



Janet T. Mills  
Governor

STATE OF MAINE  
DEPARTMENT OF PROFESSIONAL  
AND FINANCIAL REGULATION  
OFFICE OF PROFESSIONAL AND OCCUPATIONAL REGULATION  
STATE BOARD OF ALCOHOL AND DRUG COUNSELORS  
35 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0035

Anne L. Head, Esq.  
Commissioner

**Minutes**  
**Maine Board of Alcohol & Drug Counselors**  
**April 21, 2023 Board Meeting**

**MEMBERS PRESENT**

Robert Rogers, Board Chair  
Laurence Gross  
Katie Dickson, Complaint Officer  
Darlene Panzino  
Lisa Williams

**STAFF PRESENT**

Katie Johnson, Assistant Attorney General  
Nicole Sawyer, Assistant Attorney General  
Kristina Halvorsen, Board Administrator  
George Morgan, Board Investigator  
Cecile Sirois, Professional Licensing Supervisor

**CALL TO ORDER**

Robert Rogers, Board Chair, called the meeting to order at 9:00 a.m.

**MINUTES REVIEW AND APPROVAL**

Review and approval of the minutes of March 17, 2023

A motion was made by Laurence Gross and seconded by Darlene Panzino to approve the minutes with Katie Johnson's suggested revisions of;

- 2022-ALC-18027 - should be "NAADAC Code of Ethics"; should be probation for 2 years.
- 2022-ALC-18500 - should be "NAADAC Code of Ethics"; education has to be completed within 1 year.
- 2022-ALC-18699 - my notes indicate that the Board also voted to grant the renewal application: "Motion by Laurence to dismiss and grant both renewal

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OFFICE LOCATION: GARDINER ANNEX  
76 NORTHERN AVENUE, GARDINER, MAINE

applications (2nd by Lisa) - 4 in favor, Katie abstained”.

- 2022-ALC-18024 - Katie Dickson was recused from all votes; violations included Board Rules Chapter 8, Section 3(3)(D), Section 3(4)(B), and Section 3(4)(E).
- Thomas Caswell - motion to enter executive session was made pursuant to 1 M.R.S. § 405(6)(f) and 22 M.R.S. § 1711-C; ground for preliminary denial was “10 M.R.S. § 8003(5-A)(A)(3), for the conviction of a crime to the extent permitted by Title 5, Chapter 341 and due to insufficient evidence that the applicant has been sufficiently rehabilitated to warrant the public trust”.
- John Hirth - motion to enter executive session was made pursuant to 1 M.R.S. § 405(6)(f) and 22 M.R.S. § 1711-C.
- Kayla Ireland - motion to enter executive session was made pursuant to 1 M.R.S. § 405(6)(f) and 22 M.R.S. § 1711-C.
- Amanda Sherman - motion to enter executive session was made pursuant to 1 M.R.S. § 405(6)(f) and 22 M.R.S. § 1711-C.
- Under Election of Officers, change “Roger Rogers” to “Robert Rogers”.

**Vote: 5 in favor**

### **ADJUDICATORY HEARING**

2019-ALC-15863 and 15864

The hearing started at 9:09 a.m.

### **Grounds for Imposing Discipline**

1. A motion was made by Darlene Panzino and seconded by Lisa Williams to find the license in violation of Board Rules Chapter 8 § 3(3)(D) pursuant to 10 M.R.S. § 8003(5-A)(A)(2) and (5) including gross negligence, incompetence, and misconduct.

**Vote: 4 in favor (1 recused – Katie Dickson)**

2. A motion was made by Laurence Gross and seconded by Darlene Panzino to find the license in violation of Board Rules Chapter 8 § 3(3)(H) pursuant to 10 M.R.S. § 8003(5-A)(A)(2) and (5) including gross negligence, incompetence, and misconduct.

**Vote: 4 in favor (1 recused – Katie Dickson)**

3. A motion was made by Lisa Williams and seconded by Darlene Panzino to find the license in violation of Board Rules Chapter 8 § 3(3)(B) pursuant to 10 M.R.S. § 8003(5-A)(A)(2) and (5) including gross negligence, incompetence, misconduct, and causing emotional harm.

**Vote: 4 in favor (1 recused – Katie Dickson)**

4. A motion was made by Laurence Gross and seconded by Darlene Panzino to find the license in violation of Board Rules Chapter 8 § 3(4)(A) pursuant to 10 M.R.S. § 8003(5-A)(A)(2) and (5).

**Vote: 4 in favor (1 recused – Katie Dickson)**

5. A motion was made by Laurence Gross and seconded by Lisa Williams to find the license in violation of Board Rules Chapter 8 § 3(4)(B) pursuant to 10 M.R.S. § 8003(5-A)(A)(2) and (5).

**Vote: 4 in favor (1 recused – Katie Dickson)**

6. A motion was made by Laurence Gross and seconded by Darlene Panzino to find the license in violation of Board Rules Chapter 9(1) pursuant to 10 M.R.S. § 8003(5-A)(A)(2) and (5).

**Vote: 4 in favor (1 recused – Katie Dickson)**

A motion was made by Laurence Gross and seconded by Lisa Williams to consider violations 1 through 6 for reprimand and revocation of license, and for violation number 2, a civil penalty of \$1,500.00 to be paid within one year, for violation number 4, a civil penalty of \$1,500.00 to be paid within one year, and for any future application for licensure or examination to be reviewed by the board.

**Vote: 4 in favor (1 recused – Katie Dickson)**

A motion was made by Laurence Gross and seconded by Darlene Panzino to delegate authority to the board chair to sign the decision and order without further board review.

**Vote : 4 in favor (1 recused – Katie Dickson)**

## **COMPLAINT ITEMS**

#### 2022-ALC-18024 Preliminary Denial Review

A motion was made by Laurence Gross and seconded by Lisa Williams to amend the preliminary denial to include additional violations; 10 M.R.S. 8003 5-A A(A)5, Board Rules Chapter 9(1), 8003 5-A(A)(2), NAADAC Code of Ethics 1-11, and NAADAC Code of Ethics 1-23.

**Vote: 4 in favor (1 abstained – Katie Dickson)**

#### 2022-ALC-18027 Reconsideration

A motion was made by Lisa Williams and seconded by Laurence Gross to rescind the prior Preliminary Denial and amend the Consent Agreement to allow his LADC license to be renewed upon signature of the consent agreement.

**Vote: 4 in favor (1 abstained – Katie Dickson)**

### **APPLICATIONS**

Nicholas Loscocco – Initial Application

A motion was made by Laurence Gross and seconded by Darlene Panzino to approve the applicant for examination.

**Vote: 4 in favor (1 abstained – Katie Dickson)**

A motion was made by Robert Rogers and seconded by Darlene Panzino to enter executive session pursuant to 1 M.R.S. 405(6)(F) and 22 M.R.S. 1711-C (confidential health information) at 11:46 a.m.

**Vote: 4 in favor (1 recused – Lisa Williams)**

A motion was made by Laurence Gross and seconded by Darlene Panzino to end executive session at 11:50 a.m.

**Vote: 4 in favor (1 recused – Lisa Williams)**

Gina Ludden – Initial Application

A motion was made by Darlene Panzino and seconded by Laurence Gross to find evidence that the applicant has been sufficiently rehabilitated to warrant the public's trust and issue the license.

**Vote: 4 in favor (1 recused – Lisa Williams)**

A motion was made by Darlene Panzino and seconded by Lisa Williams to enter executive session pursuant to 1 M.R.S. 405(6)(F) and 22 M.R.S. 1711-C (confidential health information) at 11:54 a.m.

**Vote: 5 in favor**

A motion was made by Laurence Gross and seconded by Darlene Panzino to end executive session at 12:07 a.m.

**Vote: 5 in favor**

Kara Perkins – Initial Application

A motion was made by Laurence Gross and seconded by Katie Dickson to table and request additional information from prior supervisor regarding the applicant's role of practice.

**Vote: 5 in favor**

A motion was made by Laurence Gross and seconded by Darlene Panzino to enter executive session pursuant to 1 M.R.S. 405(6)(F) and 22 M.R.S. 1711-C (confidential health information) at 12:18 a.m.

**Vote: 5 in favor**

A motion was made by Lisa Williams and seconded by Laurence Gross to end executive session at 12:23 a.m.

**Vote: 5 in favor**

Roy Ulrickson – Initial Application

A motion was made by Lisa Williams and seconded by Katie Dickson to preliminarily deny the application pursuant to 1-11 of the NAADAC Code of Ethics, Board Rules Chapter 8 (3)(3)(D), and (3)(4)(C), and Chapter 9(1).

**Vote: 4 in favor (1 abstained – Laurence Gross)**

### **ADMINISTRATOR'S REPORT**

Kristina Halvorsen provided the board with the current number of licensees. Kristina stated that all of the Boards are very busy right now due to graduation season but that

applications are getting reviewed within 2 weeks. Kristina stated the legislation is now in session, and she will update the board with anything pertaining to this board.

**CLEAR TRAINING MODULES 1 AND 2 DISCUSSION**

Will discuss modules 1 and 2 at the next scheduled meeting.

**PUBLIC COMMENT**

None.

**ADJOURN**

A motion was made by Darlene Panzino and seconded by Lisa Williams to adjourn the meeting at 12:42 p.m.

**Vote: 5 in favor**