HEALTH QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2020

OF THE CONDITION AND AFFAIRS OF THE

Martin's Point Generations Advantage, Inc.

NAIC Group Code 0000 (Current) 0000 (Prior) NAIC Company Code 15850 Employer's ID Number 47-4682941

Organized under the Laws of _____, State of Domicile or Port of Entry ME

Country of Domicile United States of America

Licensed as business type: Health Maintenance Organization

Is HMO Federally Qualified? Yes [X] No [ ]

Incorporated/Organized 07/31/2015 Commenced Business 01/01/2016

Statutory Home Office 331 Veranda Street (Street and Number), Portland, ME, US 04103 (City or Town, State, Country and Zip Code)

Main Administrative Office 331 Veranda Street (Street and Number)
Portland, ME, US 04103 (City or Town, State, Country and Zip Code), 207-774-5801 (Area Code) (Telephone Number)

Mail Address PO Box 9746 (Street and Number or P.O. Box), Portland, ME, US 04104-5040 (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 27 Northport Drive (Street and Number)
Portland, ME, US 04103 (City or Town, State, Country and Zip Code), 207-253-6224 (Area Code) (Telephone Number)

Internet Website Address www.martinspoint.org

Statutory Statement Contact Warren McKean Evans (Name), 207-253-6204 (Area Code) (Telephone Number)
warren.evans@martinspoint.org (E-mail Address), 207-253-6227 (FAX Number)

OFFICERS

President	David Hermon Howes MD	Secretary	David Emery Currier
Treasurer	Edward Stewart McKersie	Chair	Barbara Elizabeth Tretheway

OTHER

Amanda LeAnna Jackson, Chief Financial Officer	Jeffrey Latham Brown, Chief Information Officer	Teresa Anne Nizza, Chief Human Resources Officer

DIRECTORS OR TRUSTEES

Paul Francis Kasuba MD	Barbara Elizabeth Tretheway JD, Chair	George John Isham MD, Vice Chair
Edward Stewart Mckersie , Treasurer	David Hermon Howes MD, President	Cathleen Elizabeth Morrow MD
Ronald Fitzjohn Dixon MD	Kathryn Jean Rand	Bradford Adams Hunter
Robert Adair Moore		

State of Maine
County of Cumberland SS:

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

David Hermon Howes, MD President & CEO	Amanda LeAnna Jackson Chief Financial Officer	

Subscribed and sworn to before me this
_____ day of _____

a. Is this an original filing? ..... Yes [X] No [ ]
b. If no,
1. State the amendment number.....
2. Date filed .....
3. Number of pages attached.....

ASSETS

		Current Statement Date 1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 December 31 Prior Year Net Admitted Assets
1.	Bonds	73,940,458		73,940,458	41,521,237
2.	Stocks:				
	2.1 Preferred stocks			0	0
	2.2 Common stocks	20,187,911		20,187,911	19,774,096
3.	Mortgage loans on real estate:				
	3.1 First liens			0	0
	3.2 Other than first liens			0	0
4.	Real estate:				
	4.1 Properties occupied by the company (less $ ..... encumbrances)			0	0
	4.2 Properties held for the production of income (less $ ..... encumbrances)			0	0
	4.3 Properties held for sale (less $ ..... encumbrances)			0	0
5.	Cash ($ 49,041,269), cash equivalents ($ 2,647,903) and short-term investments ($ 18,773,011)	70,462,183		70,462,183	35,518,396
6.	Contract loans (including $ ..... premium notes)			0	0
7.	Derivatives			0	0
8.	Other invested assets			0	0
9.	Receivables for securities			0	0
10.	Securities lending reinvested collateral assets			0	0
11.	Aggregate write-ins for invested assets	0	0	0	0
12.	Subtotals, cash and invested assets (Lines 1 to 11)	164,590,552	0	164,590,552	96,813,728
13.	Title plants less $ ..... charged off (for Title insurers only)			0	0
14.	Investment income due and accrued	315,325		315,325	222,244
15.	Premiums and considerations:				
	15.1 Uncollected premiums and agents' balances in the course of collection	411,014	278,436	132,578	707,549
	15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including $ ..... earned but unbilled premiums)			0	0
	15.3 Accrued retrospective premiums ($ 19,900,000) and contracts subject to redetermination ($ .....)	19,900,000		19,900,000	28,415,985
16.	Reinsurance:				
	16.1 Amounts recoverable from reinsurers			0	0
	16.2 Funds held by or deposited with reinsured companies			0	0
	16.3 Other amounts receivable under reinsurance contracts			0	0
17.	Amounts receivable relating to uninsured plans	4,692,254	4,352,968	339,286	42,807
18.1	Current federal and foreign income tax recoverable and interest thereon			0	0
18.2	Net deferred tax asset			0	0
19.	Guaranty funds receivable or on deposit			0	0
20.	Electronic data processing equipment and software			0	0
21.	Furniture and equipment, including health care delivery assets ($ .....)			0	0
22.	Net adjustment in assets and liabilities due to foreign exchange rates			0	0
23.	Receivables from parent, subsidiaries and affiliates			0	0
24.	Health care ($ .....) and other amounts receivable	16,115,105	16,115,105	0	0
25.	Aggregate write-ins for other than invested assets	0	0	0	0
26.	Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	206,024,250	20,746,509	185,277,741	126,202,313
27.	From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	0
28.	Total (Lines 26 and 27)	206,024,250	20,746,509	185,277,741	126,202,313
	DETAILS OF WRITE-INS				
1101.				0	0
1102.				0	0
1103.				0	0
1198.	Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199.	Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501.				0	0
2502.				0	0
2503.				0	0
2598.	Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599.	Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	0	0	0	0

LIABILITIES, CAPITAL AND SURPLUS

		Current Period			Prior Year
		1 Covered	2 Uncovered	3 Total	4 Total
1.	Claims unpaid (less $ ..... reinsurance ceded)	45,620,770		45,620,770	36,835,504
2.	Accrued medical incentive pool and bonus amounts	4,991,489		4,991,489	1,188,507
3.	Unpaid claims adjustment expenses	1,165,046		1,165,046	614,549
4.	Aggregate health policy reserves, including the liability of $ 10,000,000 for medical loss ratio rebate per the Public Health Service Act	13,208,907		13,208,907	17,451,574
5.	Aggregate life policy reserves			0	0
6.	Property/casualty unearned premium reserve			0	0
7.	Aggregate health claim reserves			0	0
8.	Premiums received in advance	379,340		379,340	391,322
9.	General expenses due or accrued	10,479		10,479	166,281
10.1	Current federal and foreign income tax payable and interest thereon (including $ ..... on realized gains (losses))			0	0
10.2	Net deferred tax liability			0	0
11.	Ceded reinsurance premiums payable			0	0
12.	Amounts withheld or retained for the account of others			0	0
13.	Remittances and items not allocated			0	0
14.	Borrowed money (including $ ..... current) and interest thereon $ ..... (including $ ..... current)			0	0
15.	Amounts due to parent, subsidiaries and affiliates	7,040,789		7,040,789	7,131,585
16.	Derivatives			0	0
17.	Payable for securities	311,185		311,185	0
18.	Payable for securities lending			0	0
19.	Funds held under reinsurance treaties (with $ ..... authorized reinsurers, $ ..... unauthorized reinsurers and $ ..... certified reinsurers)			0	0
20.	Reinsurance in unauthorized and certified ($ .....) companies			0	0
21.	Net adjustments in assets and liabilities due to foreign exchange rates			0	0
22.	Liability for amounts held under uninsured plans	7,821,895		7,821,895	4,682,408
23.	Aggregate write-ins for other liabilities (including $ ..... current)	0	0	0	0
24.	Total liabilities (Lines 1 to 23)	80,549,900	0	80,549,900	68,461,730
25.	Aggregate write-ins for special surplus funds	XXX	XXX	0	0
26.	Common capital stock	XXX	XXX		
27.	Preferred capital stock	XXX	XXX		
28.	Gross paid in and contributed surplus	XXX	XXX	150,100,000	150,100,000
29.	Surplus notes	XXX	XXX		
30.	Aggregate write-ins for other than special surplus funds	XXX	XXX	0	0
31.	Unassigned funds (surplus)	XXX	XXX	(45,372,159)	(92,359,417)
32.	Less treasury stock, at cost:				
	32.1 ..... shares common (value included in Line 26 $ .....)	XXX	XXX		
	32.2 ..... shares preferred (value included in Line 27 $ .....)	XXX	XXX		
33.	Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	104,727,841	57,740,583
34.	Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	185,277,741	126,202,313
	DETAILS OF WRITE-INS				
2301.				0	0
2302.				0	0
2303.				0	0
2398.	Summary of remaining write-ins for Line 23 from overflow page	0	0	0	0
2399.	Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	0	0	0	0
2501.	Federal Affordable Care Act Assessment	XXX	XXX		0
2502.		XXX	XXX		0
2503.		XXX	XXX		0
2598.	Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599.	Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	XXX	XXX	0	0
3001.		XXX	XXX		0
3002.		XXX	XXX		0
3003.		XXX	XXX		0
3098.	Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099.	Totals (Lines 3001 through 3003 plus 3098)(Line 30 above)	XXX	XXX	0	0

STATEMENT OF REVENUE AND EXPENSES

		Current Year To Date		Prior Year To Date	Prior Year Ended December 31
		1 Uncovered	2 Total	3 Total	4 Total
1.	Member Months	XXX	489,860	438,478	591,186
2.	Net premium income (including $ non-health premium income)	XXX	379,124,158	344,583,323	455,977,129
3.	Change in unearned premium reserves and reserve for rate credits	XXX			
4.	Fee-for-service (net of $ medical expenses)	XXX			
5.	Risk revenue	XXX			
6.	Aggregate write-ins for other health care related revenues	XXX	0	0	0
7.	Aggregate write-ins for other non-health revenues	XXX	0	0	0
8.	Total revenues (Lines 2 to 7)	XXX	379,124,158	344,583,323	455,977,129
	Hospital and Medical:				
9.	Hospital/medical benefits		261,723,557	269,384,721	365,147,406
10.	Other professional services				
11.	Outside referrals				
12.	Emergency room and out-of-area				
13.	Prescription drugs		37,570,706	29,200,514	38,159,818
14.	Aggregate write-ins for other hospital and medical	0	0	0	0
15.	Incentive pool, withhold adjustments and bonus amounts		6,603,523	2,999,967	3,641,534
16.	Subtotal (Lines 9 to 15)	0	305,897,786	301,585,202	406,948,758
	Less:				
17.	Net reinsurance recoveries				
18.	Total hospital and medical (Lines 16 minus 17)	0	305,897,786	301,585,202	406,948,758
19.	Non-health claims (net)				
20.	Claims adjustment expenses, including $ 6,587,732 cost containment expenses		12,077,295	10,623,808	12,771,258
21.	General administrative expenses		25,050,385	23,991,309	33,951,059
22.	Increase in reserves for life and accident and health contracts (including $ increase in reserves for life only)		(15,055,317)	4,835,006	15,055,317
23.	Total underwriting deductions (Lines 18 through 22)	0	327,970,149	341,035,325	468,726,392
24.	Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	51,154,009	3,547,998	(12,749,263)
25.	Net investment income earned		1,047,230	1,274,120	1,775,210
26.	Net realized capital gains (losses) less capital gains tax of $		47,650	(13,531)	1,575
27.	Net investment gains (losses) (Lines 25 plus 26)	0	1,094,880	1,260,589	1,776,785
28.	Net gain or (loss) from agents' or premium balances charged off [(amount recovered $) (amount charged off $)]				
29.	Aggregate write-ins for other income or expenses	0	0	0	0
30.	Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	52,248,889	4,808,587	(10,972,478)
31.	Federal and foreign income taxes incurred	XXX			
32.	Net income (loss) (Lines 30 minus 31)	XXX	52,248,889	4,808,587	(10,972,478)
	DETAILS OF WRITE-INS				
0601.		XXX			0
0602.		XXX			0
0603.		XXX			0
0698.	Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0	0
0699.	Totals (Lines 0601 through 0603 plus 0698)(Line 6 above)	XXX	0	0	0
0701.		XXX			0
0702.		XXX			0
0703.		XXX			0
0798.	Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0	0
0799.	Totals (Lines 0701 through 0703 plus 0798)(Line 7 above)	XXX	0	0	0
1401.					0
1402.					0
1403					0
1498.	Summary of remaining write-ins for Line 14 from overflow page	0	0	0	0
1499.	Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	0	0	0	0
2901.					0
2902.					0
2903					0
2998.	Summary of remaining write-ins for Line 29 from overflow page	0	0	0	0
2999.	Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	0	0	0

STATEMENT OF REVENUE AND EXPENSES (Continued)

		1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
	CAPITAL AND SURPLUS ACCOUNT			
33.	Capital and surplus prior reporting year	57,740,585	66,688,174	66,688,174
34.	Net income or (loss) from Line 32	52,248,889	4,808,587	(10,972,478)
35.	Change in valuation basis of aggregate policy and claim reserves			
36.	Change in net unrealized capital gains (losses) less capital gains tax of $	148,362	1,638,301	3,774,809
37.	Change in net unrealized foreign exchange capital gain or (loss)			
38.	Change in net deferred income tax			
39.	Change in nonadmitted assets	(5,409,995)	(798,307)	(1,749,920)
40	Change in unauthorized and certified reinsurance	0	0	0
41.	Change in treasury stock	0	0	0
42.	Change in surplus notes	0	0	0
43.	Cumulative effect of changes in accounting principles			
44.	Capital Changes:			
	44.1 Paid in			0
	44.2 Transferred from surplus (Stock Dividend)	0	0	0
	44.3 Transferred to surplus			
45.	Surplus adjustments:			
	45.1 Paid in	0	0	0
	45.2 Transferred to capital (Stock Dividend)			
	45.3 Transferred from capital			
46.	Dividends to stockholders			
47.	Aggregate write-ins for gains or (losses) in surplus	0	0	0
48.	Net change in capital & surplus (Lines 34 to 47)	46,987,256	5,648,581	(8,947,589)
49.	Capital and surplus end of reporting period (Line 33 plus 48)	104,727,841	72,336,755	57,740,585
	DETAILS OF WRITE-INS			
4701.				0
4702.				0
4703.				0
4798.	Summary of remaining write-ins for Line 47 from overflow page	0	0	0
4799.	Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	0	0	0

CASH FLOW

		1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
	Cash from Operations			
1.	Premiums collected net of reinsurance	384,241,910	357,352,806	458,037,506
2.	Net investment income	1,142,295	1,297,479	1,821,861
3.	Miscellaneous income	(3,528,158)	413,620	(519,941)
4.	Total (Lines 1 to 3)	381,856,047	359,063,905	459,339,426
5.	Benefit and loss related payments	278,254,221	304,926,534	406,057,525
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7.	Commissions, expenses paid and aggregate write-ins for deductions	35,493,122	34,476,867	48,868,984
8.	Dividends paid to policyholders			
9.	Federal and foreign income taxes paid (recovered) net of $ ..... tax on capital gains (losses)	0	0	0
10.	Total (Lines 5 through 9)	313,747,343	339,403,401	454,926,509
11.	Net cash from operations (Line 4 minus Line 10)	68,108,704	19,660,504	4,412,917
	Cash from Investments			
12.	Proceeds from investments sold, matured or repaid:			
	12.1 Bonds	9,111,376	5,922,445	7,334,799
	12.2 Stocks	0	0	0
	12.3 Mortgage loans	0	0	0
	12.4 Real estate	0	0	0
	12.5 Other invested assets	0	0	0
	12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	817	0
	12.7 Miscellaneous proceeds	311,185	0	0
	12.8 Total investment proceeds (Lines 12.1 to 12.7)	9,422,561	5,923,262	7,334,799
13.	Cost of investments acquired (long-term only):			
	13.1 Bonds	41,689,175	10,911,029	12,127,762
	13.2 Stocks	247,626	288,898	440,085
	13.3 Mortgage loans	0	0	0
	13.4 Real estate	0	0	0
	13.5 Other invested assets	0	0	0
	13.6 Miscellaneous applications	0	0	0
	13.7 Total investments acquired (Lines 13.1 to 13.6)	41,936,801	11,199,927	12,567,846
14.	Net increase (or decrease) in contract loans and premium notes	0	0	0
15.	Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(32,514,240)	(5,276,664)	(5,233,047)
	Cash from Financing and Miscellaneous Sources			
16.	Cash provided (applied):			
	16.1 Surplus notes, capital notes	0	0	0
	16.2 Capital and paid in surplus, less treasury stock	0	0	0
	16.3 Borrowed funds	0	0	0
	16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
	16.5 Dividends to stockholders	0	0	0
	16.6 Other cash provided (applied)	(650,678)	(678,558)	588,193
17.	Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(650,678)	(678,558)	588,193
	RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18.	Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	34,943,787	13,705,282	(231,937)
19.	Cash, cash equivalents and short-term investments:			
	19.1 Beginning of year	35,518,396	35,750,333	35,750,333
	19.2 End of period (Line 18 plus Line 19.1)	70,462,183	49,455,615	35,518,396

Note: Supplemental disclosures of cash flow information for non-cash transactions:

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10
	Total	2 Individual	3 Group	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other
Total Members at end of:										
1. Prior Year	51,227	0	0	0	0	0	0	51,227	0	0
2. First Quarter	53,924	0	0	0	0	0	0	53,924	0	0
3. Second Quarter	54,601	0	0	0	0	0	0	54,601	0	0
4. Third Quarter	55,541							55,541		
5. Current Year	0									
6. Current Year Member Months	489,860							489,860		
Total Member Ambulatory Encounters for Period:										
7 Physician	559,188							559,188		
8. Non-Physician	388,013							388,013		
9. Total	947,201	0	0	0	0	0	0	947,201	0	0
10. Hospital Patient Days Incurred	21,928							21,928		
11. Number of Inpatient Admissions	4,201							4,201		
12. Health Premiums Written (a)	379,124,158							379,124,158		
13. Life Premiums Direct	0									
14. Property/Casualty Premiums Written	0									
15. Health Premiums Earned	379,124,158							379,124,158		
16. Property/Casualty Premiums Earned	0									
17. Amount Paid for Provision of Health Care Services	293,309,538							293,309,538		
18. Amount Incurred for Provision of Health Care Services	305,897,786							305,897,786		

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees $ 379,124,158

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Martin's Point Generations Advantage, Inc.

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

Aging Analysis of Unpaid Claims

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 Days	6 Over 120 Days	7 Total
Claims Unpaid (Reported)						
0299999 Aggregate accounts not individually listed-uncovered						0
0399999 Aggregate accounts not individually listed-covered	6,017,538					6,017,538
0499999 Subtotals	6,017,538	0	0	0	0	6,017,538
0599999 Unreported claims and other claim reserves						
0699999 Total amounts withheld						39,603,232
0799999 Total claims unpaid						45,620,770
0899999 Accrued medical incentive pool and bonus amounts						4,991,489

UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5	6
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year	Claims Incurred in Prior Years (Columns 1 + 3)	Estimated Claim Reserve and Claim Liability December 31 of Prior Year
1. Comprehensive (hospital and medical)					0	0
2. Medicare Supplement					0	0
3. Dental Only					0	0
4. Vision Only					0	0
5. Federal Employees Health Benefits Plan					0	0
6. Title XVIII - Medicare	29,750,816	260,758,181	468,214	45,152,555	30,219,030	36,835,504
7 Title XIX - Medicaid					0	0
8. Other health					0	0
9. Health subtotal (Lines 1 to 8)	29,750,816	260,758,181	468,214	45,152,555	30,219,030	36,835,504
10. Healthcare receivables (a)					0	0
11. Other non-health					0	0
12. Medical incentive pools and bonus amounts	1,253,236	1,547,304	(204,975)	5,196,464	1,048,261	1,188,506
13. Totals (Lines 9-10+11+12)	31,004,052	262,305,485	263,239	50,349,019	31,267,291	38,024,010

(a) Excludes $ ..... loans or advances to providers not yet expensed.

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies

A. Accounting Practices

The accompanying financial statements of Martin's Point Generations Advantage, Inc. (the "Company") have been prepared in conformity with the National Association of Insurance Commissioners ("NAIC") *Annual Statement Instructions* and in accordance with accounting practices prescribed or permitted by the NAIC *Accounting Practices and Procedures Manual,* subject to any deviations prescribed or permitted by the Maine Bureau of Insurance (the "Bureau"). There were no deviations from NAIC prescribed or permitted by the Bureau in 2019 or 2020.

A table reconciling income and surplus between the practices prescribed and permitted by the State of Maine and NAIC SAP basis for the current reporting period and the prior year-end is shown below:

	SSAP #	F/S Page	F/S Line #	2020	2019
NET INCOME					
(1) State basis (Page 4, Line 32, Columns 2 & 4)	XXX	XXX	XXX	52,248,890	(10,972,478)
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	52,248,890	(10,972,478)
SURPLUS					
(5) State basis (Page 3, Line 33, Columns 3 & 4)	XXX	XXX	XXX	104,727,841	57,740,583
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	104,727,841	57,740,583

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

C. Accounting Policies

Expenses incurred in connection with acquiring new insurance business, including acquisition costs such as sales commissions, are charged to operations as incurred. Premiums paid by subscribers prior to the effective date are recorded on the balance sheet as advance premiums and subsequently credited to income as earned during the coverage period.

In addition, the Company uses the following accounting policies:

1. Short-term money market mutual funds are stated at market value and short-term bonds are stated at amortized cost.
2. Bonds are stated at amortized cost.
3. Common stocks are stated at market value.
4. The Company does not have any preferred stock.
5. The Company does not have any mortgage loans.
6. The Company's loan-backed securities are carried at amortized cost. The Company reports the adjustment methodology on an account basis as opposed to each individual security and accounts for all of the securities on a retrospective basis.
7. The Company does not have any investments in subsidiaries, controlled or affiliated companies.
8. The Company does not have investments in joint ventures, partnerships or limited liability companies.
9. The Company does not have any derivative instruments.
10. The Company does not include anticipated investment income in calculating a premium deficiency.
11. The Company's reported unpaid claims are based on actuarial estimates. The claims adjustment expenses are estimated at approximately 2% of unpaid claims. Liabilities for unpaid claims and claims adjustment expense are based on assumptions and estimates and while management believes such estimates are reasonable, the ultimate liability may be in excess of or less than the amount provided.
12. The Company does not have any capital assets and therefore no capitalization policy.
13. The Company records pharmaceutical rebates receivable as a non-admitted asset.

D. Going Concern

Management has no significant doubts about the Company's ability to continue as a going concern.

2. Accounting Changes and Corrections of Errors

A. **Accounting Changes and Correction of Errors:** None.

3. Business Combinations and Goodwill: None.

A. **Statutory Purchase Method:** None.

B. **Statutory Merger:** None.

C. **Assumption Reinsurance:** None.

D. **Impairment Loss:** None.

4. Discontinued Operations: None.

5. Investments

A. Mortgage Loans, including Mezzanine Real Estate Loans

None.

B. Debt Restructuring

None.

NOTES TO FINANCIAL STATEMENTS

C. Reverse Mortgages

None.

D. Loan-Backed Securities

1. For fixed-rate agency mortgage-backed securities, the Company calculates prepayment speeds utilizing Mortgage Industry Advisory Corporation (MIAC) Mortgage Industry Medians (MIMs). MIMs are derived from a semi-monthly dealer-consensus survey of long-term prepayment projections. For other mortgage-backed, loan-backed, and structured securities, the Company utilizes prepayment assumptions from Moody's Analytics. Moody's applies a flat economic credit model and utilizes a vector of multiple monthly speeds as opposed to a single speed for more robust projections. In instances where Moody's projections are not available, the Company uses data from Reuters, which utilizes the median prepayment speed from contributors' models.

2. All securities with a recognized other-than-temporary impairment, disclosed in the aggregate, classified on the basis for the other-than-temporary impairment: The Company has no securities to report per the table below.

	1 Amortized Cost Basis Before Other-than-Temporary Impairment	2 Other-than-Temporary Impairment Recognized in Loss	3 Fair Value 1 - 2
(2) OTTI recognized 1st Quarter			
a. Intent to sell	0	0	0
b. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis	0	0	0
c. Total 1st Quarter	0	0	0
OTTI recognized 2nd Quarter			
d. Intent to sell	0	0	0
e. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis	0	0	0
f. Total 2nd Quarter	0	0	0
OTTI recognized 3rd Quarter			
g. Intent to sell	0	0	0
h. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis	0	0	0
i. Total 3rd Quarter	0	0	0
OTTI recognized 4th Quarter			
j. Intent to sell			0
k. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis			0
l. Total 4th Quarter	0	0	0
m. Annual Aggregate Total		0	

(3)

1 CUSIP	2 Book/Adjusted Carrying Value Amortized Cost Before Current Period OTTI	3 Present Value of Projected Cash Flows	4 Recognized Other-Than-Temporary Impairment	5 Amortized Cost After Other-Than-Temporary Impairment	6 Fair Value at time of OTTI	7 Date of Financial Statement Where Reported
Total	XXX	XXX	0	XXX	XXX	XXX

(4) All impaired securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss (including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized interest related impairment remains):

a. The aggregate amount of unrealized losses:

1. Less than 12 Months 7,162
2. 12 Months or Longer 0

b. The aggregate related fair value of securities with unrealized losses:

1. Less than 12 Months 4,820,642
2. 12 Months or Longer 0

(5) The Company considers the following general categories of information in reaching the conclusion that impairments are other-than-temporary:

- Performance of investments over a twelve-month period
- Volatility in the market
- Securities ratings
- Ability to hold to maturity

NOTES TO FINANCIAL STATEMENTS

E. Dollar Repurchase Agreements and/or Security Lending Transactions

None.

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

None.

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

None.

H. Repurchase Agreements Transactions Accounted for as a Sale

None.

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

None.

J. Real Estate

None.

K. Low-Income Housing Tax Credits

None.

L. Restricted Assets

1.Restricted Assets (Including Pledged)

Restricted Asset Category	1 Total Gross (Admitted & Non-admitted) Restricted from Current Year	2 Total Gross (Admitted & Non-admitted) Restricted from Prior Year	3 Increase/ (Decrease) (1 minus 2)	4 Total Current Year Non-admitted Restricted	5 Total Current Year Admitted Restricted (1 minus 4)	6 Gross (Admitted & Non-admitted) Restricted to Total Assets (a)	7 Admitted Restricted to Total Admitted Assets (b)
a. Subject to contractual obligation for which liability is not shown		0	0		0	0.000	0.000
b. Collateral held under security lending agreements		0	0		0	0.000	0.000
c. Subject to repurchase agreements		0	0		0	0.000	0.000
d. Subject to reverse repurchase agreements		0	0		0	0.000	0.000
e. Subject to dollar repurchase agreements		0	0		0	0.000	0.000
f. Subject to dollar reverse repurchase agreements		0	0		0	0.000	0.000
g. Placed under option contracts		0	0		0	0.000	0.000
h. Letter stock or securities restricted as to sale - excluding FHLB capital stock		0	0		0	0.000	0.000
i. FHLB capital stock		0	0		0	0.000	0.000
j. On deposit with states	612,364	611,754	610		612,364	0.003	0.003
k. On deposit with other regulatory bodies			0			0.000	0.000
l. Pledged collateral to FHLB (including assets backing funding agreements)			0			0.000	0.000
m. Pledged as collateral not captured in other categories			0			0.000	0.000
n. Other restricted assets			0			0.000	0.000
o. Total Restricted Assets	612,364	611,754	610	0	612,364	0.003	0.003

(a) Column 1 divided by Asset Page, Column 1, Line 28
(b) Column 5 divided by Asset Page, Column 3, Line 28

2. Detail of Assets Pledged as Collateral Not Captured in Other Categories (Contracts That Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate). None.
3. Detail of Other Restricted Assets (Contracts That Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate). None.
4. Collateral Received and Reflected as Assets Within the Reporting Entity's Financial Statements. None.

M. Working Capital Finance Investments

None.

N. Offsetting and Netting of Assets and Liabilities

None.

O. 5GI Securities

None.

P. Short Sales

None.

Q. Prepayment Penalty and Acceleration Fees

	General Account
1. Number of CUSIPs	0
2. Aggregate Amount of Investment Income	0

NOTES TO FINANCIAL STATEMENTS

6. Joint Ventures, Partnerships and Limited Liability Companies

A. The Company has no investments in joint ventures, partnerships or limited liability companies.

B. The Company has no impaired investments in joint ventures, partnerships or limited liability companies.

7. Investment Income

A. Due and accrued investment income was excluded from surplus on the following bases: We have no investment income due and accrued excluded from surplus.

B. The Company did not accrue investment income that was non-admitted at September 30, 2020.

8. Derivative Instruments: None.

9. Income Taxes: The Company is not subject to Federal Income taxes.

10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

A. Nature of the relationship involved

The Company is a wholly owned subsidiary of Martin's Point Health Care, Inc. (MPHC).

B&C. Description of the transactions involved, and the dollar amounts of transactions

No material changes from previous filing.

D. Amounts Due to or from Related Parties

At September 30, 2020, the Company reported a net of $7,040,789 due to MPHC for amounts applicable to 2020. Payment to MPHC was settled in October 2020.

E. Guarantees or Contingencies for Related Parties

Effective November 23, 2015, MPHC, the Guarantor, and the Company, the Primary Obligor, entered into an Unconditional Financial Guaranty with the Maine Bureau of Insurance to secure the Superintendent's conditional approval and licensing of the Primary Obligor to enter into the insurance business in the State of Maine. The Guarantor absolutely and unconditionally guarantees to the Superintendent and the Superintendent's successors and assigns, that if the Primary Obligor at any time fails to maintain capital and surplus at a level no less that the greater of the product of its authorized control level risk-based capital and 3.0 or the minimum requirements for capital and surplus, the Guarantor shall automatically pay such sums or deposits to the Primary Obligor as are necessary to establish and maintain capital and surplus at a level no less that the greater of the product of its authorized control level risk-based capital and 3.0 or the minimum requirements for capital and surplus.

F. Management, Service Contracts, Cost Sharing Arrangements

The Company purchases certain marketing, administrative, managerial, and other services required by the Company under a Management Services Agreement with MPHC. Management fees charged to the operations for the period ended September 30, 2020 and December 31, 2019 were $17,172,821 and $21,445,588, respectively.

G. Nature of Relationships that Could Affect Operations: None.

H. Amount Deducted for Investment in Upstream Company: None.

I. Detail of Investments in Affiliates Greater than 10% of Admitted Assets: None.

J. Write-down for Impairments of Investments in Subsidiary, Controlled or Affiliated Companies: None.

K. Investment in Foreign Insurance: None.

L. Investment in Downstream Noninsurance Holding Company: None.

M. All SCA Investments: None.

N. Investment in Insurance SCAs: None.

O. SCA Loss Tracking: None.

11. Debt

A. Debt including Capital Notes: None

B. FHLB (Federal Home Loan Bank) agreements: None

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans:

A. Defined Benefit Plan: None.

B. Investment Policies and Strategies: None.

C. Fair Value of Plan Assets: None.

D. Basis used to determine the long-term rate-of-return: None.

E. Defined Contribution Plans: None.

F. Multiemployer Plans: None.

G. Consolidated/Holding Company Plans: None.

H. Postemployment Benefits and Compensated Absences: None.

NOTES TO FINANCIAL STATEMENTS

I. Impact of Medicare Modernization Act on Postretirement Benefits: None.

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganization

A. The Company has no capital stock.

B. The Company has no preferred stock.

C. The Company has no dividend restrictions.

D. The Company does not pay dividends.

E. No portion of the entity's profits may be paid as ordinary dividends.

F. The Company has no unassigned surplus funds.

G. The Company has no advances to surplus.

H. The Company has no shares of stock held for special purposes.

I. The Company has no special surplus funds, changes in the balances of special purpose funds are not applicable.

J. The Company has no surplus adjustments due to cumulative unrealized losses.

K. The Company has not issued any surplus notes or debentures or similar obligations.

L. The Company had no restatements due to prior quasi-reorganizations.

M. The Company has not been involved in any quasi-reorganizations during the past 10 years.

14. Liabilities, Contingencies and Assessments

A. Contingent Commitments: None.

B. Assessments

The Company is subject to a guaranty fund administered by the State of Maine. Guaranty fund assessments are accrued at the time of insolvencies. The Company is not currently aware of any impending solvency issues.

C. Gain Contingencies: None.

D. Claims related Extra Contractual Obligations and Bad Faith Losses Stemming from Lawsuits: None.

E. Joint and Several Liabilities: None.

F. All Other Contingencies: None.

15. Leases

A. Lessee Leasing Arrangements: None.

B. Lessor Leasing Arrangements: None.

16. Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk: None.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. Transfers of receivables reported as Sales: None.

B. Transfer and Servicing of Financial Assets: None.

C. Wash Sales: None.

NOTES TO FINANCIAL STATEMENTS

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

A. ASO Plans: None.

B. ASC Plans: None.

C. Medicare or Similarly Structured Cost Based Reimbursement Contract:

The Medicare Advantage prescription drug program is referred to as Part D. Settlement amounts relating to the Part D program are reported as amounts held in relation to uninsured plans as follows:

The Company reported $4,692,254 as accounts receivable relating to uninsured plans as of September 30, 2020. The portion of this receivable related to the amounts received from manufacturers as part of Coverage Gap Discount Program is non-admitted for Statutory reporting. The Company reported $339,285 and $42,807 as an admitted receivable for September 30, 2020 and December 31, 2019.

Low Income Cost Sharing (LICS) - $339,285

Low-income members have some or the entire member cost share of their benefits paid for by CMS. A prospective payment rate is established during the bid process. Actual expenses are compared to the prospective amounts paid with reconciliation to or from CMS to settle the difference. The company estimate that the prospective payment did not fully cover the CMS LICS obligation.

Coverage Gap Discount Program - $4,352,969

The Company reported amounts due from pharmaceutical manufacturers in connection with the coverage gap discount program of $4,352,969 and $2,594,021 for plan year 2020 and 2019 as accounts receivable related to uninsured plans. These amounts are non-admitted.

The Company reported a liability of $7,821,895 for amounts held under uninsured plans as of September 30, 2020 and $4,682,408 on December 31, 2019.

Federal Reinsurance - $3,942,657

Through the Federal reinsurance program, CMS pays 80% of the costs members incur through their Part D benefit beyond the true out-of-pocket (TrOOP) threshold. During the annual bid process, a prospective amount is defined to represent the projected amount Federal Reinsurance will cover. With the close of the year, the prospective payment is reconciled with actual experience. Applicable expenses beyond the prospective reimbursement are accrued as a receivable and amounts below the prospective payable held as a liability.

Coverage Gap Payable - $3,879,238

The Company reported amounts owed to CMS in connection with the coverage gap discount program of $3,879,238 and 1,573,170 for plan year 2020 and 2019 as a liability for amounts held under uninsured plans.

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators: None.

20. Fair Value Measurements

A.

(1) Fair Value Measurements at Reporting Date

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
a. Assets at fair value					
Perpetual Preferred Stock					
Industrial and Misc					
Parent, Subsidiaries and Affiliates					
Total Perpetual Preferred Stocks	0	0	0		0
Bonds					
Exempt MM Mutual Fund	612,364				612,364
Industrial & Misc		48,588,084			48,588,084
Other MM Mutual Fund	2,035,539				2,035,539
Political Subdivision		4,101,924			4,101,924
Special Rev./Assess. Oblig		17,773,443			17,773,443
States, Terr. & Poss.		718,430			718,430
Unknown	(311,077)				(311,077)
US Government	23,698,217	210,997			23,909,214
Total Bonds	**26,035,044**	**71,392,878**			**97,427,922**
Common Stock					
Mutual Funds	20,187,912				20,187,912
Other					
Total Common Stocks	**20,187,912**				**20,187,912**
Derivative Assets					
Interest Rate Contracts					
Foreign Exchange Contracts					
Credit Contracts					
Commodity Futures Contracts					
Commodity Forward Contracts					
Total Derivatives	0	0	0		0
Total assets at fair value/NAV	**46,222,955**	**71,392,878**	0		**117,615,833**

NOTES TO FINANCIAL STATEMENTS

21. Other Items

A. Unusual or Infrequent Items: None.

B. Troubled Debt Restructuring: None.

C. Other Disclosures: None.

D. Business Interruption Insurance Recoveries: None.

E. State Transferable and Non-transferable Tax Credits: None.

F. Subprime Mortgage Related Risk Exposure: None.

G. Retained Assets: None.

H. Insurance-Linked Securities (ILS) Contracts: None.

I. Life Insurance

22. Events Subsequent:

Type I - Recognized Subsequent Events:

Subsequent events have been considered through September 30, 2020 for the statutory statements issued on September 30, 2020.

Type II – Non-recognized Subsequent Events: None.

23. Reinsurance

A. Ceded Reinsurance Report

Section 1 - General Interrogatories

1. Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10% or controlled, either directly or indirectly, by the Company or by any representative, officer, trustee, or director of the Company?

   Yes ( ) No (X)

2. Have any policies issued by the Company been reinsured with a company chartered in a country other than the United States (excluding U.S. Branches of such companies) that is owned in excess of 10% or controlled directly or indirectly by an insured, a beneficiary, a creditor or an insured or any other person not primarily engaged in the insurance business?

   Yes ( ) No (X)

Section 2 - Ceded Reinsurance Report - Part A

1. Does the company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credit?

   Yes ( ) No (X)

2. Does the Company have any reinsurance agreements in effect such that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amounts that, in aggregate and allowing for offset of mutual credits from other reinsurance agreements with the same reinsurer, exceed the total direct premium collected under the reinsured policies?

   Yes ( ) No (X)

Section 3 - Ceded Reinsurance Report - Part B

1. What is the estimated amount of the aggregate reduction in surplus, (for agreements other than those under which the reinsurer may unilaterally cancel for reasons other than for nonpayment of premium or other similar credits that are reflected in Section 2 above) of termination of ALL reinsurance agreements, by either party, as of the date of this statement?

   None.

2. Have any new agreements been executed or existing agreements amended, since January 1 of the year of this statement, to include policies or contracts that were in force or which had existing reserves established by the Company as of the effective date of the agreement?

   Yes ( ) No (X)

B. Uncollectible Reinsurance: None.

C. Commutation of Ceded Reinsurance: None.

D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation: None.

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

A. **Method used to estimate accrued retrospective premium advances.** None.

B. **Disclosure of accrued retrospective premiums.** None.

C. **Disclosure of the amount of net premiums written.** None.

D. **Disclosure of the amounts for medical loss ratio rebates required.** None.

E. **Risk-sharing provisions of the Affordable Care Act.** None.

NOTES TO FINANCIAL STATEMENTS

25. Change in Incurred Claims and Claims Adjustment Expenses

A. Reserves as of December 31, 2019 were $37,450,053. As of September 30, 2020, $30,357,553 has been paid for incurred claims and claim adjustment expenses attributable to insured events of the prior year. Reserves remaining for prior years are $476,026 as a result of re-estimation of unpaid claims and claim adjustment expenses. After consideration of $3,849,031 of redundancy at December 31, 2019, there has been $740,829 favorable prior-year development since December 31, 2019. The favorable development is generally the result of ongoing analysis of recent loss development trends. Estimates are increased or decreased as additional information becomes known regarding individual claims.

B. Significant changes in methodologies and assumptions used in calculating the liability: None.

26. Intercompany Pooling Arrangements: None.

27. Structured Settlements: None.

28. Health Care Receivables

A. Pharmaceutical Rebate Receivables: The Company records Pharmaceutical Rebates Receivables as non-admitted assets.

B. Risk Sharing Receivables: The Company participates in risk sharing arrangements with area health care provider systems. In determining appropriate receivables or liabilities for these arrangements, the valuation process reflects actual experience during the performance period for each contract. Where actual experience is not yet complete, experienced actuarial modeling and judgement, consistent with the Company's methods employed for IBNP and Accrued Retrospective Premiums, are applied to reflect the most likely performance of each risk sharing contract. Reserves are applied to estimated risk sharing receivables as provisions for actual experience.

Estimated balance of risk sharing receivables as reported on the prior year financial statements for evaluation periods ending in the current year: None.

Estimated balance of risk sharing receivables as reported on the current year financial statements for evaluation periods ending in the current year and the following year: None.

Risk sharing receivables billed as determined after the annual evaluation period: None.

Risk sharing receivables not yet billed: None.

Amounts received from providers as payments under risk sharing contracts: None.

29. Participating Policies: None.

30. Premium Deficiency Reserves:

1. Liability carried for premium deficiency reserves	0
2. Date of the most recent evaluation of this liability	10/28/2020
3. Was anticipated investment income utilized in the calculation	Yes ( ) No (X)

31. Anticipated Salvage and Subrogation: The Company does not take into account an estimate of anticipated salvage or subrogation in its determination of its liability for unpaid claims.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [X]

1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [X]

2.2 If yes, date of change: .....

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [X] No [ ]
If yes, complete Schedule Y, Parts 1 and 1A.

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [X]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.

3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [ ] No [X]

3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. .....

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [X]
If yes, complete and file the merger history data file with the NAIC.

4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [X] N/A [ ]
If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2018

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2018

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 06/30/2020

6.4 By what department or departments?
Bureau of Insurance of the State of Maine

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] N/A [X]

6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [X] No [ ] N/A [ ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [X]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ ] No [X]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

GENERAL INTERROGATORIES

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes [X] No [ ]
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? ..... Yes [ ] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes [ ] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes [ ] No [X]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... $.....

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes [ ] No [X]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ..... $.....

13. Amount of real estate and mortgages held in short-term investments: ..... $.....

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes [ ] No [X]

14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	$ 0	$
14.22 Preferred Stock	$ 0	$
14.23 Common Stock	$ 0	$
14.24 Short-Term Investments	$ 0	$
14.25 Mortgage Loans on Real Estate	$ 0	$
14.26 All Other	$ 0	$
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	$ 0	$ 0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	$	$

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes [ ] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes [ ] No [ ] N/A [ ]
If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. ..... $ 0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 ..... $ 0

16.3 Total payable for securities lending reported on the liability page. ..... $ 0

GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [X] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
US Bank NA	50 S 16th St. 20th Floor, Philadelphia, PA 19102

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [ ] No [X]

17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["…that have access to the investment accounts"; "…handle securities"]

1 Name of Firm or Individual	2 Affiliation
Asset Allocation and Management Co. LLC	U

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?..... Yes [X] No [ ]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?..... Yes [ ] No [X]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
109875	Asset Allocation and Management Co. LLC		SEC	DS

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [X] No [ ]

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
    a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
    b. Issuer or obligor is current on all contracted interest and principal payments.
    c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

    Has the reporting entity self-designated 5GI securities? ..... Yes [ ] No [X]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
    a. The security was purchased prior to January 1, 2018.
    b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
    c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
    d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

    Has the reporting entity self-designated PLGI securities? ..... Yes [ ] No [X]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
    a. The shares were purchased prior to January 1, 2019.
    b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
    c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
    d. The fund only or predominantly holds bonds in its portfolio.
    e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
    f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

    Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? ..... Yes [ ] No [X]

GENERAL INTERROGATORIES

PART 2 - HEALTH

1. Operating Percentages:

1.1 A&H loss percent ..... 78.0 %

1.2 A&H cost containment percent ..... 1.3 %

1.3 A&H expense percent excluding cost containment expenses ..... 8.5 %

2.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [X]

2.2 If yes, please provide the amount of custodial funds held as of the reporting date ..... $.....

2.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [X]

2.4 If yes, please provide the balance of the funds administered as of the reporting date ..... $.....

3. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? ..... Yes [X] No [ ]

3.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? ..... Yes [ ] No [ ]

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Type of Reinsurer	9 Certified Reinsurer Rating (1 through 6)	10 Effective Date of Certified Reinsurer Rating

NONE

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

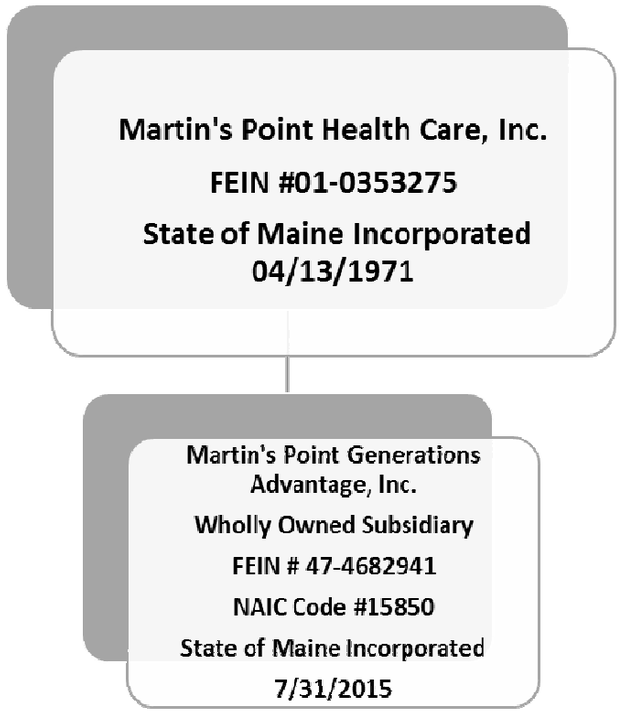
Current Year to Date - Allocated by States and Territories

	1	Direct Business Only							
		2	3	4	5	6	7	8	9
States, etc.	Active Status (a)	Accident and Health Premiums	Medicare Title XVIII	Medicaid Title XIX	Federal Employees Health Benefits Program Premiums	Life and Annuity Premiums & Other Considerations	Property/ Casualty Premiums	Total Columns 2 Through 7	Deposit-Type Contracts
1. Alabama AL	N							0	
2. Alaska AK	N							0	
3. Arizona AZ	N							0	
4. Arkansas AR	N							0	
5. California CA	N							0	
6. Colorado CO	N							0	
7. Connecticut CT	N							0	
8. Delaware DE	N							0	
9. District of Columbia DC	N							0	
10. Florida FL	N							0	
11. Georgia GA	N							0	
12. Hawaii HI	N							0	
13. Idaho ID	N							0	
14. Illinois IL	N							0	
15. Indiana IN	N							0	
16. Iowa IA	N							0	
17. Kansas KS	N							0	
18. Kentucky KY	N							0	
19. Louisiana LA	N							0	
20. Maine ME	L		355,463,374					355,463,374	
21. Maryland MD	N							0	
22. Massachusetts MA	N							0	
23. Michigan MI	N							0	
24. Minnesota MN	N							0	
25. Mississippi MS	N							0	
26. Missouri MO	N							0	
27. Montana MT	N							0	
28. Nebraska NE	N							0	
29. Nevada NV	N							0	
30. New Hampshire NH	L		23,660,784					23,660,784	
31. New Jersey NJ	N							0	
32. New Mexico NM	N							0	
33. New York NY	N							0	
34. North Carolina NC	N							0	
35. North Dakota ND	N							0	
36. Ohio OH	N							0	
37. Oklahoma OK	N							0	
38. Oregon OR	N							0	
39. Pennsylvania PA	N							0	
40. Rhode Island RI	N							0	
41. South Carolina SC	N							0	
42. South Dakota SD	N							0	
43. Tennessee TN	N							0	
44. Texas TX	N							0	
45. Utah UT	N							0	
46. Vermont VT	N							0	
47. Virginia VA	N							0	
48. Washington WA	N							0	
49. West Virginia WV	N							0	
50. Wisconsin WI	N							0	
51. Wyoming WY	N							0	
52. American Samoa AS	N							0	
53. Guam GU	N							0	
54. Puerto Rico PR	N							0	
55. U.S. Virgin Islands VI	N							0	
56. Northern Mariana Islands MP	N							0	
57. Canada CAN	N							0	
58. Aggregate Other Aliens OT	XXX	0	0	0	0	0	0	0	0
59. Subtotal	XXX	0	379,124,158	0	0	0	0	379,124,158	0
60. Reporting Entity Contributions for Employee Benefit Plans	XXX							0	
61. Totals (Direct Business)	XXX	0	379,124,158	0	0	0	0	379,124,158	0
DETAILS OF WRITE-INS									
58001.	XXX								
58002.	XXX								
58003.	XXX								
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0	0	0

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG 2
R - Registered - Non-domiciled RRGs 0
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state 0
Q - Qualified - Qualified or accredited reinsurer 0
N - None of the above - Not allowed to write business in the state 55

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART



SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 Is an SCA Filing Required? (Y/N)	16 *
			01-0353275				Martin's Point Health Care, Inc.	ME	UDP	Martin's Point Health Care, Inc.	Board of Directors	100.000	Martin's Point Health Care, Inc.	N	
		15850	47-4682941				Martin's Point Generations Advantage, Inc.	ME	DS	Martin's Point Health Care, Inc.	Board of Directors	100.000	Martin's Point Health Care, Inc.	N	

Asterisk	Explanation

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

		Response
1.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO

Explanation:

1.

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]

1 5 8 5 0 2 0 2 0 3 6 5 0 0 0 0 3

OVERFLOW PAGE FOR WRITE-INS

SCHEDULE A - VERIFICATION

Real Estate

NONE

		1 Year to Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year		
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Current year change in encumbrances		
4.	Total gain (loss) on disposals		
5.	Deduct amounts received on disposals		
6.	Total foreign exchange change in book/adjusted carrying value		
7.	Deduct current year's other than temporary impairment recognized		
8.	Deduct current year's depreciation		
9.	Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10.	Deduct total nonadmitted amounts		
11.	Statement value at end of current period (Line 9 minus Line 10)		

SCHEDULE B - VERIFICATION

Mortgage Loans

NONE

		1 Year to Date	2 Prior Year Ended December 31
1.	Book value/recorded investment excluding accrued interest, December 31 of prior year		
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Capitalized deferred interest and other		
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease)		
6.	Total gain (loss) on disposals		
7.	Deduct amounts received on disposals		
8.	Deduct amortization of premium and mortgage interest points and commitment fees		
9.	Total foreign exchange change in book value/recorded investment excluding accrued interest		
10.	Deduct current year's other than temporary impairment recognized		
11.	Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12.	Total valuation allowance		
13.	Subtotal (Line 11 plus Line 12)		
14.	Deduct total nonadmitted amounts		
15.	Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

NONE

		1 Year to Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year		
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Capitalized deferred interest and other		
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease)		
6.	Total gain (loss) on disposals		
7.	Deduct amounts received on disposals		
8.	Deduct amortization of premium and depreciation		
9.	Total foreign exchange change in book/adjusted carrying value		
10.	Deduct current year's other than temporary impairment recognized		
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12.	Deduct total nonadmitted amounts		
13.	Statement value at end of current period (Line 11 minus Line 12)		

SCHEDULE D - VERIFICATION

Bonds and Stocks

		1 Year to Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value of bonds and stocks, December 31 of prior year	61,295,332	52,325,864
2.	Cost of bonds and stocks acquired	41,936,801	12,567,846
3.	Accrual of discount	27,702	42,166
4.	Unrealized valuation increase (decrease)	148,363	3,774,809
5.	Total gain (loss) on disposals	47,396	705
6.	Deduct consideration for bonds and stocks disposed of	9,111,376	7,334,799
7.	Deduct amortization of premium	215,849	81,259
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		
10.	Total investment income recognized as a result of prepayment penalties and/or acceleration fees		
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	94,128,370	61,295,332
12.	Deduct total nonadmitted amounts		
13.	Statement value at end of current period (Line 11 minus Line 12)	94,128,370	61,295,332

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	67,856,243	24,221,231	4,627,771	(134,997)	36,308,705	67,856,243	87,314,707	38,587,877
2. NAIC 2 (a)	5,481,729	81,926	176,823	(127,006)	5,309,634	5,481,729	5,259,826	5,199,186
3. NAIC 3 (a)	318,168	0	299,471	120,239	247,491	318,168	138,936	31,959
4. NAIC 4 (a)	0				0	0	0	
5. NAIC 5 (a)	0				0	0	0	
6. NAIC 6 (a)	0				0	0	0	
7. Total Bonds	73,656,140	24,303,157	5,104,065	(141,763)	41,865,830	73,656,140	92,713,469	43,819,022
PREFERRED STOCK								
8. NAIC 1	0				0	0	0	0
9. NAIC 2	0				0	0	0	0
10. NAIC 3	0				0	0	0	0
11. NAIC 4	0				0	0	0	0
12. NAIC 5	0				0	0	0	0
13. NAIC 6	0				0	0	0	0
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	73,656,140	24,303,157	5,104,065	(141,763)	41,865,830	73,656,140	92,713,469	43,819,022

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 $ 18,773,011 ; NAIC 2 $ 0 ; NAIC 3 $ 0 NAIC 4 $ 0 ; NAIC 5 $ 0 ; NAIC 6 $ 0

SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year-to-Date	5 Paid for Accrued Interest Year-to-Date
9199999 Totals	18,773,011	XXX	18,778,462	8,794	2,659

SCHEDULE DA - VERIFICATION

Short-Term Investments

		1 Year To Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	2,297,785	15,988,062
2.	Cost of short-term investments acquired	18,943,462	14,901,554
3.	Accrual of discount	10,516	137,263
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals	253	870
6.	Deduct consideration received on disposals	2,465,000	28,729,466
7.	Deduct amortization of premium	14,005	498
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	18,773,011	2,297,785
11.	Deduct total nonadmitted amounts		
12.	Statement value at end of current period (Line 10 minus Line 11)	18,773,011	2,297,785

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of Derivatives

N O N E

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

		1 Year To Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	9,684,541	945,064
2.	Cost of cash equivalents acquired	61,650,819	69,968,155
3.	Accrual of discount		9,518
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals		
6.	Deduct consideration received on disposals	68,687,343	61,238,197
7.	Deduct amortization of premium	113	
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	2,647,903	9,684,541
11.	Deduct total nonadmitted amounts		
12.	Statement value at end of current period (Line 10 minus Line 11)	2,647,903	9,684,541

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

N O N E

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

N O N E

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

N O N E

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation and Admini-strative Symbol
041796-XH-1	ARLINGTON TEX		08/28/2020	CITIGROUP GLOBAL MARKETS INC.		800,000	800,000	0	1FE
79623P-EM-3	SAN ANTONIO TEX		07/01/2020	DIRECT		750,000	750,000	0	1FE
882830-AQ-5	TEXAS TRANSN COMMN		07/16/2020	Morgan Stanley		1,000,000	1,000,000	0	1FE
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						2,550,000	2,550,000	0	XXX
25477G-TH-8	DISTRICT COLUMBIA INCOME TAX REV		07/10/2020	CITIGROUP GLOBAL MARKETS INC.		1,000,000	1,000,000	0	1FE
3132D5-5W-8	FH SB8061 - RMBS		08/26/2020	BAIRD, ROBERT W., & COMPANY IN		311,859	300,000	450	1
3132D5-6B-3	FH SB8066 - RMBS		07/09/2020	INTL FCStone L.P.		307,453	300,000	188	1
3132D5-6D-9	FH SB8068 - RMBS		09/02/2020	U.S. Bank		308,063	300,000	200	1
3132DV-7B-5	FH SD8090 - RMBS		08/19/2020	U.S. Bank		310,641	300,000	333	1
3137BK-RJ-1	FHMS K-047 A2 - CMBS		07/24/2020	U.S. Bank		334,570	300,000	777	1
31418D-RR-5	FN MA4095 - RMBS		07/15/2020	U.S. Bank		312,141	300,000	267	1
31418D-RS-3	FN MA4096 - RMBS		07/16/2020	INTL FCStone L.P.		419,625	400,000	528	1
31418D-UA-8	FN MA4176 - RMBS		09/29/2020	INTL FCStone L.P.		310,969	300,000	217	1
68607D-UX-1	OREGON ST DEPT TRANSN HWY USER TAX REV		09/11/2020	Morgan Stanley		1,000,000	1,000,000	0	1FE
977123-X4-5	WISCONSIN ST TRANSN REV		07/10/2020	WELLS FARGO SECURITIES LLC		1,000,000	1,000,000	0	1FE
3199999. Subtotal - Bonds - U.S. Special Revenues						5,615,320	5,500,000	2,959	XXX
02008Q-AC-4	ALLYA 2019-2 A3 - ABS		08/05/2020	INTL FCStone L.P.		1,021,836	1,000,000	1,363	1FE
0258M0-EG-0	AMERICAN EXPRESS CREDIT CORP		07/09/2020	WELLS FARGO SECURITIES LLC		517,990	500,000	4,875	1FE
05526D-BR-5	BAT CAPITAL CORP		09/22/2020	DEUTSCHE BANK SECURITIES INC.		60,000	60,000	0	2FE
06051G-GY-9	BANK OF AMERICA CORP		07/07/2020	WELLS FARGO SECURITIES LLC		506,095	500,000	6,313	1FE
09661R-AB-7	BMWOT 2020-A A2 - ABS		07/08/2020	CHASE SECURITIES INC		999,929	1,000,000	0	1FE
14041N-FG-1	COMET 2016-5 A - ABS		07/07/2020	CHASE SECURITIES INC		654,171	645,000	714	1FE
14315F-AB-3	CARMX 2020-3 A2A - ABS		07/14/2020	BARCLAYS CAPITAL INC FIXED INC		299,989	300,000	0	1FE
254683-CK-9	DCENT 2019-1 A - ABS		07/07/2020	BANK OF NEW YORK TORONTO DOMINION		1,040,547	1,000,000	2,027	1FE
38013F-AD-3	GMCAR 2018-4 A3 - ABS		07/07/2020	TORONTO DOMINION SECURTIES (USA) INC		400,284	390,685	801	1FE
43814W-AC-9	HAROT 2019-1 A3 - ABS		07/07/2020	BANK OF NEW YORK TORONTO DOMINION		514,219	500,000	825	1FE
44891J-AC-2	HART 2019-B A3 - ABS		07/07/2020	BANK OF NEW YORK TORONTO DOMINION		770,859	752,000	973	1FE
46625H-JC-5	JPMORGAN CHASE & CO		07/09/2020	CITIGROUP GLOBAL MARKETS INC.		521,700	500,000	8,942	1FE
47787N-AB-5	JDOT 2020-B A2 - ABS		07/14/2020	RBC CAPITAL MARKETS, LLC		874,957	875,000	0	1FE
559080-AQ-9	MAGELLAN MIDSTREAM PARTNERS LP		09/03/2020	MORGAN STANLEY & CO. LLC		21,926	20,000	195	2FE
65474V-AQ-4	NMOTR 2019-A A - ABS		08/05/2020	BARCLAYS CAPITAL INC FIXED INC		1,000,938	1,000,000	469	1FE
67066G-AD-6	NVIDIA CORP		07/09/2020	MERRILL LYNCH PROFESSIONAL CLEARING, JC		510,440	500,000	3,575	1FE
68389X-BB-0	ORACLE CORP		07/07/2020	MERRILL LYNCH PROFESSIONAL CLEARING, JC		517,470	500,000	1,875	1FE
693476-BN-2	PNC FINANCIAL SERVICES GROUP INC		07/09/2020	CITIGROUP GLOBAL MARKETS INC.		1,047,290	1,000,000	11,458	1FE
86787E-BE-6	TRUIST BANK		07/07/2020	BARCLAYS CAPITAL INC FIXED INC		521,480	500,000	2,022	1FE
898813-AS-9	TUCSON ELECTRIC POWER CO		08/05/2020	Mitsubishi UFJ Securities		124,494	125,000	0	1FE
915271-AC-4	UNIVEST FINANCIAL CORP		07/29/2020	U.S. Bank		45,000	45,000	0	
92349G-AA-9	VZOT 2019-B A1A - ABS		07/07/2020	INTL FCStone L.P.		1,027,461	1,000,000	1,230	1FE
949746-SA-0	WELLS FARGO & CO		07/09/2020	CITIGROUP GLOBAL MARKETS INC.		508,965	500,000	4,871	1FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						13,508,039	13,212,685	52,527	XXX
8399997. Total - Bonds - Part 3						21,673,360	21,262,685	55,486	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						21,673,360	21,262,685	55,486	XXX
8999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	XXX
921909-81-8	VANGUARD TOT I S ADM		09/21/2020	U.S. Bank	1,043.857	29,844		0	
922042-84-1	VANGUARD EM ST I ADM		09/21/2020	U.S. Bank	630.106	22,961		0	
922908-80-1	VANGUARD TSM IDX INST		09/25/2020	U.S. Bank	646.581	51,707		0	
9499999. Subtotal - Common Stocks - Mutual Funds						104,512	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						104,512	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						104,512	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						104,512	XXX	0	XXX
9999999 - Totals						21,777,872	XXX	55,486	XXX

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation and Admini-strative Symbol
36230M-EP-8	GN 752842 - RMBS		09/01/2020	Paydown		9,633	9,633	10,187	9,970	0	(47)	0	(47)	0	9,923	0	(290)	(290)	254	07/15/2025	1
0599999. Subtotal - Bonds - U.S. Governments						9,633	9,633	10,187	9,970	0	(47)	0	(47)	0	9,923	0	(290)	(290)	254	XXX	XXX
68608K-NE-4	OREGON ST		08/01/2020	Call @ 100.00		45,000	45,000	51,890	49,447	0	(529)	0	(529)	0	48,918	0	(3,918)	(3,918)	2,584	08/01/2024	1FE
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						45,000	45,000	51,890	49,447	0	(529)	0	(529)	0	48,918	0	(3,918)	(3,918)	2,584	XXX	XXX
3128M7-WL-6	FH G05751 - RMBS		09/01/2020	Paydown		9,857	9,857	10,729	10,678	0	(103)	0	(103)	0	10,575	0	(718)	(718)	289	12/01/2039	1
3128MF-AN-8	FH G16113 - RMBS		09/01/2020	Paydown		17,926	17,926	18,466	18,368	0	(71)	0	(71)	0	18,297	0	(371)	(371)	349	03/01/2032	1
3128MM-VB-6	FH G18609 - RMBS		09/01/2020	Paydown		14,084	14,084	13,684	13,750	0	26	0	26	0	13,776	0	309	309	189	08/01/2031	1
3128MM-WZ-2	FH G18663 - RMBS		09/01/2020	Paydown		19,772	19,772	20,399	20,302	0	(70)	0	(70)	0	20,232	0	(460)	(460)	397	10/01/2032	1
3132D5-5W-8	FH SB8061 - RMBS		09/01/2020	Paydown		2,037	2,037	2,118	0	0	0	0	0	0	2,118	0	(81)	(81)	3	09/01/2035	1
3132D5-6B-3	FH SB8066 - RMBS		09/01/2020	Paydown		4,219	4,219	4,324	0	0	(1)	0	(1)	0	4,323	0	(104)	(104)	8	08/01/2035	1
3132DV-7B-5	FH SD8090 - RMBS		09/01/2020	Paydown		955	955	988	0	0	0	0	0	0	988	0	(34)	(34)	2	09/01/2050	1
3132L7-YL-7	FH V82515 - RMBS		09/01/2020	Paydown		21,721	21,721	22,251	22,219	0	(114)	0	(114)	0	22,105	0	(384)	(384)	505	06/01/2046	1
3132XT-PU-6	FH Q51334 - RMBS		09/01/2020	Paydown		35,703	35,703	37,131	36,999	0	(142)	0	(142)	0	36,857	0	(1,154)	(1,154)	943	10/01/2047	1
3132XU-KF-1	FH Q52093 - RMBS		09/01/2020	Paydown		26,035	26,035	26,898	26,769	0	(143)	0	(143)	0	26,625	0	(590)	(590)	604	11/01/2047	1
3136AV-6R-5	FNGT 2017-T1 A - CMO/RMBS		07/01/2020	Paydown		86	86	87	87	0	0	0	0	0	87	0	(1)	(1)	1	06/25/2027	1
3137AS-NJ-9	FHMS K-019 A2 - CMBS		09/01/2020	Paydown		5,996	5,996	6,146	0	0	(8)	0	(8)	0	6,138	0	(142)	(142)	23	03/25/2022	1
3137BT-NK-3	FHR 4636 CM - CMO/RMBS		09/01/2020	Paydown		28,381	28,381	29,409	29,258	0	(351)	0	(351)	0	28,907	0	(526)	(526)	662	01/15/2042	1
3137F5-CX-3	FHR 4790 HV - CMO/RMBS		09/01/2020	Paydown		77,169	77,169	79,641	79,051	0	(1,433)	0	(1,433)	0	77,618	0	(448)	(448)	2,296	06/15/2029	1
3137FM-JH-6	FHR 4894 VC - CMO/RMBS		09/01/2020	Paydown		5,669	5,669	5,912	5,902	0	(96)	0	(96)	0	5,806	0	(137)	(137)	132	09/15/2030	1
3137G1-BW-6	FWLS 2017-SC02 2A1 - CMO		09/01/2020	Paydown		43,254	43,254	43,476	43,435	0	(72)	0	(72)	0	43,363	0	(108)	(108)	1,003	05/25/2047	1
3138AX-XQ-9	FN AJ6086 - RMBS		09/01/2020	Paydown		13,996	13,996	14,453	14,341	0	(52)	0	(52)	0	14,290	0	(293)	(293)	279	12/01/2026	1
3138EG-HS-6	FN AL0240 - RMBS		09/01/2020	Paydown		8,595	8,595	9,151	9,102	0	(69)	0	(69)	0	9,033	0	(438)	(438)	230	04/01/2041	1
3138WD-JM-4	FN AS3867 - RMBS		09/01/2020	Paydown		25,666	25,666	27,035	26,969	0	(200)	0	(200)	0	26,769	0	(1,103)	(1,103)	679	11/01/2044	1
3138WD-KE-0	FN AS3892 - RMBS		09/01/2020	Paydown		12,539	12,539	13,127	13,070	0	(96)	0	(96)	0	12,974	0	(435)	(435)	330	11/01/2044	1
3138XD-TR-1	FN AV2359 - RMBS		09/01/2020	Paydown		12,695	12,695	13,417	13,419	0	(125)	0	(125)	0	13,294	0	(599)	(599)	338	01/01/2044	1
3140FB-DV-9	FN BD3715 - RMBS		09/01/2020	Paydown		15,685	15,685	15,626	15,624	0	3	0	3	0	15,627	0	57	57	371	08/01/2046	1
3140FV-TZ-9	FN BE9567 - RMBS		09/01/2020	Paydown		22,974	22,974	23,592	23,498	0	(122)	0	(122)	0	23,376	0	(402)	(402)	548	04/01/2047	1
3140J9-AM-3	FN BM4511 - RMBS		09/01/2020	Paydown		10,455	10,455	10,691	10,668	0	(27)	0	(27)	0	10,641	0	(186)	(186)	278	07/01/2033	1
3140Q8-MD-4	FN CA1255 - RMBS		09/01/2020	Paydown		28,923	28,923	30,021	29,721	0	(125)	0	(125)	0	29,596	0	(673)	(673)	769	02/01/2048	1
3140Q9-TJ-2	FN CA2352 - RMBS		09/01/2020	Paydown		23,495	23,495	24,696	24,696	0	(47)	0	(47)	0	24,648	0	(1,153)	(1,153)	787	09/01/2048	1
31410L-FY-3	FN 890383 - RMBS		09/01/2020	Paydown		5,822	5,822	6,161	6,137	0	(47)	0	(47)	0	6,090	0	(268)	(268)	152	01/01/2042	1
31418D-RR-5	FN MA4095 - RMBS		09/01/2020	Paydown		4,567	4,567	4,752	0	0	(2)	0	(2)	0	4,750	0	(183)	(183)	12	08/01/2035	1
31418D-RS-3	FN MA4096 - RMBS		09/01/2020	Paydown		3,773	3,773	3,958	0	0	(2)	0	(2)	0	3,956	0	(183)	(183)	13	08/01/2050	1
54627R-AH-3	LOUISIANA LOC GOVT ENVIRONMENTAL FACS &		08/01/2020	Paydown		9,540	9,540	9,629	9,572	0	(3)	0	(3)	0	9,570	0	(30)	(30)	273	08/01/2028	1FE
73358W-T6-1	PORT AUTH N Y & N J		09/15/2020	Maturity @ 100.00		75,000	75,000	75,000	75,000	0	0	0	0	0	75,000	0	0	0	1,858	09/15/2020	1FE
3199999. Subtotal - Bonds - U.S. Special Revenues						586,592	586,592	602,968	578,635	0	(3,494)	0	(3,494)	0	597,427	0	(10,836)	(10,836)	14,324	XXX	XXX
037680-AA-3	AEPWV 1113 A1 - ABS		08/01/2020	Paydown		23,501	23,501	23,378	23,428	0	12	0	12	0	23,440	0	61	61	472	02/01/2024	1FE
038222-AL-9	APPLIED MATERIALS INC		09/21/2020	Various		85,699	75,000	75,034	75,024	0	(2)	0	(2)	0	75,022	0	10,678	10,678	2,415	04/01/2027	1FE
06406H-DD-8	BANK OF NEW YORK MELLON CORP		07/17/2020	Call @ 100.00		300,000	300,000	303,012	300,483	0	(483)	0	(483)	0	300,000	0	0	0	7,150	08/17/2020	1FE
06540W-BA-0	BANK 2019-BNK19 A1 - CMBS		09/01/2020	Paydown		8,751	8,751	8,751	8,750	0	(2)	0	(2)	0	8,749	0	2	2	132	08/17/2061	1FE
125523-CL-2	CIGNA CORP		09/24/2020	U.S. Bank		41,457	40,000	39,933	0	0	3	0	3	0	39,936	0	1,521	1,521	512	03/15/2030	2FE
14314J-AC-4	CARMX 2017-1 A3 - ABS		09/15/2020	Paydown		30,576	30,576	30,570	30,575	0	1	0	1	0	30,575	0	0	0	400	11/15/2021	1FE
14314R-AC-6	CARMX 2017-4 A3 - ABS		09/15/2020	Paydown		156,211	156,211	157,334	0	0	(128)	0	(128)	0	157,205	0	(994)	(994)	542	10/17/2022	1FE
161571-HE-7	CHAIT 2016-4 A - ABS		07/15/2020	Various		500,000	500,000	495,918	497,930	0	2,070	0	2,070	0	500,000	0	0	0	4,346	07/15/2022	1FE
17305E-GG-4	CCCIT 2017-A8 A8 - ABS		08/07/2020	Various		500,000	500,000	498,125	498,989	0	1,011	0	1,011	0	500,000	0	0	0	9,300	08/08/2022	1FE
254683-CB-9	DCENT 2017-6 A - ABS		08/17/2020	Various		500,000	500,000	498,848	499,365	0	629	0	629	0	499,995	0	5	5	6,267	02/15/2023	1FE
26444G-AB-9	DUKE ENERGY FLORIDA PROJECT FINANCE LLC		09/01/2020	Paydown		45,992	45,992	44,703	45,473	0	195	0	195	0	45,668	0	324	324	796	09/01/2024	1FE
38013F-AD-3	GMCAR 2018-4 A3 - ABS		09/16/2020	Paydown		100,415	100,415	102,916	0	0	(151)	0	(151)	0	102,765	0	(2,350)	(2,350)	539	10/16/2023	1FE
427866-BE-7	HERSHEY CO		07/09/2020	Mizuho Securities		51,654	50,000	49,886	0	0	1	0	1	0	49,887	0	1,767	1,767	99	06/01/2030	1FE
47788C-AC-6	JDOT 2018 A3 - ABS		09/15/2020	Paydown		34,528	34,528	34,525	34,527	0	0	0	0	0	34,527	0	0	0	614	04/18/2022	1FE
573284-AV-8	MARTIN MARIETTA MATERIALS INC		09/28/2020	DEUTSCHE BANK SECURITIES, INC.		36,373	35,000	34,602	0	0	19	0	19	0	34,621	0	1,751	1,751	472	03/15/2030	2FE
65478H-AD-0	NAROT 2017-C A3 - ABS		09/15/2020	Paydown		84,769	84,769	84,647	84,692	0	31	0	31	0	84,723	0	46	46	1,194	04/18/2022	1FE
674599-CX-9	OCCIDENTAL PETROLEUM CORP		08/28/2020	Adjustment		296,250	300,000	298,389	299,260	0	211	0	211	0	299,471	0	(3,221)	(3,221)	6,847	04/15/2022	3FE
69363P-AA-8	PSNH 2018-1 A1 - ABS		08/01/2020	Paydown		19,691	19,691	19,690	19,692	0	0	0	0	0	19,691	0	(1)	(1)	609	02/01/2026	1FE
81744N-AA-8	SEMT 2012-6 A1 - CMO/RMBS		09/01/2020	Paydown		26,467	26,467	26,798	0	0	(13)	0	(13)	0	26,786	0	(318)	(318)	330	12/26/2042	1FM
81744V-AB-8	SEMT 2012-4 A2 - CMO/RMBS		09/01/2020	Paydown		5,395	5,395	5,402	5,403	0	1	0	1	0	5,404	0	(9)	(9)	104	09/25/2042	1FM

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation and Admini-strative Symbol
81744Y-AC-0	SEMT 2013-4 A3 - CMO/RMBS		09/01/2020	Paydown		43,389	43,389	42,603	0	0	94	0	94	0	42,697	0	693	693	338	04/27/2043	1FE
81745E-AK-5	SEMT 2013-8 A2 - CMO/RMBS		09/01/2020	Paydown		52,396	52,396	51,332	51,747	0	180	0	180	0	51,927	0	470	470	776	06/25/2043	1FM
81745F-AA-4	SEMT 2012-3 A1 - CMO/RMBS		09/01/2020	Paydown		16,568	16,568	16,878	16,816	0	(20)	0	(20)	0	16,796	0	(227)	(227)	411	07/25/2042	1FM
81745M-AA-9	SEMT 2013-2 A - CMO/RMBS		09/01/2020	Paydown		20,028	20,028	18,069	18,265	0	83	0	83	0	18,348	0	1,680	1,680	247	02/25/2043	1FM
81745R-AA-8	SEMT 2013-3 A1 - CMO/RMBS		09/01/2020	Paydown		28,985	28,985	28,315	28,313	0	(15)	0	(15)	0	28,298	0	687	687	386	03/25/2043	1FM
90290E-AB-5	USAOT 2019-1 A2 - ABS		09/15/2020	Paydown		117,111	117,111	117,104	117,107	0	2	0	2	0	117,109	0	2	2	1,765	02/15/2022	1FE
92348R-AA-6	VZOT 2017-3 A1A - ABS		09/20/2020	Paydown		107,444	107,444	107,322	107,386	0	47	0	47	0	107,433	0	12	12	1,464	04/20/2022	1FE
94989Q-AV-2	WFCM 2015-SG1 A4 - CMBS		07/15/2020	WELLS FARGO SECURITIES LLC		329,531	300,000	311,602	307,836	0	(788)	0	(788)	0	307,048	0	22,483	22,483	7,136	09/17/2048	1FM
95001F-AU-9	WFCM 2017-RC1 A1 - CMBS		07/17/2020	Paydown		1,632	1,632	1,632	1,631	0	1	0	1	0	1,632	0	0	0	15	01/16/2060	1FM
96949L-AB-1	WILLIAMS COMPANIES INC		07/16/2020	BANK OF NEW YORK TORONTO DOMINION		111,164	100,000	103,359	102,496	0	(230)	0	(230)	0	102,266	0	8,898	8,898	3,389	09/15/2025	2FE
98162E-AC-1	WOART 2017-A A3 - ABS		09/15/2020	Paydown		25,568	25,568	25,240	25,442	0	50	0	50	0	25,493	0	75	75	328	09/15/2022	1FE
98162G-AB-8	WOLS 2019-B A2A - ABS		09/15/2020	Paydown		45,287	45,287	45,283	45,285	0	1	0	1	0	45,286	0	1	1	621	07/15/2022	1FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						3,746,833	3,694,705	3,701,201	3,245,914	0	2,810	0	2,810	0	3,702,797	0	44,037	44,037	60,015	XXX	XXX
8399997. Total - Bonds - Part 4						4,388,058	4,335,930	4,366,247	3,883,965	0	(1,259)	0	(1,259)	0	4,359,065	0	28,993	28,993	77,176	XXX	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999. Total - Bonds						4,388,058	4,335,930	4,366,247	3,883,965	0	(1,259)	0	(1,259)	0	4,359,065	0	28,993	28,993	77,176	XXX	XXX
8999997. Total - Preferred Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799997. Total - Common Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9999999 - Totals						4,388,058	XXX	4,366,247	3,883,965	0	(1,259)	0	(1,259)	0	4,359,065	0	28,993	28,993	77,176	XXX	XXX

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open

N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

N O N E

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

N O N E

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1	2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					6	7	8	
Depository	Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date	First Month	Second Month	Third Month	*
TD Bank, National Association Portland, ME		0.000			88,033,129	45,809,633	46,787,171	XXX
TD Bank, National Association Portland, ME					(6,889,703)	(1,598,768)	(2,547,388)	XXX
Androscoggin Bank Lewiston, ME		0.008	9,772		4,861,712	4,831,316	4,801,486	XXX
0199998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX						XXX
0199999. Totals - Open Depositories	XXX	XXX	9,772	0	86,005,138	49,042,181	49,041,269	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	9,772	0	86,005,138	49,042,181	49,041,269	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX				XXX
0599999. Total - Cash	XXX	XXX	9,772	0	86,005,138	49,042,181	49,041,269	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year
0599999. Total - U.S. Government Bonds						0	0	0
1099999. Total - All Other Government Bonds						0	0	0
1799999. Total - U.S. States, Territories and Possessions Bonds						0	0	0
2499999. Total - U.S. Political Subdivisions Bonds						0	0	0
3199999. Total - U.S. Special Revenues Bonds						0	0	0
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds						0	0	0
4899999. Total - Hybrid Securities						0	0	0
5599999. Total - Parent, Subsidiaries and Affiliates Bonds						0	0	0
6099999. Subtotal - SVO Identified Funds						0	0	0
6599999. Subtotal - Unaffiliated Bank Loans						0	0	0
7699999. Total - Issuer Obligations						0	0	0
7799999. Total - Residential Mortgage-Backed Securities						0	0	0
7899999. Total - Commercial Mortgage-Backed Securities						0	0	0
7999999. Total - Other Loan-Backed and Structured Securities						0	0	0
8099999. Total - SVO Identified Funds						0	0	0
8199999. Total - Affiliated Bank Loans						0	0	0
8299999. Total - Unaffiliated Bank Loans						0	0	0
8399999. Total Bonds						0	0	0
31846V-54-2	FIRST AMER:TRS OBG Z		09/02/2020	0.040		100,084	3	339
38145C-75-2	GOLDMAN:FS TS CM		09/01/2020	0.010		512,280	3	1,035
8599999. Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO						612,364	6	1,373
31846V-56-7	FIRST AMER:GVT OBLG Z		09/30/2020	0.050		2,035,539	103	368
8699999. Subtotal - All Other Money Market Mutual Funds						2,035,539	103	368
8899999 - Total Cash Equivalents						2,647,903	109	1,741