

# **Section 6**

**Proof of Coverage Release 2.1**

**Data Dictionary**



June 1, 2007 Edition

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June 1, 2007 Edition

**Section 6 IAIABC Proof of Coverage Release 2.1 Standards:**

**Data Dictionary**

**INTRODUCTION**

This section contains the Proof of Coverage Data Dictionary. Included are data element definitions, links, and code lists necessary to successfully implement the IAIABC EDI Proof of Coverage system in your organization.

**There are two data elements that are not available for use in Release 1.0**. They are:

* Employee Leasing Policy Identification – DN0333
* Minimum Premium Indicator – DN0332

**Use of the following triplicate code is not available for use in Release 1.0 or Release 2.0**. This triplicate code is the combination of

* 00 (Transaction Set Purpose Code - DN0300)
* 60 (Transaction Set Type Code - DN0334)
* 59 (Transaction Reason Code - DN0303)

This dictionary contains some or all of the following information for every Proof of Coverage business and technical data element to be used in Release 1.0, 2.0 and 2.1.

***Definition:*** The meaning or purpose of the data element

***Business Need:*** Includes a description of how the information reported in the data element is

used for Proof of Coverage reporting, tracking and/or processing.
**Orig/Rev.*:*** The date that the data element was originally created followed by any revision

dates, if applicable.
***Source:*** Indicates the entity that originated and/or maintains code value structures and

population rules for the data element to encourage standard usage.
***Format:*** The field length and type of the data element

***Values:*** When applicable, a list of valid codes and their meaning.

***Record****:* An indication of which flat file the data element resides:

**PC1** = Insured Record **PC2** = Employer Record

**AK1**= Proof of Coverage Acknowledgment Detail Record (R1.0, 2.0)
**AKP** = Proof of Coverage Acknowledgment Detail Record (R2.1 only)
**ARP** = Proof of Coverage Re-Acknowledgment Detail Record (R2.1 only)
**HD1** = Transmission Header Record
**TR1** = Transmission Trailer Record
**WCP**=WCPOLS Transaction
***DP Rule:*** The data population (DP) rule(s) for the data element. Includes Implementation

notes and other process rules for the data element. ***Code List Disclaimer:*** Unused data element code values for any IAIABC standards product (Claims, Proof of Coverage, or Medical) are reserved for future IAIABC use and may not be assigned and used for any proprietary purpose. Proposals to add new codes should be submitted through the IRR process.

Rev. 06-01-07

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**IAIABC Proof of Coverage Release 2.1 Standards:**

**Data Dictionary**

**Acknowledgment Transaction Set ID - DN0110**

*Definition:*

*Business Need:*

*Orig/Rev.: Source: Format: Values:*

The transaction set identifier that identifies the transaction being

acknowledged.

One of the codes needed to uniquely identify the transaction being

acknowledged.

This will be the release date

IAIABC

3 A/N

POC - Proof of Coverage (See DP Rule)

PC1 - Insured Record Transaction (See DP Rule)

PC2 - Employer Record Transaction (See DP Rule)

WCP - WCPOLS Transaction

*Record: DP Rule*:

AK1, AKP or ARP (Acknowledgment Record)

POC acknowledges at the record level (PC1 and PC2). The same Data

Element is being used for this purpose as well as at the transaction level for

other IAIABC products.

POC, PC1, PC2, and WCP are all valid values for Releases 1.0 and 2.0.

**Application Acknowledgment Code - DN0111**

POC is no longer a valid value for Release 2.1.

*Definition:*

A code used to identify the accepted / rejected status of the transaction being

acknowledged.

*Business Need:*

To identify to the originator whether a detail transaction was accepted or

rejected by the jurisdiction, and if the jurisdiction encountered critical and/or

non-critical errors in the contents of the transaction.

*Orig/Rev.: Source: Format: Values:*

11/01/01

IAIABC

2 A/N

HD = Transmission Rejected

TA = Transaction Accepted by Jurisdiction

TE = Transaction Accepted with Errors by Jurisdiction

TR = Transaction Rejected by Jurisdiction

TW = Transaction Rejected by EDI Service Provider: Insufficient data to

map WCPOLS to IAIABC POC file. TN = Transaction Rejected by EDI Service Provider: Fails Jurisdiction

*Record:*

**Assignment Date - DN0325**

Mandatory Requirements. AK1, AKP or ARP (Acknowledgment Record)

*Definition: Business Need:*

Date of notice to carrier/insurer of involuntary market coverage assignment.

Alternative date which may be needed to determine compliance within the

involuntary market when the policy effective date is different from the date

the contract is assigned to the carrier/insurer.

*Orig/Rev.: Source: Format: Record:*

05/03/95

IAIABC

8 DATE

PC1 (Insured Record)

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**Section 6 IAIABC Proof of Coverage Release 2.1 Standards:**

**Data Dictionary**

**Business Market - DN0321**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Values:*

*Record:*

**Date Processed - DN0108**

*Definition:*

A code which determines the market in which the policy/contract is written.

Identifies the carrier/insurer relationship to the policy being written.

05/03/95

WCPOLS

1A/N

1. Voluntary
2. Involuntary PC1 (Insured Record)

The date that the receiver processed the detail transaction. Together with

time processed and a record sequence number it will uniquely identify a

specific acknowledgment detail record.

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

Needed for reconciliation.

08/09/95

IAIABC

8 DATE

AK1 or AKP (Acknowledgment Record)

**Date Transmission Sent - DN0100**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

Actual date transmission of data sent.

To identify when the transmission was sent.

06/07/95

IAIABC

8 DATE

HD1 (Header Record)

**Detail Record Count - DN0106**

*Definition:*

*Business Need:*

*Orig/Rev.: Source: Format: Record:*

The total number of detail records sent as part of this transmission.

Transmission header and trailer records are not included in this count.

To indicate the number of detail records included in a transmission for

verification purposes.

08/18/94

IAIABC

9N

TR1 (Trailer Record)

**Element Error Number - DN0116**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

A number to uniquely identify the edit performed on an element and is part of

the error code.

Identifies the error detected.

07/21/93

IAIABC

3 A/N

AK1, AKP or ARP (Acknowledgment Record)

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**IAIABC Proof of Coverage Release 2.1 Standards:**

**Data Dictionary**

**Element Number - DN0115**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

A unique number assigned to each data element and is part of the error

code.

Identifies the element for which an error was detected.

08/18/94

IAIABC

4 A/N

AK1, AKP or ARP (Acknowledgment Record)

**Employee Leasing Policy Identification – DN0333**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Values:*

Code which identifies a policy written as an Employee Leasing policy, and

the type of leasing operation.

Used by jurisdictions to identify Employee Leasing policies.

11/01/01

WCPOLS

1 A/N

<http://www.iaiabc.org/EDI/implementation.asp>

|  |  |  |
| --- | --- | --- |
| **ID** | **ID Description** | **Definition** |
| 1 | Non-Employee Leasing Policy | Employers covered under this policy are not part of an Employee Leasing arrangement. |
| 2 | PEO Policy For Leased Workers of Multiple Client Companies | The Professional Employer Organization (PEO) is the named insured and coverage is extended to the leased workers of multiple Client Companies. The non-leased workers of the PEO may also be covered under this policy. |
| 3 | PEO Policy For Non-Leased Workers of PEO | The Professional Employer Organization (PEO) is the named insured and coverage is extended to their non-leased workers only. The leased workers of the PEO are not covered under this policy. |
| 4 | Client Company Policy For Leased Workers of Client Company | The Client Company is the named insured and the coverage is extended to the leased workers of the Client Company. The non-leased workers of the Client Company are not covered under this policy. |
| 5 | PEO Policy For Leased Workers of a Single Client Company | The Professional Employer Organization (PEO) is the named insured and coverage is extended to the leased workers of a single Client Company only. |
| 6 | Client Company Policy For Non-Leased Workers of Client Company | The Client Company is the named insured and coverage is extended to the non-leased workers of the Client Company. The Client Company is in an Employee Leasing arrangement but the leased workers are not covered under this policy. |
| 7 | Client Company Policy For Leased And Non-Leased Workers of Client Company | The Client Company is the named insured and coverage is extended to their leased and non-leased workers. |

*Record: DP Rule:*

PC1 (Insured Record)

This element is not available for Release 1.0.

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**Section 6 IAIABC Proof of Coverage Release 2.1 Standards:**

**Data Dictionary**

**Employer Address Line 1 - DN0019**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

The address of the employer’s facility.

To identify the address of the employer’s facility.

06/07/95

IAIABC

30 A/N

PC2 (Employer Record)

**Employer Address Line 2 - DN0020**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

**Employer City - DN0021**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

**Employer FEIN - DN0016**

*Definition: Business Need:*

*Orig/Rev.: Source: Format: Record: DP Rule:*

The address of the employer’s facility.

To identify the address of the employer’s facility.

06/07/95

IAIABC

30 A/N

PC2 (Employer Record)

The city of the employer’s facility.

To identify the address of the employer’s facility.

06/07/95

IAIABC

15 A/N

PC2 (Employer Record)

The FEIN of the employer.

To identify the employer, minimize record data, and reference employer

profile data.

09/30/95

IAIABC

9 A/N

PC2 (Employer Record)

The employer is denoted as any entity (e.g. DBA, AKA, TA, etc.) of the

insured. Multiple entities can exist for an insured.

**Employer Name - DN0018**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

*DP Rule:*

The name of the business entity of the insured.

To identify the statutorily responsible employer.

09/30/95

IAIABC

60 A/N

PC2 (Employer Record)

The employer is denoted as any entity (e.g. DBA, AKA, TA, etc.) of the

insured. Multiple entities can exist for an insured (including Legal entity

names e.g. INC, LLC, LP).

**Employer Notification Date - DN0331**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

The date the carrier/insurer mails the cancellation or non-renewal notification,

as required by the jurisdiction.

Informs jurisdictions of when carrier/insurer notifies the employer.

09/30/95

IAIABC

8 DATE

PC2 (Employer Record)

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**Data Dictionary**

*DP Rule:*

What constitutes notification will be determined by individual trading partner agreements.

**Employer Postal Code - DN0023**

**Employer UI Code - DN0329**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

**Employer State - DN0022**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Values:*

*Format:*

*Record:*

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

*DP Rule:*

**Entire Batch/Transaction**

*Definition:*

The postal code of the employer’s facility.

To identify the address of the employer’s facility.

06/07/95

For the United States and its territories, this will be the USPS zip code.

9 A/N

PC2 (Employer Record)

The state of the employer’s facility.

To identify the address of the employer’s facility.

06/07/95

IAIABC

<http://www.iaiabc.org/EDI/implementation.asp>

2 A/N

PC2 (Employer Record)

The unemployment insurance number assigned for each employer by the

jurisdiction.

Identifies the employer.

12/09/94

IAIABC

15 A/N

PC2 (Employer Record).

Jurisdictions should identify their requirements for this alpha numeric

identifier.

**– DN0000**

DN0000 was created to express rejection of the entire batch or transaction and is communicated in the acknowledgment.

Batch: A batch is a set of records containing one header record, one or more detail transactions and one trailer record. Trading partner tables (Transmission Profile, Element Requirement Table, System Rules, Edit Matrix, etc.) should be used to determine batch edit rules. Any batch edit failure will cause the entire batch to be rejected. If a batch is rejected, the individual transactions within the rejected batch are not processed. If a batch is accepted, the process continues to validate detail transactions within the batch.

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Values:*

Transaction: A POC transaction consists of 1 or more 'Records' to

communicate a policy event. Failure of edits on the "primary" record will

cause "all related" records to be rejected. DN0000 is used to express the

rejection of the "related" records.

Used to communicate an error at the batch or transaction level.

07/01/97; 05/07/04

IAIABC

A/N 4

0000 = Entire Batch/Transaction

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*Record: DP Rule:*

**Section 6 IAIABC Proof of Coverage Release 2.1 Standards:**

**Data Dictionary**

Not a DN located on a specific file layout. Used to communicate a batch or

transaction error on AK1, AKP, ARP

See Acknowledgment Scenarios for batch error examples.

* Failure of edits on the PC1 (primary) record will cause the PC2 (related) record(s) to be rejected. DN0000 is used to communicate the rejection of the related record.
* Failure of edits on the PC2 (related) record(s) may cause PC1 (primary) record to be rejected. DN0000 is used to communicate the rejection of the primary record.

**Free Form Text - DN0113**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

An unstructured field used to convey jurisdiction information to the sender.

Only one free form text message can be generated per transaction.

Allows for free form communication.

08/18/94

IAIABC

60 A/N

AK1, AKP or ARP (Acknowledgment Record)

**Governing Class - DN0326**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

The rating classification identified in the policy/contract which contains the

largest payroll per jurisdiction.

Used to identify the primary operation of the insured within the jurisdiction.

12/09/94

WCPOLS

4 A/N

PC1 (Insured Record)

**Industry Code - DN0025**

*Definition:*

The code representing the nature of the employer’s business which is

contained in the industrial classification manual published by the Federal

Office of Management and Budget.

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Values*

*Record: DP Rule:*

Statistical

11/01/01, 04/09/05

<http://www.iaiabc.org/EDI/implementation.asp>

6 A/N

Federal Office of Management & Budget – most recent version of NAICS

codes

PC2 (Employer Record)

It is recommended that the Industry Code (NAICS) be required as an

element on Proof of Coverage reporting PRIOR to being required as a

mandatory data element on Claims transactions. It is advised that claim

administrators receive this data element from their policy reporting areas.

**Insured Address Line 1 - DN0315**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

The mailing address of the named insured contained on the policy/contract.

Identifies the location of the insured.

04/13/94

WCPOLS

30 A/N

PC1 (Insured Record)

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**IAIABC Proof of Coverage Release 2.1 Standards:**

**Data Dictionary**

**Insured Address Line 2 - DN0316**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

**Insured City - DN0317**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

**Insured FEIN - DN0314**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

**Insured Legal Status -**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Values:*

The mailing address of the named insured contained on the policy/contract.

Identifies the location of the insured.

04/13/94

WCPOLS

30 A/N

PC1 (Insured Record)

The city of the mailing address of named insured contained on the

policy/contract.

Identifies the location of the insured.

04/13/94

WCPOLS

30 A/N

PC1 (Insured Record)

The FEIN of the insured. Identifies the insured. 06/07/95 IAIABC

9 A/N
PC1 (Insured Record)

**DN0323**

Code which identifies the business ownership / management.

Identifies the type of business being insured.

07/01/04

WCPOLS

2 A/N

1. Individual
2. Partnership
3. Corporation
4. Association, Labor Union, Religious Organization
5. Limited Partner
6. Joint Venture
7. Limited Liability Company (LLC)
8. Trust or Estate
9. Executor or Trustee
10. Limited Liability Partnership (LLP)
11. Governmental Entity (See DP Rule) 99 Other

*Record: DP Rule:*

PC1 (Insured Record) This value is not available for Release 1.0.

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**Section 6 IAIABC Proof of Coverage Release 2.1 Standards:**

**Data Dictionary**

**Insured Name - DN0017**

*Definition:*

*Business Need:*

*Orig/Rev.: Source: Format: Record: DP Rule:*

The named insured of the policy, or the financially responsible self insured

approved by the jurisdiction.

To identify the insured in a hierarchically structured organization when the

employer is not the parent organization.

08/09/95

IAIABC

90 A/N

PC1 (Insured Record)

The Insured Name is the primary named insured on the policy.

**Insured Postal Code - DN0319**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

**Insured State - DN0318**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Values:*

*Format:*

*Record:*

The postal code (ZIP) relating to the mailing address of named insured

contained on the policy/contract.

Identifies the location of the insured.

04/13/94

For the United States and its territories, this will be the USPS zip code.

9 A/N

PC1 (Insured Record)

The state of the mailing address of named insured contained on the

policy/contract.

Identifies the location of the insured.

04/13/94

IAIABC

<http://www.iaiabc.org/EDI/implementation.asp>

2 A/N

PC1 (Insured Record)

**Insured Telephone Number - DN0320**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

**Insurer FEIN - DN0006**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

The primary telephone number of the named insured contained on the

policy/contract.

Provides phone number to jurisdictions for the insured.

09/30/94

IAIABC

10 A/N

PC1 (Insured Record)

The FEIN of the carrier or self insured assuming the employer’s financial

responsibility for Workers’ Compensation Claim(s).

To identify financial responsibility and to reference claims payer data.

08/09/95

IAIABC

9 A/N

PC1 (Insured Record), AK1 or AKP (Acknowledgment Record)

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6.9

**Section 6**

**IAIABC Proof of Coverage Release 2.1 Standards:**

**Data Dictionary**

**Insurer Name - DN0007**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

The name of the carrier or self insured assuming the employer’s financial

responsibility for Workers’ Compensation Claim(s).

To identify financial responsibility and to reference claims payer data.

06/07/95

WCPOLS

30 A/N

PC1 (Insured Record)

**Interchange Version ID**

*Definition:*

**DN0105**

A composite field comprised of a batch type (positions 1-3), release number

(position 4) and version number (position 5). Interchange Version ID is a data

element located in the Header Record. It is used to identify the batch type,

release and version of the transactions contained within the batch following

the Header Record through the Trailer Record. Batch type designates the

type of transactions within a batch. Release number identifies the release

level of the data of the record layout contained in the detail record that follow.

Version number identifies the version level of the release.

*Business Need:*

To identify the type and release level of the detail records contained within

the batch transmission and to uniquely identify the version number to

anticipate the format of the detail records that are to follow.

*Orig/Rev.:*

*Source:*

*Format:*

07/01/97, 12/31/02, 05/27/03, 1/25/06

IAIABC

Batch Type 3 A/N

Release Number 1 A/N

Version Number 1 A/N

*Values:*

POC01 - Proof of Coverage; Release 1, Version 0

POC02 - Proof of Coverage; Release 2, Version 0

POC21 – Proof of Coverage; Release 2, Version 1

AK101 - Acknowledgment for Proof of Coverage: Release 1, Version 0

AK101 - Acknowledgment for Proof of Coverage: Release 2, Version 0

AKP21 - Acknowledgment for Proof of Coverage: Release 2, Version 1

ARP21 - Re-Acknowledgment for Proof of Coverage: Release 2, Version 1

*Record:*

**Issuing Agency City - DN0312**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

The city of the agency responsible for producing the business.

Identifies the location of the agency producing the business policy.

12/09/94

IAIABC

30 A/N

PC1 (Insured Record)

HD1 (Header Record)

**Issuing Agency Name - DN0311**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

The name of the agency that produced the business.

Provides alternate contact for verifying policy information.

12/09/94

IAIABC

30 A/N

PC1 (Insured Record)

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**Section 6 IAIABC Proof of Coverage Release 2.1 Standards:**

**Data Dictionary**

**Issuing Agency State - DN0313**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Values:*

*Format:*

*Record:*

The state of the agency that produced the business.

Identifies the location of the agency that produced the business.

12/09/94

IAIABC

<http://www.iaiabc.org/EDI/implementation.asp>

2 A/N

PC1 (Insured Record)

**Issuing Office Address Line 1 - DN0306**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

The address of the carrier’s/insurer’s location responsible for registering the

Proof of Coverage notification to the jurisdiction.

Identifies the location of the issuing office.

05/03/95

IAIABC

30 A/N

PC1 (Insured Record)

**Issuing Office Address Line 2 - DN0307**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

The address of the carrier’s/insurer’s location responsible for registering the

Proof of Coverage notification to the jurisdiction.

Identifies the location of the issuing office.

05/03/95

IAIABC

30 A/N

PC1 (Insured Record)

**Issuing Office City - DN0308**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

The city of the carrier’s/insurer’s location responsible for registering the Proof

of Coverage notification to the jurisdiction.

Identifies the location of the issuing office.

05/03/95

IAIABC

30 A/N

PC1 (Insured Record)

**Issuing Office Name - DN0305**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

*DP Rule:*

The carrier’s/insurer’s office responsible for registering the Proof of Coverage

Notification to the jurisdiction.

Identifies the office that provides the proof of coverage to the jurisdictions.

05/03/95

IAIABC

30 A/N

PC1 (Insured Record)

Applicable to regional underwriting office or a third party issuing the policy.

**Issuing Office Postal Code - DN0310**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

The postal code of the carrier’s/insurer’s location responsible for registering

the Proof of Coverage notification to the jurisdiction.

Identifies the location of the issuing office.

05/03/95

For the United States and its territories, this will be the USPS zip code.

9 A/N

PC1 (Insured Record)

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**Section 6**

**IAIABC Proof of Coverage Release 2.1 Standards:**

**Data Dictionary**

**Issuing Office State - DN0309**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

**Jurisdiction - DN0004**

*Definition: Business Need: Orig/Rev.: Source:*

*Values:*

The state of the location responsible for registering the Proof of Coverage

notification to the jurisdiction.

Identifies the location of the issuing office.

07/11/94

<http://www.iaiabc.org/EDI/implementation.asp>

2 A/N

PC1 (Insured Record)

The governing body or territory whose statutes apply.

Used to identify the jurisdiction whose statutes apply.

06/07/95

See *State code* list at <http://www.iaiabc.org/EDI/implementation.asp>

Or list of non-state jurisdictions below

*Non-State Jurisdictions:*

UL Longshore & Harbor Workers Compensation Act

U1 Defense Base Act

U2 Non Appropriated Fund Instrumentalities Act

U3 Outer Continental Shelf Act

U4 War Hazards Compensation Act

FC Federal Coal Mine Health & Safety Act

FE Federal Employers Liability Act

M1 Admiralty I & II

*Format: Record:*

2 A/N

**Jurisdiction Designee Received Date - DN0302**

PC1 (Insured Record)

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

*DP Rule:*

The date the jurisdiction’s designee (if any) received the data.

To determine compliance with Proof of Coverage reporting requirements.

07/14/97

IAIABC

8 DATE

PC1 (Insured Record)

This element is never used by the insurer.

**Minimum Premium Indicator – DN0332**

*Definition*:

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Values:*

*Record*: *DP Rule:*

Code that identifies a policy written as a Minimum Premium Policy*.*

Used by jurisdictions to identify Minimum Premium policies.

11/01/01

WCPOLS

1 A/N

Y *=* Minimum Premium Policy

N = Non-Minimum Premium Policy

PC1 (Insured Record)

This element is not available for Release 1.0.

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**Section 6 IAIABC Proof of Coverage Release 2.1 Standards:**

**Data Dictionary**

**Number of Employees - DN0330**

*Definition:*

*Business Need:*

*Orig/Rev.: Source: Format: Record: DP Rule:*

**Number of Employers -**

*Definition: Business Need:*

*Orig/Rev.: Source: Format: Record: DP Rule:*

The number of employees for each employer within the jurisdiction at the

time the policy/contract is issued.

To be used by jurisdictions as an indicator for directing non-compliance of

insurance coverage and accident prevention programs.

12/09/94

IAIABC

6 N

PC2 (Employer Record)

In certain situations this number may be estimated.

**DN0328**

The number of employer segment occurrences.

To provide a counter of employer records that will immediately follow the

insured record.

12/09/94; 06/01/07

IAIABC

4 N

PC1 (Insured Record)

If the number of employer records is less than or equal to (<=) 9999 report

the total number of employer records in this field.

If the number of employer records is greater than (>) 9999, report blank in

this field and report the number of employer records in positions 545 – 550

**Number of Employers Expanded - DN0336**

*DN0336 Number of Employers Expanded***.**

*Definition:*

*Business Need:*

*Orig/Rev.: Source: Format: Record:* DP Rule:

The number of employer segment occurrences when there are more than

9999 employer records.

To provide a counter of employer records that will immediately follow the

insured record.

06/01/07

IAIABC

6 N

PC1 (Insured Record)

If the number of employer records is greater than (>) 9999 report the total

number of employer records in this field.

If the total number of employer locations is less than or equal to (<) 9999

report the total number of employer records in positions (582 – 585) *DN0328*

*Number of Employers.*

**Number of Errors - DN0114**

*Definition: Business Need:*

*Orig/Rev.: Source: Format: Record:*

The number of error code segment occurrences.

A technical processing requirement that specifies the number of variable

segments that follow.

08/18/94

IAIABC

2 N

AK1, AKP or ARP (Acknowledgment Record)

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6.13

**Section 6**

**IAIABC Proof of Coverage Release 2.1 Standards:**

**Data Dictionary**

**Original Transmission Date - DN0102**

*Definition:*

The value obtained from the Date Transmission Sent field of the Header

Record of the originating transmission.

*Business Need:*

This field should only be populated on the acknowledgment (AK1, AKP or

ARP) batch header to allow the receiving party the ability to match back to

the original batch file for reconciliation purposes. It is used in conjunction with

the Original Transmission Time field in the acknowledgment process.

*Orig/Rev.: Source: Format: Record:*

08/19/94; 10/01/06

IAIABC

8 DATE

HD1 (Header Record of AK1, AKP or ARP only)

**Original Transmission Time - DN0103**

*Definition:*

The value obtained from the Time Transmission Sent field of the

Transmission Header Record of the originating transmission.

*Business Need:*

This field should only be populated on the acknowledgment (AK1, AKP or

ARP) batch header to allow the receiving party the ability to match back to

the original batch file for reconciliation purposes. It is used in conjunction with

the Original Transmission Date field in the acknowledgment process.

*Orig/Rev.: Source: Format: Record:*

08/19/94; 10/01/06

IAIABC

6 TIME

HD1 (Header Record of AK1, AKP or ARP only)

**Policy Effective Date - DN0029**

*Definition: Business Need:*

*Orig/Rev.: Source: Format: Record:*

The date that the contract/policy became effective.

To validate coverage compliance and define the begin date policy term

represented in the transaction.

06/07/95

IAIABC

8 DATE

PC1 (Insured Record)

**Policy Expiration Date - DN0030**

*Definition: Business Need:*

*Orig/Rev.: Source: Format: Record: DP Rule:*

The date that the contract/policy expired.

To validate coverage compliance and define the policy end date for the policy

term represented in the transaction.

06/07/95

IAIABC

8 DATE

PC1 (Insured Record)

Notification of end date of policy term may not satisfy continuous coverage

jurisdictions and their cancellation requirements.

6.14

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**Section 6 IAIABC Proof of Coverage Release 2.1 Standards:**

**Data Dictionary**

**Policy Number - DN0028**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

*DP Rule:*

The number assigned to the policy/contract for that employer or association

group.

Identify contract.

11/01/01

WCPOLS

18 A/N

PC1 (Insured Record), AKP (Acknowledgment Record)

The policy number is reported at the insured level, which is inclusive of the

employers/entities submitted on this record. Report the alphanumeric

characters used for uniquely identifying the policy. Do NOT report any

leading or embedded blanks, marks of punctuation, or special characters.

**Prior Policy Number - DN0324**

*Definition:*

*Business Need:*

*Orig/Rev.: Source: Format: Record: DP Rule:*

The number assigned to the prior policy/contract for that employer or

association group.

Identify prior policy/contract number for renewals, rewrites, and binders if

applicable.

11/01/01

WCPOLS

18 A/N

PC1 (Insured Record)

The policy number is reported at the insured level, which is inclusive of the

employers/entities submitted on this record. This element is only applicable

in the event that the current carrier/insurer was also responsible for the

previous policy. Report the alphanumeric characters used for uniquely

identifying the policy. Do NOT report any embedded blanks, marks of

punctuation, or special characters.

**Receiver ID - DN0099**

*Definition:*

Composite or group level code made up of the Receiver FEIN (the FEIN of

the receiving party), a filler, and the Receiver Postal Code (postal code of the

receiving party).

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

*DP Rule:*

To identify the receiving party.

08/18/94

IAIABC

25 A/N (Receiver FEIN 9 A/N, Filler 7 spaces, Receiver Postal Code 9 A/N)

HD1 (Header Record)

Filler is reserved for possible future use in the event FEIN is not sufficient to

uniquely identify the receiving party.

**Record Sequence Number - DN0107**

*Definition:*

*Business Need:*

*Orig/Rev.: Source: Format: Values:*

Identifying control number that must be unique within a transmission. The

number is assigned by the originator of a transaction.

To uniquely identify a transaction in the event trading partners need to

reconcile transactions.

12/09/94

IAIABC

9 N

1. = Header or batch structure Error
2. **thru 999999998** = Detail Record Identifier **999999999** = Trailer Error

*Record:*

PC1 (Insured Record), PC2 (Employer Record), AK1, AKP or ARP (Acknowledgment Record)

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**Section 6**

**IAIABC Proof of Coverage Release 2.1 Standards:**

**Data Dictionary**

*DP Rule:*

The Record Sequence Number returned in the acknowledgement corresponds to the Record Sequence Number of the record of the transaction unless it is a Header, Batch Structure or Trailer Record error.

**Request Code - DN0112**

*Definition:*

*Business Need:*

*Orig/Rev.: Source: Format: Values:*

*Record:*

**Sender ID- DN0098**

*Definition:*

A code used to convey additional information such as the need to follow up

or respond manually to a transaction.

To have the ability to communicate the need for additional information

associated with a transaction electronically.

08/18/94

IAIABC

3 A/N

1. - None
2. - Contact Sender AK1, AKP or ARP (Acknowledgment Record)

Composite or group level code made up of the Sender FEIN (the FEIN of the

sending party), a filler, and the Sender Postal Code (postal code of the

sending party).

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

*DP Rule:*

To identify the sending party.

08/18/94

IAIABC

25 A/N (Sender FEIN 9 A/N, Filler 7 spaces, Sender Postal Code 9 A/N)

HD1 (Header Record)

Filler is reserved for possible future use in the event FEIN is not sufficient to

uniquely identify the sending party.

**Test/Production Code - DN0104**

*Definition: Business Need:*

*Orig/Rev.: Source: Format: Values:*

*Record:*

**Time Processed - DN0109**

*Definition:*

The trading partner’s EDI participation status for a specific transaction.

To communicate whether the batch transmitted is in a test or production

status.

08/18/94

IAIABC

1 A/N

T = Test (pilot/parallel or test)

P = Production

HD1 (Header Record)

The time that the receiver processed the detail transaction. Together with

date processed and a record sequence number it will uniquely identify a

specific acknowledgment detail record.

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

Needed for reconciliation.

08/09/95

IAIABC

6 TIME

AK1, AKP or ARP (Acknowledgment Record)

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**Section 6 IAIABC Proof of Coverage Release 2.1 Standards:**

**Data Dictionary**

**Time Transmission Sent - DN0101**

*Definition:*

The time the sender prepared the batch file for transmission. Together with

the Date Transmission Sent will uniquely identify a specific transmission

batch.

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

**Total Payroll - DN0327**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Record:*

**Transaction Issue Date**

*Definition:*

Needed for reconciliation.

08/09/95

IAIABC

6 TIME

HD1 (Header Record)

The sum of the payroll amounts in whole dollars for the rating classification

identified in the policy/contract term per jurisdiction.

Could be used to estimate the size of the employer.

09/30/95

WCPOLS

11 N

PC1 (Insured Record)

**DN0335**

The issue date of the Transaction Set Type code DN0334 being submitted.

This date is the accounting date on which the data represented by this

transaction code was processed by the insurer’s policy issuance system.

*Business Need:*

To indicate the date a transaction, e.g. Binder, New Policy, Renewal Policy,

Endorsement, Cancellation, Rewrite/Reissue, Non-renewal or Reinstatement

was issued by the carrier’s policy issuance system

*Orig/Rev.: Source: Format: Record:*

05/06/05

WCPOLS

8 DATE

PC1 (Insured Record)

**Transaction Reason Code**

*Definition:*

*Business Need:*

*Orig/Rev.:*

*Source:*

*Format:*

*Values:*

**- DN0303**

Code which further identifies the type of transaction being submitted.

Identifies for the jurisdiction why the transaction was sent.

07/01/04

ANSI 1203

2 A/N

01 No Specific Transaction Reason Code Utilized

45 Out of Business/Retired from Business

54 Adding an Employer Location

56 Deleting an Employer Location

1. Non Payment **\***
2. Coverage Placed Elsewhere
3. Duplicate Coverage
4. Change in Ownership
5. Business Sold
6. Underwriting Reason
7. No Employees/No Exposure/No Operations/Completed Operations

66 Revocation of Voluntary Market Acceptance

1. Include Corporate Officer/Partner/Sole Proprietor
2. Exclude Corporate Officer/Partner/Sole Proprietor
3. Failure to Pay Deductible

70 Misrepresentation of Information on Application

71 Rewritten/Reissue

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**Section 6**

**IAIABC Proof of Coverage Release 2.1 Standards:**

**Data Dictionary**

1. Adding a Jurisdiction
2. Deleting a Jurisdiction
3. Insured FEIN
4. Employer FEIN
5. Employer UI Number
6. Policy Number
7. No Specific Location in Jurisdiction
8. Policy Effective Date
9. Policy Expiration Date
10. Carrier/Insurer FEIN
11. Insured Record Information Not Otherwise Classified
12. Employer Record Information Not Otherwise Classified
13. Named Employer(s) With No Jurisdiction Address
14. Employer(s) With No Jurisdiction Address
15. Employer Name – Employer With No Jurisdiction Address
16. Employer UI Number – Employer With No Jurisdiction Address
17. Employer FEIN – Employer With No Jurisdiction Address *Record:* PC1 (Insured Record), AKP or ARP (Acknowledgment Record) *DP Rule:* The following triplicate code combination is not available in Release 1.0 or

2.0:

* 00 (Transaction Set Purpose Code - DN0300)
* 60 (Transaction Set Type Code - DN0334)
* 59 (Transaction Reason Code - DN0303)

**Transaction Set ID - DN0001**

*Definition:* A code that identifies the transaction being sent/received.

*Business Need:* Data processing.

*Orig/Rev.:* 07/01/04, 01/25/06

*Source:* IAIABC

*Format:* 3 A/N

*Values:* HD1 Header Record

PC1 POC Insured Record

PC2 POC Employer Record

TR1 Trailer Record

AK1 Acknowledgment Detail Record

AKP Acknowledgment Detail Record (See DP Rule)

ARP Re-Acknowledgment Detail Record (See DP Rule)
*Record:* HD1 (Header Record), PC1 (Insured Record), PC2 (Employer Record), TR1

(Trailer Record), AK1, AKP or ARP (Acknowledgment Record)
*DP Rule:* This value is not available for use in Release 1.0 or 2.0.

**Transaction Set Purpose Code - DN0300**

*Definition:* Code identifying purpose of transaction set.

*Business Need:* Identify whether the transaction set is an original or follow-up transmission of

data.
*Orig/Rev.:* 11/01/01

*Source:* ANSI 353

*Format:* 2 A/N

*Values:* 00 Original

1. Change
2. Replace *Record:* PC1 (Insured Record), AKP or ARP (Acknowledgment Record)

6.18

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**Section 6 IAIABC Proof of Coverage Release 2.1 Standards:**

**Data Dictionary**

*DP Rule:*

Transaction Set Purpose Codes 04 and 05 are only used in paired transactions. For proper usage of paired transactions please refer to the scenario documentation.

The following triplicate code combination is not available in Release 1.0 or 2.0:

* 00 (Transaction Set Purpose Code - DN0300)
* 60 (Transaction Set Type Code - DN0334)
* 59 (Transaction Reason Code - DN0303)

**Transaction Set Type Code - DN0334**

*Definition:*

*Business Need: Orig/Rev.: Source: Format: POC Values:*

Defines the specific purpose of individual records within the transaction being

transmitted.

Identifies the purpose of the transaction.

07/01/04

IAIABC

2 A/N

05 Binder

A temporary agreement which legally provides insurance protection

until the issuance of a formal contract.
10 New Policy

The initial issuance of a policy/contract.
20 Renewal Policy

Continuation of an insurance policy/contract. 31 - 33 Endorsement

Modification to a contract after initial notification of coverage to the

jurisdiction.

1. Add
2. Change
3. Delete 41 - 42 Cancellation

Discontinuation of a contract other than at expiration date.

1. Canceled by Carrier/Insurer
2. Canceled by Insured 50 Rewrite/Reissue

The issuance of a policy for a given insured after a previously issued

policy, covering the same term for that insured, has been canceled

flat.
60 Non Renewal

A cancellation at policy expiration date.
70 Reinstatement

A notice to the insured that restores a policy that had been

*Record: DP Rule:*

previously canceled. PC1 (Insured Record), AKP or ARP (Acknowledgment Record) The following triplicate code combination is not available in Release 1.0 or 2.0:

* 00 (Transaction Set Purpose Code - DN0300)
* 60 (Transaction Set Type Code - DN0334)
* 59 (Transaction Reason Code - DN0303)

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**Section 6**

**IAIABC Proof of Coverage Release 2.1 Standards:**

**Data Dictionary**

**Transaction Set Type Effective Date - DN0304**

*Definition:* The date that the transaction reflecting the Transaction Set Type Code is

effective. *Business Need:* Used by jurisdictions to determine when the action denoted by the

transaction will actually be effective.
*Orig/Rev.:* 06/07/95

*Source:* WCPOLS

*Format:* 8 DATE

*Record:* PC1 (Insured Record)

**Wrap-up Indicator - DN0322**

*Definition:* A code which identifies a policy written for a specific job site which will

include more than one insured.
*Business Need:* Identifies a unique policy from the insured’s primary policy.
*Orig/Rev.:* 12/09/94

*Source:* WCPOLS

*Format:* 1 A/N

*Values:* 1 Wrap-up

2 No Wrap-up
*Record:* PC1 (Insured Record)

APAO WORD VERSION CONVERSION (IF NEEDED) AND ACCESSIBILITY CHECK: July 17, 2025

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