

January 11, 2021

Judith Shaw  
Securities Administrator  
Maine Department of Professional & Financial Regulation  
Office of Securities  
76 Northern Avenue  
Gardiner, Maine 04345

**RE: Maine Securities Manual Exemption – Annual Certification**

Dear Ms. Shaw,

OTC Markets Group Inc. ("OTC Markets Group") is writing to the Maine Office of Securities to provide the required written annual certification pursuant to Section 2 of Chapter 536 of the Office of Securities Rules. As General Counsel of OTC Markets Group, I, Dan Zinn, hereby certify that all issuers on OTC Markets Group's OTCQX and OTCQB markets have made available publicly on [www.otcmarkets.com](http://www.otcmarkets.com) the information specified in 32 M.R.S.A. § 16202(2)(D)(1) – (4).

Please contact me at [dan@otcmarkets.com](mailto:dan@otcmarkets.com) or (212) 896-4413 if there are any questions.

Sincerely,



Dan Zinn  
General Counsel  
OTC Markets Group Inc.

STATE OF NEW YORK  
COUNTY OF NEW YORK

On this 11<sup>th</sup> day of January, 2021, Dan Zinn appeared before me and, having been duly sworn, did execute the above record for the purposes stated herein, pursuant to New York Executive Order 202.7.

  
Notary Public

<p><b>CASS A SANFORD</b> Notary Public, State of New York No. 02SA6365777 Qualified in New York County Commission Expires October 16, 2021</p>
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