

**BOARD OF ACCOUNTANCY
MEETING MINUTES**

Meeting Date: July 13, 2021

Meeting Location: This meeting occurred via remote access pursuant to 1 M.R.S. §403-A.

Time Meeting Scheduled to Begin: 8:00 a.m.

Time Meeting Began: 8:00 a.m.

I. CALL TO ORDER

The following members were present:

Carl Chatto

Tracy Harding

Lannie Moffatt

Michael Nickerson

The following member was absent:

None.

Staff Present:

Catherine Carroll, Board Administrator

Mary Lord, Professional Licensing Supervisor

Kristin Racine, OPOR Attorney

AAG Present:

John Nichols

II. AGENDA MODIFICATIONS AND APPROVAL

A motion was made to approve the agenda.

Motion: Michael Nickerson

Second: Lannie Moffatt

Motion Carried

III. MINUTES REVIEW AND APPROVAL

A motion was made to approve the minutes of the May 18, 2021 meeting.

Motion: Michael Nickerson

Second: Lannie Moffatt

Abstained: Carl Chatto

Motion Carried

IV. 2020-ACC-16557 and 2020-ACC-16620 (Consent Order Compliance Review)

A motion was made to not accept the respondent's submittal of compliance of the consent order and to direct staff to send another notification to the licensee discussing the difference between a control and procedure and highlighting a checklist as an example of a control.

Motion: Carl Chatto

Second: Lannie Moffatt

Abstained: Michael Nickerson

Motion Carried

V. COMPLAINT PRESENTATION

2020-ACC-16986

A motion was made to dismiss the complaint and issue a Letter of Guidance to be kept in the licensee's file for 30 days. The letter will re-iterate the Board's requirements on providing written verification of a person's work experience in accordance to Board Rule Chapter 5, Section 4(E).

Motion: Lannie Moffatt

Second: Carl Chatto

Abstained: Michael Nickerson

Motion Carried

VI. APPLICATION PRESENTATION

FM10001245 – Martin Associates, P.A.

A motion was made to approve the license contingent upon receiving the Peer Review Acceptance Report from NEPR.

Motion: Michael Nickerson

Second: Lannie Moffatt

Motion Carried

A motion was made to direct staff to request information from the licensee as to whether attest services were conducted while the firm license was in an expired status.

Motion: Michael Nickerson

Second: Lannie Moffatt

Motion Carried

VII. RULES DISCUSSION

The staff presented draft changes to Chapters 1 and 6 based on the last discussion with the board. Catherine and Kristin will discuss the board's preliminary changes with representatives at NEPR and NASBA and bring back their responses to the board at the next meeting.

VIII. BOARD ADMINISTRATOR'S REPORT

Catherine Carroll reported that the next meeting is scheduled for September 14th in which the board decided to cancel due to a lack of a quorum. Other dates that month were not workable. The next meeting will be on November 9th. Catherine mentioned that the license renewal season is fast approaching – August 1st. Anne Head is working with Catherine and Mary on establishing staffing hierarchy for the unit. Meanwhile, Catherine and Mary are clerking for the board. Catherine was asked to see if Commissioner Head is willing to contact the Governor's staff on having members re-appointed and replaced.

IX. OPPORTUNITY FOR PUBLIC COMMENT

No comments received.

X. ADJOURN

A motion was made to adjourn at 10:50 a.m.

Motion: Michael Nickerson

Second: Lannie Moffatt
Motion Carried