



Janet T. Mills
Governor

STATE OF MAINE
DEPARTMENT OF PROFESSIONAL
AND FINANCIAL REGULATION
OFFICE OF PROFESSIONAL AND OCCUPATIONAL REGULATION
STATE BOARD OF ALCOHOL AND DRUG COUNSELORS
35 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0035

Anne L. Head, Esq.
Commissioner

Minutes
Maine Board of Alcohol & Drug Counselors
March 17, 2023 Board Meeting

MEMBERS PRESENT

Katie Dickson, Complaint Officer
Robert Rogers
Laurence Gross
Darlene Panzino
Lisa Williams

STAFF PRESENT

Katie Johnson, Assistant Attorney General
Kristina Halvorsen, Board Administrator
George Morgan, Board Investigator
Cecile Sirois, Professional Licensing Supervisor

CALL TO ORDER

Robert Rogers Board Chair, called the meeting to order at 9:00 a.m.

MINUTES REVIEW AND APPROVAL

Review and approval of the minutes of January 20, 2023.

A motion was made by Lisa Williams and seconded by Katie Dickson to approve the minutes as written.

Vote: 5 in favor

COMPLAINT PRESENTATIONS

2022-ALC-18027

A motion was made by Lisa Williams and seconded by Laurence Gross to find the licensee in violation of 2-2 and 3-26 of the NAADAC Code of Ethics, and to set the matter for hearing and offer a Consent Agreement in lieu of hearing to include; a revocation of the licensee's CCS License, and two years of probation of the licensee's LADC License with practice only allowed in a structured, supervised setting; a

Main Receptionist (207)624-8603
Hearing Impaired/TTY MAINE RELAY 711



PRINTED ON RECYCLED PAPER
www.maine.gov/professionallicensing

Direct Line: (207)624-8626
Fax: (207)624-8637

OFFICE LOCATION: GARDINER ANNEX
76 NORTHERN AVENUE, GARDINER, MAINE

requirement that the licensee meet with a Board approved supervisor twice a month for at least 60 minutes; and the supervisor is required to submit quarterly reports, along with a final recommendation.

Vote: 4 in favor (1 abstained – Katie Dickson)

A motion was made by Laurence Gross and seconded by Lisa Williams to preliminarily deny the renewal for CCS and LADC licenses and offer a consent agreement to include revocation of the CCS and probation for LADC license.

Vote: 4 in favor (1 abstained – Katie Dickson)

2022-ALC-18500

A motion was made by Laurence Gross and seconded by Darlene Panzino to find the licensee in violation of 1-1, 1-25, and 1-26 of the NAADAC Code of Ethics, and to set the matter for hearing and offer a Consent Agreement in lieu of hearing to include; 1 year of probation with a requirement that the licensee complete 6 additional continuing education credits on professional responsibility to clients, the additional continuing education credits are to be completed within one year, and cannot be counted towards the required continuing education for renewal.

Vote: 4 in favor (1 abstained – Katie Dickson)

A motion was made by Laurence Gross and seconded by Darlene Panzino to renew both the LADC and CCS licenses upon receiving the signed consent agreement from the licensee.

Vote: 4 in favor (1 abstained – Katie Dickson)

2022-ALC-18534

A motion was made by Lisa Williams and seconded by Laurence Gross to dismiss due to lack of evidence of violation of the Laws and Rules.

Vote: 4 in favor (1 abstained – Katie Dickson)

2022-ALC-18699

A motion was made by Laurence Gross and seconded by Lisa Williams to dismiss due to lack of evidence of violation of the Laws and Rules and approve the renewal of both of the applications.

Vote: 4 in favor (1 abstained – Katie Dickson)

2022-ALC-18024

A motion was made by Laurence Gross and seconded by Darlene Panzino to enter executive session pursuant to Title 1 Chapter 13 § 405(6)(E) at 9:55 a.m.

Vote: 5 in favor

A motion was made by Laurence Gross and seconded by Darlene Panzino to exit executive session at 10:20 a.m.

Vote: 5 in favor

A motion was made by Robert Rogers and seconded by Laurence Gross to preliminarily deny the renewal and find the licensee in violation of Board Rules Chapter 8 Section 3(3)(D) , Section 3(4)(B) and Section 3(4)(E)with reference to Board Rules Chapter 1(4)(K).

Vote: 4 in favor (1 recused – Katie Dickson)

2022-ALC-18615

A motion was made by Robert Rogers and seconded by Laurence Gross to dismiss due to lack of evidence of violation of the Laws and Rules.

Vote: 4 in favor (1 recused – Katie Dickson)

COMPLAINT COMPLIANCE ITEMS

2021-ALC-17978 Supervisor Approval

A motion was made by Laurence Gross and seconded by Darlene Panzino to approve the supervisor.

Vote: 4 in favor (1 abstained – Katie Dickson)

2022-ALC-18293 Noncompliance Letter

A motion was made by Laurence Gross and seconded by Lisa Williams to file a new complaint for non-compliance with a consent agreement.

Vote: 4 in favor (1 abstained – Katie Dickson)

2022-ALC-18674 Letter from Supervisor

A motion was made by Laurence Gross and seconded by Lisa Williams to file a complaint for non-compliance with a consent agreement and recommend that the licensee not practice until the matter is resolved.

Vote: 4 in favor (1 abstained – Katie Dickson)

APPLICATIONS

Thomas Caswell

A motion was made by Lisa Williams and seconded by Laurence Gross to enter executive session pursuant to 1 M.R.S. § 405(6)(F) and 22 M.R.S. § 1711-C at 11:13 a.m.

Vote: 4 in favor (1 abstained – Katie Dickson)

A motion was made by Laurence Gross and seconded by Lisa Williams to exit executive session at 11:25 a.m.

Vote: 4 in favor (1 abstained – Katie Dickson)

A motion was made by Lisa Williams and seconded by Darlene Panzino to preliminarily deny the license application pursuant to 10 M.R.S. § 8003(5-A)(A)(3), for the conviction of a crime to the extent permitted by Title 5, Chapter 341 and due to insufficient evidence that the applicant has been sufficiently rehabilitated to warrant the public trust.

Vote: 3 in favor (1 opposed – Laurence Gross, 1 abstained – Katie Dickson)

John Hirth

A motion was made by Robert Rogers and seconded by Lisa Williams to enter executive session pursuant to 1 M.R.S. § 405(6)(F) and 22 M.R.S. § 1711-C at 11:28 a.m.

Vote: 5 in favor

A motion was made by Lisa Williams and seconded by Laurence Gross to exit executive session at 11:34 a.m.

Vote: 5 in favor

A motion was made by Laurence Gross and seconded by Lisa William to approve the application due to sufficient evidence that the licensee has been sufficiently rehabilitated to warrant the public trust.

Vote: 5 in favor

Kayla Ireland

A motion was made by Laurence Gross and seconded by Darlene Panzino to enter executive session pursuant to 1 M.R.S. § 405(6)(F) and 22 M.R.S. § 1711-C at 11:37 a.m.

Vote: 5 in favor

A motion was made by Laurence Gross and seconded by Lisa Williams to exit executive session at 11:45 a.m.

Vote: 5 in favor

A motion was made by Laurence Gross and seconded by Lisa Williams to approve the application due to sufficient evidence that the licensee has been sufficiently rehabilitated to warrant the public trust.

Vote: 5 in favor

Amanda Sherman

A motion was made by Robert Rogers and seconded by Laurence Gross to enter executive session pursuant to 1 M.R.S. § 405(6)(F) and 22 M.R.S. § 1711-C at 11:47 a.m.

Vote: 5 in favor

A motion was made by Laurence Gross and seconded by Lisa Williams to exit executive session at 11:55 a.m.

Vote: 5 in favor

A motion was made by Darlene Panzino and seconded by Laurence Gross to table and request professional letters of recommendation.

Vote: 5 in favor

ADMINISTRATOR'S REPORT

Kristina Halvorsen explained that there will be discussion about modules one and two of the CLEAR training at the next meeting.

ELECTION OF OFFICERS

Board Chair

A motion was made by Darlene Panzino and seconded by Laurence Gross to appoint Robert Rogers as Board Chair.

Vote: 4 in favor (1 abstained – Robert Rogers)

Board Vice Chair

A motion was made by Laurence Gross and seconded by Lisa Williams to appoint Darlene Panzino as Vice Chair.

Vote: 5 in favor

Complaint Officer

A motion was made by Darlene Panzino and seconded by Lisa Williams to appoint Katie Dickson as Complaint Officer.

Vote: 4 in favor (1 abstained – Katie Dickson)

PUBLIC COMMENT

None.

ADJOURN

A motion was made by Laurence Gross and seconded by Katie Dickson to adjourn the meeting at 12:09 p.m.

Vote: 5 in favor