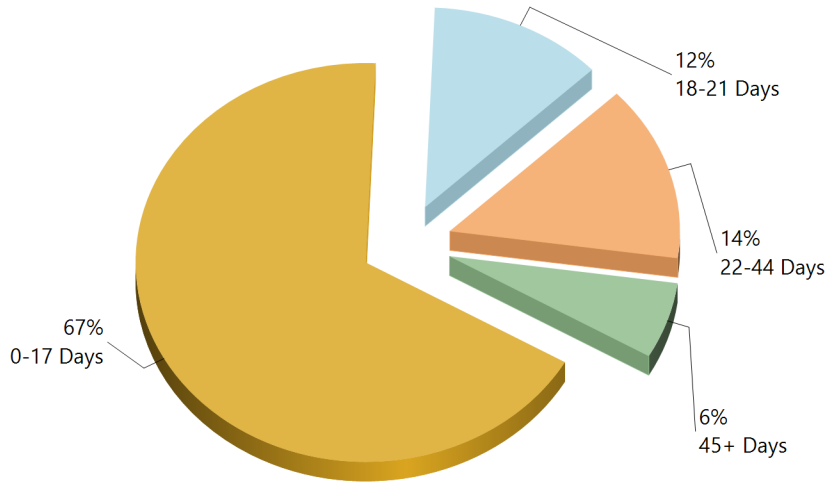


**Chart 6: Compliance Trend**



## INITIAL MEMORANDUM OF PAYMENT FILINGS

**Chart 7: Timeliness Distribution**

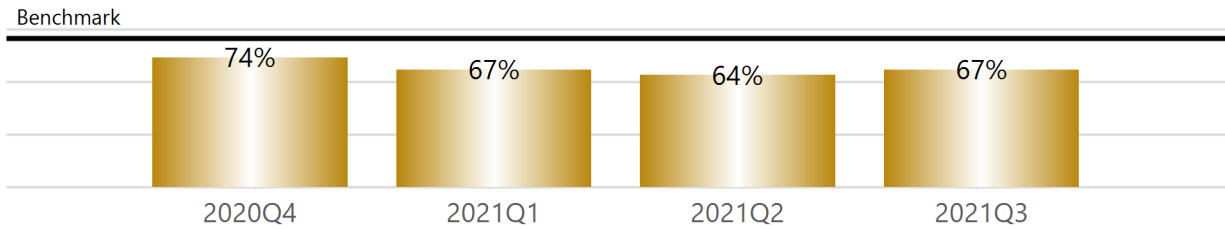


**Table 3: Received Within**

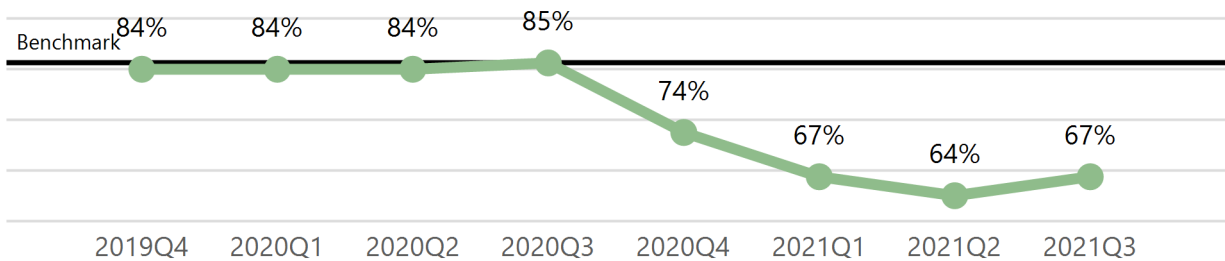
0-17 Days	633	67%
18-21 Days	115	12%
22-44 Days	134	14%
45+ Days	58	6%
? Days	1	0%
<b>Total</b>	<b>941</b>	<b>100%</b>

\*The percentages may not always add to 100% due to rounding

**Chart 8: Quarterly Compliance**

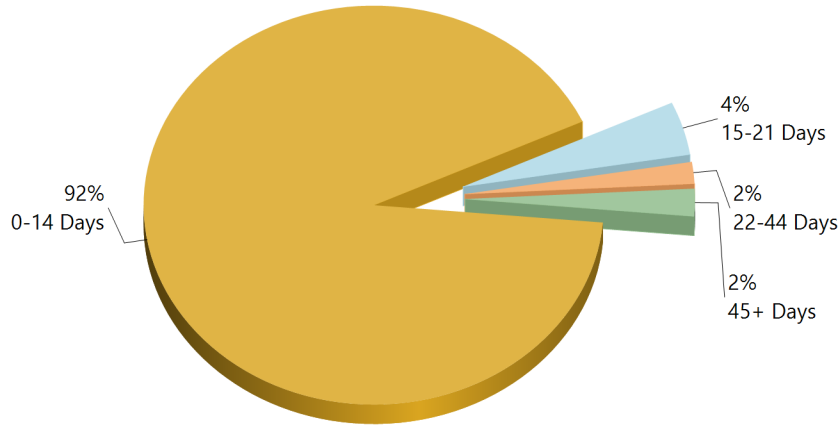


**Chart 9: Compliance Trend**



## INITIAL INDEMNITY NOTICE OF CONTROVERSY FILINGS

**Chart 10: Timeliness Distribution**

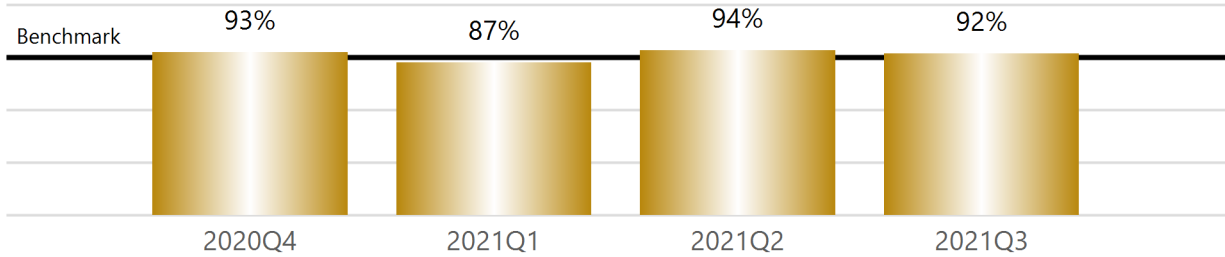


**Table 4: Received Within**

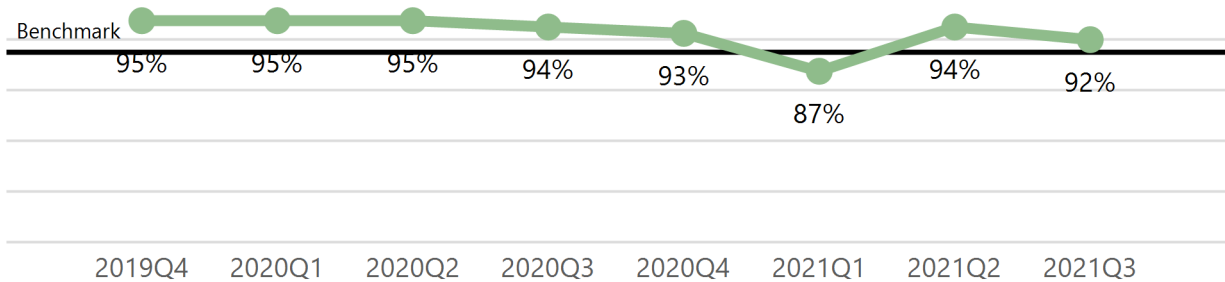
0-14 Days	785	92%
15-21 Days	37	4%
22-44 Days	15	2%
45+ Days	19	2%
? Days	0	0%
<b>Total</b>	<b>856</b>	<b>100%</b>

\*The percentages may not always add to 100% due to rounding

**Chart 11: Quarterly Compliance**



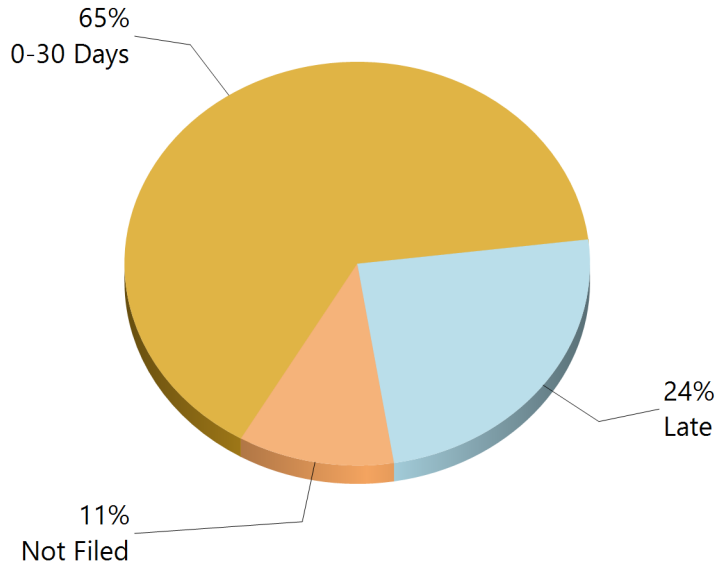
**Chart 12: Compliance Trend**





## WAGE INFORMATION

**Chart 13: Wage Statements Due Distribution**



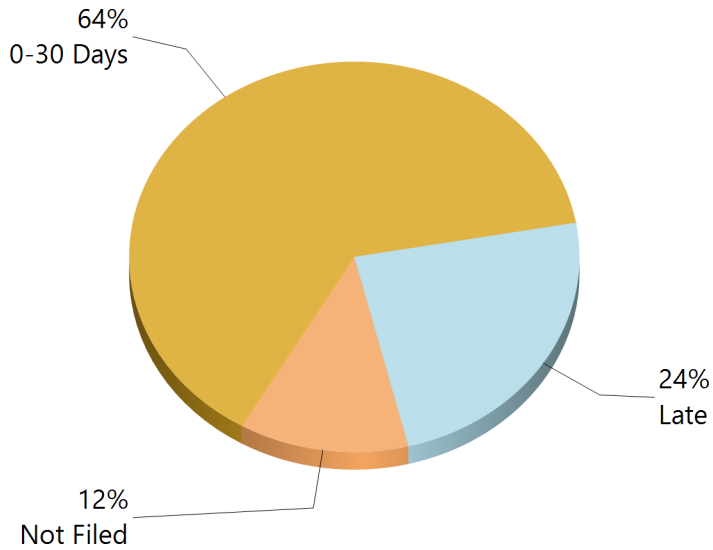
**Table 5: Wage Statements Due**

0-30 Days	1,401	65%
Late	528	24%
Not Filed	235	11%
<b>Total</b>	<b>2,164</b>	<b>100%</b>

\*The percentages may not always add to 100% due to rounding

**Wage Statement(s) Received:** 1,047 (78%) of the 1,347 Wage Statement(s) that were received this quarter were filed timely, 300 (22%) were filed late.

**Chart 14: Fringe Benefit Worksheets Due Distribution**



**Table 6: Fringe Worksheets Due**

0-30 Days	1,382	64%
Late	518	24%
Not Filed	264	12%
<b>Total</b>	<b>2,164</b>	<b>100%</b>

\*The percentages may not always add to 100% due to rounding

**Fringe Benefit Worksheet(s) Received:** 1,024 (78%) of the 1,311 Fringe Benefit Worksheet(s) received this quarter were filed timely, 287 (22%) were filed late.

## **Appendix A**

**Insurance Group Compliance  
Lost Time FROI Filings and  
Initial Indemnity Payments  
Third Quarter  
7/1/2021 - 9/30/2021**

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Third Quarter

7/1/2021 - 9/30/2021

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>ACADIA INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA010 ACADIA INSURANCE	59	45	76%	21	20	95%
<b>Total</b>	<b>59</b>	<b>45</b>	<b>76%</b> ▼	<b>21</b>	<b>20</b>	<b>95%</b> ▲
<b>ACADIA INSURANCE Group Total</b>	<b>59</b>	<b>45</b>	<b>76%</b> ▼	<b>21</b>	<b>20</b>	<b>95%</b> ▲
<b>ACCIDENT FUND INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
ACCIDENT FUND INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ACCIDENT FUND INSURANCE TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	1	0	0%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>ACCIDENT FUND INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>ACUITY MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA418 ACUITY MUTUAL INSURANCE	1	1	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>ACUITY MUTUAL INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>AIG INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA015 AIG CLAIMS, INC	2	1	50%	1	0	0%
<b>Total</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>AIG INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	0	0%	1	1	100%
CA116 CORVEL ENTERPRISE COMP	3	1	33%	1	0	0%
CA160 ESIS	14	2	14%	7	1	14%
CA190 GALLAGHER BASSETT SERVICES	37	31	84%	11	7	64%
CA204 HELMSMAN MANAGEMENT SERVICES	3	2	67%	1	1	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	60	49	82%	18	15	83%
<b>TPA Total</b>	<b>118</b>	<b>85</b>	<b>72%</b> ▼	<b>39</b>	<b>25</b>	<b>64%</b> ▼
<b>AIG INSURANCE Group Total</b>	<b>120</b>	<b>86</b>	<b>72%</b> ▼	<b>40</b>	<b>25</b>	<b>63%</b> ▼
<b>AIM MUTUAL GROUP</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA472 AIM MUTUAL INSURANCE	6	5	83%	3	2	67%
<b>Total</b>	<b>6</b>	<b>5</b>	<b>83%</b> ▼	<b>3</b>	<b>2</b>	<b>67%</b> ▼
<b>AIM MUTUAL GROUP Group Total</b>	<b>6</b>	<b>5</b>	<b>83%</b> ▼	<b>3</b>	<b>2</b>	<b>67%</b> ▼
<b>AMERICAN FINANCIAL GROUP</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA193 GREAT AMERICAN INSURANCE	1	0	0%	2	2	100%
CA267 NATIONAL INTERSTATE INSURANCE COMPANY	1	1	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>AMERICAN FINANCIAL GROUP Group Total</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼	<b>2</b>	<b>2</b>	<b>100%</b> ▲

Maine Workers' Compensation Board  
 Lost Time FROI Filing Benchmark: 85%  
 Initial Indemnity Payment Benchmark: 87%

▲ Indicates benchmark met or exceeded  
 ▼ Indicates benchmark not met

**INSURANCE GROUP COMPLIANCE**  
 Lost Time FROI and Initial Indemnity Payments  
 Third Quarter  
 7/1/2021 - 9/30/2021

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>AMTRUST INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA437 SECURITY NATIONAL INSURANCE	1	0	0%	1	1	100%
CA342 TECHNOLOGY INSURANCE	1	0	0%	4	1	25%
CA381 WESCO INSURANCE	5	2	40%	1	1	100%
<b>Total</b>	<b>7</b>	<b>2</b>	<b>29%</b> ▼	<b>6</b>	<b>3</b>	<b>50%</b> ▼
<b>AMTRUST INSURANCE Group Total</b>	<b>7</b>	<b>2</b>	<b>29%</b> ▼	<b>6</b>	<b>3</b>	<b>50%</b> ▼
<b>ARCH INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
ARCH INSURANCE	*	*	*	*	*	*
CA072 CHARTER OAK FIRE INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ARCH INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	3	3	100%	1	1	100%
CA070 CANNON COCHRAN MANAGEMENT SERVICES	No Filings	No Filings	No Filings	1	0	0%
CA116 CORVEL ENTERPRISE COMP	2	1	50%	3	2	67%
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	2	1	50%	4	2	50%
CA160 ESIS	1	0	0%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	9	4	44%	2	2	100%
CA204 HELMSMAN MANAGEMENT SERVICES	No Filings	No Filings	No Filings	1	1	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	4	2	50%	1	0	0%
<b>TPA Total</b>	<b>21</b>	<b>11</b>	<b>52%</b> ▼	<b>13</b>	<b>8</b>	<b>62%</b> ▼
<b>ARCH INSURANCE Group Total</b>	<b>21</b>	<b>11</b>	<b>52%</b> ▼	<b>13</b>	<b>8</b>	<b>62%</b> ▼
<b>BATH IRON WORKS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA036 BATH IRON WORKS	25	24	96%	14	14	100%
<b>Total</b>	<b>25</b>	<b>24</b>	<b>96%</b> ▲	<b>14</b>	<b>14</b>	<b>100%</b> ▲
<b>BATH IRON WORKS Group Total</b>	<b>25</b>	<b>24</b>	<b>96%</b> ▲	<b>14</b>	<b>14</b>	<b>100%</b> ▲
<b>BENCHMARK INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA034 BENCHMARK INSURANCE	1	0	0%	1	0	0%
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>BENCHMARK INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>BERKLEY CASUALTY INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA485 BERKLEY CASUALTY INSURANCE	No Filings	No Filings	No Filings	1	1	100%
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>BERKLEY CASUALTY INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Third Quarter

7/1/2021 - 9/30/2021

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>BERKSHIRE HATHAWAY INSURANCE</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA114 BERKSHIRE HATHAWAY HOMESTATE INSURANCE	*	*	*	*	*	*
CA474 NATIONAL LIABILITY AND FIRE INSURANCE	1	0	0%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>BERKSHIRE HATHAWAY INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>BROADSPIRE SERVICES</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA040 BROADSPIRE SERVICES	31	25	81%	12	11	92%
<b>Total</b>	<b>31</b>	<b>25</b>	<b>81%</b> ▼	<b>12</b>	<b>11</b>	<b>92%</b> ▲
<b>BROADSPIRE SERVICES Group Total</b>	<b>31</b>	<b>25</b>	<b>81%</b> ▼	<b>12</b>	<b>11</b>	<b>92%</b> ▲
<b>CANNON COCHRAN MANAGEMENT SERVICES</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA070 CANNON COCHRAN MANAGEMENT SERVICES	53	37	70%	17	12	71%
<b>Total</b>	<b>53</b>	<b>37</b>	<b>70%</b> ▼	<b>17</b>	<b>12</b>	<b>71%</b> ▼
<b>CANNON COCHRAN MANAGEMENT SERVICES Group Total</b>	<b>53</b>	<b>37</b>	<b>70%</b> ▼	<b>17</b>	<b>12</b>	<b>71%</b> ▼
<b>CAROLINA CASULTY INSURANCE</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CAROLINA CASUALTY INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>CAROLINA CASULTY INSURANCE TPA Administered Claims</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA190 GALLAGHER BASSETT SERVICES	1	0	0%	1	0	0%
<b>TPA Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>CAROLINA CASULTY INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>CHESTERFIELD SERVICES</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA080 CHESTERFIELD SERVICES	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>CHESTERFIELD SERVICES Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>

**INSURANCE GROUP COMPLIANCE**  
 Lost Time FROI and Initial Indemnity Payments  
 Third Quarter  
 7/1/2021 - 9/30/2021

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>CHUBB INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
ACE AMERICAN INSURANCE COMPANY	*	*	*	*	*	*
ACE INSURANCE	*	*	*	*	*	*
CA046 CHUBB INSURANCE	*	*	*	*	*	*
CA090 FEDERAL INSURANCE	*	*	*	*	*	*
INDEMNITY INS CO OF NORTH AMERICA	*	*	*	*	*	*
CA268 NORTH AMERICAN RISK SERVICES	*	*	*	*	*	*
PACIFIC INDEMNITY CO	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>CHUBB INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA070 CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	1	0	0%
CA110 CONSTITUTION STATE SERVICES	4	2	50%	4	3	75%
CA116 CORVEL ENTERPRISE COMP	11	6	55%	2	1	50%
CA160 ESIS	10	1	10%	6	1	17%
CA190 GALLAGHER BASSETT SERVICES	21	16	76%	7	6	86%
CA204 HELMSMAN MANAGEMENT SERVICES	1	0	0%	1	1	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	72	63	88%	14	12	86%
CA340 YORK RISK SERVICES	1	1	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>122</b>	<b>91</b>	<b>75%</b> ▼	<b>35</b>	<b>24</b>	<b>69%</b> ▼
<b>CHUBB INSURANCE Group Total</b>	<b>122</b>	<b>91</b>	<b>75%</b> ▼	<b>35</b>	<b>24</b>	<b>69%</b> ▼
<b>CIANBRO CORPORATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA085 CIANBRO CORPORATION	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>CIANBRO CORPORATION Group Total</b>	*	*	*	*	*	*
<b>CINCINNATI INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA438 CINCINNATI INSURANCE	1	0	0%	1	1	100%
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>CINCINNATI INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲

**INSURANCE GROUP COMPLIANCE**  
 Lost Time FROI and Initial Indemnity Payments  
 Third Quarter  
 7/1/2021 - 9/30/2021

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>CNA INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA017 AMERICAN CASUALTY COMPANY OF READING PA	1	0	0%	1	1	100%
CA083 CNA CLAIMS PLUS	1	1	100%	1	1	100%
CA050 CONTINENTAL CASUALTY	*	*	*	*	*	*
CA271 NATIONAL FIRE INSURANCE	1	0	0%	1	1	100%
<b>Total</b>	<b>3</b>	<b>1</b>	<b>33%</b> ▼	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>CNA INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	1	1	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CNA INSURANCE Group Total</b>	<b>5</b>	<b>3</b>	<b>60%</b> ▼	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>CONSTITUTION STATE SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA110 CONSTITUTION STATE SERVICES	5	2	40%	4	3	75%
<b>Total</b>	<b>5</b>	<b>2</b>	<b>40%</b> ▼	<b>4</b>	<b>3</b>	<b>75%</b> ▼
<b>CONSTITUTION STATE SERVICES Group Total</b>	<b>5</b>	<b>2</b>	<b>40%</b> ▼	<b>4</b>	<b>3</b>	<b>75%</b> ▼
<b>CONTINENTAL INDEMNITY</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA115 CONTINENTAL INDEMNITY	2	1	50%	No Filings	No Filings	No Filings
<b>Total</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CONTINENTAL INDEMNITY Group Total</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CORVEL ENTERPRISE COMP</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA116 CORVEL ENTERPRISE COMP	42	21	50%	17	11	65%
<b>Total</b>	<b>42</b>	<b>21</b>	<b>50%</b> ▼	<b>17</b>	<b>11</b>	<b>65%</b> ▼
<b>CORVEL ENTERPRISE COMP Group Total</b>	<b>42</b>	<b>21</b>	<b>50%</b> ▼	<b>17</b>	<b>11</b>	<b>65%</b> ▼
<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	4	2	50%	4	2	50%
<b>Total</b>	<b>4</b>	<b>2</b>	<b>50%</b> ▼	<b>4</b>	<b>2</b>	<b>50%</b> ▼
<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES Group Total</b>	<b>4</b>	<b>2</b>	<b>50%</b> ▼	<b>4</b>	<b>2</b>	<b>50%</b> ▼
<b>CROSS INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA093 CROSS INSURANCE	434	406	94%	82	77	94%
<b>Total</b>	<b>434</b>	<b>406</b>	<b>94%</b> ▲	<b>82</b>	<b>77</b>	<b>94%</b> ▲
<b>CROSS INSURANCE Group Total</b>	<b>434</b>	<b>406</b>	<b>94%</b> ▲	<b>82</b>	<b>77</b>	<b>94%</b> ▲
<b>EASTERN ALLIANCE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA141 EASTERN ALLIANCE INSURANCE	81	68	84%	27	22	81%
<b>Total</b>	<b>81</b>	<b>68</b>	<b>84%</b> ▼	<b>27</b>	<b>22</b>	<b>81%</b> ▼
<b>EASTERN ALLIANCE INSURANCE Group Total</b>	<b>81</b>	<b>68</b>	<b>84%</b> ▼	<b>27</b>	<b>22</b>	<b>81%</b> ▼

Maine Workers' Compensation Board  
 Lost Time FROI Filing Benchmark: 85%  
 Initial Indemnity Payment Benchmark: 87%

▲ Indicates benchmark met or exceeded  
 ▼ Indicates benchmark not met

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Third Quarter

7/1/2021 - 9/30/2021

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>ELECTRIC INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA150 ELECTRIC INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>ELECTRIC INSURANCE TPA Administered Claims</b>						
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	1	1	100%
<b>TPA Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>ELECTRIC INSURANCE Group Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>EMPLOYERS HOLDING INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA480 EMPLOYERS ASSURANCE COMPANY	*	*	*	*	*	*
CA481 EMPLOYERS COMPENSATION INSURANCE	*	*	*	*	*	*
CA479 EMPLOYERS PREFERRED INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>EMPLOYERS HOLDING INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	8	5	63%	3	3	100%
<b>TPA Total</b>	<b>8</b>	<b>5</b>	<b>63%</b> ▼	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>EMPLOYERS HOLDING INSURANCE Group Total</b>	<b>8</b>	<b>5</b>	<b>63%</b> ▼	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>ESIS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA160 ESIS	29	3	10%	14	2	14%
<b>Total</b>	<b>29</b>	<b>3</b>	<b>10%</b> ▼	<b>14</b>	<b>2</b>	<b>14%</b> ▼
<b>ESIS Group Total</b>	<b>29</b>	<b>3</b>	<b>10%</b> ▼	<b>14</b>	<b>2</b>	<b>14%</b> ▼
<b>EVEREST REINS HOLDINGS GROUP</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
EVEREST REINS HOLDINGS	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>EVEREST REINS HOLDINGS GROUP TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	2	1	50%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	4	2	50%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>6</b>	<b>3</b>	<b>50%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>EVEREST REINS HOLDINGS GROUP Group Total</b>	<b>6</b>	<b>3</b>	<b>50%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>FAIRFAX FINANCIAL GROUP</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA257 NORTH RIVER INSURANCE	*	*	*	*	*	*
CA375 UNITED STATES FIRE INSURANCE	8	2	25%	1	0	0%
<b>Total</b>	<b>8</b>	<b>2</b>	<b>25%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>FAIRFAX FINANCIAL GROUP Group Total</b>	<b>8</b>	<b>2</b>	<b>25%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼



**INSURANCE GROUP COMPLIANCE**  
 Lost Time FROI and Initial Indemnity Payments  
 Third Quarter  
 7/1/2021 - 9/30/2021

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>FEDERATED MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA091 FEDERATED MUTUAL INSURANCE	2	0	0%	2	1	50%
CA439 FEDERATED RESERVE	*	*	*	*	*	*
CA092 FEDERATED SERVICE INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>2</b>	<b>0</b>	<b>0%</b> ▼	<b>2</b>	<b>1</b>	<b>50%</b> ▼
<b>FEDERATED MUTUAL INSURANCE Group Total</b>						
	<b>2</b>	<b>0</b>	<b>0%</b> ▼	<b>2</b>	<b>1</b>	<b>50%</b> ▼
<b>FRANKENMUTH INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA095 FRANKENMUTH INSURANCE	2	0	0%	1	1	100%
<b>Total</b>	<b>2</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>FRANKENMUTH INSURANCE Group Total</b>						
	<b>2</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>FUTURECOMP</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA175 FUTURECOMP	51	48	94%	12	10	83%
<b>Total</b>	<b>51</b>	<b>48</b>	<b>94%</b> ▲	<b>12</b>	<b>10</b>	<b>83%</b> ▼
<b>FUTURECOMP Group Total</b>						
	<b>51</b>	<b>48</b>	<b>94%</b> ▲	<b>12</b>	<b>10</b>	<b>83%</b> ▼
<b>GALLAGHER BASSETT SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA190 GALLAGHER BASSETT SERVICES	173	123	71%	57	45	79%
<b>Total</b>	<b>173</b>	<b>123</b>	<b>71%</b> ▼	<b>57</b>	<b>45</b>	<b>79%</b> ▼
<b>GALLAGHER BASSETT SERVICES Group Total</b>						
	<b>173</b>	<b>123</b>	<b>71%</b> ▼	<b>57</b>	<b>45</b>	<b>79%</b> ▼
<b>GREAT WEST INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA196 GREAT WEST INSURANCE	2	0	0%	2	1	50%
<b>Total</b>	<b>2</b>	<b>0</b>	<b>0%</b> ▼	<b>2</b>	<b>1</b>	<b>50%</b> ▼
<b>GREAT WEST INSURANCE Group Total</b>						
	<b>2</b>	<b>0</b>	<b>0%</b> ▼	<b>2</b>	<b>1</b>	<b>50%</b> ▼
<b>GUARD INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA019 AMGUARD INSURANCE	11	6	55%	3	3	100%
CA140 EASTGUARD INSURANCE	1	0	0%	No Filings	No Filings	No Filings
CA272 NORGUARD INSURANCE	4	1	25%	1	0	0%
<b>Total</b>	<b>16</b>	<b>7</b>	<b>44%</b> ▼	<b>4</b>	<b>3</b>	<b>75%</b> ▼
<b>GUARD INSURANCE Group Total</b>						
	<b>16</b>	<b>7</b>	<b>44%</b> ▼	<b>4</b>	<b>3</b>	<b>75%</b> ▼
<b>HANNAFORD BROTHERS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA201 HANNAFORD BROTHERS	63	52	83%	29	23	79%
<b>Total</b>	<b>63</b>	<b>52</b>	<b>83%</b> ▼	<b>29</b>	<b>23</b>	<b>79%</b> ▼
<b>HANNAFORD BROTHERS Group Total</b>						
	<b>63</b>	<b>52</b>	<b>83%</b> ▼	<b>29</b>	<b>23</b>	<b>79%</b> ▼

**INSURANCE GROUP COMPLIANCE**  
 Lost Time FROI and Initial Indemnity Payments  
 Third Quarter  
 7/1/2021 - 9/30/2021

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>HANOVER INSURANCE</b>						
CA428 ALLMERICA FINANCIAL BENEFIT INSURANCE	4	1	25%	1	1	100%
CA048 CITIZENS INSURANCE COMPANY OF AMERICA	1	1	100%	1	1	100%
CA429 HANOVER AMERICAN INSURANCE	1	1	100%	No Filings	No Filings	No Filings
CA202 HANOVER INSURANCE	3	2	67%	1	0	0%
CA228 MASSACHUSETTS BAY INSURANCE	1	0	0%	No Filings	No Filings	No Filings
<b>Total</b>	<b>10</b>	<b>5</b>	<b>50%</b> ▼	<b>3</b>	<b>2</b>	<b>67%</b> ▼
<b>HANOVER INSURANCE Group Total</b>	<b>10</b>	<b>5</b>	<b>50%</b> ▼	<b>3</b>	<b>2</b>	<b>67%</b> ▼
<b>HARTFORD INSURANCE</b>						
CA188 HARTFORD ACCIDENT & INDEMNITY	1	1	100%	No Filings	No Filings	No Filings
CA185 HARTFORD CASUALTY INSURANCE	2	1	50%	3	2	67%
CA203 HARTFORD FIRE INSURANCE	10	7	70%	4	4	100%
CA186 HARTFORD INSURANCE COMPANY OF THE MIDWEST	1	1	100%	1	1	100%
CA187 HARTFORD UNDERWRITERS INSURANCE	5	5	100%	1	1	100%
CA288 PROPERTY & CASUALTY INSURANCE COMPANY OF HARTFORD	8	8	100%	3	3	100%
CA296 SENTINEL INSURANCE	*	*	*	*	*	*
CA319 TRUMBULL INSURANCE	7	6	86%	1	1	100%
CA321 TWIN CITY FIRE INSURANCE	2	2	100%	1	1	100%
<b>Total</b>	<b>36</b>	<b>31</b>	<b>86%</b> ▲	<b>14</b>	<b>13</b>	<b>93%</b> ▲
<b>HARTFORD INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA116 CORVEL ENTERPRISE COMP	2	0	0%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	1	0	0%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>6</b>	<b>3</b>	<b>50%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>HARTFORD INSURANCE Group Total</b>	<b>42</b>	<b>34</b>	<b>81%</b> ▼	<b>14</b>	<b>13</b>	<b>93%</b> ▲
<b>HELMSMAN MANAGEMENT SERVICES</b>						
CA204 HELMSMAN MANAGEMENT SERVICES	27	11	41%	6	4	67%
<b>Total</b>	<b>27</b>	<b>11</b>	<b>41%</b> ▼	<b>6</b>	<b>4</b>	<b>67%</b> ▼
<b>HELMSMAN MANAGEMENT SERVICES Group Total</b>	<b>27</b>	<b>11</b>	<b>41%</b> ▼	<b>6</b>	<b>4</b>	<b>67%</b> ▼

Maine Workers' Compensation Board  
 Lost Time FROI Filing Benchmark: 85%  
 Initial Indemnity Payment Benchmark: 87%

▲ Indicates benchmark met or exceeded  
 ▼ Indicates benchmark not met

**INSURANCE GROUP COMPLIANCE**  
 Lost Time FROI and Initial Indemnity Payments  
 Third Quarter  
 7/1/2021 - 9/30/2021

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>LIBERTY MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA003 AMERICAN FIRE & CASUALTY INSURANCE	1	1	100%	1	1	100%
CA380 EMPLOYERS INSURANCE OF WAUSAU	4	2	50%	3	2	67%
CA210 LIBERTY MUTUAL INSURANCE	54	36	67%	20	18	90%
CA406 OHIO CASUALTY INSURANCE	4	3	75%	2	2	100%
CA407 OHIO SECURITY INSURANCE	2	2	100%	2	2	100%
CA408 WEST AMERICAN INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>65</b>	<b>44</b>	<b>68%</b> ▼	<b>28</b>	<b>25</b>	<b>89%</b> ▲
<b>LIBERTY MUTUAL INSURANCE Group Total</b>	<b>65</b>	<b>44</b>	<b>68%</b> ▼	<b>28</b>	<b>25</b>	<b>89%</b> ▲
<b>MAINE EMPLOYERS' MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA260 MAINE EMPLOYERS' MUTUAL INSURANCE	1159	868	75%	286	251	88%
<b>Total</b>	<b>1159</b>	<b>868</b>	<b>75%</b> ▼	<b>286</b>	<b>251</b>	<b>88%</b> ▲
<b>MAINE EMPLOYERS' MUTUAL INSURANCE Group Total</b>	<b>1159</b>	<b>868</b>	<b>75%</b> ▼	<b>286</b>	<b>251</b>	<b>88%</b> ▲
<b>MAINE HEALTHCARE ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA234 MAINE HEALTHCARE ASSOCIATION	16	14	88%	5	3	60%
<b>Total</b>	<b>16</b>	<b>14</b>	<b>88%</b> ▲	<b>5</b>	<b>3</b>	<b>60%</b> ▼
<b>MAINE HEALTHCARE ASSOCIATION Group Total</b>	<b>16</b>	<b>14</b>	<b>88%</b> ▲	<b>5</b>	<b>3</b>	<b>60%</b> ▼
<b>MAINE MOTOR TRANSPORT ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA230 MAINE MOTOR TRANSPORT ASSOCIATION	57	54	95%	6	5	83%
<b>Total</b>	<b>57</b>	<b>54</b>	<b>95%</b> ▲	<b>6</b>	<b>5</b>	<b>83%</b> ▼
<b>MAINE MOTOR TRANSPORT ASSOCIATION Group Total</b>	<b>57</b>	<b>54</b>	<b>95%</b> ▲	<b>6</b>	<b>5</b>	<b>83%</b> ▼
<b>MAINE MUNICIPAL ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA225 MAINE MUNICIPAL ASSOCIATION	204	187	92%	29	24	83%
<b>Total</b>	<b>204</b>	<b>187</b>	<b>92%</b> ▲	<b>29</b>	<b>24</b>	<b>83%</b> ▼
<b>MAINE MUNICIPAL ASSOCIATION Group Total</b>	<b>204</b>	<b>187</b>	<b>92%</b> ▲	<b>29</b>	<b>24</b>	<b>83%</b> ▼
<b>MAINE SCHOOL MANAGEMENT ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA250 MAINE SCHOOL MANAGEMENT ASSOCIATION	42	40	95%	15	15	100%
<b>Total</b>	<b>42</b>	<b>40</b>	<b>95%</b> ▲	<b>15</b>	<b>15</b>	<b>100%</b> ▲
<b>MAINE SCHOOL MANAGEMENT ASSOCIATION Group Total</b>	<b>42</b>	<b>40</b>	<b>95%</b> ▲	<b>15</b>	<b>15</b>	<b>100%</b> ▲
<b>MATRIX ABSENCE MANAGEMENT</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA252 MATRIX ABSENCE MANAGEMENT	5	4	80%	No Filings	No Filings	No Filings
<b>Total</b>	<b>5</b>	<b>4</b>	<b>80%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>MATRIX ABSENCE MANAGEMENT Group Total</b>	<b>5</b>	<b>4</b>	<b>80%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Third Quarter

7/1/2021 - 9/30/2021

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>MEADOWBROOK INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA255 MEADOWBROOK INSURANCE	1	1	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>MEADOWBROOK INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>MITSUI SUMITOMO INS CO OF AMERICA</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
MITSUI SUMITOMO INS CO OF AMERICA	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>MITSUI SUMITOMO INS CO OF AMERICA TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	1	0	0%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	*	*	*	*	*	*
<b>TPA Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>MITSUI SUMITOMO INS CO OF AMERICA Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>NEXT LEVEL ADMINISTRATORS LLC</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA433 NEXT LEVEL ADMINISTRATORS LLC	7	2	29%	1	1	100%
<b>Total</b>	<b>7</b>	<b>2</b>	<b>29%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>NEXT LEVEL ADMINISTRATORS LLC TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	No Filings	No Filings	No Filings	2	1	50%
<b>TPA Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼
<b>NEXT LEVEL ADMINISTRATORS LLC Group Total</b>	<b>7</b>	<b>2</b>	<b>29%</b> ▼	<b>3</b>	<b>2</b>	<b>67%</b> ▼
<b>NGM INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA265 NGM INSURANCE	1	0	0%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>NGM INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Third Quarter

7/1/2021 - 9/30/2021

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>OLD REPUBLIC INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
OLD REPUBLIC GENERAL INSURANCE CORP.	*	*	*	*	*	*
OLD REPUBLIC INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>OLD REPUBLIC INSURANCE TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	6	4	67%	2	1	50%
CA116 CORVEL ENTERPRISE COMP	1	1	100%	1	1	100%
CA160 ESIS	1	0	0%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	14	8	57%	8	7	88%
CA204 HELMSMAN MANAGEMENT SERVICES	1	0	0%	1	1	100%
CA252 MATRIX ABSENCE MANAGEMENT, INC.	5	4	80%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	6	6	100%	1	1	100%
<b>TPA Total</b>	<b>34</b>	<b>23</b>	<b>68%</b> ▼	<b>13</b>	<b>11</b>	<b>85%</b> ▼
<b>OLD REPUBLIC INSURANCE Group Total</b>	<b>34</b>	<b>23</b>	<b>68%</b> ▼	<b>13</b>	<b>11</b>	<b>85%</b> ▼
<b>PENNSYLVANIA MFG ASSN</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
MANUFACTURERS ALLIANCE INSURANCE	*	*	*	*	*	*
PENNSYLVANIA MFG ASSN	*	*	*	*	*	*
PENNSYLVANIA MFG INDEMNITY CO	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>PENNSYLVANIA MFG ASSN TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	13	6	46%	2	2	100%
<b>TPA Total</b>	<b>13</b>	<b>6</b>	<b>46%</b> ▼	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>PENNSYLVANIA MFG ASSN Group Total</b>	<b>13</b>	<b>6</b>	<b>46%</b> ▼	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>PROTECTIVE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA277 PROTECTIVE INSURANCE COMPANY	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>PROTECTIVE INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	1	1	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	4	4	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>5</b>	<b>5</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>PROTECTIVE INSURANCE Group Total</b>	<b>5</b>	<b>5</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲

**INSURANCE GROUP COMPLIANCE**  
 Lost Time FROI and Initial Indemnity Payments  
 Third Quarter  
 7/1/2021 - 9/30/2021

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>QBE INSURANCE GROUP</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
QBE INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>QBE INSURANCE GROUP TPA Administered Claims</b>						
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	9	6	67%	3	3	100%
<b>TPA Total</b>	<b>9</b>	<b>6</b>	<b>67%</b> ▼	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>QBE INSURANCE GROUP Group Total</b>	<b>9</b>	<b>6</b>	<b>67%</b> ▼	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>SAFETY NATIONAL CASUALTY CORP</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
SAFETY NATIONAL CASUALTY CORP	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>SAFETY NATIONAL CASUALTY CORP TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	2	2	100%	2	2	100%
CA070 CANNON COCHRAN MANAGEMENT SERVICES	1	0	0%	1	0	0%
CA116 CORVEL ENTERPRISE COMP	2	0	0%	No Filings	No Filings	No Filings
CA160 ESIS	1	0	0%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	9	4	44%	2	2	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	13	10	77%	2	2	100%
<b>TPA Total</b>	<b>28</b>	<b>16</b>	<b>57%</b> ▼	<b>7</b>	<b>6</b>	<b>86%</b> ▼
<b>SAFETY NATIONAL CASUALTY CORP Group Total</b>	<b>28</b>	<b>16</b>	<b>57%</b> ▼	<b>7</b>	<b>6</b>	<b>86%</b> ▼
<b>SEDGWICK CLAIMS MANAGEMENT SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	255	214	84%	66	54	82%
<b>Total</b>	<b>255</b>	<b>214</b>	<b>84%</b> ▼	<b>66</b>	<b>54</b>	<b>82%</b> ▼
<b>SEDGWICK CLAIMS MANAGEMENT SERVICES Group Total</b>	<b>255</b>	<b>214</b>	<b>84%</b> ▼	<b>66</b>	<b>54</b>	<b>82%</b> ▼
<b>SENTRY INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA207 FLORISTS MUTUAL INSURANCE	2	0	0%	1	1	100%
CA426 MIDDLESEX INSURANCE COMPANY	6	3	50%	1	1	100%
CA402 SENTRY CASUALTY	10	4	40%	7	5	71%
CA305 SENTRY INSURANCE	4	2	50%	1	1	100%
CA308 SENTRY SELECT INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>22</b>	<b>9</b>	<b>41%</b> ▼	<b>10</b>	<b>8</b>	<b>80%</b> ▼
<b>SENTRY INSURANCE Group Total</b>	<b>22</b>	<b>9</b>	<b>41%</b> ▼	<b>10</b>	<b>8</b>	<b>80%</b> ▼

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Third Quarter

7/1/2021 - 9/30/2021

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>SERVICE AMERICAN INDEMNITY</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
SERVICE AMERICAN INDEMNITY	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>SERVICE AMERICAN INDEMNITY TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	3	3	100%	3	3	100%
<b>TPA Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>SERVICE AMERICAN INDEMNITY Group Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>SOMPO JAPAN INSURANCE</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
SOMPO JAPAN INSURANCE COMPANY OF AMERICA	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>SOMPO JAPAN INSURANCE TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	2	0	0%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>2</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>SOMPO JAPAN INSURANCE Group Total</b>	<b>2</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>STARR INDEMNITY INSURANCE</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
STARR INDEMNITY INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>STARR INDEMNITY INSURANCE TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	7	3	43%	3	2	67%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	6	4	67%	2	2	100%
<b>TPA Total</b>	<b>13</b>	<b>7</b>	<b>54%</b> ▼	<b>5</b>	<b>4</b>	<b>80%</b> ▼
<b>STARR INDEMNITY INSURANCE Group Total</b>	<b>13</b>	<b>7</b>	<b>54%</b> ▼	<b>5</b>	<b>4</b>	<b>80%</b> ▼
<b>STARSTONE NATIONAL INSURANCE</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
STARSTONE NATIONAL INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>STARSTONE NATIONAL INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	0	0%	1	0	0%
CA070 CANNON COCHRAN MANAGEMENT SERVICES	1	0	0%	No Filings	No Filings	No Filings
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	1	0	0%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>3</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>STARSTONE NATIONAL INSURANCE Group Total</b>	<b>3</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA307 STATE OF MAINE WORKERS' COMPENSATION TRUST	198	165	83%	25	25	100%
<b>Total</b>	<b>198</b>	<b>165</b>	<b>83%</b> ▼	<b>25</b>	<b>25</b>	<b>100%</b> ▲
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST Group Total</b>	<b>198</b>	<b>165</b>	<b>83%</b> ▼	<b>25</b>	<b>25</b>	<b>100%</b> ▲

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Third Quarter

7/1/2021 - 9/30/2021

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>SYNERNET</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA320 SYNERNET	313	278	89%	56	51	91%
<b>Total</b>	<b>313</b>	<b>278</b>	<b>89%</b> ▲	<b>56</b>	<b>51</b>	<b>91%</b> ▲
<b>SYNERNET Group Total</b>	<b>313</b>	<b>278</b>	<b>89%</b> ▲	<b>56</b>	<b>51</b>	<b>91%</b> ▲
<b>TOKIO MARINE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA414 TOKIO MARINE AMERICA INSURANCE	3	0	0%	2	0	0%
<b>Total</b>	<b>3</b>	<b>0</b>	<b>0%</b> ▼	<b>2</b>	<b>0</b>	<b>0%</b> ▼
<b>TOKIO MARINE INSURANCE Group Total</b>	<b>3</b>	<b>0</b>	<b>0%</b> ▼	<b>2</b>	<b>0</b>	<b>0%</b> ▼
<b>TRAVELERS INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA072 CHARTER OAK FIRE INSURANCE	37	18	49%	13	8	62%
CA164 FARMINGTON CASUALTY	3	1	33%	3	0	0%
CA284 PHOENIX INSURANCE	3	1	33%	3	2	67%
CA306 STANDARD FIRE INSURANCE	8	3	38%	4	3	75%
CA347 TRAVELERS CASUALTY & SURETY	3	0	0%	3	2	67%
CA348 TRAVELERS CASUALTY INSURANCE COMPANY OF AMERICA	7	3	43%	4	3	75%
CA349 TRAVELERS COMMERCIAL CASUALTY	1	0	0%	1	0	0%
CA346 TRAVELERS INDEMNITY COMPANY OF AMERICA	1	1	100%	1	1	100%
CA345 TRAVELERS PROPERTY CASUALTY INSURANCE	4	1	25%	1	1	100%
<b>Total</b>	<b>67</b>	<b>28</b>	<b>42%</b> ▼	<b>33</b>	<b>20</b>	<b>61%</b> ▼
<b>TRAVELERS INSURANCE TPA Administered Claims</b>						
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	3	1	33%	2	1	50%
<b>TPA Total</b>	<b>3</b>	<b>1</b>	<b>33%</b> ▼	<b>2</b>	<b>1</b>	<b>50%</b> ▼
<b>TRAVELERS INSURANCE Group Total</b>	<b>70</b>	<b>29</b>	<b>41%</b> ▼	<b>35</b>	<b>21</b>	<b>60%</b> ▼
<b>VANLINER INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA379 VANLINER INSURANCE	1	1	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>VANLINER INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>WALMART CLAIMS SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA100 WALMART CLAIMS SERVICES	89	84	94%	11	10	91%
<b>Total</b>	<b>89</b>	<b>84</b>	<b>94%</b> ▲	<b>11</b>	<b>10</b>	<b>91%</b> ▲
<b>WALMART CLAIMS SERVICES Group Total</b>	<b>89</b>	<b>84</b>	<b>94%</b> ▲	<b>11</b>	<b>10</b>	<b>91%</b> ▲



**INSURANCE GROUP COMPLIANCE**  
 Lost Time FROI and Initial Indemnity Payments  
 Third Quarter  
 7/1/2021 - 9/30/2021

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>XL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA317 T.H.E. INSURANCE	*	*	*	*	*	*
CA384 XL INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>XL INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	3	3	100%	No Filings	No Filings	No Filings
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	8	7	88%	3	1	33%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	6	5	83%	1	1	100%
<b>TPA Total</b>	<b>18</b>	<b>16</b>	<b>89%</b> ▲	<b>4</b>	<b>2</b>	<b>50%</b> ▼
<b>XL INSURANCE Group Total</b>	<b>18</b>	<b>16</b>	<b>89%</b> ▲	<b>4</b>	<b>2</b>	<b>50%</b> ▼
<b>YORK RISK SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA340 YORK RISK SERVICES	1	1	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>YORK RISK SERVICES Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>ZURICH INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA021 AMERICAN GUARANTEE & LIABILITY	1	1	100%	No Filings	No Filings	No Filings
CA022 AMERICAN ZURICH	25	20	80%	5	4	80%
CA400 ZURICH AMERICAN INSURANCE	3	3	100%	1	1	100%
CA404 ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	4	1	25%	1	0	0%
<b>Total</b>	<b>33</b>	<b>25</b>	<b>76%</b> ▼	<b>7</b>	<b>5</b>	<b>71%</b> ▼
<b>ZURICH INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	2	2	100%	No Filings	No Filings	No Filings
CA080 CHESTERFIELD SERVICES	*	*	*	*	*	*
CA116 CORVEL ENTERPRISE COMP	7	3	43%	7	5	71%
CA160 ESIS	1	0	0%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	11	11	100%	3	3	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	11	10	91%	1	1	100%
<b>TPA Total</b>	<b>32</b>	<b>26</b>	<b>81%</b> ▼	<b>11</b>	<b>9</b>	<b>82%</b> ▼
<b>ZURICH INSURANCE Group Total</b>	<b>65</b>	<b>51</b>	<b>78%</b> ▼	<b>18</b>	<b>14</b>	<b>78%</b> ▼

## **Appendix B**

**Insurance Group Compliance  
Initial MOP and Initial Indemnity NOC Filings  
Third Quarter  
7/1/2021 - 9/30/2021**

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Third Quarter  
7/1/2021 - 9/30/2021

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>ACADIA INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA010 ACADIA INSURANCE	21	20	95%	10	6	60%
<b>Total</b>	<b>21</b>	<b>20</b>	<b>95%</b> ▲	<b>10</b>	<b>6</b>	<b>60%</b> ▼
<b>ACADIA INSURANCE Group Total</b>	<b>21</b>	<b>20</b>	<b>95%</b> ▲	<b>10</b>	<b>6</b>	<b>60%</b> ▼
<b>ACCIDENT FUND INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
ACCIDENT FUND INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ACCIDENT FUND INSURANCE TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>ACCIDENT FUND INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>ACUITY MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA418 ACUITY MUTUAL INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>ACUITY MUTUAL INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>AIG INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA015 AIG CLAIMS, INC	1	0	0%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>AIG INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA116 CORVEL ENTERPRISE COMP	1	0	0%	1	0	0%
CA160 ESIS	7	1	14%	1	1	100%
CA190 GALLAGHER BASSETT SERVICES	11	8	73%	4	3	75%
CA204 HELMSMAN MANAGEMENT SERVICES	1	1	100%	1	1	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	18	14	78%	20	18	90%
<b>TPA Total</b>	<b>39</b>	<b>25</b>	<b>64%</b> ▼	<b>27</b>	<b>23</b>	<b>85%</b> ▼
<b>AIG INSURANCE Group Total</b>	<b>40</b>	<b>25</b>	<b>63%</b> ▼	<b>27</b>	<b>23</b>	<b>85%</b> ▼
<b>AIM MUTUAL GROUP</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA472 AIM MUTUAL INSURANCE	3	2	67%	No Filings	No Filings	No Filings
<b>Total</b>	<b>3</b>	<b>2</b>	<b>67%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>AIM MUTUAL GROUP Group Total</b>	<b>3</b>	<b>2</b>	<b>67%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>AMERICAN FINANCIAL GROUP</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA193 GREAT AMERICAN INSURANCE	2	1	50%	No Filings	No Filings	No Filings
CA267 NATIONAL INTERSTATE INSURANCE COMPANY	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>Total</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>AMERICAN FINANCIAL GROUP Group Total</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Third Quarter  
7/1/2021 - 9/30/2021

Insurance Company	Total Initial MOPs			Total Initial Indemnity NOCs		
	Filed	Initial MOPs Filed Timely	Compliance Percentage	Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>AMTRUST INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA437 SECURITY NATIONAL INSURANCE	1	1	100%	No Filings	No Filings	No Filings
CA342 TECHNOLOGY INSURANCE	4	1	25%	1	0	0%
CA381 WESCO INSURANCE	1	0	0%	3	1	33%
<b>Total</b>	<b>6</b>	<b>2</b>	<b>33%</b> ▼	<b>4</b>	<b>1</b>	<b>25%</b> ▼
<b>AMTRUST INSURANCE Group Total</b>	<b>6</b>	<b>2</b>	<b>33%</b> ▼	<b>4</b>	<b>1</b>	<b>25%</b> ▼
<b>ARCH INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
ARCH INSURANCE	*	*	*	*	*	*
CA072 CHARTER OAK FIRE INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ARCH INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	2	2	100%
CA070 CANNON COCHRAN MANAGEMENT SERVICES	1	0	0%	No Filings	No Filings	No Filings
CA116 CORVEL ENTERPRISE COMP	3	2	67%	No Filings	No Filings	No Filings
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	4	3	75%	No Filings	No Filings	No Filings
CA160 ESIS	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	2	2	100%	1	1	100%
CA204 HELMSMAN MANAGEMENT SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	1	0	0%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>13</b>	<b>9</b>	<b>69%</b> ▼	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>ARCH INSURANCE Group Total</b>	<b>13</b>	<b>9</b>	<b>69%</b> ▼	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>BATH IRON WORKS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA036 BATH IRON WORKS	14	14	100%	4	4	100%
<b>Total</b>	<b>14</b>	<b>14</b>	<b>100%</b> ▲	<b>4</b>	<b>4</b>	<b>100%</b> ▲
<b>BATH IRON WORKS Group Total</b>	<b>14</b>	<b>14</b>	<b>100%</b> ▲	<b>4</b>	<b>4</b>	<b>100%</b> ▲
<b>BENCHMARK INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA034 BENCHMARK INSURANCE	1	0	0%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>BENCHMARK INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>BERKLEY CASUALTY INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA485 BERKLEY CASUALTY INSURANCE	1	0	0%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>BERKLEY CASUALTY INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Third Quarter  
7/1/2021 - 9/30/2021

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>BERKSHIRE HATHAWAY INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA114 BERKSHIRE HATHAWAY HOMESTATE INSURANCE	*	*	*	*	*	*
CA474 NATIONAL LIABILITY AND FIRE INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>BERKSHIRE HATHAWAY INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>BROADSPIRE SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA040 BROADSPIRE SERVICES	12	12	100%	13	13	100%
<b>Total</b>	<b>12</b>	<b>12</b>	<b>100%</b> ▲	<b>13</b>	<b>13</b>	<b>100%</b> ▲
<b>BROADSPIRE SERVICES Group Total</b>	<b>12</b>	<b>12</b>	<b>100%</b> ▲	<b>13</b>	<b>13</b>	<b>100%</b> ▲
<b>CANNON COCHRAN MANAGEMENT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA070 CANNON COCHRAN MANAGEMENT SERVICES	17	8	47%	21	15	71%
<b>Total</b>	<b>17</b>	<b>8</b>	<b>47%</b> ▼	<b>21</b>	<b>15</b>	<b>71%</b> ▼
<b>CANNON COCHRAN MANAGEMENT SERVICES Group Total</b>	<b>17</b>	<b>8</b>	<b>47%</b> ▼	<b>21</b>	<b>15</b>	<b>71%</b> ▼
<b>CAROLINA CASULTY INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CAROLINA CASUALTY INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>CAROLINA CASULTY INSURANCE TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	1	0	0%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CAROLINA CASULTY INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CHESTERFIELD SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA080 CHESTERFIELD SERVICES	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>CHESTERFIELD SERVICES Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Third Quarter  
7/1/2021 - 9/30/2021

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>CHUBB INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
ACE AMERICAN INSURANCE COMPANY	*	*	*	*	*	*
ACE INSURANCE	*	*	*	*	*	*
CA046 CHUBB INSURANCE	*	*	*	*	*	*
CA090 FEDERAL INSURANCE	*	*	*	*	*	*
INDEMNITY INS CO OF NORTH AMERICA	*	*	*	*	*	*
CA268 NORTH AMERICAN RISK SERVICES	*	*	*	*	*	*
PACIFIC INDEMNITY CO	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>CHUBB INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA070 CANNON COCHRAN MANAGEMENT SERVICES	1	0	0%	No Filings	No Filings	No Filings
CA110 CONSTITUTION STATE SERVICES	4	2	50%	No Filings	No Filings	No Filings
CA116 CORVEL ENTERPRISE COMP	2	0	0%	4	4	100%
CA160 ESIS	6	2	33%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	7	6	86%	3	1	33%
CA204 HELMSMAN MANAGEMENT SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	14	12	86%	15	15	100%
CA340 YORK RISK SERVICES	No Filings	No Filings	No Filings	1	1	100%
<b>TPA Total</b>	<b>35</b>	<b>23</b>	<b>66%</b> ▼	<b>23</b>	<b>21</b>	<b>91%</b> ▲
<b>CHUBB INSURANCE Group Total</b>	<b>35</b>	<b>23</b>	<b>66%</b> ▼	<b>23</b>	<b>21</b>	<b>91%</b> ▲
<b>CIANBRO CORPORATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA085 CIANBRO CORPORATION	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>CIANBRO CORPORATION Group Total</b>	*	*	*	*	*	*
<b>CINCINNATI INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA438 CINCINNATI INSURANCE	1	1	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CINCINNATI INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Third Quarter  
7/1/2021 - 9/30/2021

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>CNA INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA017 AMERICAN CASUALTY COMPANY OF READING PA	1	1	100%	No Filings	No Filings	No Filings
CA083 CNA CLAIMS PLUS	1	1	100%	No Filings	No Filings	No Filings
CA050 CONTINENTAL CASUALTY	*	*	*	*	*	*
CA271 NATIONAL FIRE INSURANCE	1	1	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CNA INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	No Filings	No Filings	No Filings	1	1	100%
CA190 GALLAGHER BASSETT SERVICES	No Filings	No Filings	No Filings	1	1	100%
<b>TPA Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>CNA INSURANCE Group Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>CONSTITUTION STATE SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA110 CONSTITUTION STATE SERVICES	4	2	50%	No Filings	No Filings	No Filings
<b>Total</b>	<b>4</b>	<b>2</b>	<b>50%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CONSTITUTION STATE SERVICES Group Total</b>	<b>4</b>	<b>2</b>	<b>50%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CONTINENTAL INDEMNITY</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA115 CONTINENTAL INDEMNITY	No Filings	No Filings	No Filings	1	1	100%
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>CONTINENTAL INDEMNITY Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>CORVEL ENTERPRISE COMP</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA116 CORVEL ENTERPRISE COMP	17	10	59%	11	10	91%
<b>Total</b>	<b>17</b>	<b>10</b>	<b>59%</b> ▼	<b>11</b>	<b>10</b>	<b>91%</b> ▲
<b>CORVEL ENTERPRISE COMP Group Total</b>	<b>17</b>	<b>10</b>	<b>59%</b> ▼	<b>11</b>	<b>10</b>	<b>91%</b> ▲
<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	4	3	75%	No Filings	No Filings	No Filings
<b>Total</b>	<b>4</b>	<b>3</b>	<b>75%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES Group Total</b>	<b>4</b>	<b>3</b>	<b>75%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CROSS INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA093 CROSS INSURANCE	82	78	95%	101	101	100%
<b>Total</b>	<b>82</b>	<b>78</b>	<b>95%</b> ▲	<b>101</b>	<b>101</b>	<b>100%</b> ▲
<b>CROSS INSURANCE Group Total</b>	<b>82</b>	<b>78</b>	<b>95%</b> ▲	<b>101</b>	<b>101</b>	<b>100%</b> ▲
<b>EASTERN ALLIANCE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA141 EASTERN ALLIANCE INSURANCE	27	21	78%	14	14	100%
<b>Total</b>	<b>27</b>	<b>21</b>	<b>78%</b> ▼	<b>14</b>	<b>14</b>	<b>100%</b> ▲
<b>EASTERN ALLIANCE INSURANCE Group Total</b>	<b>27</b>	<b>21</b>	<b>78%</b> ▼	<b>14</b>	<b>14</b>	<b>100%</b> ▲

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Third Quarter  
7/1/2021 - 9/30/2021

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>ELECTRIC INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA150 ELECTRIC INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>ELECTRIC INSURANCE TPA Administered Claims</b>						
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>ELECTRIC INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>EMPLOYERS HOLDING INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA480 EMPLOYERS ASSURANCE COMPANY	*	*	*	*	*	*
CA481 EMPLOYERS COMPENSATION INSURANCE	*	*	*	*	*	*
CA479 EMPLOYERS PREFERRED INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>EMPLOYERS HOLDING INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	3	3	100%	2	2	100%
<b>TPA Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>EMPLOYERS HOLDING INSURANCE Group Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>ESIS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA160 ESIS	14	3	21%	2	2	100%
<b>Total</b>	<b>14</b>	<b>3</b>	<b>21%</b> ▼	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>ESIS Group Total</b>	<b>14</b>	<b>3</b>	<b>21%</b> ▼	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>EVEREST REINS HOLDINGS GROUP</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
EVEREST REINS HOLDINGS	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>EVEREST REINS HOLDINGS GROUP TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	No Filings	No Filings	No Filings	1	1	100%
<b>TPA Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>EVEREST REINS HOLDINGS GROUP Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>FAIRFAX FINANCIAL GROUP</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA257 NORTH RIVER INSURANCE	*	*	*	*	*	*
CA375 UNITED STATES FIRE INSURANCE	1	0	0%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>FAIRFAX FINANCIAL GROUP Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>



**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Third Quarter  
7/1/2021 - 9/30/2021

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>FEDERATED MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA091 FEDERATED MUTUAL INSURANCE	2	0	0%	No Filings	No Filings	No Filings
CA439 FEDERATED RESERVE	*	*	*	*	*	*
CA092 FEDERATED SERVICE INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>2</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>FEDERATED MUTUAL INSURANCE Group Total</b>	<b>2</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>FRANKENMUTH INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA095 FRANKENMUTH INSURANCE	1	1	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>FRANKENMUTH INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>FUTURECOMP</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA175 FUTURECOMP	12	10	83%	8	8	100%
<b>Total</b>	<b>12</b>	<b>10</b>	<b>83%</b> ▼	<b>8</b>	<b>8</b>	<b>100%</b> ▲
<b>FUTURECOMP Group Total</b>	<b>12</b>	<b>10</b>	<b>83%</b> ▼	<b>8</b>	<b>8</b>	<b>100%</b> ▲
<b>GALLAGHER BASSETT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA190 GALLAGHER BASSETT SERVICES	57	43	75%	18	15	83%
<b>Total</b>	<b>57</b>	<b>43</b>	<b>75%</b> ▼	<b>18</b>	<b>15</b>	<b>83%</b> ▼
<b>GALLAGHER BASSETT SERVICES Group Total</b>	<b>57</b>	<b>43</b>	<b>75%</b> ▼	<b>18</b>	<b>15</b>	<b>83%</b> ▼
<b>GREAT WEST INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA196 GREAT WEST INSURANCE	2	1	50%	No Filings	No Filings	No Filings
<b>Total</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>GREAT WEST INSURANCE Group Total</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>GUARD INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA019 AMGUARD INSURANCE	3	1	33%	2	1	50%
CA140 EASTGUARD INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA272 NORGUARD INSURANCE	1	0	0%	1	0	0%
<b>Total</b>	<b>4</b>	<b>1</b>	<b>25%</b> ▼	<b>3</b>	<b>1</b>	<b>33%</b> ▼
<b>GUARD INSURANCE Group Total</b>	<b>4</b>	<b>1</b>	<b>25%</b> ▼	<b>3</b>	<b>1</b>	<b>33%</b> ▼
<b>HANNAFORD BROTHERS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA201 HANNAFORD BROTHERS	29	23	79%	9	9	100%
<b>Total</b>	<b>29</b>	<b>23</b>	<b>79%</b> ▼	<b>9</b>	<b>9</b>	<b>100%</b> ▲
<b>HANNAFORD BROTHERS Group Total</b>	<b>29</b>	<b>23</b>	<b>79%</b> ▼	<b>9</b>	<b>9</b>	<b>100%</b> ▲

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Third Quarter  
7/1/2021 - 9/30/2021

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>HANOVER INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA428 ALLMERICA FINANCIAL BENEFIT INSURANCE	1	1	100%	1	0	0%
CA048 CITIZENS INSURANCE COMPANY OF AMERICA	1	1	100%	No Filings	No Filings	No Filings
CA429 HANOVER AMERICAN INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA202 HANOVER INSURANCE	1	0	0%	1	1	100%
CA228 MASSACHUSETTS BAY INSURANCE	No Filings	No Filings	No Filings	1	1	100%
<b>Total</b>	<b>3</b>	<b>2</b>	<b>67%</b> ▼	<b>3</b>	<b>2</b>	<b>67%</b> ▼
<b>HANOVER INSURANCE Group Total</b>	<b>3</b>	<b>2</b>	<b>67%</b> ▼	<b>3</b>	<b>2</b>	<b>67%</b> ▼
<b>HARTFORD INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA188 HARTFORD ACCIDENT & INDEMNITY	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA185 HARTFORD CASUALTY INSURANCE	3	2	67%	No Filings	No Filings	No Filings
CA203 HARTFORD FIRE INSURANCE	4	3	75%	1	0	0%
CA186 HARTFORD INSURANCE COMPANY OF THE MIDWEST	1	1	100%	No Filings	No Filings	No Filings
CA187 HARTFORD UNDERWRITERS INSURANCE	1	1	100%	1	1	100%
CA288 PROPERTY & CASUALTY INSURANCE COMPANY OF HARTFORD	3	3	100%	3	3	100%
CA296 SENTINEL INSURANCE	*	*	*	*	*	*
CA319 TRUMBULL INSURANCE	1	1	100%	No Filings	No Filings	No Filings
CA321 TWIN CITY FIRE INSURANCE	1	1	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>14</b>	<b>12</b>	<b>86%</b> ▲	<b>5</b>	<b>4</b>	<b>80%</b> ▼
<b>HARTFORD INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	No Filings	No Filings	No Filings	1	1	100%
CA116 CORVEL ENTERPRISE COMP	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>HARTFORD INSURANCE Group Total</b>	<b>14</b>	<b>12</b>	<b>86%</b> ▲	<b>6</b>	<b>5</b>	<b>83%</b> ▼
<b>HELMSMAN MANAGEMENT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA204 HELMSMAN MANAGEMENT SERVICES	6	4	67%	11	8	73%
<b>Total</b>	<b>6</b>	<b>4</b>	<b>67%</b> ▼	<b>11</b>	<b>8</b>	<b>73%</b> ▼
<b>HELMSMAN MANAGEMENT SERVICES Group Total</b>	<b>6</b>	<b>4</b>	<b>67%</b> ▼	<b>11</b>	<b>8</b>	<b>73%</b> ▼

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Third Quarter  
7/1/2021 - 9/30/2021

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>LIBERTY MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA003 AMERICAN FIRE & CASUALTY INSURANCE	1	0	0%	1	1	100%
CA380 EMPLOYERS INSURANCE OF WAUSAU	3	2	67%	1	1	100%
CA210 LIBERTY MUTUAL INSURANCE	20	16	80%	15	14	93%
CA406 OHIO CASUALTY INSURANCE	2	1	50%	2	2	100%
CA407 OHIO SECURITY INSURANCE	2	2	100%	No Filings	No Filings	No Filings
CA408 WEST AMERICAN INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>28</b>	<b>21</b>	<b>75%</b> ▼	<b>19</b>	<b>18</b>	<b>95%</b> ▲
<b>LIBERTY MUTUAL INSURANCE Group Total</b>	<b>28</b>	<b>21</b>	<b>75%</b> ▼	<b>19</b>	<b>18</b>	<b>95%</b> ▲
<b>MAINE EMPLOYERS' MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA260 MAINE EMPLOYERS' MUTUAL INSURANCE	286	124	43%	261	235	90%
<b>Total</b>	<b>286</b>	<b>124</b>	<b>43%</b> ▼	<b>261</b>	<b>235</b>	<b>90%</b> ▲
<b>MAINE EMPLOYERS' MUTUAL INSURANCE Group Total</b>	<b>286</b>	<b>124</b>	<b>43%</b> ▼	<b>261</b>	<b>235</b>	<b>90%</b> ▲
<b>MAINE HEALTHCARE ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA234 MAINE HEALTHCARE ASSOCIATION	5	3	60%	No Filings	No Filings	No Filings
<b>Total</b>	<b>5</b>	<b>3</b>	<b>60%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>MAINE HEALTHCARE ASSOCIATION Group Total</b>	<b>5</b>	<b>3</b>	<b>60%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>MAINE MOTOR TRANSPORT ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA230 MAINE MOTOR TRANSPORT ASSOCIATION	6	5	83%	12	12	100%
<b>Total</b>	<b>6</b>	<b>5</b>	<b>83%</b> ▼	<b>12</b>	<b>12</b>	<b>100%</b> ▲
<b>MAINE MOTOR TRANSPORT ASSOCIATION Group Total</b>	<b>6</b>	<b>5</b>	<b>83%</b> ▼	<b>12</b>	<b>12</b>	<b>100%</b> ▲
<b>MAINE MUNICIPAL ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA225 MAINE MUNICIPAL ASSOCIATION	29	25	86%	92	90	98%
<b>Total</b>	<b>29</b>	<b>25</b>	<b>86%</b> ▲	<b>92</b>	<b>90</b>	<b>98%</b> ▲
<b>MAINE MUNICIPAL ASSOCIATION Group Total</b>	<b>29</b>	<b>25</b>	<b>86%</b> ▲	<b>92</b>	<b>90</b>	<b>98%</b> ▲
<b>MAINE SCHOOL MANAGEMENT ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA250 MAINE SCHOOL MANAGEMENT ASSOCIATION	15	15	100%	6	6	100%
<b>Total</b>	<b>15</b>	<b>15</b>	<b>100%</b> ▲	<b>6</b>	<b>6</b>	<b>100%</b> ▲
<b>MAINE SCHOOL MANAGEMENT ASSOCIATION Group Total</b>	<b>15</b>	<b>15</b>	<b>100%</b> ▲	<b>6</b>	<b>6</b>	<b>100%</b> ▲
<b>MATRIX ABSENCE MANAGEMENT</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA252 MATRIX ABSENCE MANAGEMENT	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>MATRIX ABSENCE MANAGEMENT Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>

Maine Workers' Compensation Board  
Initial MOP Filing Benchmark: 85%  
Initial Indemnity NOC Benchmark: 90%

▲ Indicates benchmark met or exceeded  
▼ Indicates benchmark not met

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Third Quarter  
7/1/2021 - 9/30/2021

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>MEADOWBROOK INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA255 MEADOWBROOK INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>MEADOWBROOK INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>MITSUI SUMITOMO INS CO OF AMERICA</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
MITSUI SUMITOMO INS CO OF AMERICA	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>MITSUI SUMITOMO INS CO OF AMERICA TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	*	*	*	*	*	*
<b>TPA Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>MITSUI SUMITOMO INS CO OF AMERICA Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>NEXT LEVEL ADMINISTRATORS LLC</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA433 NEXT LEVEL ADMINISTRATORS LLC	1	0	0%	2	1	50%
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>2</b>	<b>1</b>	<b>50%</b> ▼
<b>NEXT LEVEL ADMINISTRATORS LLC TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	2	0	0%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>2</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>NEXT LEVEL ADMINISTRATORS LLC Group Total</b>	<b>3</b>	<b>0</b>	<b>0%</b> ▼	<b>2</b>	<b>1</b>	<b>50%</b> ▼
<b>NGM INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA265 NGM INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>NGM INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>OLD REPUBLIC INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
OLD REPUBLIC GENERAL INSURANCE CORP.	*	*	*	*	*	*
OLD REPUBLIC INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>OLD REPUBLIC INSURANCE TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	2	1	50%	2	2	100%
CA116 CORVEL ENTERPRISE COMP	1	1	100%	No Filings	No Filings	No Filings
CA160 ESIS	No Filings	No Filings	No Filings	1	1	100%
CA190 GALLAGHER BASSETT SERVICES	8	7	88%	1	1	100%
CA204 HELMSMAN MANAGEMENT SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA252 MATRIX ABSENCE MANAGEMENT, INC.	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>13</b>	<b>11</b>	<b>85%</b> ▲	<b>4</b>	<b>4</b>	<b>100%</b> ▲
<b>OLD REPUBLIC INSURANCE Group Total</b>	<b>13</b>	<b>11</b>	<b>85%</b> ▲	<b>4</b>	<b>4</b>	<b>100%</b> ▲

Maine Workers' Compensation Board  
Initial MOP Filing Benchmark: 85%  
Initial Indemnity NOC Benchmark: 90%

▲ Indicates benchmark met or exceeded  
▼ Indicates benchmark not met

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Third Quarter  
7/1/2021 - 9/30/2021

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>PENNSYLVANIA MFG ASSN</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
MANUFACTURERS ALLIANCE INSURANCE	*	*	*	*	*	*
PENNSYLVANIA MFG ASSN	*	*	*	*	*	*
PENNSYLVANIA MFG INDEMNITY CO	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>PENNSYLVANIA MFG ASSN TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	2	2	100%	3	3	100%
<b>TPA Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>PENNSYLVANIA MFG ASSN Group Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>PROTECTIVE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA277 PROTECTIVE INSURANCE COMPANY	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>PROTECTIVE INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	No Filings	No Filings	No Filings	1	1	100%
<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>PROTECTIVE INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>QBE INSURANCE GROUP</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
QBE INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>QBE INSURANCE GROUP TPA Administered Claims</b>						
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	3	3	100%	3	3	100%
<b>TPA Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>QBE INSURANCE GROUP Group Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>SAFETY NATIONAL CASUALTY CORP</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
SAFETY NATIONAL CASUALTY CORP	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>SAFETY NATIONAL CASUALTY CORP TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	2	2	100%	No Filings	No Filings	No Filings
CA070 CANNON COCHRAN MANAGEMENT SERVICES	1	0	0%	1	0	0%
CA116 CORVEL ENTERPRISE COMP	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA160 ESIS	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	2	1	50%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	4	3	75%
<b>TPA Total</b>	<b>7</b>	<b>5</b>	<b>71%</b> ▼	<b>5</b>	<b>3</b>	<b>60%</b> ▼
<b>SAFETY NATIONAL CASUALTY CORP Group Total</b>	<b>7</b>	<b>5</b>	<b>71%</b> ▼	<b>5</b>	<b>3</b>	<b>60%</b> ▼

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Third Quarter  
7/1/2021 - 9/30/2021

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>SEDGWICK CLAIMS MANAGEMENT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	66	53	80%	56	53	95%
<b>Total</b>	<b>66</b>	<b>53</b>	<b>80%</b> ▼	<b>56</b>	<b>53</b>	<b>95%</b> ▲
<b>SEDGWICK CLAIMS MANAGEMENT SERVICES Group Total</b>	<b>66</b>	<b>53</b>	<b>80%</b> ▼	<b>56</b>	<b>53</b>	<b>95%</b> ▲
<b>SENTRY INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA207 FLORISTS MUTUAL INSURANCE	1	1	100%	No Filings	No Filings	No Filings
CA426 MIDDLESEX INSURANCE COMPANY	1	1	100%	No Filings	No Filings	No Filings
CA402 SENTRY CASUALTY	7	5	71%	2	2	100%
CA305 SENTRY INSURANCE	1	1	100%	1	1	100%
CA308 SENTRY SELECT INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>10</b>	<b>8</b>	<b>80%</b> ▼	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>SENTRY INSURANCE Group Total</b>	<b>10</b>	<b>8</b>	<b>80%</b> ▼	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>SERVICE AMERICAN INDEMNITY</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
SERVICE AMERICAN INDEMNITY	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>SERVICE AMERICAN INDEMNITY TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	3	3	100%	1	1	100%
<b>TPA Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>SERVICE AMERICAN INDEMNITY Group Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>SOMPO JAPAN INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
SOMPO JAPAN INSURANCE COMPANY OF AMERICA	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>SOMPO JAPAN INSURANCE TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>SOMPO JAPAN INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>STARR INDEMNITY INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
STARR INDEMNITY INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>STARR INDEMNITY INSURANCE TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	3	1	33%	1	1	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	2	2	100%
<b>TPA Total</b>	<b>5</b>	<b>3</b>	<b>60%</b> ▼	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>STARR INDEMNITY INSURANCE Group Total</b>	<b>5</b>	<b>3</b>	<b>60%</b> ▼	<b>3</b>	<b>3</b>	<b>100%</b> ▲

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Third Quarter  
7/1/2021 - 9/30/2021

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>STARSTONE NATIONAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
STARSTONE NATIONAL INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>STARSTONE NATIONAL INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA070 CANNON COCHRAN MANAGEMENT SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>STARSTONE NATIONAL INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA307 STATE OF MAINE WORKERS' COMPENSATION TRUST	25	20	80%	54	53	98%
<b>Total</b>	<b>25</b>	<b>20</b>	<b>80%</b> ▼	<b>54</b>	<b>53</b>	<b>98%</b> ▲
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST Group Total</b>	<b>25</b>	<b>20</b>	<b>80%</b> ▼	<b>54</b>	<b>53</b>	<b>98%</b> ▲
<b>SYNERNET</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA320 SYNERNET	56	49	88%	64	60	94%
<b>Total</b>	<b>56</b>	<b>49</b>	<b>88%</b> ▲	<b>64</b>	<b>60</b>	<b>94%</b> ▲
<b>SYNERNET Group Total</b>	<b>56</b>	<b>49</b>	<b>88%</b> ▲	<b>64</b>	<b>60</b>	<b>94%</b> ▲
<b>TOKIO MARINE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA414 TOKIO MARINE AMERICA INSURANCE	2	1	50%	No Filings	No Filings	No Filings
<b>Total</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>TOKIO MARINE INSURANCE Group Total</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>TRAVELERS INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA072 CHARTER OAK FIRE INSURANCE	13	6	46%	10	6	60%
CA164 FARMINGTON CASUALTY	3	0	0%	No Filings	No Filings	No Filings
CA284 PHOENIX INSURANCE	3	2	67%	No Filings	No Filings	No Filings
CA306 STANDARD FIRE INSURANCE	4	3	75%	1	0	0%
CA347 TRAVELERS CASUALTY & SURETY	3	1	33%	No Filings	No Filings	No Filings
CA348 TRAVELERS CASUALTY INSURANCE COMPANY OF AMERICA	4	3	75%	2	0	0%
CA349 TRAVELERS COMMERCIAL CASUALTY	1	0	0%	No Filings	No Filings	No Filings
CA346 TRAVELERS INDEMNITY COMPANY OF AMERICA	1	0	0%	No Filings	No Filings	No Filings
CA345 TRAVELERS PROPERTY CASUALTY INSURANCE	1	1	100%	1	0	0%
<b>Total</b>	<b>33</b>	<b>16</b>	<b>48%</b> ▼	<b>14</b>	<b>6</b>	<b>43%</b> ▼
<b>TRAVELERS INSURANCE TPA Administered Claims</b>						
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	2	0	0%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>2</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>TRAVELERS INSURANCE Group Total</b>	<b>35</b>	<b>16</b>	<b>46%</b> ▼	<b>14</b>	<b>6</b>	<b>43%</b> ▼

Maine Workers' Compensation Board  
Initial MOP Filing Benchmark: 85%  
Initial Indemnity NOC Benchmark: 90%

▲ Indicates benchmark met or exceeded  
▼ Indicates benchmark not met

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Third Quarter  
7/1/2021 - 9/30/2021

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>VANLINER INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA379 VANLINER INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>VANLINER INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>WALMART CLAIMS SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA100 WALMART CLAIMS SERVICES	11	10	91%	26	25	96%
<b>Total</b>	<b>11</b>	<b>10</b>	<b>91%</b> ▲	<b>26</b>	<b>25</b>	<b>96%</b> ▲
<b>WALMART CLAIMS SERVICES Group Total</b>	<b>11</b>	<b>10</b>	<b>91%</b> ▲	<b>26</b>	<b>25</b>	<b>96%</b> ▲
<b>XL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA317 T.H.E. INSURANCE	*	*	*	*	*	*
CA384 XL INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>XL INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	No Filings	No Filings	No Filings	2	2	100%
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	3	1	33%	2	2	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	1	1	100%
<b>TPA Total</b>	<b>4</b>	<b>2</b>	<b>50%</b> ▼	<b>5</b>	<b>5</b>	<b>100%</b> ▲
<b>XL INSURANCE Group Total</b>	<b>4</b>	<b>2</b>	<b>50%</b> ▼	<b>5</b>	<b>5</b>	<b>100%</b> ▲
<b>YORK RISK SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA340 YORK RISK SERVICES	No Filings	No Filings	No Filings	1	1	100%
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>YORK RISK SERVICES Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲



**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Third Quarter  
7/1/2021 - 9/30/2021

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>ZURICH INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA021 AMERICAN GUARANTEE & LIABILITY	No Filings	No Filings	No Filings	1	1	100%
CA022 AMERICAN ZURICH	5	5	100%	6	6	100%
CA400 ZURICH AMERICAN INSURANCE	1	1	100%	1	1	100%
CA404 ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	1	0	0%	No Filings	No Filings	No Filings
<b>Total</b>	<b>7</b>	<b>6</b>	<b>86%</b> ▲	<b>8</b>	<b>8</b>	<b>100%</b> ▲
<b>ZURICH INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	No Filings	No Filings	No Filings	1	1	100%
CA080 CHESTERFIELD SERVICES	*	*	*	*	*	*
CA116 CORVEL ENTERPRISE COMP	7	5	71%	1	1	100%
CA160 ESIS	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	3	3	100%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	1	1	100%
<b>TPA Total</b>	<b>11</b>	<b>9</b>	<b>82%</b> ▼	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>ZURICH INSURANCE Group Total</b>	<b>18</b>	<b>15</b>	<b>83%</b> ▼	<b>11</b>	<b>11</b>	<b>100%</b> ▲

## **Appendix C**

### **Insurance Group Compliance Wage Statement and Fringe Benefit Form Filings Third Quarter 7/1/2021 - 9/30/2021**

**INSURANCE GROUP COMPLIANCE**  
 Wage Statements and Fringe Benefit Forms  
 Third Quarter  
 7/1/2021 - 9/30/2021

Insurance Company	Total Wage Statement(s) Due	Wage Statement(s) Filed Timely	Compliance Percentage	Total Fringe Benefit Form(s) Due	Fringe Benefit Form(s) Filed Timely	Compliance Percentage
<b>ACADIA INSURANCE</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA010 ACADIA INSURANCE	36	31	86%	36	31	86%
<b>Total</b>	<b>36</b>	<b>31</b>	<b>86%</b> ▲	<b>36</b>	<b>31</b>	<b>86%</b> ▲
<b>ACADIA INSURANCE Group Total</b>	<b>36</b>	<b>31</b>	<b>86%</b> ▲	<b>36</b>	<b>31</b>	<b>86%</b> ▲
<b>ACCIDENT FUND INSURANCE</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
ACCIDENT FUND INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ACCIDENT FUND INSURANCE TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	2	0	0%	2	0	0%
CA190 GALLAGHER BASSETT SERVICES	1	0	0%	1	1	100%
<b>TPA Total</b>	<b>3</b>	<b>0</b>	<b>0%</b> ▼	<b>3</b>	<b>1</b>	<b>33%</b> ▼
<b>ACCIDENT FUND INSURANCE Group Total</b>	<b>3</b>	<b>0</b>	<b>0%</b> ▼	<b>3</b>	<b>1</b>	<b>33%</b> ▼
<b>AIG INSURANCE</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA015 AIG CLAIMS, INC	1	1	100%	1	1	100%
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>AIG INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	1	1	100%
CA116 CORVEL ENTERPRISE COMP	1	0	0%	1	0	0%
CA160 ESIS	11	2	18%	11	0	0%
CA190 GALLAGHER BASSETT SERVICES	21	15	71%	21	17	81%
CA204 HELMSMAN MANAGEMENT SERVICES	2	1	50%	2	0	0%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	34	28	82%	34	27	79%
<b>TPA Total</b>	<b>70</b>	<b>47</b>	<b>67%</b> ▼	<b>70</b>	<b>45</b>	<b>64%</b> ▼
<b>AIG INSURANCE Group Total</b>	<b>71</b>	<b>48</b>	<b>68%</b> ▼	<b>71</b>	<b>46</b>	<b>65%</b> ▼
<b>AIM MUTUAL GROUP</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA472 AIM MUTUAL INSURANCE	4	1	25%	4	1	25%
<b>Total</b>	<b>4</b>	<b>1</b>	<b>25%</b> ▼	<b>4</b>	<b>1</b>	<b>25%</b> ▼
<b>AIM MUTUAL GROUP Group Total</b>	<b>4</b>	<b>1</b>	<b>25%</b> ▼	<b>4</b>	<b>1</b>	<b>25%</b> ▼
<b>AMERICAN FINANCIAL GROUP</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA193 GREAT AMERICAN INSURANCE	1	1	100%	1	0	0%
CA267 NATIONAL INTERSTATE INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>AMERICAN FINANCIAL GROUP Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>0</b>	<b>0%</b> ▼

**INSURANCE GROUP COMPLIANCE**  
Wage Statements and Fringe Benefit Forms  
Third Quarter  
7/1/2021 - 9/30/2021

Insurance Company	Total Wage Statement(s) Due	Wage Statement(s) Filed Timely	Compliance Percentage	Total Fringe Benefit Form(s) Due	Fringe Benefit Form(s) Filed Timely	Compliance Percentage
<b>AMTRUST INSURANCE</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA437 SECURITY NATIONAL INSURANCE	1	0	0%	1	0	0%
CA342 TECHNOLOGY INSURANCE	*	*	*	*	*	*
CA381 WESCO INSURANCE	5	0	0%	5	0	0%
<b>Total</b>	<b>6</b>	<b>0</b>	<b>0%</b> ▼	<b>6</b>	<b>0</b>	<b>0%</b> ▼
<b>AMTRUST INSURANCE Group Total</b>	<b>6</b>	<b>0</b>	<b>0%</b> ▼	<b>6</b>	<b>0</b>	<b>0%</b> ▼
<b>ARCH INSURANCE</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
ARCH INSURANCE	*	*	*	*	*	*
CA072 CHARTER OAK FIRE INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ARCH INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	1	1	100%
CA116 CORVEL ENTERPRISE COMP	2	2	100%	2	2	100%
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	3	3	100%	3	3	100%
CA190 GALLAGHER BASSETT SERVICES	4	3	75%	4	3	75%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	2	2	100%
<b>TPA Total</b>	<b>12</b>	<b>11</b>	<b>92%</b> ▲	<b>12</b>	<b>11</b>	<b>92%</b> ▲
<b>ARCH INSURANCE Group Total</b>	<b>12</b>	<b>11</b>	<b>92%</b> ▲	<b>12</b>	<b>11</b>	<b>92%</b> ▲
<b>BATH IRON WORKS</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA036 BATH IRON WORKS	18	16	89%	18	16	89%
<b>Total</b>	<b>18</b>	<b>16</b>	<b>89%</b> ▲	<b>18</b>	<b>16</b>	<b>89%</b> ▲
<b>BATH IRON WORKS Group Total</b>	<b>18</b>	<b>16</b>	<b>89%</b> ▲	<b>18</b>	<b>16</b>	<b>89%</b> ▲
<b>BENCHMARK INSURANCE</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA034 BENCHMARK INSURANCE	1	0	0%	1	0	0%
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>BENCHMARK INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>BERKSHIRE HATHAWAY INSURANCE</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA114 BERKSHIRE HATHAWAY HOMESTATE INSURANCE	1	0	0%	1	0	0%
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>BERKSHIRE HATHAWAY INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>BROADSPIRE SERVICES</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA040 BROADSPIRE SERVICES	24	22	92%	24	21	88%
<b>Total</b>	<b>24</b>	<b>22</b>	<b>92%</b> ▲	<b>24</b>	<b>21</b>	<b>88%</b> ▲
<b>BROADSPIRE SERVICES Group Total</b>	<b>24</b>	<b>22</b>	<b>92%</b> ▲	<b>24</b>	<b>21</b>	<b>88%</b> ▲

**INSURANCE GROUP COMPLIANCE**  
 Wage Statements and Fringe Benefit Forms  
 Third Quarter  
 7/1/2021 - 9/30/2021

Insurance Company	Total Wage Statement(s) Due	Wage Statement(s) Filed Timely	Compliance Percentage	Total Fringe Benefit Form(s) Due	Fringe Benefit Form(s) Filed Timely	Compliance Percentage
<b>CANNON COCHRAN MANAGEMENT SERVICES</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA070 CANNON COCHRAN MANAGEMENT SERVICES	37	21	57%	37	21	57%
<b>Total</b>	<b>37</b>	<b>21</b>	<b>57%</b> ▼	<b>37</b>	<b>21</b>	<b>57%</b> ▼
<b>CANNON COCHRAN MANAGEMENT SERVICES Group Total</b>						
	<b>37</b>	<b>21</b>	<b>57%</b> ▼	<b>37</b>	<b>21</b>	<b>57%</b> ▼
<b>CAROLINA CASULTY INSURANCE</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CAROLINA CASUALTY INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>CAROLINA CASULTY INSURANCE TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	1	1	100%	1	1	100%
<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>CAROLINA CASULTY INSURANCE Group Total</b>						
	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>CHESTERFIELD SERVICES</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA080 CHESTERFIELD SERVICES	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>CHESTERFIELD SERVICES Group Total</b>						
	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>CHUBB INSURANCE</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
ACE AMERICAN INSURANCE COMPANY	*	*	*	*	*	*
ACE INSURANCE	*	*	*	*	*	*
CA046 CHUBB INSURANCE	*	*	*	*	*	*
CA090 FEDERAL INSURANCE	*	*	*	*	*	*
INDEMNITY INS CO OF NORTH AMERICA	*	*	*	*	*	*
CA268 NORTH AMERICAN RISK SERVICES	*	*	*	*	*	*
PACIFIC INDEMNITY CO	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>CHUBB INSURANCE TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	3	0	0%	3	0	0%
CA110 CONSTITUTION STATE SERVICES	5	4	80%	5	4	80%
CA116 CORVEL ENTERPRISE COMP	6	5	83%	6	5	83%
CA160 ESIS	7	4	57%	7	4	57%
CA190 GALLAGHER BASSETT SERVICES	11	11	100%	11	11	100%
CA204 HELMSMAN MANAGEMENT SERVICES	1	0	0%	1	0	0%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	36	34	94%	36	34	94%
CA340 YORK RISK SERVICES	1	1	100%	1	0	0%
<b>TPA Total</b>	<b>70</b>	<b>59</b>	<b>84%</b> ▲	<b>70</b>	<b>58</b>	<b>83%</b> ▲
<b>CHUBB INSURANCE Group Total</b>						
	<b>70</b>	<b>59</b>	<b>84%</b> ▲	<b>70</b>	<b>58</b>	<b>83%</b> ▲

**INSURANCE GROUP COMPLIANCE**  
Wage Statements and Fringe Benefit Forms  
Third Quarter  
7/1/2021 - 9/30/2021

Insurance Company	Total Wage Statement(s) Due	Wage Statement(s) Filed Timely	Compliance Percentage	Total Fringe Benefit Form(s) Due	Fringe Benefit Form(s) Filed Timely	Compliance Percentage
<b>CIANBRO CORPORATION</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA085 CIANBRO CORPORATION	1	0	0%	1	0	0%
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>CIANBRO CORPORATION Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>CINCINNATI INSURANCE</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA438 CINCINNATI INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>CINCINNATI INSURANCE Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>CNA INSURANCE</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA017 AMERICAN CASUALTY COMPANY OF READING PA	1	1	100%	1	1	100%
CA271 NATIONAL FIRE INSURANCE	1	1	100%	1	1	100%
CA087 THE CONTINENTAL INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>CNA INSURANCE TPA Administered Claims</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA040 BROADSPIRE SERVICES	1	1	100%	1	1	100%
<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>CNA INSURANCE Group Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>CONSTITUTION STATE SERVICES</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA110 CONSTITUTION STATE SERVICES	7	4	57%	7	4	57%
<b>Total</b>	<b>7</b>	<b>4</b>	<b>57%</b> ▼	<b>7</b>	<b>4</b>	<b>57%</b> ▼
<b>CONSTITUTION STATE SERVICES Group Total</b>	<b>7</b>	<b>4</b>	<b>57%</b> ▼	<b>7</b>	<b>4</b>	<b>57%</b> ▼
<b>CONTINENTAL INDEMNITY</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA115 CONTINENTAL INDEMNITY	2	0	0%	2	0	0%
<b>Total</b>	<b>2</b>	<b>0</b>	<b>0%</b> ▼	<b>2</b>	<b>0</b>	<b>0%</b> ▼
<b>CONTINENTAL INDEMNITY Group Total</b>	<b>2</b>	<b>0</b>	<b>0%</b> ▼	<b>2</b>	<b>0</b>	<b>0%</b> ▼
<b>CORVEL ENTERPRISE COMP</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA116 CORVEL ENTERPRISE COMP	24	19	79%	24	19	79%
<b>Total</b>	<b>24</b>	<b>19</b>	<b>79%</b> ▲	<b>24</b>	<b>19</b>	<b>79%</b> ▲
<b>CORVEL ENTERPRISE COMP Group Total</b>	<b>24</b>	<b>19</b>	<b>79%</b> ▲	<b>24</b>	<b>19</b>	<b>79%</b> ▲
<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	3	3	100%	3	3	100%
<b>Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES Group Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>3</b>	<b>3</b>	<b>100%</b> ▲

**INSURANCE GROUP COMPLIANCE**  
Wage Statements and Fringe Benefit Forms  
Third Quarter  
7/1/2021 - 9/30/2021

Insurance Company	Total Wage Statement(s) Due	Wage Statement(s) Filed Timely	Compliance Percentage	Total Fringe Benefit Form(s) Due	Fringe Benefit Form(s) Filed Timely	Compliance Percentage
<b>CROSS INSURANCE</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA093 CROSS INSURANCE	264	210	80%	264	214	81%
<b>Total</b>	<b>264</b>	<b>210</b>	<b>80%</b> ▲	<b>264</b>	<b>214</b>	<b>81%</b> ▲
<b>CROSS INSURANCE Group Total</b>	<b>264</b>	<b>210</b>	<b>80%</b> ▲	<b>264</b>	<b>214</b>	<b>81%</b> ▲
<b>EASTERN ALLIANCE INSURANCE</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA141 EASTERN ALLIANCE INSURANCE	39	24	62%	39	27	69%
<b>Total</b>	<b>39</b>	<b>24</b>	<b>62%</b> ▼	<b>39</b>	<b>27</b>	<b>69%</b> ▼
<b>EASTERN ALLIANCE INSURANCE Group Total</b>	<b>39</b>	<b>24</b>	<b>62%</b> ▼	<b>39</b>	<b>27</b>	<b>69%</b> ▼
<b>ELECTRIC INSURANCE</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA150 ELECTRIC INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ELECTRIC INSURANCE TPA Administered Claims</b>						
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	2	2	100%
<b>TPA Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>ELECTRIC INSURANCE Group Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>EMPLOYERS HOLDING INSURANCE</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA480 EMPLOYERS ASSURANCE COMPANY	*	*	*	*	*	*
CA481 EMPLOYERS COMPENSATION INSURANCE	*	*	*	*	*	*
CA479 EMPLOYERS PREFERRED INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>EMPLOYERS HOLDING INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	6	5	83%	6	5	83%
<b>TPA Total</b>	<b>6</b>	<b>5</b>	<b>83%</b> ▲	<b>6</b>	<b>5</b>	<b>83%</b> ▲
<b>EMPLOYERS HOLDING INSURANCE Group Total</b>	<b>6</b>	<b>5</b>	<b>83%</b> ▲	<b>6</b>	<b>5</b>	<b>83%</b> ▲
<b>ESIS</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA160 ESIS	22	6	27%	22	4	18%
<b>Total</b>	<b>22</b>	<b>6</b>	<b>27%</b> ▼	<b>22</b>	<b>4</b>	<b>18%</b> ▼
<b>ESIS Group Total</b>	<b>22</b>	<b>6</b>	<b>27%</b> ▼	<b>22</b>	<b>4</b>	<b>18%</b> ▼

**INSURANCE GROUP COMPLIANCE**  
Wage Statements and Fringe Benefit Forms  
Third Quarter  
7/1/2021 - 9/30/2021

Insurance Company	Total Wage Statement(s) Due	Wage Statement(s) Filed Timely	Compliance Percentage	Total Fringe Benefit Form(s) Due	Fringe Benefit Form(s) Filed Timely	Compliance Percentage
<b>EVEREST REINS HOLDINGS GROUP</b>	Wage(s) Due	Timely Wage(s)	Compliance	Fringe(s) Due	Timely Fringe(s)	Compliance
EVEREST REINS HOLDINGS	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>EVEREST REINS HOLDINGS GROUP TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	1	1	100%	1	1	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	3	2	67%	3	3	100%
<b>TPA Total</b>	<b>4</b>	<b>3</b>	<b>75%</b> ▲	<b>4</b>	<b>4</b>	<b>100%</b> ▲
<b>EVEREST REINS HOLDINGS GROUP Group Total</b>	<b>4</b>	<b>3</b>	<b>75%</b> ▲	<b>4</b>	<b>4</b>	<b>100%</b> ▲
<b>FAIRFAX FINANCIAL GROUP</b>	Wage(s) Due	Timely Wage(s)	Compliance	Fringe(s) Due	Timely Fringe(s)	Compliance
CA257 NORTH RIVER INSURANCE	*	*	*	*	*	*
CA375 UNITED STATES FIRE INSURANCE	1	1	100%	1	0	0%
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>FAIRFAX FINANCIAL GROUP Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>FEDERATED MUTUAL INSURANCE</b>	Wage(s) Due	Timely Wage(s)	Compliance	Fringe(s) Due	Timely Fringe(s)	Compliance
CA091 FEDERATED MUTUAL INSURANCE	4	1	25%	4	1	25%
CA439 FEDERATED RESERVE	*	*	*	*	*	*
CA092 FEDERATED SERVICE INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>4</b>	<b>1</b>	<b>25%</b> ▼	<b>4</b>	<b>1</b>	<b>25%</b> ▼
<b>FEDERATED MUTUAL INSURANCE Group Total</b>	<b>4</b>	<b>1</b>	<b>25%</b> ▼	<b>4</b>	<b>1</b>	<b>25%</b> ▼
<b>FRANKENMUTH INSURANCE</b>	Wage(s) Due	Timely Wage(s)	Compliance	Fringe(s) Due	Timely Fringe(s)	Compliance
CA095 FRANKENMUTH INSURANCE	1	1	100%	1	0	0%
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>FRANKENMUTH INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>FUTURECOMP</b>	Wage(s) Due	Timely Wage(s)	Compliance	Fringe(s) Due	Timely Fringe(s)	Compliance
CA175 FUTURECOMP	28	24	86%	28	24	86%
<b>Total</b>	<b>28</b>	<b>24</b>	<b>86%</b> ▲	<b>28</b>	<b>24</b>	<b>86%</b> ▲
<b>FUTURECOMP Group Total</b>	<b>28</b>	<b>24</b>	<b>86%</b> ▲	<b>28</b>	<b>24</b>	<b>86%</b> ▲
<b>GALLAGHER BASSETT SERVICES</b>	Wage(s) Due	Timely Wage(s)	Compliance	Fringe(s) Due	Timely Fringe(s)	Compliance
CA190 GALLAGHER BASSETT SERVICES	93	70	75%	93	74	80%
<b>Total</b>	<b>93</b>	<b>70</b>	<b>75%</b> ▲	<b>93</b>	<b>74</b>	<b>80%</b> ▲
<b>GALLAGHER BASSETT SERVICES Group Total</b>	<b>93</b>	<b>70</b>	<b>75%</b> ▲	<b>93</b>	<b>74</b>	<b>80%</b> ▲
<b>GREAT WEST INSURANCE</b>	Wage(s) Due	Timely Wage(s)	Compliance	Fringe(s) Due	Timely Fringe(s)	Compliance
CA196 GREAT WEST INSURANCE	2	2	100%	2	2	100%
<b>Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>GREAT WEST INSURANCE Group Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>2</b>	<b>2</b>	<b>100%</b> ▲



**INSURANCE GROUP COMPLIANCE**  
 Wage Statements and Fringe Benefit Forms  
 Third Quarter  
 7/1/2021 - 9/30/2021

Insurance Company	Total Wage Statement(s) Due	Wage Statement(s) Filed Timely	Compliance Percentage	Total Fringe Benefit Form(s) Due	Fringe Benefit Form(s) Filed Timely	Compliance Percentage
<b>GUARD INSURANCE</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA019 AMGUARD INSURANCE	4	0	0%	4	0	0%
CA140 EASTGUARD INSURANCE	*	*	*	*	*	*
CA272 NORGUARD INSURANCE	2	0	0%	2	0	0%
<b>Total</b>	<b>6</b>	<b>0</b>	<b>0%</b> ▼	<b>6</b>	<b>0</b>	<b>0%</b> ▼
<b>GUARD INSURANCE Group Total</b>	<b>6</b>	<b>0</b>	<b>0%</b> ▼	<b>6</b>	<b>0</b>	<b>0%</b> ▼
<b>HANNAFORD BROTHERS</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA201 HANNAFORD BROTHERS	41	23	56%	41	16	39%
<b>Total</b>	<b>41</b>	<b>23</b>	<b>56%</b> ▼	<b>41</b>	<b>16</b>	<b>39%</b> ▼
<b>HANNAFORD BROTHERS Group Total</b>	<b>41</b>	<b>23</b>	<b>56%</b> ▼	<b>41</b>	<b>16</b>	<b>39%</b> ▼
<b>HANOVER INSURANCE</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA428 ALLMERICA FINANCIAL BENEFIT INSURANCE	2	2	100%	2	2	100%
CA048 CITIZENS INSURANCE COMPANY OF AMERICA	1	1	100%	1	1	100%
CA429 HANOVER AMERICAN INSURANCE	*	*	*	*	*	*
CA202 HANOVER INSURANCE	3	2	67%	3	2	67%
CA228 MASSACHUSETTS BAY INSURANCE	1	1	100%	1	1	100%
<b>Total</b>	<b>7</b>	<b>6</b>	<b>86%</b> ▲	<b>7</b>	<b>6</b>	<b>86%</b> ▲
<b>HANOVER INSURANCE Group Total</b>	<b>7</b>	<b>6</b>	<b>86%</b> ▲	<b>7</b>	<b>6</b>	<b>86%</b> ▲
<b>HARTFORD INSURANCE</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA188 HARTFORD ACCIDENT & INDEMNITY	*	*	*	*	*	*
CA185 HARTFORD CASUALTY INSURANCE	3	1	33%	3	1	33%
CA203 HARTFORD FIRE INSURANCE	4	0	0%	4	0	0%
CA186 HARTFORD INSURANCE COMPANY OF THE MIDWEST	1	1	100%	1	1	100%
CA187 HARTFORD UNDERWRITERS INSURANCE	2	2	100%	2	2	100%
CA288 PROPERTY & CASUALTY INSURANCE COMPANY OF HARTFORD	5	3	60%	5	4	80%
CA296 SENTINEL INSURANCE	*	*	*	*	*	*
CA319 TRUMBULL INSURANCE	2	1	50%	2	1	50%
CA321 TWIN CITY FIRE INSURANCE	2	2	100%	2	2	100%
<b>Total</b>	<b>19</b>	<b>10</b>	<b>53%</b> ▼	<b>19</b>	<b>11</b>	<b>58%</b> ▼
<b>HARTFORD INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	1	1	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	1	1	100%
<b>TPA Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>HARTFORD INSURANCE Group Total</b>	<b>21</b>	<b>12</b>	<b>57%</b> ▼	<b>21</b>	<b>13</b>	<b>62%</b> ▼

**INSURANCE GROUP COMPLIANCE**  
Wage Statements and Fringe Benefit Forms  
Third Quarter  
7/1/2021 - 9/30/2021

Insurance Company	Total Wage Statement(s) Due	Wage Statement(s) Filed Timely	Compliance Percentage	Total Fringe Benefit Form(s) Due	Fringe Benefit Form(s) Filed Timely	Compliance Percentage
<b>HELMSMAN MANAGEMENT SERVICES</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA204 HELMSMAN MANAGEMENT SERVICES	20	11	55%	20	9	45%
<b>Total</b>	<b>20</b>	<b>11</b>	<b>55%</b> ▼	<b>20</b>	<b>9</b>	<b>45%</b> ▼
<b>HELMSMAN MANAGEMENT SERVICES Group Total</b>	<b>20</b>	<b>11</b>	<b>55%</b> ▼	<b>20</b>	<b>9</b>	<b>45%</b> ▼
<b>LIBERTY MUTUAL INSURANCE</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA003 AMERICAN FIRE & CASUALTY INSURANCE	2	2	100%	2	2	100%
CA380 EMPLOYERS INSURANCE OF WAUSAU	3	2	67%	3	2	67%
CA210 LIBERTY MUTUAL INSURANCE	37	21	57%	37	22	59%
CA406 OHIO CASUALTY INSURANCE	4	3	75%	4	3	75%
CA407 OHIO SECURITY INSURANCE	5	5	100%	5	5	100%
CA408 WEST AMERICAN INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>51</b>	<b>33</b>	<b>65%</b> ▼	<b>51</b>	<b>34</b>	<b>67%</b> ▼
<b>LIBERTY MUTUAL INSURANCE Group Total</b>	<b>51</b>	<b>33</b>	<b>65%</b> ▼	<b>51</b>	<b>34</b>	<b>67%</b> ▼
<b>MAINE EMPLOYERS' MUTUAL INSURANCE</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA260 MAINE EMPLOYERS' MUTUAL INSURANCE	699	311	44%	699	309	44%
<b>Total</b>	<b>699</b>	<b>311</b>	<b>44%</b> ▼	<b>699</b>	<b>309</b>	<b>44%</b> ▼
<b>MAINE EMPLOYERS' MUTUAL INSURANCE Group Total</b>	<b>699</b>	<b>311</b>	<b>44%</b> ▼	<b>699</b>	<b>309</b>	<b>44%</b> ▼
<b>MAINE HEALTHCARE ASSOCIATION</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA234 MAINE HEALTHCARE ASSOCIATION	3	3	100%	3	3	100%
<b>Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>MAINE HEALTHCARE ASSOCIATION Group Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>MAINE MOTOR TRANSPORT ASSOCIATION</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA230 MAINE MOTOR TRANSPORT ASSOCIATION	29	24	83%	29	24	83%
<b>Total</b>	<b>29</b>	<b>24</b>	<b>83%</b> ▲	<b>29</b>	<b>24</b>	<b>83%</b> ▲
<b>MAINE MOTOR TRANSPORT ASSOCIATION Group Total</b>	<b>29</b>	<b>24</b>	<b>83%</b> ▲	<b>29</b>	<b>24</b>	<b>83%</b> ▲
<b>MAINE MUNICIPAL ASSOCIATION</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA225 MAINE MUNICIPAL ASSOCIATION	121	106	88%	121	106	88%
<b>Total</b>	<b>121</b>	<b>106</b>	<b>88%</b> ▲	<b>121</b>	<b>106</b>	<b>88%</b> ▲
<b>MAINE MUNICIPAL ASSOCIATION Group Total</b>	<b>121</b>	<b>106</b>	<b>88%</b> ▲	<b>121</b>	<b>106</b>	<b>88%</b> ▲
<b>MAINE SCHOOL MANAGEMENT ASSOCIATION</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA250 MAINE SCHOOL MANAGEMENT ASSOCIATION	16	13	81%	16	13	81%
<b>Total</b>	<b>16</b>	<b>13</b>	<b>81%</b> ▲	<b>16</b>	<b>13</b>	<b>81%</b> ▲
<b>MAINE SCHOOL MANAGEMENT ASSOCIATION Group Total</b>	<b>16</b>	<b>13</b>	<b>81%</b> ▲	<b>16</b>	<b>13</b>	<b>81%</b> ▲

**INSURANCE GROUP COMPLIANCE**  
Wage Statements and Fringe Benefit Forms  
Third Quarter  
7/1/2021 - 9/30/2021

Insurance Company	Total Wage Statement(s) Due	Wage Statement(s) Filed Timely	Compliance Percentage	Total Fringe Benefit Form(s) Due	Fringe Benefit Form(s) Filed Timely	Compliance Percentage
<b>MARKEL CORP GROUP</b>	Wage(s) Due	Timely Wage(s)	Compliance	Fringe(s) Due	Timely Fringe(s)	Compliance
STATE NATIONAL INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>MARKEL CORP GROUP TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	3	0	0%	3	0	0%
<b>TPA Total</b>	<b>3</b>	<b>0</b>	<b>0%</b> ▼	<b>3</b>	<b>0</b>	<b>0%</b> ▼
<b>MARKEL CORP GROUP Group Total</b>	<b>3</b>	<b>0</b>	<b>0%</b> ▼	<b>3</b>	<b>0</b>	<b>0%</b> ▼
<b>MATRIX ABSENCE MANAGEMENT</b>	Wage(s) Due	Timely Wage(s)	Compliance	Fringe(s) Due	Timely Fringe(s)	Compliance
CA252 MATRIX ABSENCE MANAGEMENT	2	0	0%	2	0	0%
<b>Total</b>	<b>2</b>	<b>0</b>	<b>0%</b> ▼	<b>2</b>	<b>0</b>	<b>0%</b> ▼
<b>MATRIX ABSENCE MANAGEMENT Group Total</b>	<b>2</b>	<b>0</b>	<b>0%</b> ▼	<b>2</b>	<b>0</b>	<b>0%</b> ▼
<b>NEXT LEVEL ADMINISTRATOR LLC</b>	Wage(s) Due	Timely Wage(s)	Compliance	Fringe(s) Due	Timely Fringe(s)	Compliance
CA433 NEXT LEVEL ADMINISTRATOR LLC	2	0	0%	2	0	0%
<b>Total</b>	<b>2</b>	<b>0</b>	<b>0%</b> ▼	<b>2</b>	<b>0</b>	<b>0%</b> ▼
<b>NEXT LEVEL ADMINISTRATOR LLC Group Total</b>	<b>2</b>	<b>0</b>	<b>0%</b> ▼	<b>2</b>	<b>0</b>	<b>0%</b> ▼
<b>NGM INSURANCE</b>	Wage(s) Due	Timely Wage(s)	Compliance	Fringe(s) Due	Timely Fringe(s)	Compliance
CA265 NGM INSURANCE	1	0	0%	1	0	0%
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>NGM INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>OLD REPUBLIC INSURANCE</b>	Wage(s) Due	Timely Wage(s)	Compliance	Fringe(s) Due	Timely Fringe(s)	Compliance
OLD REPUBLIC GENERAL INSURANCE CORP.	*	*	*	*	*	*
OLD REPUBLIC INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>OLD REPUBLIC INSURANCE TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	6	5	83%	6	5	83%
CA160 ESIS	1	0	0%	1	0	0%
CA190 GALLAGHER BASSETT SERVICES	7	6	86%	7	5	71%
CA204 HELMSMAN MANAGEMENT SERVICES	1	0	0%	1	0	0%
CA252 MATRIX ABSENCE MANAGEMENT, INC.	2	0	0%	2	0	0%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	3	3	100%	3	2	67%
<b>TPA Total</b>	<b>20</b>	<b>14</b>	<b>70%</b> ▼	<b>20</b>	<b>12</b>	<b>60%</b> ▼
<b>OLD REPUBLIC INSURANCE Group Total</b>	<b>20</b>	<b>14</b>	<b>70%</b> ▼	<b>20</b>	<b>12</b>	<b>60%</b> ▼

**INSURANCE GROUP COMPLIANCE**  
Wage Statements and Fringe Benefit Forms  
Third Quarter  
7/1/2021 - 9/30/2021

Insurance Company	Total Wage Statement(s) Due	Wage Statement(s) Filed Timely	Compliance Percentage	Total Fringe Benefit Form(s) Due	Fringe Benefit Form(s) Filed Timely	Compliance Percentage
<b>PENNSYLVANIA MFG ASSN</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
MANUFACTURERS ALLIANCE INSURANCE	*	*	*	*	*	*
PENNSYLVANIA MFG ASSN	*	*	*	*	*	*
PENNSYLVANIA MFG INDEMNITY CO	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>PENNSYLVANIA MFG ASSN TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	8	4	50%	8	6	75%
<b>TPA Total</b>	<b>8</b>	<b>4</b>	<b>50%</b> ▼	<b>8</b>	<b>6</b>	<b>75%</b> ▼
<b>PENNSYLVANIA MFG ASSN Group Total</b>	<b>8</b>	<b>4</b>	<b>50%</b> ▼	<b>8</b>	<b>6</b>	<b>75%</b> ▼
<b>PROTECTIVE INSURANCE</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA277 PROTECTIVE INSURANCE COMPANY	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>PROTECTIVE INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	2	2	100%	2	2	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	1	1	100%
<b>TPA Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>PROTECTIVE INSURANCE Group Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>QBE INSURANCE GROUP</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
QBE INSURANCE CORP	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>QBE INSURANCE GROUP TPA Administered Claims</b>						
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	5	5	100%	5	5	100%
<b>TPA Total</b>	<b>5</b>	<b>5</b>	<b>100%</b> ▲	<b>5</b>	<b>5</b>	<b>100%</b> ▲
<b>QBE INSURANCE GROUP Group Total</b>	<b>5</b>	<b>5</b>	<b>100%</b> ▲	<b>5</b>	<b>5</b>	<b>100%</b> ▲
<b>SAFETY NATIONAL CASUALTY CORP</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
SAFETY NATIONAL CASUALTY CORP	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>SAFETY NATIONAL CASUALTY CORP TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	1	0	0%
CA070 CANNON COCHRAN MANAGEMENT SERVICES	3	0	0%	3	0	0%
CA110 CONSTITUTION STATE SERVICES	1	0	0%	1	0	0%
CA160 ESIS	2	0	0%	2	0	0%
CA190 GALLAGHER BASSETT SERVICES	4	4	100%	4	3	75%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	8	7	88%	8	7	88%
CA340 YORK RISK SERVICES	*	*	*	*	*	*
<b>TPA Total</b>	<b>19</b>	<b>12</b>	<b>63%</b> ▼	<b>19</b>	<b>10</b>	<b>53%</b> ▼
<b>SAFETY NATIONAL CASUALTY CORP Group Total</b>	<b>19</b>	<b>12</b>	<b>63%</b> ▼	<b>19</b>	<b>10</b>	<b>53%</b> ▼

**INSURANCE GROUP COMPLIANCE**  
Wage Statements and Fringe Benefit Forms  
Third Quarter  
7/1/2021 - 9/30/2021

Insurance Company	Total Wage Statement(s) Due	Wage Statement(s) Filed Timely	Compliance Percentage	Total Fringe Benefit Form(s) Due	Fringe Benefit Form(s) Filed Timely	Compliance Percentage
<b>SEDGWICK CLAIMS MANAGEMENT SERVICES</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	136	125	92%	136	121	89%
<b>Total</b>	<b>136</b>	<b>125</b>	<b>92%</b> ▲	<b>136</b>	<b>121</b>	<b>89%</b> ▲
<b>SEDGWICK CLAIMS MANAGEMENT SERVICES Group Total</b>	<b>136</b>	<b>125</b>	<b>92%</b> ▲	<b>136</b>	<b>121</b>	<b>89%</b> ▲
<b>SENTRY INSURANCE</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA207 FLORISTS MUTUAL INSURANCE	*	*	*	*	*	*
CA426 MIDDLESEX INSURANCE COMPANY	3	2	67%	3	2	67%
CA402 SENTRY CASUALTY	9	5	56%	9	6	67%
CA305 SENTRY INSURANCE	2	2	100%	2	2	100%
CA308 SENTRY SELECT INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>14</b>	<b>9</b>	<b>64%</b> ▼	<b>14</b>	<b>10</b>	<b>71%</b> ▼
<b>SENTRY INSURANCE Group Total</b>	<b>14</b>	<b>9</b>	<b>64%</b> ▼	<b>14</b>	<b>10</b>	<b>71%</b> ▼
<b>SERVICE AMERICAN INDEMNITY</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
SERVICE AMERICAN INDEMNITY	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>SERVICE AMERICAN INDEMNITY TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	5	5	100%	5	5	100%
<b>TPA Total</b>	<b>5</b>	<b>5</b>	<b>100%</b> ▲	<b>5</b>	<b>5</b>	<b>100%</b> ▲
<b>SERVICE AMERICAN INDEMNITY Group Total</b>	<b>5</b>	<b>5</b>	<b>100%</b> ▲	<b>5</b>	<b>5</b>	<b>100%</b> ▲
<b>STARR INDEMNITY INSURANCE</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
STARR INDEMNITY INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>STARR INDEMNITY INSURANCE TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	4	1	25%	4	2	50%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	7	6	86%	7	6	86%
<b>TPA Total</b>	<b>11</b>	<b>7</b>	<b>64%</b> ▼	<b>11</b>	<b>8</b>	<b>73%</b> ▼
<b>STARR INDEMNITY INSURANCE Group Total</b>	<b>11</b>	<b>7</b>	<b>64%</b> ▼	<b>11</b>	<b>8</b>	<b>73%</b> ▼
<b>STARSTONE NATIONAL INSURANCE</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
STARSTONE NATIONAL INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>STARSTONE NATIONAL INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	1	1	100%
<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>STARSTONE NATIONAL INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲

**INSURANCE GROUP COMPLIANCE**  
 Wage Statements and Fringe Benefit Forms  
 Third Quarter  
 7/1/2021 - 9/30/2021

Insurance Company	Total Wage Statement(s) Due	Wage Statement(s) Filed Timely	Compliance Percentage	Total Fringe Benefit Form(s) Due	Fringe Benefit Form(s) Filed Timely	Compliance Percentage
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA307 STATE OF MAINE WORKERS' COMPENSATION TRUST	128	116	91%	128	116	91%
<b>Total</b>	<b>128</b>	<b>116</b>	<b>91%</b> ▲	<b>128</b>	<b>116</b>	<b>91%</b> ▲
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST Group Total</b>	<b>128</b>	<b>116</b>	<b>91%</b> ▲	<b>128</b>	<b>116</b>	<b>91%</b> ▲
<b>SYNERNET</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA320 SYNERNET	111	77	69%	111	73	66%
<b>Total</b>	<b>111</b>	<b>77</b>	<b>69%</b> ▼	<b>111</b>	<b>73</b>	<b>66%</b> ▼
<b>SYNERNET Group Total</b>	<b>111</b>	<b>77</b>	<b>69%</b> ▼	<b>111</b>	<b>73</b>	<b>66%</b> ▼
<b>TOKIO MARINE INSURANCE</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA414 TOKIO MARINE AMERICA INSURANCE	1	1	100%	1	1	100%
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>TOKIO MARINE INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>TRAVELERS INSURANCE</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA072 CHARTER OAK FIRE INSURANCE	30	6	20%	30	3	10%
CA164 FARMINGTON CASUALTY	2	0	0%	2	0	0%
CA284 PHOENIX INSURANCE	3	2	67%	3	2	67%
CA306 STANDARD FIRE INSURANCE	6	1	17%	6	1	17%
CA347 TRAVELERS CASUALTY & SURETY	4	2	50%	4	0	0%
CA348 TRAVELERS CASUALTY INSURANCE COMPANY OF AMERICA	5	3	60%	5	3	60%
CA343 TRAVELERS INDEMNITY COMPANY	*	*	*	*	*	*
CA345 TRAVELERS PROPERTY CASUALTY INSURANCE	2	1	50%	2	1	50%
<b>Total</b>	<b>52</b>	<b>15</b>	<b>29%</b> ▼	<b>52</b>	<b>10</b>	<b>19%</b> ▼
<b>TRAVELERS INSURANCE TPA Administered Claims</b>						
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	1	1	100%
<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>TRAVELERS INSURANCE Group Total</b>	<b>53</b>	<b>16</b>	<b>30%</b> ▼	<b>53</b>	<b>11</b>	<b>21%</b> ▼
<b>VANLINER INSURANCE</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA379 VANLINER INSURANCE	1	0	0%	1	0	0%
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>VANLINER INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>WALMART CLAIMS SERVICES</b>	<b>Wage(s) Due</b>	<b>Timely Wage(s)</b>	<b>Compliance</b>	<b>Fringe(s) Due</b>	<b>Timely Fringe(s)</b>	<b>Compliance</b>
CA100 WALMART CLAIMS SERVICES	60	43	72%	60	40	67%
<b>Total</b>	<b>60</b>	<b>43</b>	<b>72%</b> ▼	<b>60</b>	<b>40</b>	<b>67%</b> ▼
<b>WALMART CLAIMS SERVICES Group Total</b>	<b>60</b>	<b>43</b>	<b>72%</b> ▼	<b>60</b>	<b>40</b>	<b>67%</b> ▼

**INSURANCE GROUP COMPLIANCE**  
Wage Statements and Fringe Benefit Forms  
Third Quarter  
7/1/2021 - 9/30/2021

Insurance Company	Total Wage Statement(s) Due	Wage Statement(s) Filed Timely	Compliance Percentage	Total Fringe Benefit Form(s) Due	Fringe Benefit Form(s) Filed Timely	Compliance Percentage
<b>XL INSURANCE</b>	Wage(s) Due	Timely Wage(s)	Compliance	Fringe(s) Due	Timely Fringe(s)	Compliance
CA384 XL INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>XL INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	1	1	100%
CA190 GALLAGHER BASSETT SERVICES	6	3	50%	6	3	50%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	3	3	100%	3	3	100%
<b>TPA Total</b>	<b>10</b>	<b>7</b>	<b>70%</b> ▼	<b>10</b>	<b>7</b>	<b>70%</b> ▼
<b>XL INSURANCE Group Total</b>	<b>10</b>	<b>7</b>	<b>70%</b> ▼	<b>10</b>	<b>7</b>	<b>70%</b> ▼
<b>YORK RISK SERVICES</b>	Wage(s) Due	Timely Wage(s)	Compliance	Fringe(s) Due	Timely Fringe(s)	Compliance
CA340 YORK RISK SERVICES	1	1	100%	1	0	0%
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>YORK RISK SERVICES Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>ZURICH INSURANCE</b>	Wage(s) Due	Timely Wage(s)	Compliance	Fringe(s) Due	Timely Fringe(s)	Compliance
CA021 AMERICAN GUARANTEE & LIABILITY	1	1	100%	1	1	100%
CA022 AMERICAN ZURICH	15	9	60%	15	10	67%
CA400 ZURICH AMERICAN INSURANCE	2	2	100%	2	2	100%
CA404 ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	1	0	0%	1	0	0%
<b>Total</b>	<b>19</b>	<b>12</b>	<b>63%</b> ▼	<b>19</b>	<b>13</b>	<b>68%</b> ▼
<b>ZURICH INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	2	2	100%	2	2	100%
CA080 CHESTERFIELD SERVICES	1	1	100%	1	1	100%
CA110 CONSTITUTION STATE SERVICES	1	0	0%	1	0	0%
CA116 CORVEL ENTERPRISE COMP	6	3	50%	6	3	50%
CA160 ESIS	1	0	0%	1	0	0%
CA190 GALLAGHER BASSETT SERVICES	4	2	50%	4	2	50%
<b>TPA Total</b>	<b>15</b>	<b>8</b>	<b>53%</b> ▼	<b>15</b>	<b>8</b>	<b>53%</b> ▼
<b>ZURICH INSURANCE Group Total</b>	<b>34</b>	<b>20</b>	<b>59%</b> ▼	<b>34</b>	<b>21</b>	<b>62%</b> ▼