



# QUARTERLY COMPLIANCE REPORT

STATE OF MAINE  
WORKERS' COMPENSATION BOARD

**Second Quarter 2018**  
**April 1, 2018 - June 30, 2018**

**Office of Monitoring, Audit &  
Enforcement**

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**State of Maine  
Workers' Compensation Board  
Quarterly Compliance Report  
Second Quarter**

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## Executive Summary

On October 9, 2018, the Maine Workers' Compensation Board of Directors approved the 2018 Second Quarter (April 1, 2018 – June 30, 2018) Compliance Report. This report represents the efforts of the Office of Monitoring, Audit and Enforcement and insurers, self-insurers, and third-party administrators (collectively "insurers").

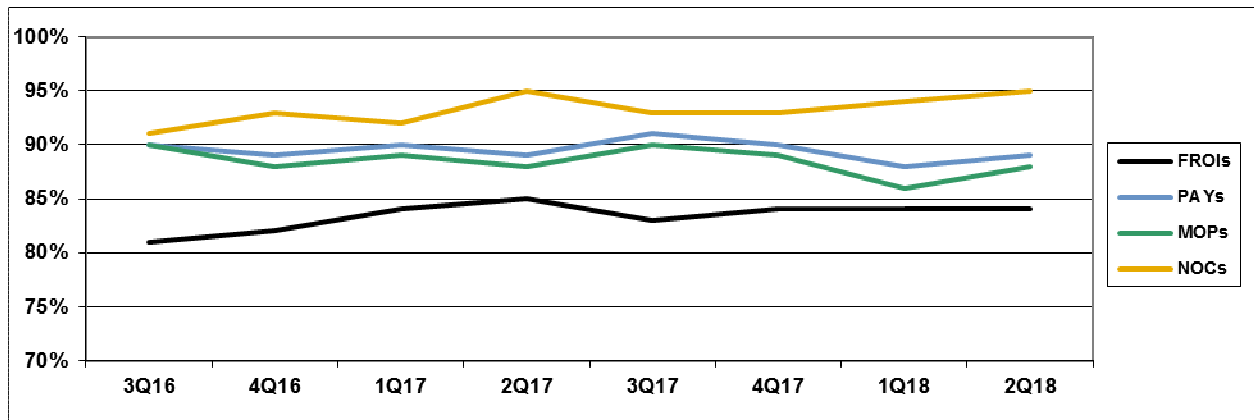
### I. COMPLIANCE OVERVIEW

The Reconciliation Report was sent to 102 insurers on July 19, 2018; 64 responded, 30 were not required to respond and 10 did not respond.

The 2Q18 report represents results based upon data received by August 30, 2018. The results are:

	Number of Days	Benchmark	3Q16	4Q16	1Q17	2Q17	3Q17	4Q17	1Q18	2Q18
FROIs	7	85%	81%	82%	84%	85%	83%	84%	84%	84%
PAYs	14	87%	90%	89%	90%	89%	91%	90%	88%	89%
MOPs	17	85%	90%	88%	89%	88%	90%	89%	86%	88%
NOCs	14	90%	91%	93%	92%	95%	93%	93%	94%	95%

**Compliance Benchmark Tracking**



### II. CAVEATS & EXPLANATIONS

#### A. General

- Question marks (“?”) within this report indicate that the insurer did not provide all of the data required to measure compliance in that particular area.

#### B. Lost Time First Report of Injury (FROI) Filings

- Compliance with this benchmark exists when the FROI is filed (accepted EDI transaction, with or without errors) within 7 days after the employer receives notice or knowledge of an employee injury that has caused the employee to lose a day’s work.

### **C. Initial Indemnity Payments (PAYs)**

- Compliance with this benchmark exists when the check is mailed within the later of (i) 14 days after the employer's notice or knowledge of incapacity or (ii) the first day of compensability plus 6 days.
- If an employer continues to pay the employee's salary, payments are deemed timely for purposes of compliance if made consistent with the employer's usual payroll practice.

### **D. Initial Memorandum of Payment (MOP) Filings**

- Compliance with this benchmark exists when the MOP is received within 17 days of the employer's notice or knowledge of incapacity.

### **E. Initial Indemnity Notice of Controversy (NOC) Filings**

- Compliance with this benchmark exists when the NOC is filed (accepted EDI transaction, with or without errors) within 14 days after the employer receives notice or knowledge of the incapacity or death. Measurement excludes filings submitted with full denial reason codes 3A-3H (No Coverage).

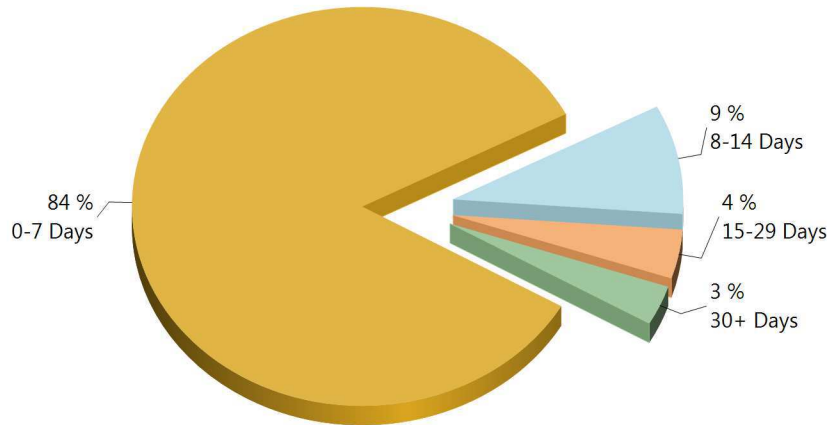
## **III. COMPLETED AUDITS**

The Board conducts compliance audits of insurers to ensure that all obligations under the Workers' Compensation Act are met. The functions of the audit program include, but are not limited to: ensuring that all reporting requirements of the Board are met, auditing the timeliness of benefit payments, auditing the accuracy of indemnity payments, evaluating claims-handling techniques, and determining whether claims are unreasonably contested.

No audits were finalized during the 2Q18.

## LOST TIME FIRST REPORT OF INJURY FILINGS

**Chart 1: Timeliness Distribution**

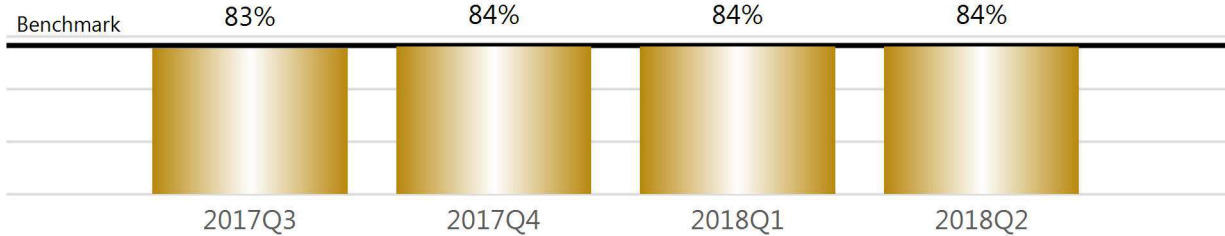


**Table 1: Received Within**

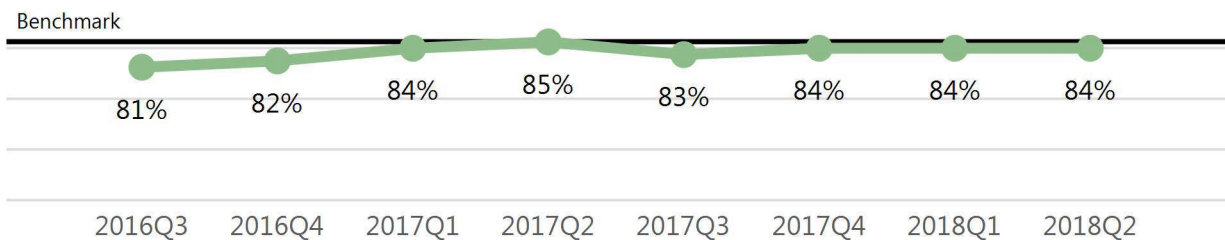
0-7 Days	2,799	84%
8-14 Days	295	9%
15-29 Days	132	4%
30+ Days	107	3%
? Days	0	0%
<b>Total</b>	<b>3,333</b>	<b>100%</b>

\*The percentages may not always add to 100% due to rounding

**Chart 2: Quarterly Compliance**

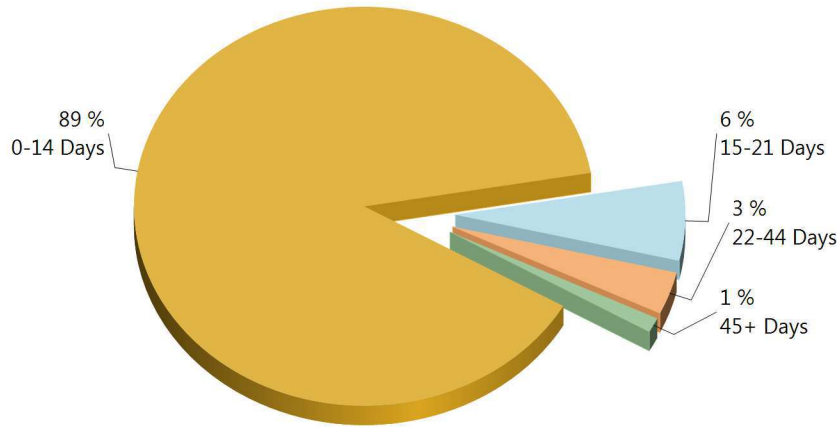


**Chart 3: Compliance Trend**



## INITIAL INDEMNITY PAYMENTS

**Chart 4: Timeliness Distribution**



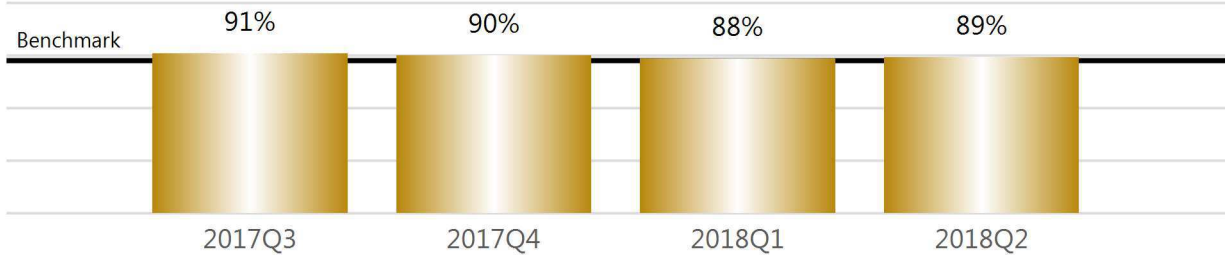
**Table 2: Made Within**

0-14 Days	750	89%
15-21 Days	54	6%
22-44 Days	29	3%
45+ Days	10	1%
? Days	1	0%
<b>Total</b>	<b>844</b>	<b>100%</b>

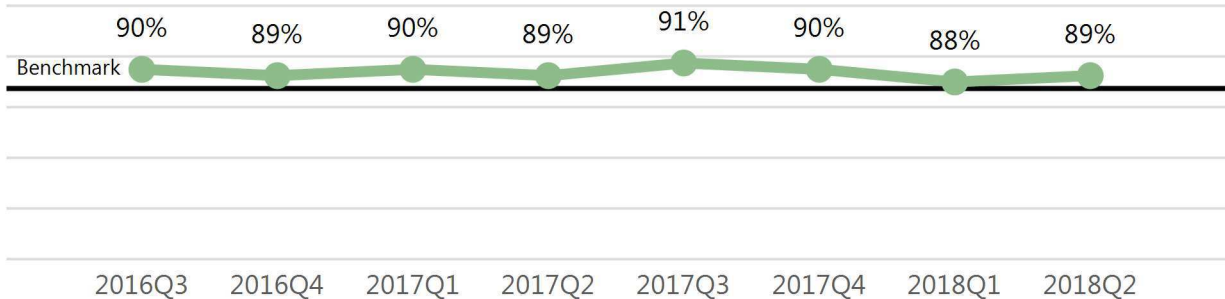
\*The percentages may not always add to 100% due to rounding

Initial indemnity payments are monitored to ensure that payments are initiated within the time limits established in Section 205. As a result of these efforts, \$12,400 was issued to claimants in penalties and there is another \$6,650 in penalties awaiting resolution.

**Chart 5: Quarterly Compliance**

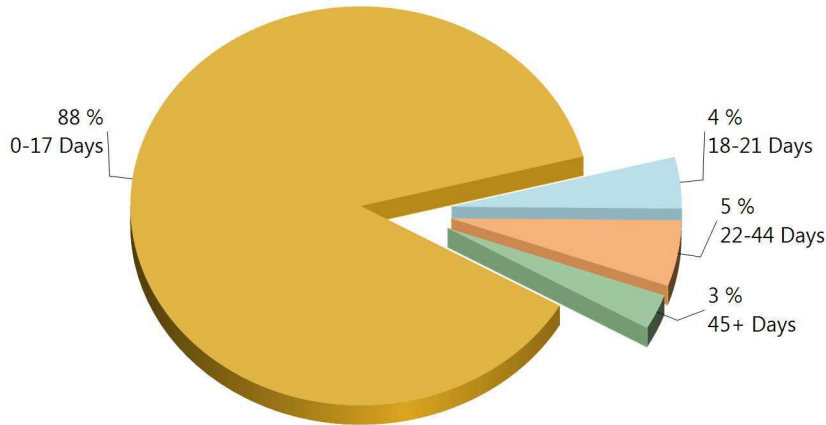


**Chart 6: Compliance Trend**



## INITIAL MEMORANDUM OF PAYMENT FILINGS

**Chart 7: Timeliness Distribution**

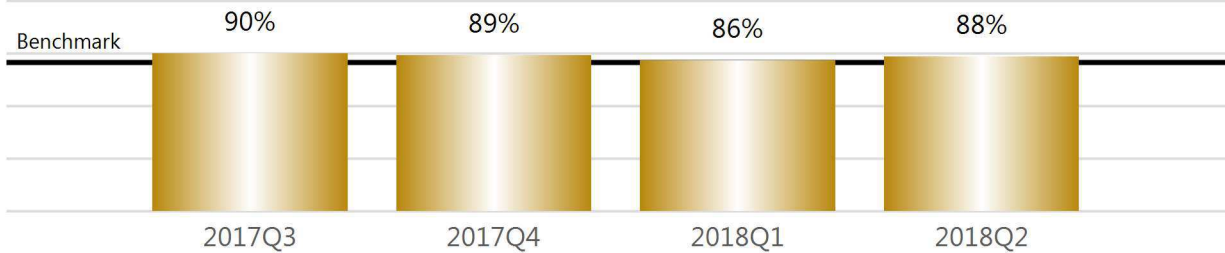


**Table 3: Received Within**

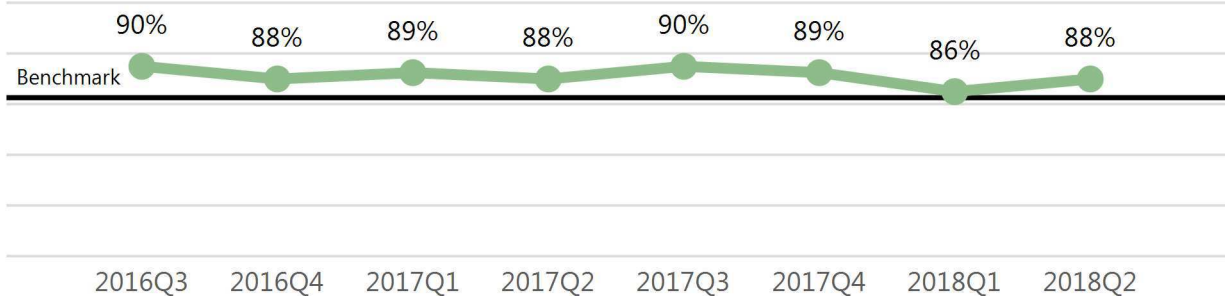
0-17 Days	739	88%
18-21 Days	35	4%
22-44 Days	45	5%
45+ Days	24	3%
? Days	1	0%
<b>Total</b>	<b>844</b>	<b>100%</b>

\*The percentages may not always add to 100% due to rounding

**Chart 8: Quarterly Compliance**

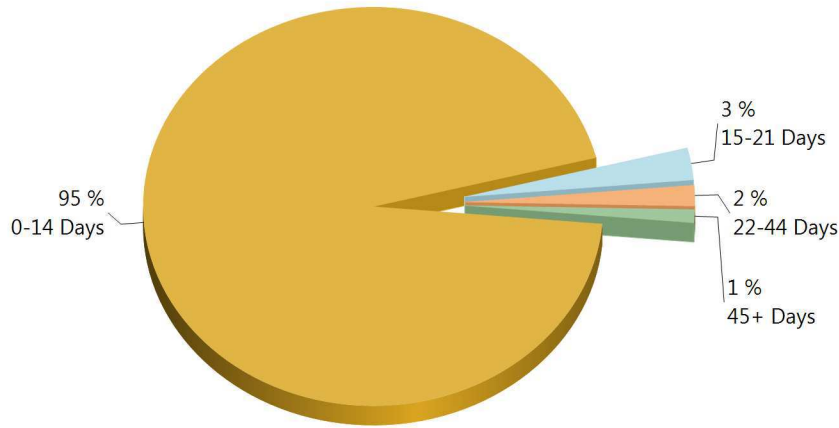


**Chart 9: Compliance Trend**



## INITIAL INDEMNITY NOTICE OF CONTROVERSY FILINGS

**Chart 10: Timeliness Distribution**

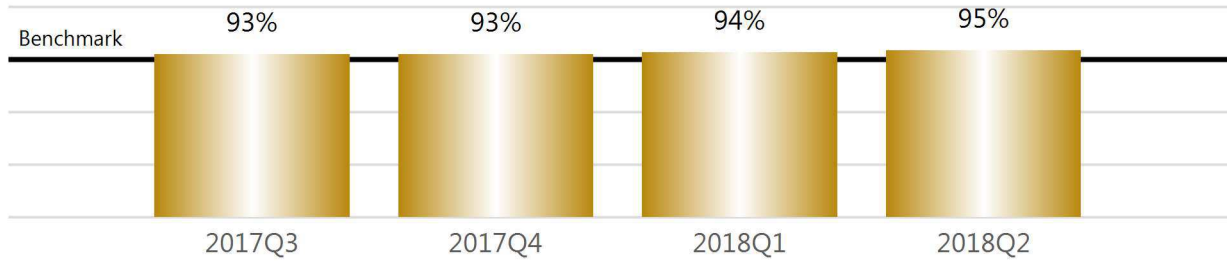


**Table 4: Received Within**

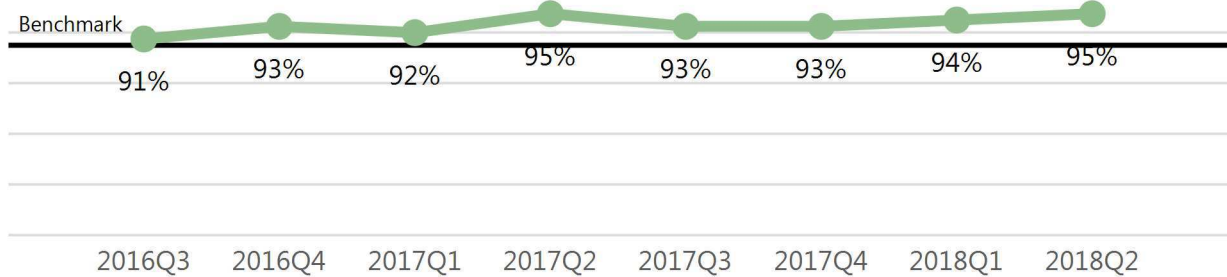
0-14 Days	620	95%
15-21 Days	17	3%
22-44 Days	11	2%
45+ Days	7	1%
? Days	1	0%
<b>Total</b>	<b>656</b>	<b>100%</b>

\*The percentages may not always add to 100% due to rounding

**Chart 11: Quarterly Compliance**



**Chart 12: Compliance Trend**



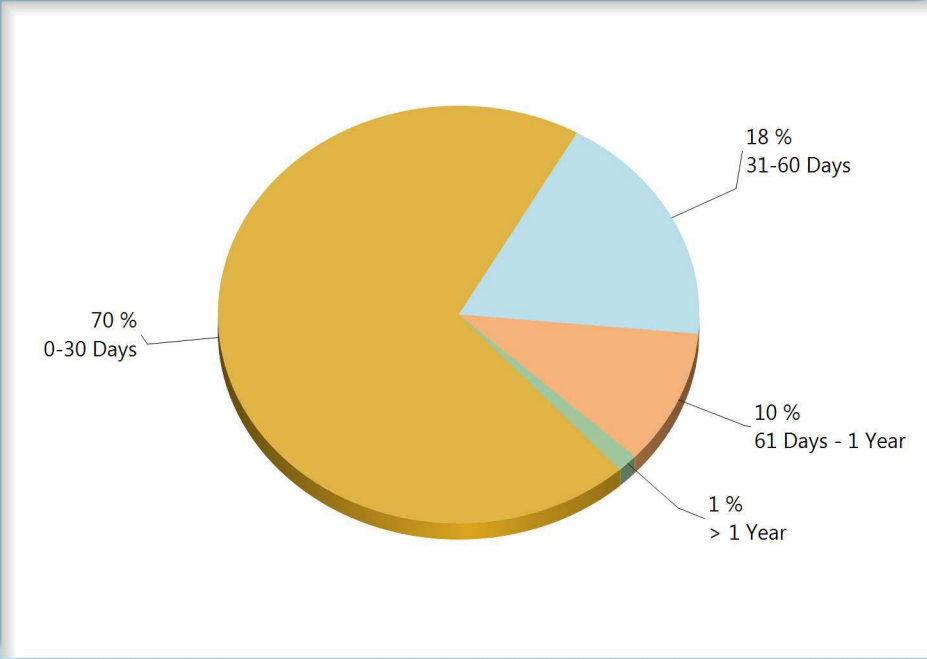


**Second Quarter Compliance Report  
4/1/2018 - 6/30/2018**

**WAGE INFORMATION**

Wage information (WCB-2 and WCB-2B forms) must be filed within 30 days of an employer's notice or knowledge of a claim for compensation (Title 39-A M.R.S.A. Section 303). This includes both compensated and controverted claims where a claim for compensation has been made.

**Chart 13: Wage Statement Timeliness Distribution**

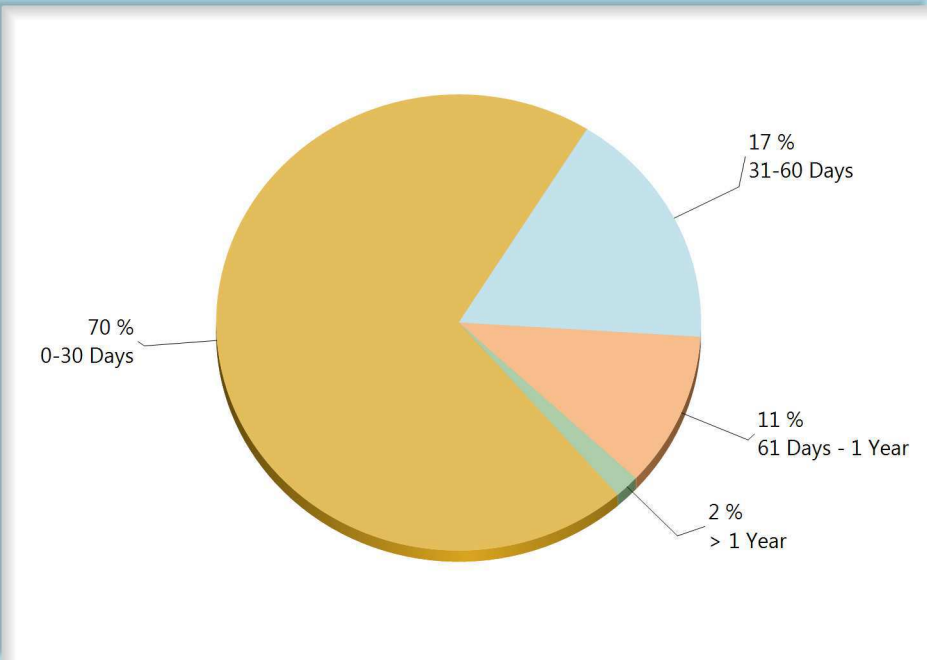


**Table 5: Wage Statements Received**

0-30 Days	1,290	70%
31-60 Days	338	18%
61 Days - 1 Year	193	10%
> 1 Year	26	1%
<b>Total</b>	<b>1,847</b>	<b>100%</b>

**Wage Statement(s):** 1,350 (67%) of the 2,007 Wage Statement(s) that were due in this quarter were filed timely, 465 (23%) were filed late, and 192 (10%) remain outstanding.

**Chart 14: Fringe Benefit Worksheet Timeliness Distribution**



**Table 6: Fringe Worksheets Received**

0-30 Days	1,276	70%
31-60 Days	311	17%
61 Days - 1 Year	199	11%
> 1 Year	30	2%
<b>Total</b>	<b>1,816</b>	<b>100%</b>

**Fringe Benefit Worksheet(s):** 1,326 (66%) of the 2,007 Fringe Benefit Worksheet(s) due this quarter were filed timely, 437 (22%) were filed late, and 244 (12%) remain outstanding.

## **Appendix A**

**Insurance Group Compliance  
Lost Time FROI Filings and  
Initial Indemnity Payments  
Second Quarter 2018  
4/1/2018-6/30/2018**

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Second Quarter

4/1/2018 - 6/30/2018

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>ACADIA INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA010 ACADIA INSURANCE	63	46	73%	19	18	95%
<b>Total</b>	<b>63</b>	<b>46</b>	<b>73%</b> ▼	<b>19</b>	<b>18</b>	<b>95%</b> ▲
<b>ACADIA INSURANCE Group Total</b>	<b>63</b>	<b>46</b>	<b>73%</b> ▼	<b>19</b>	<b>18</b>	<b>95%</b> ▲
<b>ACUITY MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA418 ACUITY MUTUAL INSURANCE	3	3	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>ACUITY MUTUAL INSURANCE Group Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>AIG INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA015 AIG CLAIMS, INC	87	76	87%	23	19	83%
<b>Total</b>	<b>87</b>	<b>76</b>	<b>87%</b> ▲	<b>23</b>	<b>19</b>	<b>83%</b> ▼
<b>AIG INSURANCE TPA Administered Claims</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA190 GALLAGHER BASSETT SERVICES	No Filings	No Filings	No Filings	1	1	100%
<b>TPA Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>AIG INSURANCE Group Total</b>	<b>87</b>	<b>76</b>	<b>87%</b> ▲	<b>24</b>	<b>20</b>	<b>83%</b> ▼
<b>ALLIANZ INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
AMERICAN INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ALLIANZ INSURANCE TPA Administered Claims</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA190 GALLAGHER BASSETT SERVICES	1	0	0%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>ALLIANZ INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>AMERISURE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA013 AMERISURE INSURANCE	*	*	*	*	*	*
AMERISURE MUTUAL INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>AMERISURE INSURANCE TPA Administered Claims</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA280 TRISTAR RISK ENTERPRISE MANAGEMENT	1	0	0%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>AMERISURE INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>AMTRUST INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA437 SECURITY NATIONAL INSURANCE	1	1	100%	No Filings	No Filings	No Filings
CA342 TECHNOLOGY INSURANCE	6	5	83%	1	1	100%
CA381 WESCO INSURANCE	18	18	100%	7	7	100%
<b>Total</b>	<b>25</b>	<b>24</b>	<b>96%</b> ▲	<b>8</b>	<b>8</b>	<b>100%</b> ▲
<b>AMTRUST INSURANCE Group Total</b>	<b>25</b>	<b>24</b>	<b>96%</b> ▲	<b>8</b>	<b>8</b>	<b>100%</b> ▲

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Second Quarter

4/1/2018 - 6/30/2018

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
ARCH INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
ARCH INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>ARCH INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	5	3	60%	2	1	50%
CA160 ESIS	1	0	0%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	7	4	57%	2	1	50%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	7	7	100%	1	1	100%
CA340 YORK RISK SERVICES	3	1	33%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>23</b>	<b>15</b>	<b>65%</b> ▼	<b>5</b>	<b>3</b>	<b>60%</b> ▼
<b>ARCH INSURANCE Group Total</b>	<b>23</b>	<b>15</b>	<b>65%</b> ▼	<b>5</b>	<b>3</b>	<b>60%</b> ▼
<b>BATH IRON WORKS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA036 BATH IRON WORKS	106	104	98%	12	12	100%
<b>Total</b>	<b>106</b>	<b>104</b>	<b>98%</b> ▲	<b>12</b>	<b>12</b>	<b>100%</b> ▲
<b>BATH IRON WORKS Group Total</b>	<b>106</b>	<b>104</b>	<b>98%</b> ▲	<b>12</b>	<b>12</b>	<b>100%</b> ▲
<b>BENCHMARK INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
BENCHMARK INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>BENCHMARK INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	0	0%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>BENCHMARK INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>BERKSHIRE HATHAWAY INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA114 BERKSHIRE HATHAWAY HOMESTATE INSURANCE	*	*	*	*	*	*
CA115 CONTINENTAL INDEMNITY	4	0	0%	3	3	100%
CA293 REDWOOD FIRE AND CASUALTY INSURANCE	2	1	50%	2	1	50%
<b>Total</b>	<b>6</b>	<b>1</b>	<b>17%</b> ▼	<b>5</b>	<b>4</b>	<b>80%</b> ▼
<b>BERKSHIRE HATHAWAY INSURANCE Group Total</b>	<b>6</b>	<b>1</b>	<b>17%</b> ▼	<b>5</b>	<b>4</b>	<b>80%</b> ▼
<b>BROADSPIRE SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA040 BROADSPIRE SERVICES	16	11	69%	5	4	80%
<b>Total</b>	<b>16</b>	<b>11</b>	<b>69%</b> ▼	<b>5</b>	<b>4</b>	<b>80%</b> ▼
<b>BROADSPIRE SERVICES Group Total</b>	<b>16</b>	<b>11</b>	<b>69%</b> ▼	<b>5</b>	<b>4</b>	<b>80%</b> ▼
<b>CANNON COCHRAN MANAGEMENT SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA070 CANNON COCHRAN MANAGEMENT SERVICES	88	72	82%	25	20	80%
<b>Total</b>	<b>88</b>	<b>72</b>	<b>82%</b> ▼	<b>25</b>	<b>20</b>	<b>80%</b> ▼
<b>CANNON COCHRAN MANAGEMENT SERVICES Group Total</b>	<b>88</b>	<b>72</b>	<b>82%</b> ▼	<b>25</b>	<b>20</b>	<b>80%</b> ▼

Maine Workers' Compensation Board  
 Lost Time FROI Filing Benchmark: 85%  
 Initial Indemnity Payment Benchmark: 87%

\* Indicates no claims activity  
 ▲ Indicates benchmark met or exceeded  
 ▼ Indicates benchmark not met

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Second Quarter

4/1/2018 - 6/30/2018

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>CHESTERFIELD SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA400 ZURICH AMERICAN INSURANCE	1	0	0%	1	1	100%
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>CHESTERFIELD SERVICES Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>CHUBB INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
ACE AMERICAN INSURANCE COMPANY	*	*	*	*	*	*
CA046 CHUBB INSURANCE	*	*	*	*	*	*
CA090 FEDERAL INSURANCE INDEMNITY INS CO OF NORTH AMERICA	*	*	*	*	*	*
PACIFIC INDEMNITY CO	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>CHUBB INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	3	3	100%	1	1	100%
CA070 CANNON COCHRAN MANAGEMENT SERVICES	3	3	100%	2	2	100%
CA110 CONSTITUTION STATE SERVICES	11	5	45%	7	6	86%
CA116 CORVEL ENTERPRISE COMP	2	0	0%	1	0	0%
CA160 ESIS	14	5	36%	3	3	100%
CA190 GALLAGHER BASSETT SERVICES	26	24	92%	11	11	100%
CA204 HELMSMAN MANAGEMENT SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	75	72	96%	16	16	100%
CA340 YORK RISK SERVICES	4	3	75%	2	0	0%
<b>TPA Total</b>	<b>139</b>	<b>116</b>	<b>83%</b> ▼	<b>43</b>	<b>39</b>	<b>91%</b> ▲
<b>CHUBB INSURANCE Group Total</b>	<b>139</b>	<b>116</b>	<b>83%</b> ▼	<b>43</b>	<b>39</b>	<b>91%</b> ▲
<b>CHURCH MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA084 CHURCH MUTUAL INSURANCE	1	0	0%	1	1	100%
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>CHURCH MUTUAL INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>CIANBRO CORPORATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA085 CIANBRO CORPORATION	1	1	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CIANBRO CORPORATION Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CLAIMS MANAGEMENT (WALMART)</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA100 CLAIMS MANAGEMENT (WALMART)	44	39	89%	4	4	100%
<b>Total</b>	<b>44</b>	<b>39</b>	<b>89%</b> ▲	<b>4</b>	<b>4</b>	<b>100%</b> ▲
<b>CLAIMS MANAGEMENT (WALMART) Group Total</b>	<b>44</b>	<b>39</b>	<b>89%</b> ▲	<b>4</b>	<b>4</b>	<b>100%</b> ▲

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Second Quarter

4/1/2018 - 6/30/2018

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>CNA INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA050 CONTINENTAL CASUALTY	4	4	100%	1	1	100%
CA271 NATIONAL FIRE INSURANCE	1	1	100%	1	1	100%
CA314 TRANSPORTATION INSURANCE	1	1	100%	1	1	100%
<b>Total</b>	<b>6</b>	<b>6</b>	<b>100%</b> ▲	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>CNA INSURANCE Group Total</b>	<b>6</b>	<b>6</b>	<b>100%</b> ▲	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>CONSTITUTION STATE SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA110 CONSTITUTION STATE SERVICES	12	5	42%	7	6	86%
<b>Total</b>	<b>12</b>	<b>5</b>	<b>42%</b> ▼	<b>7</b>	<b>6</b>	<b>86%</b> ▼
<b>CONSTITUTION STATE SERVICES Group Total</b>	<b>12</b>	<b>5</b>	<b>42%</b> ▼	<b>7</b>	<b>6</b>	<b>86%</b> ▼
<b>CORVEL ENTERPRISE COMP</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA116 CORVEL ENTERPRISE COMP	14	4	29%	4	2	50%
<b>Total</b>	<b>14</b>	<b>4</b>	<b>29%</b> ▼	<b>4</b>	<b>2</b>	<b>50%</b> ▼
<b>CORVEL ENTERPRISE COMP Group Total</b>	<b>14</b>	<b>4</b>	<b>29%</b> ▼	<b>4</b>	<b>2</b>	<b>50%</b> ▼
<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	1	0	0%	1	1	100%
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>CROSS INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA093 CROSS INSURANCE	310	288	93%	32	32	100%
<b>Total</b>	<b>310</b>	<b>288</b>	<b>93%</b> ▲	<b>32</b>	<b>32</b>	<b>100%</b> ▲
<b>CROSS INSURANCE Group Total</b>	<b>310</b>	<b>288</b>	<b>93%</b> ▲	<b>32</b>	<b>32</b>	<b>100%</b> ▲
<b>EASTERN ALLIANCE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA141 EASTERN ALLIANCE INSURANCE	31	15	48%	11	10	91%
<b>Total</b>	<b>31</b>	<b>15</b>	<b>48%</b> ▼	<b>11</b>	<b>10</b>	<b>91%</b> ▲
<b>EASTERN ALLIANCE INSURANCE Group Total</b>	<b>31</b>	<b>15</b>	<b>48%</b> ▼	<b>11</b>	<b>10</b>	<b>91%</b> ▲
<b>ELECTRIC INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA150 ELECTRIC INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ELECTRIC INSURANCE TPA Administered Claims</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	8	8	100%	3	3	100%
<b>TPA Total</b>	<b>8</b>	<b>8</b>	<b>100%</b> ▲	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>ELECTRIC INSURANCE Group Total</b>	<b>8</b>	<b>8</b>	<b>100%</b> ▲	<b>3</b>	<b>3</b>	<b>100%</b> ▲

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Second Quarter

4/1/2018 - 6/30/2018

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>ESIS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA160 ESIS	24	7	29%	6	4	67%
<b>Total</b>	<b>24</b>	<b>7</b>	<b>29%</b> ▼	<b>6</b>	<b>4</b>	<b>67%</b> ▼
<b>ESIS Group Total</b>	<b>24</b>	<b>7</b>	<b>29%</b> ▼	<b>6</b>	<b>4</b>	<b>67%</b> ▼
<b>EVEREST REINS HOLDINGS GROUP</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
EVEREST REINS HOLDINGS	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>EVEREST REINS HOLDINGS GROUP TPA Administered Claims</b>						
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>EVEREST REINS HOLDINGS GROUP Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>FEDERATED MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA091 FEDERATED MUTUAL INSURANCE	3	2	67%	4	3	75%
CA092 FEDERATED SERVICE INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>3</b>	<b>2</b>	<b>67%</b> ▼	<b>4</b>	<b>3</b>	<b>75%</b> ▼
<b>FEDERATED MUTUAL INSURANCE Group Total</b>	<b>3</b>	<b>2</b>	<b>67%</b> ▼	<b>4</b>	<b>3</b>	<b>75%</b> ▼
<b>FUTURECOMP</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA175 FUTURECOMP	42	37	88%	8	7	88%
<b>Total</b>	<b>42</b>	<b>37</b>	<b>88%</b> ▲	<b>8</b>	<b>7</b>	<b>88%</b> ▲
<b>FUTURECOMP Group Total</b>	<b>42</b>	<b>37</b>	<b>88%</b> ▲	<b>8</b>	<b>7</b>	<b>88%</b> ▲
<b>GALLAGHER BASSETT SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA190 GALLAGHER BASSETT SERVICES	78	62	79%	38	35	92%
<b>Total</b>	<b>78</b>	<b>62</b>	<b>79%</b> ▼	<b>38</b>	<b>35</b>	<b>92%</b> ▲
<b>GALLAGHER BASSETT SERVICES Group Total</b>	<b>78</b>	<b>62</b>	<b>79%</b> ▼	<b>38</b>	<b>35</b>	<b>92%</b> ▲
<b>GREAT FALLS INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
GREAT FALLS INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>GREAT FALLS INSURANCE TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	28	19	68%	7	4	57%
<b>TPA Total</b>	<b>28</b>	<b>19</b>	<b>68%</b> ▼	<b>7</b>	<b>4</b>	<b>57%</b> ▼
<b>GREAT FALLS INSURANCE Group Total</b>	<b>28</b>	<b>19</b>	<b>68%</b> ▼	<b>7</b>	<b>4</b>	<b>57%</b> ▼

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Second Quarter

4/1/2018 - 6/30/2018

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>GUARD INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA019 AMGUARD INSURANCE	5	2	40%	3	3	100%
CA140 EASTGUARD INSURANCE	3	3	100%	2	2	100%
CA272 NORGUARD INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>8</b>	<b>5</b>	<b>63%</b> ▼	<b>5</b>	<b>5</b>	<b>100%</b> ▲
<b>GUARD INSURANCE Group Total</b>	<b>8</b>	<b>5</b>	<b>63%</b> ▼	<b>5</b>	<b>5</b>	<b>100%</b> ▲
<b>HANNAFORD BROTHERS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA201 HANNAFORD BROTHERS	82	65	79%	36	18	50%
<b>Total</b>	<b>82</b>	<b>65</b>	<b>79%</b> ▼	<b>36</b>	<b>18</b>	<b>50%</b> ▼
<b>HANNAFORD BROTHERS Group Total</b>	<b>82</b>	<b>65</b>	<b>79%</b> ▼	<b>36</b>	<b>18</b>	<b>50%</b> ▼
<b>HANNOVER INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
HANNOVER INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>HANNOVER INSURANCE TPA Administered Claims</b>						
CA340 YORK RISK SERVICES	2	2	100%	1	1	100%
<b>TPA Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>HANNOVER INSURANCE Group Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>HANOVER INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA428 ALLMERICA FINANCIAL BENEFIT INSURANCE	3	2	67%	No Filings	No Filings	No Filings
CA048 CITIZENS INSURANCE COMPANY OF AMERICA	6	4	67%	No Filings	No Filings	No Filings
CA429 HANOVER AMERICAN INSURANCE	1	1	100%	1	1	100%
CA202 HANOVER INSURANCE	7	7	100%	1	1	100%
CA228 MASSACHUSETTS BAY INSURANCE	5	5	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>22</b>	<b>19</b>	<b>86%</b> ▲	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>HANOVER INSURANCE Group Total</b>	<b>22</b>	<b>19</b>	<b>86%</b> ▲	<b>2</b>	<b>2</b>	<b>100%</b> ▲



## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Second Quarter

4/1/2018 - 6/30/2018

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>HARTFORD INSURANCE</b>						
CA188 HARTFORD ACCIDENT & INDEMNITY	1	1	100%	No Filings	No Filings	No Filings
CA185 HARTFORD CASUALTY INSURANCE	7	7	100%	2	2	100%
CA203 HARTFORD FIRE INSURANCE	4	3	75%	No Filings	No Filings	No Filings
CA186 HARTFORD INSURANCE COMPANY OF THE MIDWEST	3	1	33%	No Filings	No Filings	No Filings
CA187 HARTFORD UNDERWRITERS INSURANCE	6	3	50%	4	3	75%
CA288 PROPERTY & CASUALTY INSURANCE COMPANY OF HARTFORD	15	14	93%	5	5	100%
CA296 SENTINEL INSURANCE	*	*	*	*	*	*
CA319 TRUMBULL INSURANCE	9	9	100%	2	2	100%
CA321 TWIN CITY FIRE INSURANCE	2	1	50%	No Filings	No Filings	No Filings
<b>Total</b>	<b>47</b>	<b>39</b>	<b>83%</b> ▼	<b>13</b>	<b>12</b>	<b>92%</b> ▲
<b>HARTFORD INSURANCE TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	2	1	50%	No Filings	No Filings	No Filings
CA116 CORVEL ENTERPRISE COMP	3	2	67%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	2	1	50%	1	1	100%
<b>TPA Total</b>	<b>7</b>	<b>4</b>	<b>57%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>HARTFORD INSURANCE Group Total</b>	<b>54</b>	<b>43</b>	<b>80%</b> ▼	<b>14</b>	<b>13</b>	<b>93%</b> ▲
<b>HELMSMAN MANAGEMENT SERVICES</b>						
CA204 HELMSMAN MANAGEMENT SERVICES	17	14	82%	4	4	100%
<b>Total</b>	<b>17</b>	<b>14</b>	<b>82%</b> ▼	<b>4</b>	<b>4</b>	<b>100%</b> ▲
<b>HELMSMAN MANAGEMENT SERVICES Group Total</b>	<b>17</b>	<b>14</b>	<b>82%</b> ▼	<b>4</b>	<b>4</b>	<b>100%</b> ▲
<b>LIBERTY MUTUAL INSURANCE</b>						
CA003 AMERICAN FIRE & CASUALTY INSURANCE	7	5	71%	3	1	33%
CA380 EMPLOYERS INSURANCE OF WAUSAU	1	0	0%	No Filings	No Filings	No Filings
CA162 EXCELSIOR INSURANCE	*	*	*	*	*	*
CA210 LIBERTY MUTUAL INSURANCE	53	45	85%	15	14	93%
CA406 OHIO CASUALTY INSURANCE	3	3	100%	1	1	100%
CA407 OHIO SECURITY INSURANCE	10	7	70%	3	3	100%
CA283 PEERLESS INDEMNITY INSURANCE	*	*	*	*	*	*
CA275 PEERLESS INSURANCE	1	1	100%	1	1	100%
CA309 THE NETHERLANDS INSURANCE	1	1	100%	No Filings	No Filings	No Filings
CA408 WEST AMERICAN INSURANCE	1	1	100%	1	1	100%
<b>Total</b>	<b>77</b>	<b>63</b>	<b>82%</b> ▼	<b>24</b>	<b>21</b>	<b>88%</b> ▲
<b>LIBERTY MUTUAL INSURANCE Group Total</b>	<b>77</b>	<b>63</b>	<b>82%</b> ▼	<b>24</b>	<b>21</b>	<b>88%</b> ▲

Maine Workers' Compensation Board

Lost Time FROI Filing Benchmark: 85%

Initial Indemnity Payment Benchmark: 87%

\* Indicates no claims activity

▲ Indicates benchmark met or exceeded

▼ Indicates benchmark not met

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Second Quarter

4/1/2018 - 6/30/2018

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>MAINE AUTOMOBILE DEALERS ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA220 MAINE AUTOMOBILE DEALERS ASSOCIATION	19	17	89%	9	9	100%
<b>Total</b>	<b>19</b>	<b>17</b>	<b>89%</b> ▲	<b>9</b>	<b>9</b>	<b>100%</b> ▲
<b>MAINE AUTOMOBILE DEALERS ASSOCIATION Group Total</b>	<b>19</b>	<b>17</b>	<b>89%</b> ▲	<b>9</b>	<b>9</b>	<b>100%</b> ▲
<b>MAINE EMPLOYERS' MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA260 MAINE EMPLOYERS' MUTUAL INSURANCE	1189	968	81%	307	281	92%
<b>Total</b>	<b>1189</b>	<b>968</b>	<b>81%</b> ▼	<b>307</b>	<b>281</b>	<b>92%</b> ▲
<b>MAINE EMPLOYERS' MUTUAL INSURANCE Group Total</b>	<b>1189</b>	<b>968</b>	<b>81%</b> ▼	<b>307</b>	<b>281</b>	<b>92%</b> ▲
<b>MAINE HEALTHCARE ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA234 MAINE HEALTHCARE ASSOCIATION	24	18	75%	3	1	33%
<b>Total</b>	<b>24</b>	<b>18</b>	<b>75%</b> ▼	<b>3</b>	<b>1</b>	<b>33%</b> ▼
<b>MAINE HEALTHCARE ASSOCIATION Group Total</b>	<b>24</b>	<b>18</b>	<b>75%</b> ▼	<b>3</b>	<b>1</b>	<b>33%</b> ▼
<b>MAINE MOTOR TRANSPORT ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA230 MAINE MOTOR TRANSPORT ASSOCIATION	36	35	97%	9	8	89%
<b>Total</b>	<b>36</b>	<b>35</b>	<b>97%</b> ▲	<b>9</b>	<b>8</b>	<b>89%</b> ▲
<b>MAINE MOTOR TRANSPORT ASSOCIATION Group Total</b>	<b>36</b>	<b>35</b>	<b>97%</b> ▲	<b>9</b>	<b>8</b>	<b>89%</b> ▲
<b>MAINE MUNICIPAL ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA225 MAINE MUNICIPAL ASSOCIATION	185	181	98%	57	55	96%
<b>Total</b>	<b>185</b>	<b>181</b>	<b>98%</b> ▲	<b>57</b>	<b>55</b>	<b>96%</b> ▲
<b>MAINE MUNICIPAL ASSOCIATION Group Total</b>	<b>185</b>	<b>181</b>	<b>98%</b> ▲	<b>57</b>	<b>55</b>	<b>96%</b> ▲
<b>MAINE SCHOOL MANAGEMENT ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA250 MAINE SCHOOL MANAGEMENT ASSOCIATION	78	70	90%	19	18	95%
<b>Total</b>	<b>78</b>	<b>70</b>	<b>90%</b> ▲	<b>19</b>	<b>18</b>	<b>95%</b> ▲
<b>MAINE SCHOOL MANAGEMENT ASSOCIATION Group Total</b>	<b>78</b>	<b>70</b>	<b>90%</b> ▲	<b>19</b>	<b>18</b>	<b>95%</b> ▲
<b>MEADOWBROOK INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA255 MEADOWBROOK INSURANCE	1	1	100%	1	1	100%
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>MEADOWBROOK INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>NATIONWIDE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA289 NATIONAL CASUALTY	1	0	0%	1	1	100%
CA291 NATIONWIDE AGRIBUSINESS INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>NATIONWIDE INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲

Maine Workers' Compensation Board  
 Lost Time FROI Filing Benchmark: 85%  
 Initial Indemnity Payment Benchmark: 87%

\* Indicates no claims activity  
 ▲ Indicates benchmark met or exceeded  
 ▼ Indicates benchmark not met

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Second Quarter

4/1/2018 - 6/30/2018

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>NEXT LEVEL ADMINISTRATORS, LLC</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA433 NEXT LEVEL ADMINISTRATORS, LLC	1	0	0%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>NEXT LEVEL ADMINISTRATORS, LLC Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>NGM INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA265 NGM INSURANCE	1	0	0%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>NGM INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>NORTH RIVER INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA257 NORTH RIVER INSURANCE	1	0	0%	1	1	100%
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>NORTH RIVER INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>OLD REPUBLIC INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
OLD REPUBLIC GENERAL INSURANCE CORP.	*	*	*	*	*	*
OLD REPUBLIC INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>OLD REPUBLIC INSURANCE TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	7	5	71%	1	0	0%
CA110 CONSTITUTION STATE SERVICES	1	0	0%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	2	1	50%	2	2	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	3	3	100%	1	1	100%
<b>TPA Total</b>	<b>13</b>	<b>9</b>	<b>69%</b> ▼	<b>4</b>	<b>3</b>	<b>75%</b> ▼
<b>OLD REPUBLIC INSURANCE Group Total</b>	<b>13</b>	<b>9</b>	<b>69%</b> ▼	<b>4</b>	<b>3</b>	<b>75%</b> ▼
<b>PENNSYLVANIA MFG ASSN</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
MANUFACTURERS ALLIANCE INSURANCE	*	*	*	*	*	*
PENNSYLVANIA MFG ASSN	*	*	*	*	*	*
PENNSYLVANIA MFG INDEMNITY CO	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>PENNSYLVANIA MFG ASSN TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	5	2	40%	2	1	50%
<b>TPA Total</b>	<b>5</b>	<b>2</b>	<b>40%</b> ▼	<b>2</b>	<b>1</b>	<b>50%</b> ▼
<b>PENNSYLVANIA MFG ASSN Group Total</b>	<b>5</b>	<b>2</b>	<b>40%</b> ▼	<b>2</b>	<b>1</b>	<b>50%</b> ▼

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Second Quarter

4/1/2018 - 6/30/2018

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>QBE INSURANCE GROUP</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
QBE INSURANCE GROUP	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>QBE INSURANCE GROUP TPA Administered Claims</b>						
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	13	9	69%	2	2	100%
<b>TPA Total</b>	<b>13</b>	<b>9</b>	<b>69%</b> ▼	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>QBE INSURANCE GROUP Group Total</b>	<b>13</b>	<b>9</b>	<b>69%</b> ▼	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>SAFETY NATIONAL CASUALTY CORP</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
SAFETY NATIONAL CASUALTY CORP	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>SAFETY NATIONAL CASUALTY CORP TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	5	3	60%	1	1	100%
CA070 CANNON COCHRAN MANAGEMENT SERVICES	2	1	50%	No Filings	No Filings	No Filings
CA116 CORVEL ENTERPRISE COMP	6	1	17%	1	0	0%
CA190 GALLAGHER BASSETT SERVICES	5	4	80%	2	2	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	9	8	89%	2	2	100%
<b>TPA Total</b>	<b>27</b>	<b>17</b>	<b>63%</b> ▼	<b>6</b>	<b>5</b>	<b>83%</b> ▼
<b>SAFETY NATIONAL CASUALTY CORP Group Total</b>	<b>27</b>	<b>17</b>	<b>63%</b> ▼	<b>6</b>	<b>5</b>	<b>83%</b> ▼
<b>SEDGWICK CLAIMS MANAGEMENT SERVICES</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	183	172	94%	41	40	98%
<b>Total</b>	<b>183</b>	<b>172</b>	<b>94%</b> ▲	<b>41</b>	<b>40</b>	<b>98%</b> ▲
<b>SEDGWICK CLAIMS MANAGEMENT SERVICES Group Total</b>	<b>183</b>	<b>172</b>	<b>94%</b> ▲	<b>41</b>	<b>40</b>	<b>98%</b> ▲
<b>SENTRY INSURANCE</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA402 SENTRY CASUALTY	8	5	63%	3	3	100%
CA305 SENTRY INSURANCE	5	3	60%	2	2	100%
CA308 SENTRY SELECT INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>13</b>	<b>8</b>	<b>62%</b> ▼	<b>5</b>	<b>5</b>	<b>100%</b> ▲
<b>SENTRY INSURANCE Group Total</b>	<b>13</b>	<b>8</b>	<b>62%</b> ▼	<b>5</b>	<b>5</b>	<b>100%</b> ▲
<b>STARR INDEMNITY INSURANCE</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
STARR INDEMNITY INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>STARR INDEMNITY INSURANCE TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	3	2	67%	3	3	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	4	4	100%	2	2	100%
<b>TPA Total</b>	<b>7</b>	<b>6</b>	<b>86%</b> ▲	<b>5</b>	<b>5</b>	<b>100%</b> ▲
<b>STARR INDEMNITY INSURANCE Group Total</b>	<b>7</b>	<b>6</b>	<b>86%</b> ▲	<b>5</b>	<b>5</b>	<b>100%</b> ▲

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Second Quarter

4/1/2018 - 6/30/2018

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA307 STATE OF MAINE WORKERS' COMPENSATION TRUST	173	154	89%	41	41	100%
<b>Total</b>	<b>173</b>	<b>154</b>	<b>89%</b> ▲	<b>41</b>	<b>41</b>	<b>100%</b> ▲
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST Group Total</b>	<b>173</b>	<b>154</b>	<b>89%</b> ▲	<b>41</b>	<b>41</b>	<b>100%</b> ▲
<b>SYNERNET</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA320 SYNERNET	143	132	92%	18	15	83%
<b>Total</b>	<b>143</b>	<b>132</b>	<b>92%</b> ▲	<b>18</b>	<b>15</b>	<b>83%</b> ▼
<b>SYNERNET Group Total</b>	<b>143</b>	<b>132</b>	<b>92%</b> ▲	<b>18</b>	<b>15</b>	<b>83%</b> ▼
<b>THE AMERICAN EQUITY UNDERWRITERS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA323 THE AMERICAN EQUITY UNDERWRITERS	2	1	50%	No Filings	No Filings	No Filings
<b>Total</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>THE AMERICAN EQUITY UNDERWRITERS Group Total</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>TRAVELERS INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA072 CHARTER OAK FIRE INSURANCE	33	16	48%	15	9	60%
CA306 STANDARD FIRE INSURANCE	4	1	25%	4	1	25%
CA347 TRAVELERS CASUALTY & SURETY	1	0	0%	2	1	50%
CA348 TRAVELERS CASUALTY INSURANCE COMPANY OF AMERICA	2	0	0%	1	1	100%
CA349 TRAVELERS COMMERCIAL CASUALTY	4	2	50%	1	1	100%
CA346 TRAVELERS INDEMNITY COMPANY OF AMERICA	2	1	50%	2	1	50%
CA345 TRAVELERS PROPERTY CASUALTY INSURANCE	2	1	50%	1	1	100%
<b>Total</b>	<b>48</b>	<b>21</b>	<b>44%</b> ▼	<b>26</b>	<b>15</b>	<b>58%</b> ▼
<b>TRAVELERS INSURANCE TPA Administered Claims</b>						
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	1	0	0%	1	1	100%
CA190 GALLAGHER BASSETT SERVICES	4	3	75%	No Filings	No Filings	No Filings
CA204 HELMSMAN MANAGEMENT SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	5	4	80%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>11</b>	<b>8</b>	<b>73%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>TRAVELERS INSURANCE Group Total</b>	<b>59</b>	<b>29</b>	<b>49%</b> ▼	<b>27</b>	<b>16</b>	<b>59%</b> ▼
<b>TRISTAR RISK ENTERPRISE MANAGEMENT</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA280 TRISTAR RISK ENTERPRISE MANAGEMENT	1	0	0%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>TRISTAR RISK ENTERPRISE MANAGEMENT Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>

Maine Workers' Compensation Board  
 Lost Time FROI Filing Benchmark: 85%  
 Initial Indemnity Payment Benchmark: 87%

\* Indicates no claims activity  
 ▲ Indicates benchmark met or exceeded  
 ▼ Indicates benchmark not met

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Second Quarter

4/1/2018 - 6/30/2018

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>XL INSURANCE</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA384 XL INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>XL INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA116 CORVEL ENTERPRISE COMP	3	1	33%	2	2	100%
CA160 ESIS	1	0	0%	1	0	0%
CA190 GALLAGHER BASSETT SERVICES	12	11	92%	6	6	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	10	10	100%	3	3	100%
<b>TPA Total</b>	<b>27</b>	<b>23</b>	<b>85%</b> ▲	<b>12</b>	<b>11</b>	<b>92%</b> ▲
<b>XL INSURANCE Group Total</b>	<b>27</b>	<b>23</b>	<b>85%</b> ▲	<b>12</b>	<b>11</b>	<b>92%</b> ▲
<b>YORK RISK SERVICES</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA340 YORK RISK SERVICES	9	6	67%	3	1	33%
<b>Total</b>	<b>9</b>	<b>6</b>	<b>67%</b> ▼	<b>3</b>	<b>1</b>	<b>33%</b> ▼
<b>YORK RISK SERVICES Group Total</b>	<b>9</b>	<b>6</b>	<b>67%</b> ▼	<b>3</b>	<b>1</b>	<b>33%</b> ▼
<b>ZURICH INSURANCE</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA021 AMERICAN GUARANTEE & LIABILITY	*	*	*	*	*	*
CA022 AMERICAN ZURICH	5	2	40%	No Filings	No Filings	No Filings
CA400 ZURICH AMERICAN INSURANCE	5	5	100%	1	1	100%
CA404 ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	No Filings	No Filings	No Filings	1	1	100%
<b>Total</b>	<b>10</b>	<b>7</b>	<b>70%</b> ▼	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>ZURICH INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	1	1	100%
CA160 ESIS	3	1	33%	1	0	0%
CA190 GALLAGHER BASSETT SERVICES	10	8	80%	6	5	83%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	10	10	100%	5	5	100%
<b>TPA Total</b>	<b>24</b>	<b>20</b>	<b>83%</b> ▼	<b>13</b>	<b>11</b>	<b>85%</b> ▼
<b>ZURICH INSURANCE Group Total</b>	<b>34</b>	<b>27</b>	<b>79%</b> ▼	<b>15</b>	<b>13</b>	<b>87%</b> ▲

## **Appendix B**

**Insurance Group Compliance  
Initial MOP and Initial Indemnity NOC Filings  
Second Quarter 2018  
4/1/2018-6/30/2018**

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Second Quarter  
4/1/2018 - 6/30/2018

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>ACADIA INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA010 ACADIA INSURANCE	19	19	100%	12	12	100%
<b>Total</b>	<b>19</b>	<b>19</b>	<b>100%</b> ▲	<b>12</b>	<b>12</b>	<b>100%</b> ▲
<b>ACADIA INSURANCE Group Total</b>	<b>19</b>	<b>19</b>	<b>100%</b> ▲	<b>12</b>	<b>12</b>	<b>100%</b> ▲
<b>ACUITY MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA418 ACUITY MUTUAL INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>ACUITY MUTUAL INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>AIG INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA015 AIG CLAIMS, INC	23	22	96%	27	27	100%
<b>Total</b>	<b>23</b>	<b>22</b>	<b>96%</b> ▲	<b>27</b>	<b>27</b>	<b>100%</b> ▲
<b>AIG INSURANCE TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	1	1	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>AIG INSURANCE Group Total</b>	<b>24</b>	<b>23</b>	<b>96%</b> ▲	<b>27</b>	<b>27</b>	<b>100%</b> ▲
<b>ALLIANZ INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
AMERICAN INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ALLIANZ INSURANCE TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	No Filings	No Filings	No Filings	1	1	100%
<b>TPA Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>ALLIANZ INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>AMERISURE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA013 AMERISURE INSURANCE	*	*	*	*	*	*
AMERISURE MUTUAL INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>AMERISURE INSURANCE TPA Administered Claims</b>						
CA280 TRISTAR RISK ENTERPRISE MANAGEMENT	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>AMERISURE INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>AMTRUST INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA437 SECURITY NATIONAL INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA342 TECHNOLOGY INSURANCE	1	1	100%	No Filings	No Filings	No Filings
CA381 WESCO INSURANCE	7	6	86%	3	3	100%
<b>Total</b>	<b>8</b>	<b>7</b>	<b>88%</b> ▲	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>AMTRUST INSURANCE Group Total</b>	<b>8</b>	<b>7</b>	<b>88%</b> ▲	<b>3</b>	<b>3</b>	<b>100%</b> ▲



**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Second Quarter  
4/1/2018 - 6/30/2018

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>ARCH INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
ARCH INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ARCH INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	2	1	50%	2	2	100%
CA160 ESIS	No Filings	No Filings	No Filings	1	0	0%
CA190 GALLAGHER BASSETT SERVICES	2	1	50%	4	4	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	1	1	100%
CA340 YORK RISK SERVICES	No Filings	No Filings	No Filings	1	1	100%
<b>TPA Total</b>	<b>5</b>	<b>3</b>	<b>60%</b> ▼	<b>9</b>	<b>8</b>	<b>89%</b> ▼
<b>ARCH INSURANCE Group Total</b>	<b>5</b>	<b>3</b>	<b>60%</b> ▼	<b>9</b>	<b>8</b>	<b>89%</b> ▼
<b>BATH IRON WORKS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA036 BATH IRON WORKS	12	12	100%	8	8	100%
<b>Total</b>	<b>12</b>	<b>12</b>	<b>100%</b> ▲	<b>8</b>	<b>8</b>	<b>100%</b> ▲
<b>BATH IRON WORKS Group Total</b>	<b>12</b>	<b>12</b>	<b>100%</b> ▲	<b>8</b>	<b>8</b>	<b>100%</b> ▲
<b>BENCHMARK INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
BENCHMARK INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>BENCHMARK INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>BENCHMARK INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>BERKSHIRE HATHAWAY INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA114 BERKSHIRE HATHAWAY HOMESTATE INSURANCE	*	*	*	*	*	*
CA115 CONTINENTAL INDEMNITY	3	3	100%	No Filings	No Filings	No Filings
CA293 REDWOOD FIRE AND CASUALTY INSURANCE	2	0	0%	No Filings	No Filings	No Filings
<b>Total</b>	<b>5</b>	<b>3</b>	<b>60%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>BERKSHIRE HATHAWAY INSURANCE Group Total</b>	<b>5</b>	<b>3</b>	<b>60%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>BROADSPIRE SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA040 BROADSPIRE SERVICES	5	4	80%	4	4	100%
<b>Total</b>	<b>5</b>	<b>4</b>	<b>80%</b> ▼	<b>4</b>	<b>4</b>	<b>100%</b> ▲
<b>BROADSPIRE SERVICES Group Total</b>	<b>5</b>	<b>4</b>	<b>80%</b> ▼	<b>4</b>	<b>4</b>	<b>100%</b> ▲
<b>CANNON COCHRAN MANAGEMENT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA070 CANNON COCHRAN MANAGEMENT SERVICES	25	22	88%	13	13	100%
<b>Total</b>	<b>25</b>	<b>22</b>	<b>88%</b> ▲	<b>13</b>	<b>13</b>	<b>100%</b> ▲
<b>CANNON COCHRAN MANAGEMENT SERVICES Group Total</b>	<b>25</b>	<b>22</b>	<b>88%</b> ▲	<b>13</b>	<b>13</b>	<b>100%</b> ▲

Maine Workers' Compensation Board  
Initial MOP Filing Benchmark: 85%  
Initial Indemnity NOC Benchmark: 90%

\* Indicates no claims activity  
▲ Indicates benchmark met or exceeded  
▼ Indicates benchmark not met

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>CHESTERFIELD SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA400 ZURICH AMERICAN INSURANCE	1	0	0%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CHESTERFIELD SERVICES Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CHUBB INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
ACE AMERICAN INSURANCE COMPANY	*	*	*	*	*	*
CA046 CHUBB INSURANCE	*	*	*	*	*	*
CA090 FEDERAL INSURANCE INDEMNITY INS CO OF NORTH AMERICA	*	*	*	*	*	*
PACIFIC INDEMNITY CO	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>CHUBB INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	1	1	100%
CA070 CANNON COCHRAN MANAGEMENT SERVICES	2	2	100%	1	1	100%
CA110 CONSTITUTION STATE SERVICES	7	7	100%	2	1	50%
CA116 CORVEL ENTERPRISE COMP	1	0	0%	No Filings	No Filings	No Filings
CA160 ESIS	3	3	100%	4	4	100%
CA190 GALLAGHER BASSETT SERVICES	11	11	100%	6	6	100%
CA204 HELMSMAN MANAGEMENT SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	16	16	100%	13	13	100%
CA340 YORK RISK SERVICES	2	0	0%	1	1	100%
<b>TPA Total</b>	<b>43</b>	<b>40</b>	<b>93%</b> ▲	<b>28</b>	<b>27</b>	<b>96%</b> ▲
<b>CHUBB INSURANCE Group Total</b>	<b>43</b>	<b>40</b>	<b>93%</b> ▲	<b>28</b>	<b>27</b>	<b>96%</b> ▲
<b>CHURCH MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA084 CHURCH MUTUAL INSURANCE	1	1	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CHURCH MUTUAL INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CIANBRO CORPORATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA085 CIANBRO CORPORATION	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CIANBRO CORPORATION Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CLAIMS MANAGEMENT (WALMART)</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA100 CLAIMS MANAGEMENT (WALMART)	4	3	75%	15	13	87%
<b>Total</b>	<b>4</b>	<b>3</b>	<b>75%</b> ▼	<b>15</b>	<b>13</b>	<b>87%</b> ▼
<b>CLAIMS MANAGEMENT (WALMART) Group Total</b>	<b>4</b>	<b>3</b>	<b>75%</b> ▼	<b>15</b>	<b>13</b>	<b>87%</b> ▼

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>CNA INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA050 CONTINENTAL CASUALTY	1	1	100%	No Filings	No Filings	No Filings
CA271 NATIONAL FIRE INSURANCE	1	1	100%	No Filings	No Filings	No Filings
CA314 TRANSPORTATION INSURANCE	1	1	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CNA INSURANCE Group Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CONSTITUTION STATE SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA110 CONSTITUTION STATE SERVICES	7	7	100%	3	2	67%
<b>Total</b>	<b>7</b>	<b>7</b>	<b>100%</b> ▲	<b>3</b>	<b>2</b>	<b>67%</b> ▼
<b>CONSTITUTION STATE SERVICES Group Total</b>	<b>7</b>	<b>7</b>	<b>100%</b> ▲	<b>3</b>	<b>2</b>	<b>67%</b> ▼
<b>CORVEL ENTERPRISE COMP</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA116 CORVEL ENTERPRISE COMP	4	1	25%	1	0	0%
<b>Total</b>	<b>4</b>	<b>1</b>	<b>25%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>CORVEL ENTERPRISE COMP Group Total</b>	<b>4</b>	<b>1</b>	<b>25%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	1	0	0%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CROSS INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA093 CROSS INSURANCE	32	30	94%	53	53	100%
<b>Total</b>	<b>32</b>	<b>30</b>	<b>94%</b> ▲	<b>53</b>	<b>53</b>	<b>100%</b> ▲
<b>CROSS INSURANCE Group Total</b>	<b>32</b>	<b>30</b>	<b>94%</b> ▲	<b>53</b>	<b>53</b>	<b>100%</b> ▲
<b>EASTERN ALLIANCE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA141 EASTERN ALLIANCE INSURANCE	11	10	91%	1	1	100%
<b>Total</b>	<b>11</b>	<b>10</b>	<b>91%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>EASTERN ALLIANCE INSURANCE Group Total</b>	<b>11</b>	<b>10</b>	<b>91%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>ELECTRIC INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA150 ELECTRIC INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ELECTRIC INSURANCE TPA Administered Claims</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	3	3	100%	1	1	100%
<b>TPA Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>ELECTRIC INSURANCE Group Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲

**INSURANCE GROUP COMPLIANCE**  
 Initial MOP and Initial Indemnity NOC Filings  
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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>ESIS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA160 ESIS	6	4	67%	8	6	75%
<b>Total</b>	<b>6</b>	<b>4</b>	<b>67%</b> ▼	<b>8</b>	<b>6</b>	<b>75%</b> ▼
<b>ESIS Group Total</b>	<b>6</b>	<b>4</b>	<b>67%</b> ▼	<b>8</b>	<b>6</b>	<b>75%</b> ▼
<b>EVEREST REINS HOLDINGS GROUP</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
EVEREST REINS HOLDINGS	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>EVEREST REINS HOLDINGS GROUP TPA Administered Claims</b>						
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>EVEREST REINS HOLDINGS GROUP Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>FEDERATED MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA091 FEDERATED MUTUAL INSURANCE	4	2	50%	No Filings	No Filings	No Filings
CA092 FEDERATED SERVICE INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>4</b>	<b>2</b>	<b>50%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>FEDERATED MUTUAL INSURANCE Group Total</b>	<b>4</b>	<b>2</b>	<b>50%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>FUTURECOMP</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA175 FUTURECOMP	8	7	88%	5	4	80%
<b>Total</b>	<b>8</b>	<b>7</b>	<b>88%</b> ▲	<b>5</b>	<b>4</b>	<b>80%</b> ▼
<b>FUTURECOMP Group Total</b>	<b>8</b>	<b>7</b>	<b>88%</b> ▲	<b>5</b>	<b>4</b>	<b>80%</b> ▼
<b>GALLAGHER BASSETT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA190 GALLAGHER BASSETT SERVICES	38	34	89%	15	14	93%
<b>Total</b>	<b>38</b>	<b>34</b>	<b>89%</b> ▲	<b>15</b>	<b>14</b>	<b>93%</b> ▲
<b>GALLAGHER BASSETT SERVICES Group Total</b>	<b>38</b>	<b>34</b>	<b>89%</b> ▲	<b>15</b>	<b>14</b>	<b>93%</b> ▲
<b>GREAT FALLS INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
GREAT FALLS INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>GREAT FALLS INSURANCE TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	7	5	71%	6	6	100%
<b>TPA Total</b>	<b>7</b>	<b>5</b>	<b>71%</b> ▼	<b>6</b>	<b>6</b>	<b>100%</b> ▲
<b>GREAT FALLS INSURANCE Group Total</b>	<b>7</b>	<b>5</b>	<b>71%</b> ▼	<b>6</b>	<b>6</b>	<b>100%</b> ▲

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>GUARD INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA019 AMGUARD INSURANCE	3	3	100%	No Filings	No Filings	No Filings
CA140 EASTGUARD INSURANCE	2	2	100%	No Filings	No Filings	No Filings
CA272 NORGUARD INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>5</b>	<b>5</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>GUARD INSURANCE Group Total</b>	<b>5</b>	<b>5</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>HANNAFORD BROTHERS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA201 HANNAFORD BROTHERS	36	18	50%	8	5	63%
<b>Total</b>	<b>36</b>	<b>18</b>	<b>50%</b> ▼	<b>8</b>	<b>5</b>	<b>63%</b> ▼
<b>HANNAFORD BROTHERS Group Total</b>	<b>36</b>	<b>18</b>	<b>50%</b> ▼	<b>8</b>	<b>5</b>	<b>63%</b> ▼
<b>HANNOVER INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
HANNOVER INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>HANNOVER INSURANCE TPA Administered Claims</b>						
CA340 YORK RISK SERVICES	1	1	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>HANNOVER INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>HANOVER INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA428 ALLMERICA FINANCIAL BENEFIT INSURANCE	No Filings	No Filings	No Filings	1	0	0%
CA048 CITIZENS INSURANCE COMPANY OF AMERICA	No Filings	No Filings	No Filings	1	1	100%
CA429 HANOVER AMERICAN INSURANCE	1	1	100%	No Filings	No Filings	No Filings
CA202 HANOVER INSURANCE	1	1	100%	No Filings	No Filings	No Filings
CA228 MASSACHUSETTS BAY INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>2</b>	<b>1</b>	<b>50%</b> ▼
<b>HANOVER INSURANCE Group Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>2</b>	<b>1</b>	<b>50%</b> ▼

**INSURANCE GROUP COMPLIANCE**  
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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>HARTFORD INSURANCE</b>						
CA188 HARTFORD ACCIDENT & INDEMNITY	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA185 HARTFORD CASUALTY INSURANCE	2	2	100%	2	2	100%
CA203 HARTFORD FIRE INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA186 HARTFORD INSURANCE COMPANY OF THE MIDWEST	No Filings	No Filings	No Filings	1	0	0%
CA187 HARTFORD UNDERWRITERS INSURANCE	4	3	75%	1	0	0%
CA288 PROPERTY & CASUALTY INSURANCE COMPANY OF HARTFORD	5	5	100%	3	3	100%
CA296 SENTINEL INSURANCE	*	*	*	*	*	*
CA319 TRUMBULL INSURANCE	2	2	100%	7	6	86%
CA321 TWIN CITY FIRE INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>Total</b>	<b>13</b>	<b>12</b>	<b>92%</b> ▲	<b>14</b>	<b>11</b>	<b>79%</b> ▼
<b>HARTFORD INSURANCE TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA116 CORVEL ENTERPRISE COMP	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>HARTFORD INSURANCE Group Total</b>	<b>14</b>	<b>13</b>	<b>93%</b> ▲	<b>14</b>	<b>11</b>	<b>79%</b> ▼
<b>HELMSMAN MANAGEMENT SERVICES</b>						
CA204 HELMSMAN MANAGEMENT SERVICES	4	3	75%	3	2	67%
<b>Total</b>	<b>4</b>	<b>3</b>	<b>75%</b> ▼	<b>3</b>	<b>2</b>	<b>67%</b> ▼
<b>HELMSMAN MANAGEMENT SERVICES Group Total</b>	<b>4</b>	<b>3</b>	<b>75%</b> ▼	<b>3</b>	<b>2</b>	<b>67%</b> ▼
<b>LIBERTY MUTUAL INSURANCE</b>						
CA003 AMERICAN FIRE & CASUALTY INSURANCE	3	2	67%	1	1	100%
CA380 EMPLOYERS INSURANCE OF WAUSAU	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA162 EXCELSIOR INSURANCE	*	*	*	*	*	*
CA210 LIBERTY MUTUAL INSURANCE	15	14	93%	14	14	100%
CA406 OHIO CASUALTY INSURANCE	1	1	100%	1	1	100%
CA407 OHIO SECURITY INSURANCE	3	3	100%	2	2	100%
CA283 PEERLESS INDEMNITY INSURANCE	*	*	*	*	*	*
CA275 PEERLESS INSURANCE	1	1	100%	No Filings	No Filings	No Filings
CA309 THE NETHERLANDS INSURANCE	No Filings	No Filings	No Filings	1	1	100%
CA408 WEST AMERICAN INSURANCE	1	1	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>24</b>	<b>22</b>	<b>92%</b> ▲	<b>19</b>	<b>19</b>	<b>100%</b> ▲
<b>LIBERTY MUTUAL INSURANCE Group Total</b>	<b>24</b>	<b>22</b>	<b>92%</b> ▲	<b>19</b>	<b>19</b>	<b>100%</b> ▲

**INSURANCE GROUP COMPLIANCE**  
 Initial MOP and Initial Indemnity NOC Filings  
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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>MAINE AUTOMOBILE DEALERS ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA220 MAINE AUTOMOBILE DEALERS ASSOCIATION	9	9	100%	1	1	100%
<b>Total</b>	<b>9</b>	<b>9</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>MAINE AUTOMOBILE DEALERS ASSOCIATION Group Total</b>	<b>9</b>	<b>9</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>MAINE EMPLOYERS' MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA260 MAINE EMPLOYERS' MUTUAL INSURANCE	307	278	91%	236	225	95%
<b>Total</b>	<b>307</b>	<b>278</b>	<b>91%</b> ▲	<b>236</b>	<b>225</b>	<b>95%</b> ▲
<b>MAINE EMPLOYERS' MUTUAL INSURANCE Group Total</b>	<b>307</b>	<b>278</b>	<b>91%</b> ▲	<b>236</b>	<b>225</b>	<b>95%</b> ▲
<b>MAINE HEALTHCARE ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA234 MAINE HEALTHCARE ASSOCIATION	3	1	33%	6	6	100%
<b>Total</b>	<b>3</b>	<b>1</b>	<b>33%</b> ▼	<b>6</b>	<b>6</b>	<b>100%</b> ▲
<b>MAINE HEALTHCARE ASSOCIATION Group Total</b>	<b>3</b>	<b>1</b>	<b>33%</b> ▼	<b>6</b>	<b>6</b>	<b>100%</b> ▲
<b>MAINE MOTOR TRANSPORT ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA230 MAINE MOTOR TRANSPORT ASSOCIATION	9	8	89%	5	5	100%
<b>Total</b>	<b>9</b>	<b>8</b>	<b>89%</b> ▲	<b>5</b>	<b>5</b>	<b>100%</b> ▲
<b>MAINE MOTOR TRANSPORT ASSOCIATION Group Total</b>	<b>9</b>	<b>8</b>	<b>89%</b> ▲	<b>5</b>	<b>5</b>	<b>100%</b> ▲
<b>MAINE MUNICIPAL ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA225 MAINE MUNICIPAL ASSOCIATION	57	54	95%	49	49	100%
<b>Total</b>	<b>57</b>	<b>54</b>	<b>95%</b> ▲	<b>49</b>	<b>49</b>	<b>100%</b> ▲
<b>MAINE MUNICIPAL ASSOCIATION Group Total</b>	<b>57</b>	<b>54</b>	<b>95%</b> ▲	<b>49</b>	<b>49</b>	<b>100%</b> ▲
<b>MAINE SCHOOL MANAGEMENT ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA250 MAINE SCHOOL MANAGEMENT ASSOCIATION	19	19	100%	13	12	92%
<b>Total</b>	<b>19</b>	<b>19</b>	<b>100%</b> ▲	<b>13</b>	<b>12</b>	<b>92%</b> ▲
<b>MAINE SCHOOL MANAGEMENT ASSOCIATION Group Total</b>	<b>19</b>	<b>19</b>	<b>100%</b> ▲	<b>13</b>	<b>12</b>	<b>92%</b> ▲
<b>MEADOWBROOK INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA255 MEADOWBROOK INSURANCE	1	1	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>MEADOWBROOK INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>NATIONWIDE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA289 NATIONAL CASUALTY	1	1	100%	No Filings	No Filings	No Filings
CA291 NATIONWIDE AGRIBUSINESS INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>NATIONWIDE INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>

Maine Workers' Compensation Board  
 Initial MOP Filing Benchmark: 85%  
 Initial Indemnity NOC Benchmark: 90%

\* Indicates no claims activity  
 ▲ Indicates benchmark met or exceeded  
 ▼ Indicates benchmark not met

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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>NEXT LEVEL ADMINISTRATORS, LLC</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA433 NEXT LEVEL ADMINISTRATORS, LLC	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>NEXT LEVEL ADMINISTRATORS, LLC Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>NGM INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA265 NGM INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>NGM INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>NORTH RIVER INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA257 NORTH RIVER INSURANCE	1	0	0%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>NORTH RIVER INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>OLD REPUBLIC INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
OLD REPUBLIC GENERAL INSURANCE CORP.	*	*	*	*	*	*
OLD REPUBLIC INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>OLD REPUBLIC INSURANCE TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA110 CONSTITUTION STATE SERVICES	No Filings	No Filings	No Filings	1	1	100%
CA190 GALLAGHER BASSETT SERVICES	2	2	100%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	1	1	100%
<b>TPA Total</b>	<b>4</b>	<b>4</b>	<b>100%</b> ▲	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>OLD REPUBLIC INSURANCE Group Total</b>	<b>4</b>	<b>4</b>	<b>100%</b> ▲	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>PENNSYLVANIA MFG ASSN</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
MANUFACTURERS ALLIANCE INSURANCE	*	*	*	*	*	*
PENNSYLVANIA MFG ASSN	*	*	*	*	*	*
PENNSYLVANIA MFG INDEMNITY CO	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>PENNSYLVANIA MFG ASSN TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	2	1	50%	1	0	0%
<b>TPA Total</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>PENNSYLVANIA MFG ASSN Group Total</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼



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Second Quarter  
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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>QBE INSURANCE GROUP</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
QBE INSURANCE GROUP	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>QBE INSURANCE GROUP TPA Administered Claims</b>						
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	2	1	50%
<b>TPA Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>2</b>	<b>1</b>	<b>50%</b> ▼
<b>QBE INSURANCE GROUP Group Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>2</b>	<b>1</b>	<b>50%</b> ▼
<b>SAFETY NATIONAL CASUALTY CORP</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
SAFETY NATIONAL CASUALTY CORP	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>SAFETY NATIONAL CASUALTY CORP TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	1	1	100%
CA070 CANNON COCHRAN MANAGEMENT SERVICES	No Filings	No Filings	No Filings	1	1	100%
CA116 CORVEL ENTERPRISE COMP	1	0	0%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	2	1	50%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	2	2	100%
<b>TPA Total</b>	<b>6</b>	<b>4</b>	<b>67%</b> ▼	<b>4</b>	<b>4</b>	<b>100%</b> ▲
<b>SAFETY NATIONAL CASUALTY CORP Group Total</b>	<b>6</b>	<b>4</b>	<b>67%</b> ▼	<b>4</b>	<b>4</b>	<b>100%</b> ▲
<b>SEDGWICK CLAIMS MANAGEMENT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	41	40	98%	34	33	97%
<b>Total</b>	<b>41</b>	<b>40</b>	<b>98%</b> ▲	<b>34</b>	<b>33</b>	<b>97%</b> ▲
<b>SEDGWICK CLAIMS MANAGEMENT SERVICES Group Total</b>	<b>41</b>	<b>40</b>	<b>98%</b> ▲	<b>34</b>	<b>33</b>	<b>97%</b> ▲
<b>SENTRY INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA402 SENTRY CASUALTY	3	3	100%	No Filings	No Filings	No Filings
CA305 SENTRY INSURANCE	2	2	100%	1	1	100%
CA308 SENTRY SELECT INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>5</b>	<b>5</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>SENTRY INSURANCE Group Total</b>	<b>5</b>	<b>5</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>STARR INDEMNITY INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
STARR INDEMNITY INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>STARR INDEMNITY INSURANCE TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	3	3	100%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>5</b>	<b>5</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>STARR INDEMNITY INSURANCE Group Total</b>	<b>5</b>	<b>5</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Second Quarter  
4/1/2018 - 6/30/2018

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA307 STATE OF MAINE WORKERS' COMPENSATION TRUST	41	39	95%	43	41	95%
<b>Total</b>	<b>41</b>	<b>39</b>	<b>95%</b> ▲	<b>43</b>	<b>41</b>	<b>95%</b> ▲
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST Group Total</b>	<b>41</b>	<b>39</b>	<b>95%</b> ▲	<b>43</b>	<b>41</b>	<b>95%</b> ▲
<b>SYNERNET</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA320 SYNERNET	18	16	89%	40	39	98%
<b>Total</b>	<b>18</b>	<b>16</b>	<b>89%</b> ▲	<b>40</b>	<b>39</b>	<b>98%</b> ▲
<b>SYNERNET Group Total</b>	<b>18</b>	<b>16</b>	<b>89%</b> ▲	<b>40</b>	<b>39</b>	<b>98%</b> ▲
<b>THE AMERICAN EQUITY UNDERWRITERS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA323 THE AMERICAN EQUITY UNDERWRITERS	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>THE AMERICAN EQUITY UNDERWRITERS Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>TRAVELERS INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA072 CHARTER OAK FIRE INSURANCE	15	7	47%	7	3	43%
CA306 STANDARD FIRE INSURANCE	4	1	25%	No Filings	No Filings	No Filings
CA347 TRAVELERS CASUALTY & SURETY	2	1	50%	No Filings	No Filings	No Filings
CA348 TRAVELERS CASUALTY INSURANCE COMPANY OF AMERICA	1	0	0%	No Filings	No Filings	No Filings
CA349 TRAVELERS COMMERCIAL CASUALTY	1	1	100%	1	1	100%
CA346 TRAVELERS INDEMNITY COMPANY OF AMERICA	2	1	50%	No Filings	No Filings	No Filings
CA345 TRAVELERS PROPERTY CASUALTY INSURANCE	1	1	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>26</b>	<b>12</b>	<b>46%</b> ▼	<b>8</b>	<b>4</b>	<b>50%</b> ▼
<b>TRAVELERS INSURANCE TPA Administered Claims</b>						
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	1	0	0%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA204 HELMSMAN MANAGEMENT SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	No Filings	No Filings	No Filings	1	1	100%
<b>TPA Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>TRAVELERS INSURANCE Group Total</b>	<b>27</b>	<b>12</b>	<b>44%</b> ▼	<b>9</b>	<b>5</b>	<b>56%</b> ▼
<b>TRISTAR RISK ENTERPRISE MANAGEMENT</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA280 TRISTAR RISK ENTERPRISE MANAGEMENT	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>TRISTAR RISK ENTERPRISE MANAGEMENT Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Second Quarter  
4/1/2018 - 6/30/2018

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>XL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA384 XL INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>XL INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA116 CORVEL ENTERPRISE COMP	2	1	50%	1	0	0%
CA160 ESIS	1	0	0%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	6	6	100%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	3	3	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>12</b>	<b>10</b>	<b>83%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>XL INSURANCE Group Total</b>	<b>12</b>	<b>10</b>	<b>83%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>YORK RISK SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA340 YORK RISK SERVICES	3	1	33%	2	2	100%
<b>Total</b>	<b>3</b>	<b>1</b>	<b>33%</b> ▼	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>YORK RISK SERVICES Group Total</b>	<b>3</b>	<b>1</b>	<b>33%</b> ▼	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>ZURICH INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA021 AMERICAN GUARANTEE & LIABILITY	*	*	*	*	*	*
CA022 AMERICAN ZURICH	No Filings	No Filings	No Filings	2	2	100%
CA400 ZURICH AMERICAN INSURANCE	1	1	100%	2	2	100%
CA404 ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	1	1	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>4</b>	<b>4</b>	<b>100%</b> ▲
<b>ZURICH INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA160 ESIS	1	0	0%	1	1	100%
CA190 GALLAGHER BASSETT SERVICES	6	5	83%	2	2	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	5	5	100%	1	1	100%
<b>TPA Total</b>	<b>13</b>	<b>11</b>	<b>85%</b> ▲	<b>4</b>	<b>4</b>	<b>100%</b> ▲
<b>ZURICH INSURANCE Group Total</b>	<b>15</b>	<b>13</b>	<b>87%</b> ▲	<b>8</b>	<b>8</b>	<b>100%</b> ▲