

Governor

STATE OF MAINE DEPARTMENT OF PROFESSIONAL & FINANCIAL REGULATION OFFICE OF PROFESSIONAL & OCCUPATIONAL REGULATION BOARD OF SOCIAL WORKER LICENSURE 76 NORTHERN AVENUE GARDINER, MAINE 04345

Joan F. Cohen Commissioner

Board of Social Worker Licensure Public Board Meeting Friday, August 8, 2025

MINUTES

MEMBERS PRESENT

Linda Butler, Board Chair Benjamin Strick, Complaint Officer Angela Fileccia Leslie Lufkin Christopher Leighton, Public Member (remote)

MEMBERS ABSENT

Lee-Andra D'Attilio, Vice Chair

STAFF PRESENT

Samantha Andrews, Assistant Attorney General Michael Tarr, Comprehensive Health Planner II George Morgan, Board Investigator Cecile Sirois, Professional Licensing Supervisor

CALL TO ORDER

Board Chair, Linda Butler, called the meeting to order at 9:19 a.m.

MINUTES REVIEW AND APPROVAL

Review and approval of the June 13, 2025 minutes.

A motion was made by Leslie Lufkin and seconded by Linda Butler to correct the word HASW to NASW on page 2.

Vote: 5 in favor.

A motion was made by Angela Fileccia and seconded by Benjamin Strick to approve the minutes after correct is made.

Vote: 5 in favor

COMPLAINT PRESENTATIONS

MAILING: 35 STATE HOUSE STATION, AUGUSTA, MAINE 04333 PHONE: (207) 624-8603

TTY USERS: MAINE RELAY 711

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2023-SOC-19527 Re-review

A motion was made by Christopher Leighton and seconded by Angela Fileccia to dismiss without prejudice.

Vote: 4 in favor, 1 abstained: Benjamin Strick.

2024-SOC-19862

A motion was made by Angela Fileccia and seconded by Christopher Leighton to dismiss due to lack of evidence of violation of Laws and Rules.

Vote: 4 in favor, 1 abstained: Benjamin Strick.

2024-SOC-19863

A motion was made by Angela Fileccia and seconded by Christopher Leighton to dismiss due to lack of evidence of violation of Laws and Rules.

Vote: 4 in favor, 1 abstained: Benjamin Strick.

2024-SOC-19864

A motion was made by Angela Fileccia and seconded by Linda Butler to dismiss due to lack of evidence of violation of Laws and Rules.

Vote: 4 in favor, 1 abstained: Benjamin Strick.

2024-SOC-19964

A motion was made by Angela Fileccia and seconded by Leslie Lufkin to dismiss due to lack of evidence of violation of Laws and Rules.

Vote: 4 in favor, 1 abstained: Benjamin Strick.

2024-SOC-20278

A motion was made by Leslie Lufkin and seconded by Christopher Leighton to dismiss and issue a letter of guidance, citing NASW Code of Ethics 1.01 (commitment to clients) and 1.03A (informed consent), which will stay in the licensee's file for two years.

Vote: 3 in favor, 1 abstained: Benjamin Strick, 1 opposed: Angela Fileccia.

2024-SOC-20438

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A motion was made by Leslie Lufkin and seconded by Christopher Leighton to preliminarily deny the application, set this matter for an adjudicatory hearing and offer a consent agreement, in lieu of a hearing, citing NASW Code of Ethics 4.06(C) (misrepresentation), and 32 M.R.S. §7002 (unlicensed practice).

Vote: 4 in favor, 1 abstained: Benjamin Strick.

2024-SOC-20439

A motion was made by Angela Fileccia and seconded by Christopher Leighton to dismiss due to lack of evidence of violation of Laws and Rules.

Vote: 2 in favor, 1 abstained: Benjamin Strick, 2 opposed: Leslie Lufkin, Linda Butler.

The motion was made by Linda Butler and seconded by Christopher Leighton to revise the motion to dismiss and issue a letter of guidance, citing Board Rules Chapter 16(1)(1)(A)(2), which will stay in the licensee's file for two years.

Vote: 4 in favor, 1 abstained: Benjamin Strick.

2024-SOC-20479

A motion was made by Linda Butler and seconded by Angela Fileccia to dismiss due to lack of evidence of violation of Laws and Rules.

Vote: 4 in favor, 1 abstained: Benjamin Strick.

2025-SOC-20549

A motion was made by Angela Fileccia and seconded by Christopher Leighton to dismiss due to lack of evidence of violation of Laws and Rules.

Vote: 4 in favor, 1 abstained: Benjamin Strick.

2025-SOC-20554

A motion was made by Christopher Leighton and seconded by Leslie Lufkin to dismiss due to lack of evidence of violation of Laws and Rules.

Vote: 4 in favor, 1 abstained: Benjamin Strick.

2025-SOC-20643

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A motion was made by Linda Butler and seconded by Leslie Lufkin to dismiss due to lack of evidence of violation of Laws and Rules.

Vote: 4 in favor, 1 abstained: Benjamin Strick.

2025-SOC-20649

A motion was made by Linda Butler and seconded by Christopher Leighton to dismiss and issue a letter of guidance, citing NASW Code of Ethics 1.01 (commitment to clients), and 2.01 A (respect), which will stay in the licensee's file for five years.

Vote: 3 in favor, 1 abstained: Benjamin Strick, 1 recused: Angela Fileccia.

COMPLAINT COMPLIANCE ITEMS

2023-SOC-18877 and 18878 Quarterly Reports

A motion was made by Linda Butler and seconded by Benjamin Strick to accept the reports as submitted.

Vote: 5 in favor.

2023-SOC-19689 Final Recommendation

A motion was made by Linda Butler and seconded by Benjamin Strick to accept the reports as submitted.

A motion was made by Benjamin Strick and seconded by Linda Butler to accept the reports as submitted and to end probation.

Vote: 5 in favor.

2024-SOC-19930 and 20443 Report Resubmission

A motion was made by Angela Fileccia and seconded by Benjamin Strick to accept the reports as submitted.

Vote: 5 in favor.

2024-SOC-19940 Supervisor Approval

A motion was made by Linda Butler and seconded by Christopher Leighton to not approve the supervisor due to a pending complaint against the supervisor's license.

Vote: 4 in favor, 1 recused: Angela Fileccia.

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2024-SOC-20207 Quarterly Reports

A motion was made by Linda Butler and seconded by Angela Fileccia to accept the for the report submitted after board approval of supervisor.

Vote: 5 in favor.

PROVIDER REPORT REVIEW

Provider Report 1

A motion was made by Linda Butler and seconded by Leslie Lufkin to file a complaint.

Vote: 4 in favor, 1 recused: Angela Fileccia.

Provider Report 2

A motion was made by Linda Butler and seconded by Christopher Leighton to file a complaint.

Vote: 5 in favor.

<u>APPLICATION REVIEWS</u>

Initial Application: Colin Vesterfelt

A motion was made by Benjamin Strick and seconded by Angela Fileccia to preliminarily deny the application due to lack of evidence of qualifying for the license based on having a license in another state that is not currently considered in good standing. Allow them to withdraw the application in leu of denial.

Vote: 5 in favor.

Initial Application: Michaela Hertkorn-Paeffgen

A motion was made by Benjamin Strick and seconded by Leslie Lufkin to approve the application.

Vote: 5 in favor.

DIRECTOR'S REPORT

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Penny Vaillancourt, Director, introduced herself to the board. Penny let the board know that we are hiring soon to fill staff shortages. She discussed the compact bill and that an updated to Board Rules may be needed.

OPPORTUNITY FOR PUBLIC COMMENT

None.

ADJOURNMENT

A motion was made by Linda Butler and seconded by Leslie Lufkin to adjourn the meeting at 2:39 p.m.

Vote: 5 in favor.

TTY USERS: MAINE RELAY 711