

Edward E. Moran, Acting Securities Administrator

Ed Moran serves as the Acting Securities Administrator for the State of Maine, in an interim role succeeding former Securities Administrator Judith Shaw's tenure.

Prior to assuming the Acting Securities Administrator role, Mr. Moran served as the Director of Examinations and Licensing for the Office of Securities (2011 -2018, 2020-2022) creating and administering the examination and licensing functions of the Office. Mr. Moran began his industry affiliations in 1993 as a licensed registered representative and investment adviser representative with a regional broker dealer and investment adviser serving as a supervisory principal and OSJ Manager.

Mr. Moran also serves on the Exams Advisory Committee for the North American Securities Administrators Association ("NASAA") charged with working with an outside examinations consultant in evaluating the performance and creating content for the Series 63, Series 65 and Series 66 qualifying examinations.