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HEALTH QUARTERLY STATEMENT

AS OF JUNE 30, 2020

OF THE CONDITION AND AFFAIRS OF THE

Empire HealthChoice HMO, Inc.

NAIC Group Code 0671 (Current) 0671 (Prior) NAIC Company Code 95433 Employer's ID Number 13-3874803

Organized under the Laws of New York, State of Domicile or Port of Entry NY

Country of Domicile United States of America

Licensed as business type: Health Maintenance Organization

Is HMO Federally Qualified? Yes [ ] No [X]

Incorporated/Organized 03/05/1996 Commenced Business 03/19/1996

Statutory Home Office 9 Pine Street, 14th Floor (Street and Number), New York, NY, US 10005 (City or Town, State, Country and Zip Code)

Main Administrative Office 9 Pine Street, 14th Floor (Street and Number)
New York, NY, US 10005 (City or Town, State, Country and Zip Code), 212-563-5570 (Area Code) (Telephone Number)

Mail Address 9 Pine Street, 14th Floor (Street and Number or P.O. Box), New York, NY, US 10005 (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 2 Gannett Drive (Street and Number)
South Portland, ME, US 04106 (City or Town, State, Country and Zip Code), 866-583-6182 (Area Code) (Telephone Number)

Internet Website Address www.empireblue.com

Statutory Statement Contact Leigh Barrett (Name), 317-488-6816 (Area Code) (Telephone Number)
leigh.barrett@anthem.com (E-mail Address), 317-488-6200 (FAX Number)

OFFICERS

President, Chairperson and Chief Executive Officer: Alan James Murray
Treasurer: Eric (Rick) Kenneth Noble
Secretary: Jay Harry Wagner
Assistant Secretary: Patrick James O'Keeffe

OTHER

Vincent Edward Scher, Assistant Treasurer
Mark Daniel Justus, Valuation Actuary

DIRECTORS OR TRUSTEES

Alan James Murray
Lois Susan Freedman
Patrick James O'Keeffe
Jennifer Zoe Kuhn #

State of Maine
County of Cumberland
SS:

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

DocuSigned by: 2D300904431B4B6...
Alan James Murray
President

DocuSigned by: Jay Wagner 12E402B114834B3...
Jay Harry Wagner
Secretary

DocuSigned by: E598440298764FA...
Eric (Rick) Kenneth Noble
Treasurer

Subscribed and sworn to before me this
_____ day of _____

a. Is this an original filing? ..... Yes [X] No [ ]
b. If no,
1. State the amendment number.....
2. Date filed .....
3. Number of pages attached.....

ASSETS

		Current Statement Date 1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 December 31 Prior Year Net Admitted Assets
1.	Bonds	302,065,464		302,065,464	313,107,531
2.	Stocks:				
	2.1 Preferred stocks			0	0
	2.2 Common stocks			0	0
3.	Mortgage loans on real estate:				
	3.1 First liens			0	0
	3.2 Other than first liens			0	0
4.	Real estate:				
	4.1 Properties occupied by the company (less $ ..... encumbrances)			0	0
	4.2 Properties held for the production of income (less $ ..... encumbrances)			0	0
	4.3 Properties held for sale (less $ ..... encumbrances)			0	0
5.	Cash ($ 15,020,556), cash equivalents ($ 17,000,000) and short-term investments ($ .....)	32,020,556		32,020,556	8,646,481
6.	Contract loans (including $ ..... premium notes)			0	0
7.	Derivatives			0	0
8.	Other invested assets			0	0
9.	Receivables for securities			0	0
10.	Securities lending reinvested collateral assets	3,751,144		3,751,144	4,274,969
11.	Aggregate write-ins for invested assets	0	0	0	0
12.	Subtotals, cash and invested assets (Lines 1 to 11)	337,837,164	0	337,837,164	326,028,981
13.	Title plants less $ ..... charged off (for Title insurers only)			0	0
14.	Investment income due and accrued	2,911,356		2,911,356	3,128,426
15.	Premiums and considerations:				
	15.1 Uncollected premiums and agents' balances in the course of collection	8,941,408	2,893,122	6,048,286	12,982,349
	15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including $ ..... earned but unbilled premiums)			0	0
	15.3 Accrued retrospective premiums ($ 6,701,694) and contracts subject to redetermination ($ 27,150,358)	33,852,052		33,852,052	19,843,232
16.	Reinsurance:				
	16.1 Amounts recoverable from reinsurers			0	0
	16.2 Funds held by or deposited with reinsured companies			0	0
	16.3 Other amounts receivable under reinsurance contracts			0	0
17.	Amounts receivable relating to uninsured plans	853,931	333,723	520,208	273,028
18.1	Current federal and foreign income tax recoverable and interest thereon			0	3,749,005
18.2	Net deferred tax asset	11,034,413	21,889	11,012,524	0
19.	Guaranty funds receivable or on deposit			0	0
20.	Electronic data processing equipment and software			0	0
21.	Furniture and equipment, including health care delivery assets ($ .....)			0	0
22.	Net adjustment in assets and liabilities due to foreign exchange rates			0	0
23.	Receivables from parent, subsidiaries and affiliates			0	0
24.	Health care ($ 6,681,631) and other amounts receivable	27,790,271	21,108,640	6,681,631	17,235,073
25.	Aggregate write-ins for other than invested assets	23,345,910	2,581,972	20,763,938	25,419,273
26.	Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	446,566,505	26,939,346	419,627,159	408,659,367
27.	From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	0
28.	Total (Lines 26 and 27)	446,566,505	26,939,346	419,627,159	408,659,367
	DETAILS OF WRITE-INS				
1101.					
1102.					
1103.					
1198.	Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199.	Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501.	Medicare Receivables	10,712,796		10,712,796	8,797,422
2502.	New York Assessments	3,747,641		3,747,641	9,240,020
2503.	Prepaid Expenses	4,647,169	2,059,611	2,587,558	2,643,363
2598.	Summary of remaining write-ins for Line 25 from overflow page	4,238,304	522,361	3,715,943	4,738,468
2599.	Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	23,345,910	2,581,972	20,763,938	25,419,273

LIABILITIES, CAPITAL AND SURPLUS

		Current Period			Prior Year
		1 Covered	2 Uncovered	3 Total	4 Total
1.	Claims unpaid (less $ ..... reinsurance ceded)	93,850,710		93,850,710	88,963,406
2.	Accrued medical incentive pool and bonus amounts	4,478,804		4,478,804	6,791,641
3.	Unpaid claims adjustment expenses	1,956,991		1,956,991	2,007,118
4.	Aggregate health policy reserves, including the liability of $ .....0 for medical loss ratio rebate per the Public Health Service Act	28,001,968		28,001,968	53,631,925
5.	Aggregate life policy reserves			0	0
6.	Property/casualty unearned premium reserve			0	0
7.	Aggregate health claim reserves	5,767		5,767	5,297
8.	Premiums received in advance	252,632		252,632	962,451
9.	General expenses due or accrued	10,908,695		10,908,695	3,196,323
10.1	Current federal and foreign income tax payable and interest thereon (including $ ..... on realized gains (losses))	3,379,063		3,379,063	0
10.2	Net deferred tax liability	0		0	0
11.	Ceded reinsurance premiums payable			0	0
12.	Amounts withheld or retained for the account of others	1,137,577		1,137,577	687,136
13.	Remittances and items not allocated	3,269,711		3,269,711	4,891,752
14.	Borrowed money (including $ ..... current) and interest thereon $ ..... (including $ ..... current)			0	0
15.	Amounts due to parent, subsidiaries and affiliates	53,189,049		53,189,049	77,684,077
16.	Derivatives			0	0
17.	Payable for securities			0	438,646
18.	Payable for securities lending	3,751,144		3,751,144	4,274,969
19.	Funds held under reinsurance treaties (with $ ..... authorized reinsurers, $ ..... unauthorized reinsurers and $ ..... certified reinsurers)			0	0
20.	Reinsurance in unauthorized and certified ($ .....) companies			0	0
21.	Net adjustments in assets and liabilities due to foreign exchange rates			0	0
22.	Liability for amounts held under uninsured plans			0	0
23.	Aggregate write-ins for other liabilities (including $ .....11,779,829 current)	12,499,535	0	12,499,535	7,968,856
24.	Total liabilities (Lines 1 to 23)	216,681,646	0	216,681,646	251,503,597
25.	Aggregate write-ins for special surplus funds	XXX	XXX	0	17,985,713
26.	Common capital stock	XXX	XXX	2	2
27.	Preferred capital stock	XXX	XXX		
28.	Gross paid in and contributed surplus	XXX	XXX	101,999,998	101,999,998
29.	Surplus notes	XXX	XXX		
30.	Aggregate write-ins for other than special surplus funds	XXX	XXX	121,974,894	121,974,894
31.	Unassigned funds (surplus)	XXX	XXX	(21,029,381)	(84,804,837)
32.	Less treasury stock, at cost:				
	32.1 ..... shares common (value included in Line 26 $ .....)	XXX	XXX		
	32.2 ..... shares preferred (value included in Line 27 $ .....)	XXX	XXX		
33.	Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	202,945,513	157,155,770
34.	Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	419,627,159	408,659,367
	DETAILS OF WRITE-INS				
2301.	Miscellaneous Medicare Liabilities	10,658,668		10,658,668	5,795,597
2302.	Escheat Liability	895,884		895,884	765,199
2303.	Miscellaneous Liability	377,526		377,526	704,248
2398.	Summary of remaining write-ins for Line 23 from overflow page	567,457	0	567,457	703,812
2399.	Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	12,499,535	0	12,499,535	7,968,856
2501.	Estimated ACA Health Insurer fee	XXX	XXX		17,985,713
2502.		XXX	XXX		
2503.		XXX	XXX		
2598.	Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599.	Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	XXX	XXX	0	17,985,713
3001.	Required Reserves	XXX	XXX	121,974,894	121,974,894
3002.		XXX	XXX		
3003.		XXX	XXX		
3098.	Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099.	Totals (Lines 3001 through 3003 plus 3098)(Line 30 above)	XXX	XXX	121,974,894	121,974,894

STATEMENT OF REVENUE AND EXPENSES

		Current Year To Date		Prior Year To Date	Prior Year Ended December 31
		1 Uncovered	2 Total	3 Total	4 Total
1.	Member Months	XXX	435,820	497,250	993,051
2.	Net premium income (including $ non-health premium income)	XXX	486,493,516	493,354,325	975,799,152
3.	Change in unearned premium reserves and reserve for rate credits	XXX	6,041,432	129,734	1,791,305
4.	Fee-for-service (net of $ medical expenses)	XXX			0
5.	Risk revenue	XXX			0
6.	Aggregate write-ins for other health care related revenues	XXX	0	0	0
7.	Aggregate write-ins for other non-health revenues	XXX	0	0	0
8.	Total revenues (Lines 2 to 7)	XXX	492,534,948	493,484,059	977,590,457
	Hospital and Medical:				
9.	Hospital/medical benefits		318,068,435	322,639,083	669,940,026
10.	Other professional services		12,494,382	28,202,680	43,569,277
11.	Outside referrals		19,561,357	22,763,200	44,070,798
12.	Emergency room and out-of-area		12,980,073	15,079,584	29,989,280
13.	Prescription drugs		37,240,758	44,697,392	81,349,222
14.	Aggregate write-ins for other hospital and medical	0	(99,424)	(934,796)	(1,311,589)
15.	Incentive pool, withhold adjustments and bonus amounts		2,293,281	4,680,812	8,915,656
16.	Subtotal (Lines 9 to 15)	0	402,538,862	437,127,955	876,522,670
	Less:				
17.	Net reinsurance recoveries			75,520	75,519
18.	Total hospital and medical (Lines 16 minus 17)	0	402,538,862	437,052,435	876,447,151
19.	Non-health claims (net)				
20.	Claims adjustment expenses, including $ 18,337,561 cost containment expenses		26,688,310	21,730,151	48,371,555
21.	General administrative expenses		51,175,928	34,878,888	72,678,660
22.	Increase in reserves for life and accident and health contracts (including $ increase in reserves for life only)		(25,404,633)	(9,036,762)	41,716,038
23.	Total underwriting deductions (Lines 18 through 22)	0	454,998,467	484,624,712	1,039,213,404
24.	Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	37,536,481	8,859,347	(61,622,947)
25.	Net investment income earned		4,523,352	5,673,422	10,360,261
26.	Net realized capital gains (losses) less capital gains tax of $ 281,065		1,065,984	427,110	965,782
27.	Net investment gains (losses) (Lines 25 plus 26)	0	5,589,336	6,100,532	11,326,043
28.	Net gain or (loss) from agents' or premium balances charged off [(amount recovered $) (amount charged off $ 119,979)]		(119,979)	(283,811)	(209,553)
29.	Aggregate write-ins for other income or expenses	0	(199,702)	(318,389)	(608,210)
30.	Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	42,806,136	14,357,679	(51,114,667)
31.	Federal and foreign income taxes incurred	XXX	6,847,003	481,022	(9,996,623)
32.	Net income (loss) (Lines 30 minus 31)	XXX	35,959,133	13,876,657	(41,118,044)
	DETAILS OF WRITE-INS				
0601.		XXX			
0602.		XXX			
0603.		XXX			
0698.	Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0	0
0699.	Totals (Lines 0601 through 0603 plus 0698)(Line 6 above)	XXX	0	0	0
0701.		XXX			
0702.		XXX			
0703.		XXX			
0798.	Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0	0
0799.	Totals (Lines 0701 through 0703 plus 0798)(Line 7 above)	XXX	0	0	0
1401.	Pool Recoveries - Stop Loss		(99,424)	(934,796)	(1,311,589)
1402.					
1403					
1498.	Summary of remaining write-ins for Line 14 from overflow page	0	0	0	0
1499.	Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	0	(99,424)	(934,796)	(1,311,589)
2901.	Miscellaneous (expense) income		(199,702)	(318,389)	(608,210)
2902.					
2903					
2998.	Summary of remaining write-ins for Line 29 from overflow page	0	0	0	0
2999.	Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	(199,702)	(318,389)	(608,210)

STATEMENT OF REVENUE AND EXPENSES (Continued)

		1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
	CAPITAL AND SURPLUS ACCOUNT			
33.	Capital and surplus prior reporting year	157,155,770	187,011,169	187,011,169
34.	Net income or (loss) from Line 32	35,959,133	13,876,657	(41,118,044)
35.	Change in valuation basis of aggregate policy and claim reserves			
36.	Change in net unrealized capital gains (losses) less capital gains tax of $ (61,884)	(232,801)	41,587	48,390
37.	Change in net unrealized foreign exchange capital gain or (loss)			
38.	Change in net deferred income tax	10,972,528	1,174,393	(3,049,270)
39.	Change in nonadmitted assets	(909,117)	903,589	(736,475)
40	Change in unauthorized and certified reinsurance	0		0
41.	Change in treasury stock	0		0
42.	Change in surplus notes	0		0
43.	Cumulative effect of changes in accounting principles			
44.	Capital Changes:			
	44.1 Paid in			0
	44.2 Transferred from surplus (Stock Dividend)	0		0
	44.3 Transferred to surplus			
45.	Surplus adjustments:			
	45.1 Paid in	0		15,000,000
	45.2 Transferred to capital (Stock Dividend)			
	45.3 Transferred from capital			
46.	Dividends to stockholders			
47.	Aggregate write-ins for gains or (losses) in surplus	0	0	0
48.	Net change in capital & surplus (Lines 34 to 47)	45,789,743	15,996,226	(29,855,399)
49.	Capital and surplus end of reporting period (Line 33 plus 48)	202,945,513	203,007,395	157,155,770
	DETAILS OF WRITE-INS			
4701.				
4702.				
4703.				
4798.	Summary of remaining write-ins for Line 47 from overflow page	0	0	0
4799.	Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	0	0	0

CASH FLOW

		1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
	Cash from Operations			
1.	Premiums collected net of reinsurance	483,500,680	515,064,062	994,969,047
2.	Net investment income	6,071,354	7,141,225	13,154,618
3.	Miscellaneous income	0		0
4.	Total (Lines 1 to 3)	489,572,034	522,205,287	1,008,123,665
5.	Benefit and loss related payments	399,963,925	431,452,314	874,671,915
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7.	Commissions, expenses paid and aggregate write-ins for deductions	70,810,766	58,781,494	123,463,221
8.	Dividends paid to policyholders			
9.	Federal and foreign income taxes paid (recovered) net of $ 281,065 tax on capital gains (losses)	1	(27,939,662)	(10,534,046)
10.	Total (Lines 5 through 9)	470,774,692	462,294,146	987,601,090
11.	Net cash from operations (Line 4 minus Line 10)	18,797,342	59,911,141	20,522,575
	Cash from Investments			
12.	Proceeds from investments sold, matured or repaid:			
	12.1 Bonds	52,726,816	37,968,610	59,163,984
	12.2 Stocks	0		0
	12.3 Mortgage loans	0		0
	12.4 Real estate	0		0
	12.5 Other invested assets	0		0
	12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	(19,992)	20	(4,977)
	12.7 Miscellaneous proceeds	523,825	1,362,162	811,027
	12.8 Total investment proceeds (Lines 12.1 to 12.7)	53,230,649	39,330,792	59,970,034
13.	Cost of investments acquired (long-term only):			
	13.1 Bonds	41,943,324	43,626,895	70,673,095
	13.2 Stocks	0		0
	13.3 Mortgage loans	0		0
	13.4 Real estate	0		0
	13.5 Other invested assets	0		0
	13.6 Miscellaneous applications	438,646	1,005,332	0
	13.7 Total investments acquired (Lines 13.1 to 13.6)	42,381,970	44,632,227	70,673,095
14.	Net increase (or decrease) in contract loans and premium notes	0		0
15.	Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	10,848,679	(5,301,435)	(10,703,061)
	Cash from Financing and Miscellaneous Sources			
16.	Cash provided (applied):			
	16.1 Surplus notes, capital notes	0		0
	16.2 Capital and paid in surplus, less treasury stock	0		15,000,000
	16.3 Borrowed funds	0		0
	16.4 Net deposits on deposit-type contracts and other insurance liabilities	0		0
	16.5 Dividends to stockholders	0		0
	16.6 Other cash provided (applied)	(6,271,946)	(49,517,482)	(20,707,073)
17.	Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(6,271,946)	(49,517,482)	(5,707,073)
	RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18.	Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	23,374,075	5,092,224	4,112,441
19.	Cash, cash equivalents and short-term investments:			
	19.1 Beginning of year	8,646,481	4,534,040	4,534,040
	19.2 End of period (Line 18 plus Line 19.1)	32,020,556	9,626,264	8,646,481

Note: Supplemental disclosures of cash flow information for non-cash transactions:

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10
	Total	2 Individual	3 Group	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other
Total Members at end of:										
1. Prior Year	82,107	0	11,255	0	0	0	0	70,852	0	0
2. First Quarter	71,719	0	3,321	0	0	0	0	68,398	0	0
3. Second Quarter	74,153		3,013					71,140		
4. Third Quarter	0									
5. Current Year	0									
6. Current Year Member Months	435,820		22,973					412,847		
Total Member Ambulatory Encounters for Period:										
7 Physician	569,482		14,919					554,563		
8. Non-Physician	300,589		11,740					288,849		
9. Total	870,071	0	26,659	0	0	0	0	843,412	0	0
10. Hospital Patient Days Incurred	71,730		615					71,115		
11. Number of Inpatient Admissions	9,799		135					9,664		
12. Health Premiums Written (a)	486,493,516	2,021,843	18,273,637					466,166,772		31,265
13. Life Premiums Direct	0									
14. Property/Casualty Premiums Written	0									
15. Health Premiums Earned	492,534,948	2,021,843	18,791,733					471,690,107		31,265
16. Property/Casualty Premiums Earned	0									
17. Amount Paid for Provision of Health Care Services	399,963,925	458,205	19,693,028					379,745,702	0	66,990
18. Amount Incurred for Provision of Health Care Services	402,538,862	683,817	10,360,188					391,428,083		66,774

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees $ 466,166,772

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

Aging Analysis of Unpaid Claims

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 Days	6 Over 120 Days	7 Total
Claims Unpaid (Reported)						
0299999 Aggregate accounts not individually listed-uncovered						0
0399999 Aggregate accounts not individually listed-covered	28,044,060	1,343,693	519,492	24,783	4,228,947	34,160,975
0499999 Subtotals	28,044,060	1,343,693	519,492	24,783	4,228,947	34,160,975
0599999 Unreported claims and other claim reserves						59,689,735
0699999 Total amounts withheld						
0799999 Total claims unpaid						93,850,710
0899999 Accrued medical incentive pool and bonus amounts						4,478,804

UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5	6
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year	Claims Incurred in Prior Years (Columns 1 + 3)	Estimated Claim Reserve and Claim Liability December 31 of Prior Year
1. Comprehensive (hospital and medical)	14,130,879	12,639,881	1,248,970	2,501,956	15,379,849	12,834,866
2. Medicare Supplement					0	0
3. Dental Only					0	0
4. Vision Only					0	0
5. Federal Employees Health Benefits Plan					0	0
6. Title XVIII - Medicare	82,643,583	313,663,876	4,260,758	85,839,045	86,904,341	76,127,873
7 Title XIX - Medicaid					0	0
8. Other health	4,424	65,436	3,779	1,969	8,203	5,964
9. Health subtotal (Lines 1 to 8)	96,778,886	326,369,193	5,513,507	88,342,970	102,292,393	88,968,703
10. Healthcare receivables (a)	5,542,927	22,247,344			5,542,927	0
11. Other non-health					0	0
12. Medical incentive pools and bonus amounts	4,019,948	586,169	3,784,836	693,968	7,804,784	6,791,641
13. Totals (Lines 9-10+11+12)	95,255,907	304,708,018	9,298,343	89,036,938	104,554,250	95,760,344

(a) Excludes $ ..... loans or advances to providers not yet expensed.

NOTES TO FINANCIAL STATEMENTS

For the purposes of the quarterly interim financial information, it is presumed that the users of the interim financial information have read or have access to the Annual Statement as of December 31, 2019. This presentation addresses only significant events occurring since the last Annual Statement.

1. Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The accompanying financial statements of Empire HealthChoice HMO, Inc. (the "Company") have been prepared in conformity with the National Association of Insurance Commissioners' ("NAIC") *Annual Statement Instructions* and in accordance with accounting practices prescribed or permitted by the New York State Department of Financial Services (the "Department"). The Department has adopted accounting policies found in the NAIC *Accounting Practices and Procedures Manual* ("NAIC SAP") as a component of prescribed accounting practices. Additionally, the Department has adopted certain prescribed accounting practices that differ from those found in NAIC SAP, which impact the Company, specifically 1) overdue premiums (in excess of 90 days) from state and local governments or any of its instrumentalities shall be admitted assets; in NAIC SAP, premiums over 90 days due are non-admitted; 2) certain estimated market stabilization reinsurance/ pooling recoverables, stop-loss recoverables, and reinsurance recoverables are admitted assets; in NAIC SAP, these recoverables are admitted only upon notification of the refund; and 3) prepaid broker commissions are admitted assets; in NAIC SAP, prepaid broker commissions are nonadmitted assets. The Department has the right to permit other specific practices that deviate from prescribed practices. The Company has employed no permitted practices in preparing the accompanying statutory-basis financial statements.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the Department is shown below:

	SSAP #	F/S Page	F/S Line #	June 30, 2020	December 31, 2019
Net Income					
(1) Empire HealthChoice HMO, Inc. state basis (Page 4, Line 32, Columns 2 & 4)	XXX	XXX	XXX	$ 35,959,133	$ (41,118,044)
(2) State Prescribed Practices that is an increase/(decrease) from NAIC SAP:				—	—
(3) State Permitted Practices that is an increase/(decrease) from NAIC SAP:				—	—
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	$ 35,959,133	$ (41,118,044)
Surplus					
(5) Empire HealthChoice HMO, Inc. state basis (Page 3, Line 33, Columns 3 & 4)	XXX	XXX	XXX	$ 202,945,513	$ 157,155,770
(6) State Prescribed Practices that is an increase/(decrease) from NAIC SAP:					
Pooling/Stop Loss recoverable	6	2	25	1,715,461	1,616,037
Prepaid Brokers' Commissions	29	2	25	2,587,558	2,643,363
Overdue local government premiums	6	2	15.1	366,231	1,276,195
(7) State Permitted Practices that is an increase/(decrease) from NAIC SAP:				—	—
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	$ 198,276,263	$ 151,620,175

B. Use of Estimates in the Preparation of the Financial Statements

No significant change.

NOTES TO FINANCIAL STATEMENTS

C. Accounting Policies

(1) No significant change.

(2) Investment grade bonds not backed by other loans are stated at amortized cost, with amortization calculated based on the modified scientific method, using lower of yield to call or yield to maturity. Non-investment grade bonds are stated at the lower of amortized cost or fair value as determined by various third-party pricing sources.

(3) - (5) No significant change.

(6) Loan-backed securities are stated at amortized cost. Pre-payment assumptions for loan-backed securities and structured securities were obtained from broker-dealer survey values or internal estimates. These assumptions are consistent with the current interest rate and economic environment. The retrospective adjustment method is used to value all loan-backed securities. Non-investment grade loan-backed securities are stated at the lower of amortized cost or fair value.

(7) - (14) No significant change.

D. Going Concern

Not applicable.

2. Accounting Changes and Corrections of Errors

Not applicable.

3. Business Combinations and Goodwill

Not applicable.

4. Discontinued Operations

Not applicable.

5. Investments

A. - C.

Not applicable.

D. Loan-Backed Securities

(1) Prepayment assumptions for single-class and multi-class mortgage-backed and asset-backed securities were obtained from broker-dealer survey values or internal estimates. The Company used various third-party pricing sources in determining the market value of its loan-backed securities.
(2) The Company did not recognize other-than-temporary impairments ("OTTI") on its loan-backed securities during the six months ended June 30, 2020.
(3) The Company did not recognize OTTI on its loan-backed securities at June 30, 2020.

(4) All impaired securities (fair value is less than cost or amortized cost) for which an OTTI has not been recognized in earnings as a realized loss (including securities with a recognized OTTI for non-interest related declines when a non-recognized interest related impairment remains):

a.	The aggregate amount of unrealized losses:			
		1.	Less than 12 Months	$ (76,917)
		2.	12 Months or Longer	$ —
b.	The aggregate related fair value of securities with unrealized losses:			
		1.	Less than 12 Months	$ 4,154,078
		2.	12 Months or Longer	$ —

(5) The Company's bond portfolio is sensitive to interest rate fluctuations, which impact the fair value of individual securities. Unrealized losses on bonds were primarily caused by the effects of the interest rate environment and the widening of credit spreads on certain securities. The Company currently has the ability and intent to hold these securities until their full cost can be recovered. Therefore, the Company does not believe the unrealized losses represent an OTTI at June 30, 2020.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

(1) Not applicable.
(2) No significant change.
(3) Collateral Received

a.	No significant change.	
b.	The fair value of that collateral and of the portion of that collateral that it has sold or repledged	$ 3,751,100
c.	No significant change.	

(4) Not applicable.
(5) No significant change.
(6) Not applicable.
(7) Not applicable.

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

The Company did not enter into repurchase agreement transactions accounted for as secured borrowing at June 30, 2020.

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

The Company did not enter into reverse repurchase agreement transactions accounted for as a secured borrowing at June 30, 2020.

H. Repurchase Agreements Transactions Accounted for as a Sale

The Company did not enter into repurchase agreement transactions accounted for as a sale at June 30, 2020.

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

The Company did not enter into reverse repurchase agreement transactions accounted for as a sale at June 30, 2020.

J. Real Estate

No significant change.

K. Investments in Low-Income Housing Tax Credits

No significant change.

L. Restricted Assets

No significant change.

M. Working Capital Finance Investments

Not applicable.

N. Offsetting and Netting of Assets and Liabilities

The Company had no netted assets and liabilities at June 30, 2020.

O. 5GI Securities

The Company has no 5GI Securities as of June 30, 2020.

P. Short Sales

The Company did not have any short sales at June 30, 2020.

Q. Prepayment Penalty and Acceleration Fees

The Company did not have any prepayment penalty or acceleration fees at June 30, 2020.

6. Joint Ventures, Partnerships and Limited Liability Companies

Not applicable.

7. Investment Income

No significant change.

8. Derivative Instruments

Not applicable.

9. Income Taxes

No significant change.

10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

A. Nature of the Relationship

No significant change.

B. Significant Transactions for the Period

No significant change.

C. Intercompany Management and Service Arrangements

The Company became party to the Fair Market Value ("FMV") Services Attachment discussed in Note 10F. There were no additional arrangements entered into as of June 30, 2020.

D. Amounts Due to or from Related Parties

At June 30, 2020, the Company reported no amounts due from affiliates and $53,189,049 due to affiliates. The payable balance represents intercompany transactions that will be settled in accordance with the settlement terms of the intercompany agreement.

E. Guarantees or Contingencies for Related Parties

No significant change.

F. Management and Service Contracts and Cost Sharing Arrangements

The Company has entered into administrative services agreements with its affiliated companies. Pursuant to these agreements, various administrative, management and support services are provided to or provided by the Company. The costs and expenses related to these administrative management and support services are allocated to or allocated by the Company in an amount equal to the direct and indirect costs and expenses incurred in providing these services. Costs include expenses such as salaries, employee benefits, information technology, pharmacy benefits administration, communications, advertising, consulting services, rent, utilities, billing, accounting, underwriting, and product development, which support the Company's operations. These costs are allocated based on various utilization statistics.

In addition, the Company is party to the FMV Services Attachment, starting January 1, 2020, the costs and expenses related to certain care management and other services are allocated to or allocated by the Company in an amount equal to the fair market value of the services provided. These costs are allocated based on various utilization statistics.

The Company amended its agreements and added American Imaging Management, Inc. to the agreements.

G. - O.

No significant change.

11. Debt

Not applicable.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A. Defined Benefit Plan

Not applicable.

B. Not applicable.

C. Not applicable.

D. Not applicable.

E. Defined Contribution Plans

Not applicable.

F. Multiemployer Plans

The Company does not participate in a multiemployer plan.

G. Consolidated/Holding Company Plans

No significant change.

H. Post Employment Benefits and Compensated Absences

Not applicable.

I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17)

Not applicable.

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

(1) - (8)

No significant change.

(9) Changes in Special Surplus Funds

The change in balances of special surplus funds from the prior year are due to changes in the amounts segregated for the estimated Affordable Care Act ("ACA") health insurer fee. The insurer fee was permanently suspended.

(10) - (13)

No significant change.

14. Liabilities, Contingencies and Assessments

No significant change.

15. Leases

No significant change.

16. Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

Not applicable.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. Transfers of Receivables Reported as Sales

Not applicable.

B. Transfer and Servicing of Financial Assets

(1) The Company participates in a securities lending program whereby marketable securities in its investment portfolio are transferred to independent brokers or dealers. At June 30, 2020 the fair value of securities loaned was $3,649,404 and the carrying value of securities loaned was $3,409,515.

(2) - (7) Not applicable.

C. Wash Sales

(1) In the course of the Company's asset management, securities may be sold and reacquired within 30 days of the sale date to enhance the yield on the investments.

(2) At June 30, 2020, there were no wash sales involving securities with an NAIC designation of 3 or below or unrated.

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

A. Administrative Services Only Plans

Not applicable.

B. Administrative Services Contract Plans

Not applicable.

C. Medicare or Other Similarly Structured Cost-Based Reimbursement Contract

No significant change.

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Not applicable.

20. Fair Value Measurements

A.

(1) Fair Value Measurement at Reporting Date

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
a. Assets at fair value					
Bonds					
U.S. governments	$ —	$ —	$ —	$ —	$ —
U.S. special revenues	$ —	$ 788,197	$ —	$ —	$ 788,197
Industrial and misc	—	2,983,011	—	—	2,983,011
Hybrid securities	—	—	—	—	—
Parent, subsidiaries and affiliates	—	—	—	—	—
Total bonds	$ —	$ 3,771,208	$ —	$ —	$ 3,771,208
Cash equivalents					
Industrial and miscellaneous money market funds	$17,000,000	$ —	$ —	$ —	$17,000,000
Total cash equivalents	$17,000,000	$ —	$ —	$ —	$17,000,000
Total assets at fair value/NAV	$17,000,000	$ 3,771,208	$ —	$ —	$20,771,208

(2) Fair Value Measurement in (Level 3) of the Fair Value Hierarchy

There are no investments in Level 3 as of June 30, 2020.

(3) The Company's policy is to recognize transfers between Levels, if any, as of the beginning of the reporting period.

(4) Fair values of bonds are based on quoted market prices, where available. These fair values are obtained primarily from third party pricing services, which generally use Level 1 or Level 2 inputs, for the determination of fair value to facilitate fair value measurements and disclosures. Level 2 securities primarily include United States government securities, corporate securities, securities from states, municipalities and political subdivisions, mortgage-backed securities and certain other asset-backed securities. For securities not actively traded, the pricing services may use quoted market prices of comparable instruments or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include, but are not limited to, broker quotes, benchmark yields, credit spreads, default rates and prepayment speeds. The Company has controls in place to review the pricing services' qualifications and procedures used to determine fair values. In addition, the Company periodically reviews the pricing services' pricing methodologies, data sources and pricing inputs to ensure the fair values obtained are reasonable.

Cash equivalents primarily consist of highly rated money market funds or bonds with original maturities of three months or less. Due to the high ratings and short-term nature of these investments, cash equivalents are primarily designated as Level 1.

There have been no significant changes in the valuation techniques during the current period.

B. Fair Value Measurements Under Other Accounting Pronouncements

Not applicable.

C. Financial Instruments

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value ("NAV")	Not Practicable (Carrying Value)
Bonds	$ 317,791,762	$ 302,065,464	$ —	$ 316,791,762	$ —	$ —	$ —
Cash equivalents	17,000,000	17,000,000	17,000,000	—	—	—	—
Securities lending collateral asset	3,751,100	3,751,144	—	3,751,100	—	—	—

D. Not Practicable to Estimate Fair Value

There are no financial instruments that were not practicable to estimate at fair value.

E. Investments Measured at Net Asset Value

The Company has no investments measured at net asset value.

21. Other Items

A. Unusual or Infrequent Items

The spread of the COVID-19 virus caused significant financial market volatility, economic uncertainty, and interruptions to normal business activities. The ultimate impact to the Company is unknown, but management expects continued interruptions to day-to-day business activities, impacts to claim and premium activity, investment values, as well as possible impacts to liquidity.

B. - I.

No significant change.

22. Events Subsequent

Subsequent events have been considered through August 14, 2020 for the statutory statement issued on August 15, 2020. There were no events occurring subsequent to June 30, 2020 requiring recognition or disclosure.

23. Reinsurance

No significant change.

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

A. - D.

No significant change.

NOTES TO FINANCIAL STATEMENTS

E. Risk Sharing Provisions of the Affordable Care Act ("ACA")

(1) Did the reporting entity write accident and health insurance premium that is subject to the Affordable Care Act risk sharing provisions (YES/NO)? Yes

(2) Impact of Risk-Sharing Provisions of the Affordable Care Act on Admitted Assets, Liabilities and Revenue for the Current Year

a. Permanent ACA Risk Adjustment Program Assets		
Assets		
1. Premium adjustments receivable due to ACA Risk Adjustment (including high risk pool payments)	$	1,011,253
Liabilities		
2. Risk adjustment user fees payable for ACA Risk Adjustment	$	2,626
3. Premium adjustments payable due to ACA Risk Adjustment (including high risk pool premiums)	$	2,493,773
Operations (Revenue & Expense)		
4. Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment	$	1,819,924
5. Reported in expenses as ACA risk adjustment user fees (incurred/paid)	$	235
b. Transitional ACA Reinsurance Program		
Assets		
1. Amounts recoverable for claims paid due to ACA Reinsurance	$	—
2. Amounts recoverable for claims unpaid due to ACA Reinsurance (contra liability)	$	—
3. Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance	$	—
Liabilities		
4. Liabilities for contributions payable due to ACA Reinsurance - not reported as ceded premium	$	—
5. Ceded reinsurance premiums payable due to ACA Reinsurance	$	—
6. Liability for amounts held under uninsured plans contributions for ACA Reinsurance	$	—
Operations (Revenue & Expense)		
7. Ceded reinsurance premiums due to ACA Reinsurance	$	—
8. Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected payments	$	—
9. ACA Reinsurance contributions - not reported as ceded premium	$	—
c. Temporary ACA Risk Corridors Program		
Assets		
1. Accrued retrospective premium due to ACA Risk Corridors	$	—
Liabilities		
2. Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors	$	—
Operations (Revenue & Expense)		
3. Effect of ACA Risk Corridors on net premium income (paid/received)	$	—
4. Effect of ACA Risk Corridors on change in reserves for rate credits	$	—

Line items where the amount is zero is due to no balance and/or no activity as of the reporting date.

NOTES TO FINANCIAL STATEMENTS

(3) Roll-forward of prior year ACA risk-sharing provisions for the following asset (gross of any nonadmission) and liability balances, along with the reasons for adjustments to prior year balance.

	Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year		Differences		Adjustments			Unsettled Balances as of the Reporting Date	
					Prior Year Accrued Less Payments (Col 1 - 3)	Prior Year Accrued Less Payments (Col 2 - 4)	To Prior Year Balances	To Prior Year Balances		Cumulative Balance from Prior Years (Col 1 - 3 + 7)	Cumulative Balance from Prior Years (Col 2 - 4 + 8)
	1	2	3	4	5	6	7	8		9	10
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Ref	Receivable	(Payable)
a. Permanent ACA Risk Adjustment Program											
1. Premium adjustments receivable (including high risk pool payments)	$ 1,011,253	$ —	$ 2,072,397	$ —	$ (1,061,144)	$ —	$ 2,072,397	$ —	A	$ 1,011,253	$ —
2. Premium adjustments (payable) (including high risk pool premiums)	$ —	$ 2,241,299	$ —	$ —	$ —	$ 2,241,299	$ —	$ —	B	$ —	$ 2,241,299
3. Subtotal ACA Permanent Risk Adjustment Program	$ 1,011,253	$ 2,241,299	$ 2,072,397	$ —	$ (1,061,144)	$ 2,241,299	$ 2,072,397	$ —		$ 1,011,253	$ 2,241,299
b. Transitional ACA Reinsurance Program											
1. Amounts recoverable for claims paid	$ —	$ —	$ —	$ —	$ —	$ —	$ —	$ —	C	$ —	$ —
2. Amounts recoverable for claims unpaid (contra liability)	$ —	$ —	$ —	$ —	$ —	$ —	$ —	$ —	D	$ —	$ —
3. Amounts receivable relating to uninsured plans	$ —	$ —	$ —	$ —	$ —	$ —	$ —	$ —	E	$ —	$ —
4. Liabilities for contributions payable due to ACA Reinsurance - not reported as ceded premium	$ —	$ —	$ —	$ —	$ —	$ —	$ —	$ —	F	$ —	$ —
5. Ceded reinsurance premiums payable	$ —	$ —	$ —	$ —	$ —	$ —	$ —	$ —	G	$ —	$ —
6 Liability for amounts held under uninsured plans	$ —	$ —	$ —	$ —	$ —	$ —	$ —	$ —	H	$ —	$ —
7. Subtotal ACA Transitional Reinsurance Program	$ —	$ —	$ —	$ —	$ —	$ —	$ —	$ —		$ —	$ —
c. Temporary ACA Risk Corridors Program											
1. Accrued retrospective premium	$ —	$ —	$ —	$ —	$ —	$ —	$ —	$ —	I	$ —	$ —
2. Reserve for rate credits or policy experience rating refunds	$ —	$ —	$ —	$ —	$ —	$ —	$ —	$ —	J	$ —	$ —
3. Subtotal ACA Risk Corridors Program	$ —	$ —	$ —	$ —	$ —	$ —	$ —	$ —		$ —	$ —
d. Total for ACA Risk Sharing Provisions	$ 1,011,253	$ 2,241,299	$ 2,072,397	$ —	$ (1,061,144)	$ 2,241,299	$ 2,072,397	$ —		$ 1,011,253	$ 2,241,299

Explanations of adjustments

A Adjustments were made to reflect the ending balance in the Centers for Medicare & Medicaid Services "Summary Report on Permanent Risk Adjustment Transfers for the 2018 Benefit Year."

B Not applicable.

C Not applicable.

D Not applicable.

E Not applicable.

F Not applicable.

G Not applicable.

H Not applicable.

I Not applicable.

J Not applicable.

(4) Roll-Forward of Risk Corridors Asset and Liability Balances by Program Benefit Year.

Risk Corridors Program Year	Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year		Differences		Adjustments			Unsettled Balances as of the Reporting Date	
					Prior Year Accrued Less Payments (Col 1 - 3)	Prior Year Accrued Less Payments (Col 2 - 4)	To Prior Year Balances	To Prior Year Balances		Cumulative Balance from Prior Years (Col 1 - 3 + 7)	Cumulative Balance from Prior Years (Col 2 - 4 + 8)
	1	2	3	4	5	6	7	8		9	10
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Ref	Receivable	(Payable)
a. 2014											
1. Accrued retrospective premium	$ —	$ —	$ —	$ —	$ —	$ —	$ —	$ —	A	$ —	$ —
2. Reserve for rate credits for policy experience rating refunds	$ —	$ —	$ —	$ —	$ —	$ —	$ —	$ —	B	$ —	$ —
b. 2015											
1. Accrued retrospective premium	$ —	$ —	$ —	$ —	$ —	$ —	$ —	$ —	C	$ —	$ —
2. Reserve for rate credits for policy experience rating refunds	$ —	$ —	$ —	$ —	$ —	$ —	$ —	$ —	D	$ —	$ —
c. 2016											
1. Accrued retrospective premium	$ —	$ —	$ —	$ —	$ —	$ —	$ —	$ —	E	$ —	$ —
2. Reserve for rate credits for policy experience rating refunds	$ —	$ —	$ —	$ —	$ —	$ —	$ —	$ —	F	$ —	$ —
d. Total for Risk Corridors	$ —	$ —	$ —	$ —	$ —	$ —	$ —	$ —		$ —	$ —

Explanations of adjustments

A Not applicable.
B Not applicable.
C Not applicable.
D Not applicable.
E Not applicable.
F Not applicable.

24E(4)d (Columns 1 through 10) should equal 24E(3)c3 (Column 1 through 10 respectively)

(5) ACA Risk Corridors Receivable as of Reporting Date.

Risk Corridors Program Year	1 Estimated Amount to be Filed or Final Amount Filed with CMS	2 Non-Accrued Amounts for Impairment or Other Reasons	3 Amounts received from CMS	4 Asset Balance (Gross of Non-admissions) (1 - 2 - 3)	5 Non-admitted Amount	6 Net Admitted Asset (4 - 5)
a. 2014	$ —	$ —	$ —	$ —	$ —	$ —
b. 2015	$ —	$ —	$ —	$ —	$ —	$ —
c. 2016	$ 8,305,585	$ 8,305,585	$ —	$ —	$ —	$ —
d. Total (a + b + c)	$ 8,305,585	$ 8,305,585	$ —	$ —	$ —	$ —

24E(5)d (Columns 4) should equal 24E(3)c1 (Column 9)
24E(5)d (Columns 6) should equal 24E(2)c1

25. Change in Incurred Claims and Claim Adjustment Expenses

A. The estimated cost of claims and claim adjustment expense attributable to insured events of prior years increased by $12,993,742 during 2020. This is approximately 13.3% of unpaid claims and claim adjustment expenses of $97,767,462 as of December 31, 2019. The deficiency reflects the increases in estimated claims and claims adjustment expenses as a result of claims payment during the year, and as additional information is received regarding claims incurred prior to 2020. Recent claim development trends are also taken into account in evaluating the overall adequacy of unpaid claims and unpaid claim adjustment expense.

B. There were no significant changes in methodologies and assumptions used in calculating the liability for unpaid losses and loss adjustment expenses.

26. Intercompany Pooling Arrangements

Not applicable.

NOTES TO FINANCIAL STATEMENTS

27. Structured Settlements

Not applicable.

28. Health Care Receivables

No significant change.

29. Participating Policies

Not applicable.

30. Premium Deficiency Reserves

1. Liability carried for premium deficiency reserves	$		25,348,167
2. Date of the most recent evaluation of this liability			June 30, 2020
3. Was anticipated investment income utilized in the calculation?	Yes	No	X

31. Anticipated Salvage and Subrogation

No significant change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [X]

1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [X]

2.2 If yes, date of change: .....

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [X] No [ ]
If yes, complete Schedule Y, Parts 1 and 1A.

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [X]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.
N/A

3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [X] No [ ]

3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. 0001156039

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [X]
If yes, complete and file the merger history data file with the NAIC.

4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [X] N/A [ ]
If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2019

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2016

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 10/16/2018

6.4 By what department or departments?
New York State Department of Financial Services

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] N/A [X]

6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [X] No [ ] N/A [ ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [X]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ ] No [X]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

GENERAL INTERROGATORIES

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No [ ]
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [ ] No [X]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: $ 0

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [X]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: $

13. Amount of real estate and mortgages held in short-term investments: $

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [ ] No [X]

14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	$ 0	$
14.22 Preferred Stock	$ 0	$
14.23 Common Stock	$ 0	$
14.24 Short-Term Investments	$ 0	$
14.25 Mortgage Loans on Real Estate	$ 0	$
14.26 All Other	$ 0	$
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	$ 0	$ 0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	$	$

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [ ] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [ ] No [ ] N/A [ ]
If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. $ 3,751,100

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 $ 3,751,144

16.3 Total payable for securities lending reported on the liability page. $ 3,751,144

GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [X] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JP Morgan Chase Bank, N.A	383 Madison Ave, New York, NY 10179

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [ ] No [X]

17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["…that have access to the investment accounts"; "…handle securities"]

1 Name of Firm or Individual	2 Affiliation
Anthem, Inc.	I
Loomis, Sayles & Company, LP	U
MacKay Shields LLC	U

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?..... Yes [X] No [ ]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?..... Yes [X] No [ ]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
105377	Loomis, Sayles & Company, LP	JIZPN2RX3UMNOYIDI313	Securities Exchange Commission	NO
107717	MacKay Shields LLC	549300Y7LLCOFU7R8H16	Securities Exchange Commission	NO

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [X] No [ ]

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
    a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
    b. Issuer or obligor is current on all contracted interest and principal payments.
    c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

    Has the reporting entity self-designated 5GI securities? ..... Yes [ ] No [X]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
    a. The security was purchased prior to January 1, 2018.
    b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
    c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
    d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

    Has the reporting entity self-designated PLGI securities? ..... Yes [ ] No [X]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
    a. The shares were purchased prior to January 1, 2019.
    b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
    c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
    d. The fund only or predominantly holds bonds in its portfolio.
    e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
    f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

    Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? ..... Yes [ ] No [X]

GENERAL INTERROGATORIES

PART 2 - HEALTH

1. Operating Percentages:

   1.1 A&H loss percent ..... 80.3 %

   1.2 A&H cost containment percent ..... 3.7 %

   1.3 A&H expense percent excluding cost containment expenses ..... 12.1 %

2.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [X]

2.2 If yes, please provide the amount of custodial funds held as of the reporting date ..... $.....

2.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [X]

2.4 If yes, please provide the balance of the funds administered as of the reporting date ..... $.....

3. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? ..... Yes [X] No [ ]

3.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? ..... Yes [ ] No [ ]

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Type of Reinsurer	9 Certified Reinsurer Rating (1 through 6)	10 Effective Date of Certified Reinsurer Rating

NONE

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

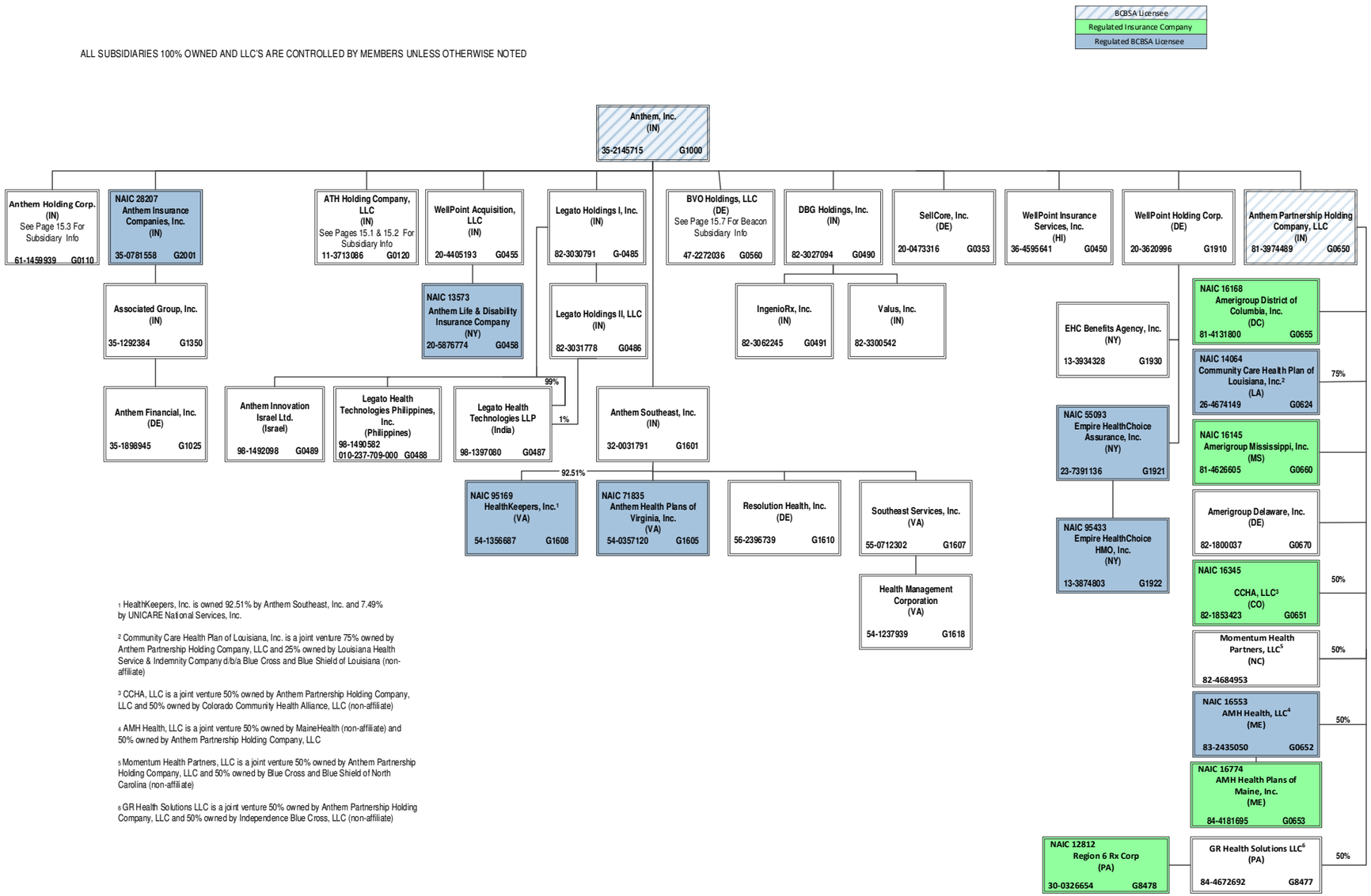
Current Year to Date - Allocated by States and Territories

	1	Direct Business Only							
		2	3	4	5	6	7	8	9
States, etc.	Active Status (a)	Accident and Health Premiums	Medicare Title XVIII	Medicaid Title XIX	Federal Employees Health Benefits Program Premiums	Life and Annuity Premiums & Other Considerations	Property/ Casualty Premiums	Total Columns 2 Through 7	Deposit-Type Contracts
1. Alabama AL	N							0	
2. Alaska AK	N							0	
3. Arizona AZ	N							0	
4. Arkansas AR	N							0	
5. California CA	N							0	
6. Colorado CO	N							0	
7. Connecticut CT	N							0	
8. Delaware DE	N							0	
9. District of Columbia DC	N							0	
10. Florida FL	N							0	
11. Georgia GA	N							0	
12. Hawaii HI	N							0	
13. Idaho ID	N							0	
14. Illinois IL	N							0	
15. Indiana IN	N							0	
16. Iowa IA	N							0	
17. Kansas KS	N							0	
18. Kentucky KY	N							0	
19. Louisiana LA	N							0	
20. Maine ME	L		8,079,188					8,079,188	
21. Maryland MD	N							0	
22. Massachusetts MA	N							0	
23. Michigan MI	N							0	
24. Minnesota MN	N							0	
25. Mississippi MS	N							0	
26. Missouri MO	N							0	
27. Montana MT	N							0	
28. Nebraska NE	N							0	
29. Nevada NV	N							0	
30. New Hampshire NH	N							0	
31. New Jersey NJ	N							0	
32. New Mexico NM	N							0	
33. New York NY	L	20,326,744	458,087,584					478,414,328	
34. North Carolina NC	N							0	
35. North Dakota ND	N							0	
36. Ohio OH	N							0	
37. Oklahoma OK	N							0	
38. Oregon OR	N							0	
39. Pennsylvania PA	N							0	
40. Rhode Island RI	N							0	
41. South Carolina SC	N							0	
42. South Dakota SD	N							0	
43. Tennessee TN	N							0	
44. Texas TX	N							0	
45. Utah UT	N							0	
46. Vermont VT	N							0	
47. Virginia VA	N							0	
48. Washington WA	N							0	
49. West Virginia WV	N							0	
50. Wisconsin WI	N							0	
51. Wyoming WY	N							0	
52. American Samoa AS	N							0	
53. Guam GU	N							0	
54. Puerto Rico PR	N							0	
55. U.S. Virgin Islands VI	N							0	
56. Northern Mariana Islands MP	N							0	
57. Canada CAN	N							0	
58. Aggregate Other Aliens OT	XXX	0	0	0	0	0	0	0	0
59. Subtotal	XXX	20,326,744	466,166,772	0	0	0	0	486,493,516	0
60. Reporting Entity Contributions for Employee Benefit Plans	XXX							0	
61. Totals (Direct Business)	XXX	20,326,744	466,166,772	0	0	0	0	486,493,516	0
DETAILS OF WRITE-INS									
58001.	XXX								
58002.	XXX								
58003.	XXX								
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0	0	0

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG 2
R - Registered - Non-domiciled RRGs 0
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state 0
Q - Qualified - Qualified or accredited reinsurer 0
N - None of the above - Not allowed to write business in the state 55

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART



[1] HealthKeepers, Inc. is owned 92.51% by Anthem Southeast, Inc. and 7.49% by UNICARE National Services, Inc.

[2] Community Care Health Plan of Louisiana, Inc. is a joint venture 75% owned by Anthem Partnership Holding Company, LLC and 25% owned by Louisiana Health Service & Indemnity Company d/b/a Blue Cross and Blue Shield of Louisiana (non-affiliate)

[3] CCHA, LLC is a joint venture 50% owned by Anthem Partnership Holding Company, LLC and 50% owned by Colorado Community Health Alliance, LLC (non-affiliate)

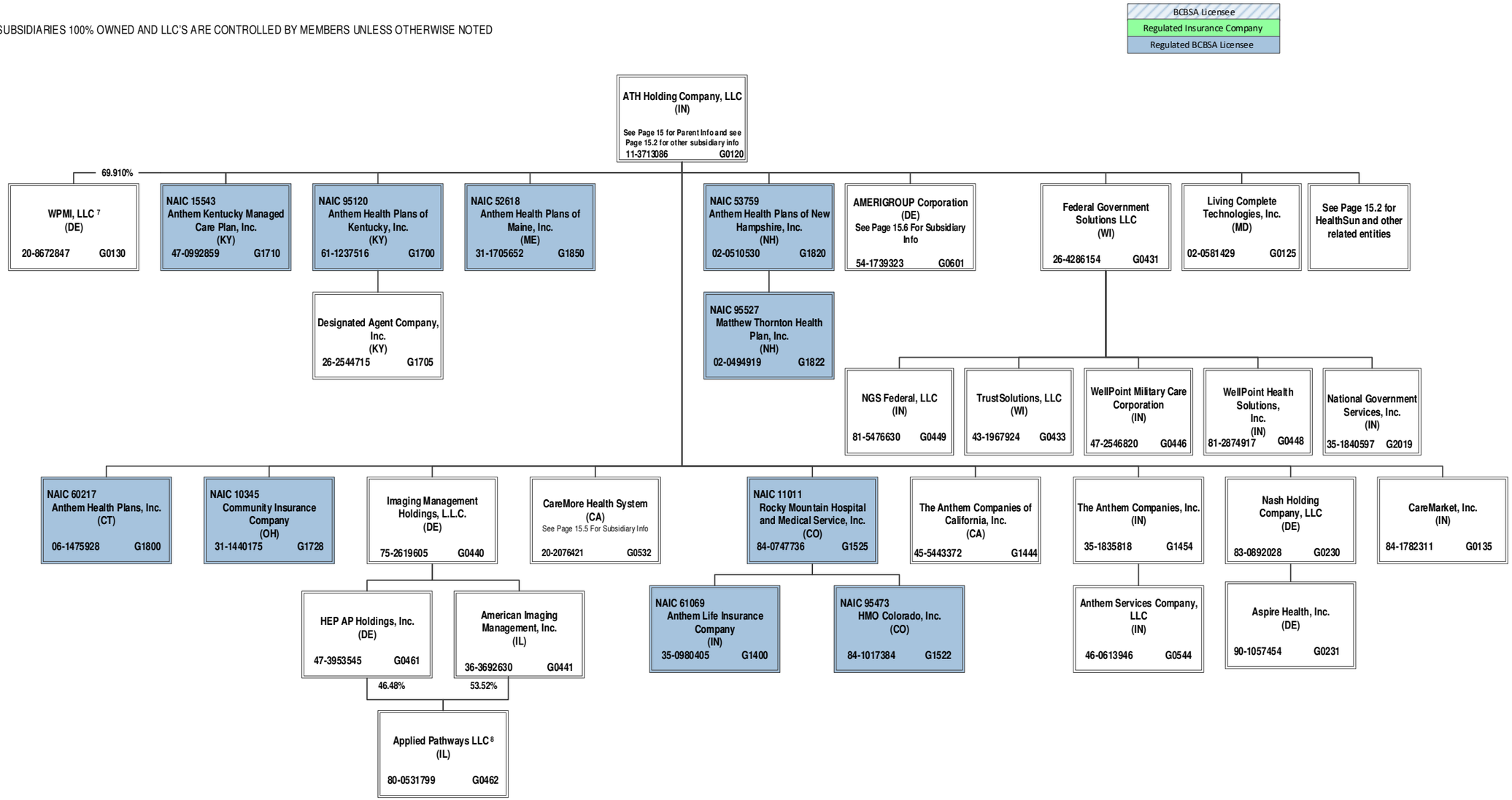
[4] AMH Health, LLC is a joint venture 50% owned by MaineHealth (non-affiliate) and 50% owned by Anthem Partnership Holding Company, LLC

[5] Momentum Health Partners, LLC is a joint venture 50% owned by Anthem Partnership Holding Company, LLC and 50% owned by Blue Cross and Blue Shield of North Carolina (non-affiliate)

[6] GR Health Solutions LLC is a joint venture 50% owned by Anthem Partnership Holding Company, LLC and 50% owned by Independence Blue Cross, LLC (non-affiliate)

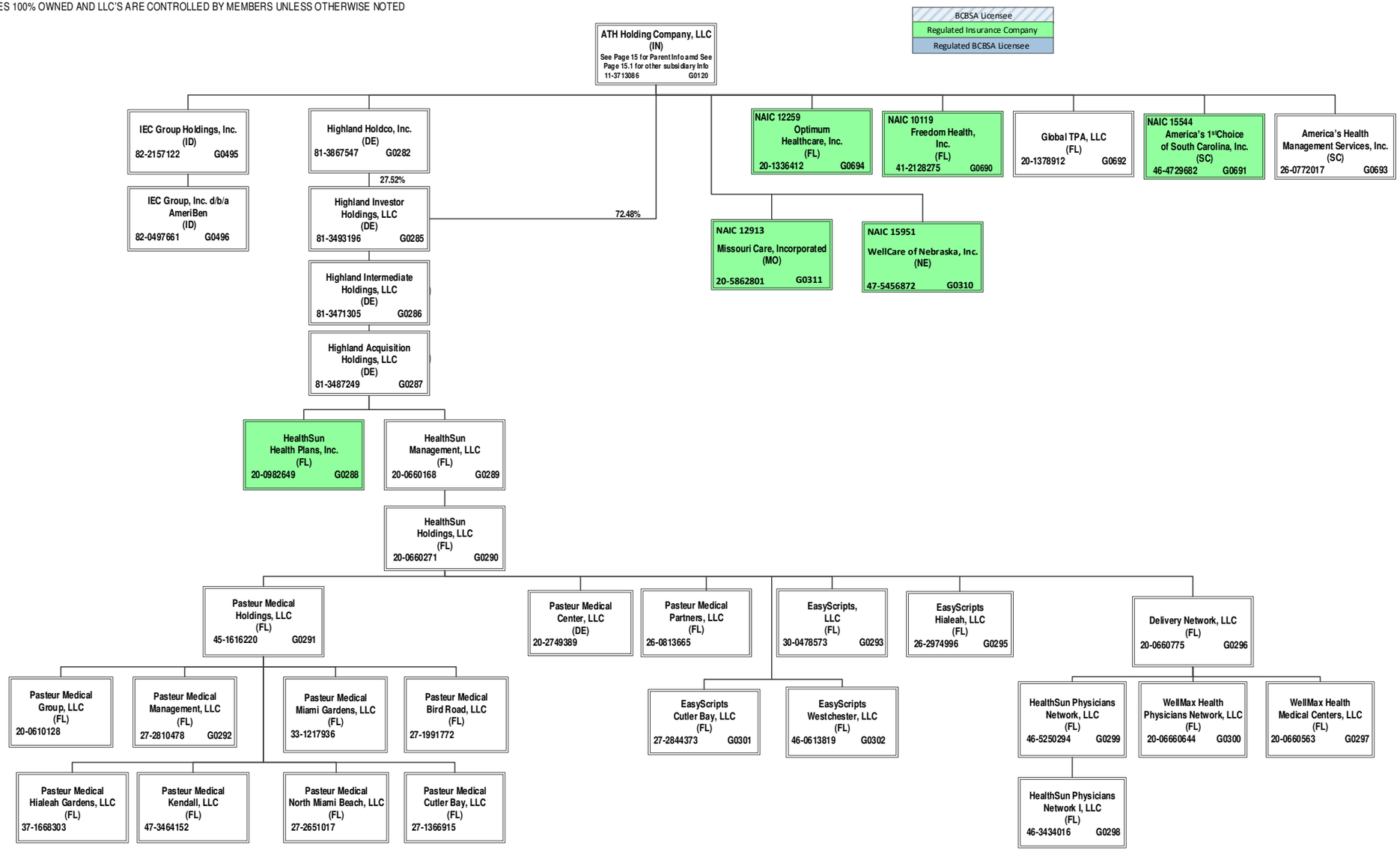
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED

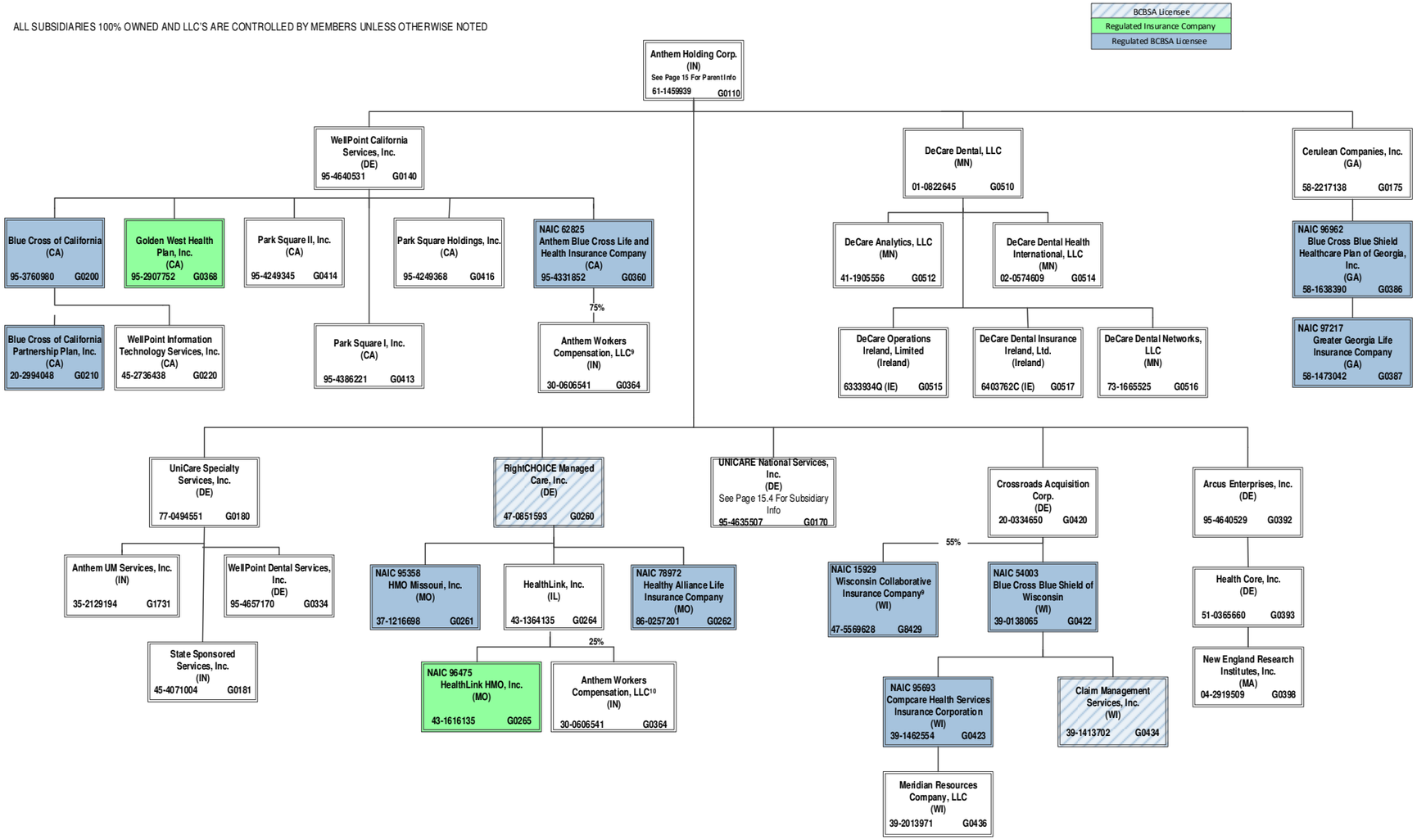


SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED



SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

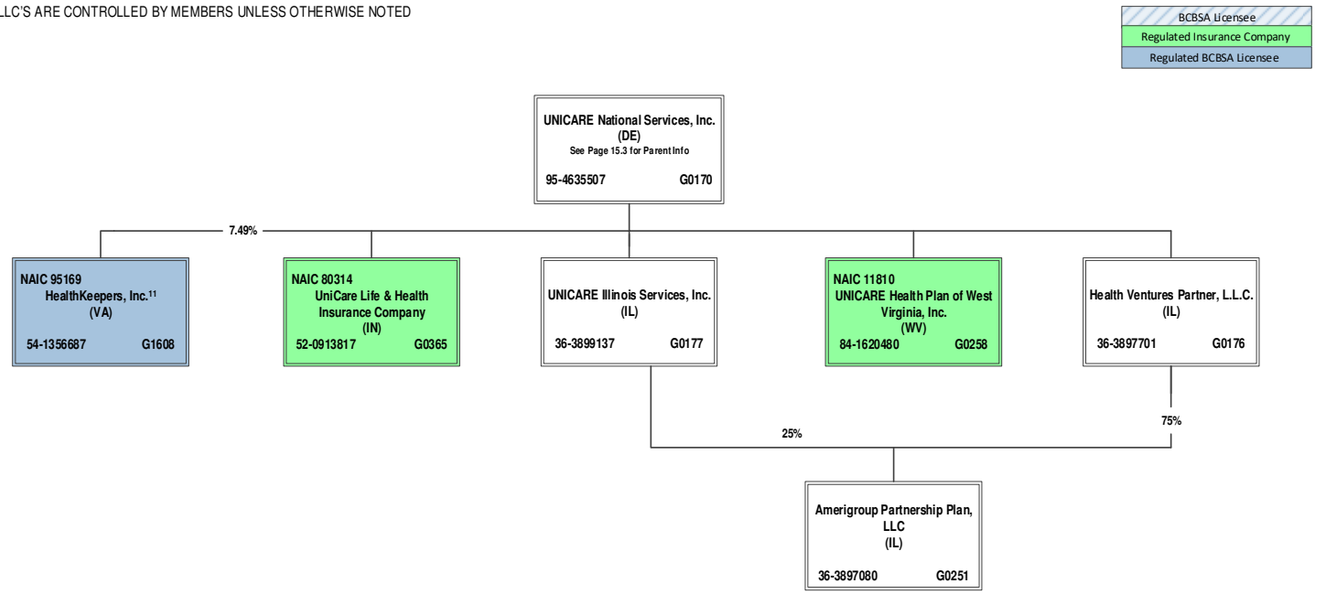


[9] 45% of WCIC is owned by Aurora Health Care, Inc. (non-affiliate). Not consolidated for accounting purposes.

[10] Anthem Workers' Compensation LLC is owned 75% by Anthem Blue Cross Life and Health Insurance Company and 25% by HealthLink, Inc.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED

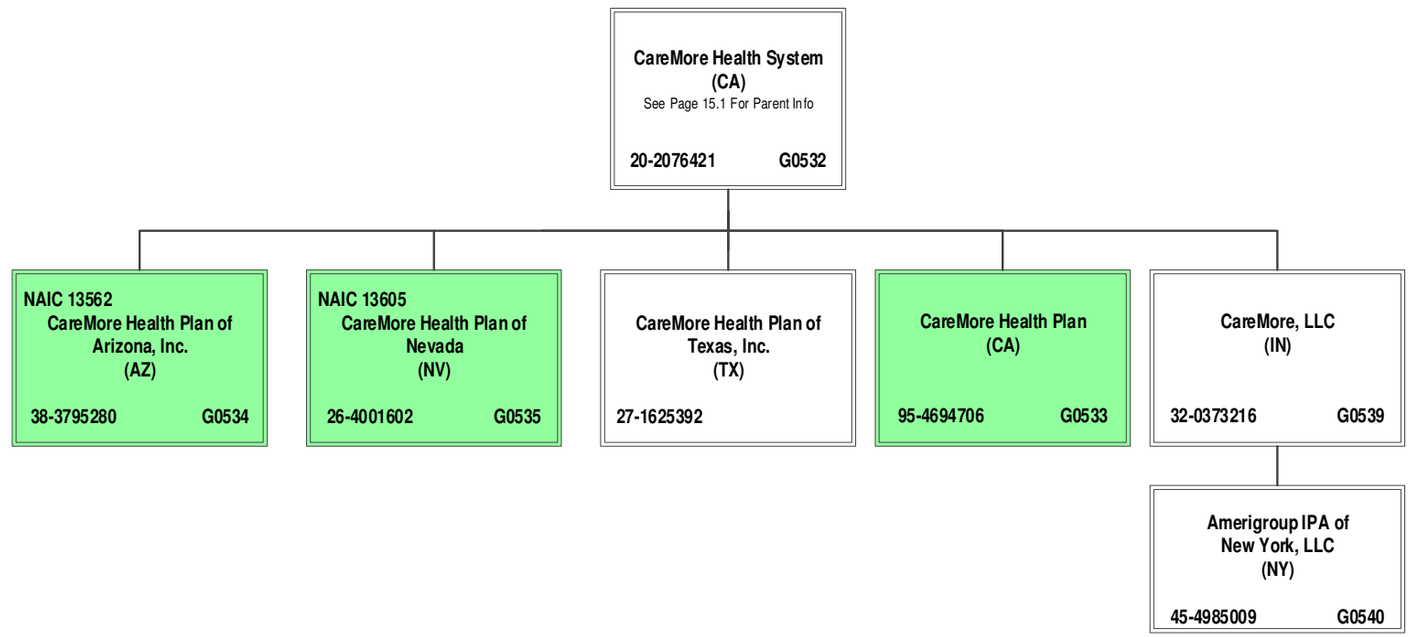


[11] HealthKeepers, Inc. is owned 92.51% by Anthem Southeast, Inc. and 7.49% by UNICARE National Services, Inc.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

BCBSA Licensee
Regulated Insurance Company
Regulated BCBSA Licensee

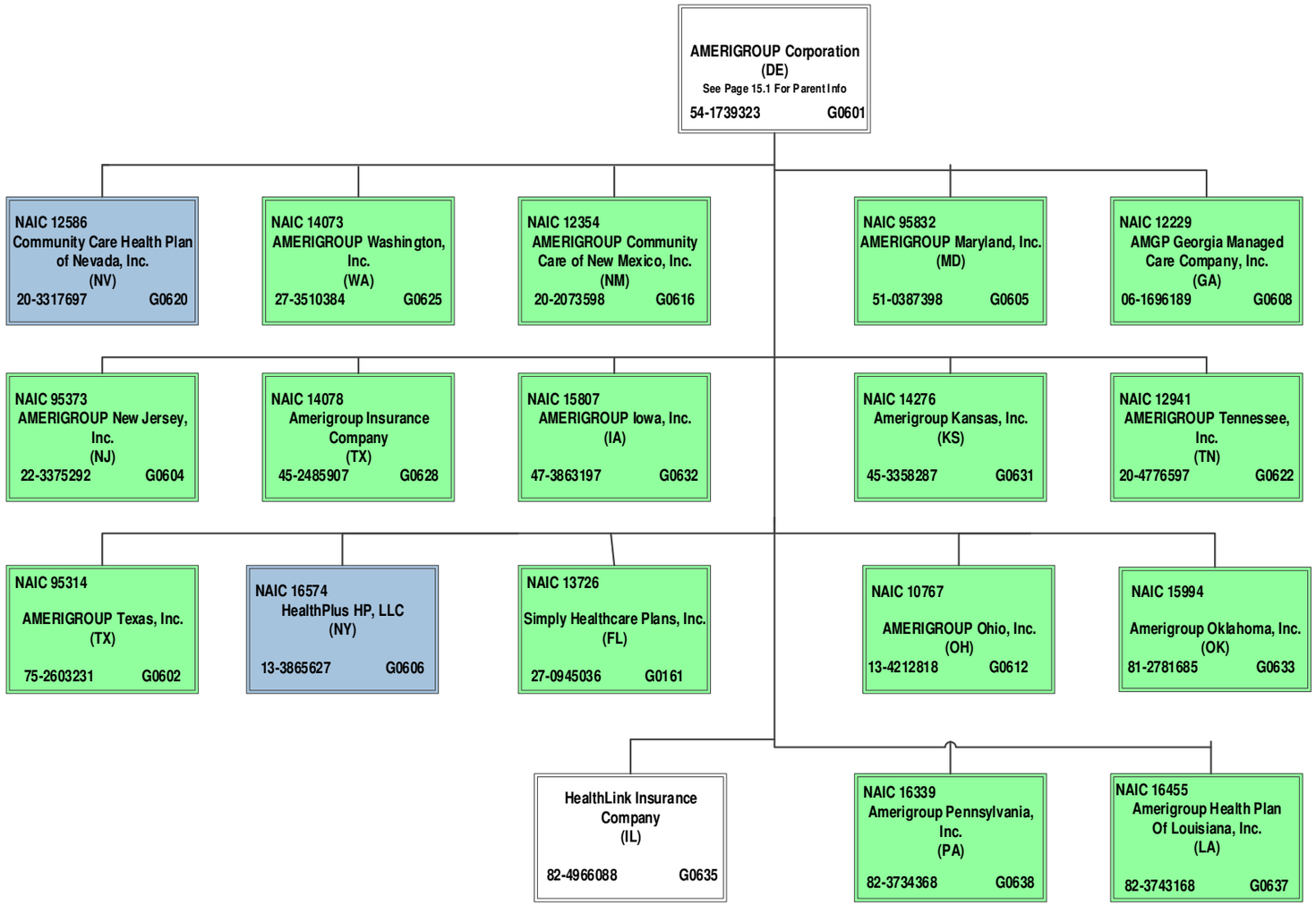
ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED



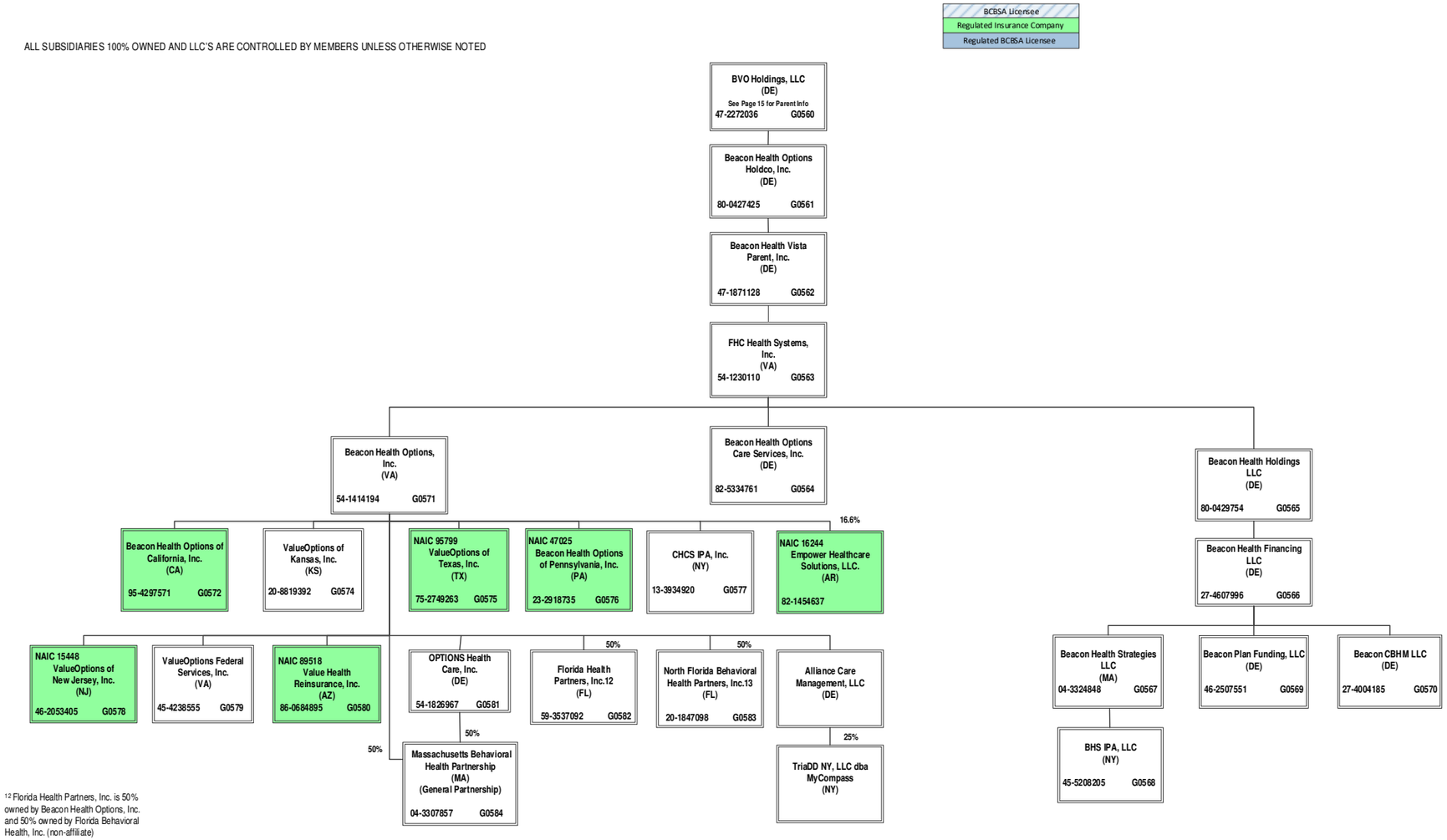
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

BCBSA Licensee
Regulated Insurance Company
Regulated BCBSA Licensee

ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED



SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART



[12] Florida Health Partners, Inc. is 50% owned by Beacon Health Options, Inc. and 50% owned by Florida Behavioral Health, Inc. (non-affiliate)

[13] North Florida Behavioral Health Partners, Inc. is 50% owned by Beacon Health Options, Inc. and 50% owned by North Florida Behavioral Health Network, Inc. (non-affiliate)

[14] Empower Healthcare Solutions, LLC is 16.6% owned by Beacon Health Options, Inc. and 83.4% owned by a non-affiliate

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 Is an SCA Filing Required? (Y/N)	16 *
0671	Anthem, Inc.				0001156039		Alliance Care Management, LLC	DE	NIA	Beacon Health Options, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		36-3692630		0001156039		American Imaging Management, Inc.	IL	NIA	Imaging Management Holdings, L.L.C.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	15544	46-4729682		0001156039		America's 1st Choice of South Carolina, Inc.	SC	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		26-0308991		0001156039		America's Health Management Services, Inc.	SC	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	12354	20-2073598		0001156039		AMERIGROUP Community Care of New Mexico, Inc.	NM	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		54-1739323		0001156039		AMERIGROUP Corporation	DE	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		82-1800037		0001156039		AMERIGROUP Delaware, Inc.	DE	NIA	Anthem Partnership Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	16168	81-4131800		0001156039		Amerigroup District of Columbia, Inc.	DC	IA	Anthem Partnership Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	16455	82-3743168		0001156039		Amerigroup Health Plan of Louisiana, Inc.	LA	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	14078	45-2485907		0001156039		Amerigroup Insurance Company	TX	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	15807	47-3863197		0001156039		AMERIGROUP Iowa, Inc.	IA	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		45-4985009		0001156039		Amerigroup IPA of New York, LLC	NY	NIA	CareMore, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	14276	45-3358287		0001156039		Amerigroup Kansas, Inc.	KS	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	95832	51-0387398		0001156039		AMERIGROUP Maryland, Inc.	MD	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	16145	81-4626605		0001156039		Amerigroup Mississippi, Inc.	MS	IA	Anthem Partnership Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	95373	22-3375292		0001156039		AMERIGROUP New Jersey, Inc.	NJ	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	10767	13-4212818		0001156039		AMERIGROUP Ohio, Inc.	OH	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	15994	81-2781685		0001156039		AMERIGROUP Oklahoma, Inc.	OK	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		36-3897080		0001156039		Amerigroup Partnership Plan, LLC	IL	NIA	Health Ventures Partner, L.L.C.	Ownership	75.000	Anthem, Inc.	N	
0671	Anthem, Inc.		36-3897080		0001156039		Amerigroup Partnership Plan, LLC	IL	NIA	UNICARE Illinois Services, Inc.	Ownership	25.000	Anthem, Inc.	N	
0671	Anthem, Inc.	16339	82-3734368		0001156039		Amerigroup Pennsylvania, Inc.	PA	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	12941	20-4776597		0001156039		AMERIGROUP Tennessee, Inc.	TN	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	95314	75-2603231		0001156039		AMERIGROUP Texas, Inc.	TX	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	14073	27-3510384		0001156039		AMERIGROUP Washington, Inc.	WA	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	12229	06-1696189		0001156039		AMGP Georgia Managed Care Company, Inc.	GA	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	16553	83-2435050		0001156039		AMH Health, LLC	ME	IA	Anthem Partnership Holding Company, LLC	Ownership	50.000	Anthem, Inc.	N	0102
0671	Anthem, Inc.	16774	84-4181695		0001156039		AMH Health Plans of Maine, Inc.	ME	IA	AMH Health, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	62825	95-4331852		0001156039		Anthem Blue Cross Life and Health Insurance Company	CA	IA	WellPoint California Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		35-1898945		0001156039		Anthem Financial, Inc.	DE	NIA	Associated Group, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	95120	61-1237516		0001156039		Anthem Health Plans of Kentucky, Inc.	KY	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	52618	31-1705652		0001156039		Anthem Health Plans of Maine, Inc.	ME	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	53759	02-0510530		0001156039		Anthem Health Plans of New Hampshire, Inc.	NH	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	71835	54-0357120	40003317	0001156039		Anthem Health Plans of Virginia, Inc.	VA	IA	Anthem Southeast, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	60217	06-1475928		0001156039		Anthem Health Plans, Inc.	CT	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		61-1459939		0001156039		Anthem Holding Corp.	IN	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		35-2145715		0001156039	New York Stock Exchange (NYSE)	Anthem, Inc.	IN	UIP				Anthem, Inc.	N	
0671	Anthem, Inc.		98-1492098		0001156039		Anthem Innovation Israel Ltd	ISR	NIA	Legato Holdings I, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	28207	35-0781558		0001156039		Anthem Insurance Companies, Inc.	IN	IA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	15543	47-0992859		0001156039		Anthem Kentucky Managed Care Plan, Inc.	KY	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	13573	20-5876774		0001156039		Anthem Life & Disability Insurance Company	NY	IA	WellPoint Acquisition, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	61069	35-0980405		0001156039		Anthem Life Insurance Company	IN	IA	Rocky Mountain Hospital and Medical Service, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		81-3974489		0001156039		Anthem Partnership Holding Company, LLC	DE	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		46-0613946		0001156039		Anthem Services Company, LLC	IN	NIA	The Anthem Companies, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		32-0031791		0001156039		Anthem Southeast, Inc.	IN	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		35-2129194		0001156039		Anthem UM Services, Inc.	IN	NIA	UNICARE Specialty Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		30-0606541		0001156039		Anthem Workers' Compensation, LLC	IN	NIA	Anthem Blue Cross Life and Health Insurance Company	Ownership	75.000	Anthem, Inc.	N	0109
0671	Anthem, Inc.		30-0606541		0001156039		Anthem Workers' Compensation, LLC	IN	NIA	HealthLink, Inc.	Ownership	25.000	Anthem, Inc.	N	0109
0671	Anthem, Inc.		80-0531799		0001156039		Applied Pathways, LLC	IL	NIA	American Imaging Management, Inc.	Ownership	53.520	Anthem, Inc.	N	0108

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 Is an SCA Filing Required? (Y/N)	16 *
0671	Anthem, Inc.		80-0531799		0001156039		Applied Pathways, LLC	IL	NIA	HEP AP Holdings, Inc.	Ownership	46.480	Anthem, Inc.	N	0108
0671	Anthem, Inc.		95-4640529		0001156039		Arcus Enterprises, Inc.	DE	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		90-1057454		0001156039		Aspire Health, Inc.	DE	NIA	Nash Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		35-1292384		0001156039		Associated Group, Inc.	IN	NIA	Anthem Insurance Companies, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		11-3713086		0001156039		ATH Holding Company, LLC	IN	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		27-4004185		0001156039		Beacon CBHM LLC	DE	NIA	Beacon Health Financing LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		27-4607996		0001156039		Beacon Health Financing LLC	DE	NIA	Beacon Health Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		80-0427425		0001156039		Beacon Health Holdings, LLC	DE	NIA	FHC Health Systems, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		82-5334761		0001156039		Beacon Health Options Care Services, Inc.	DE	NIA	FHC Health Systems, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		95-4297571		0001156039		Beacon Health Options of California, Inc.	CA	IA	Beacon Health Options, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	47025	23-2918735		0001156039		Beacon Health Options of Pennsylvania, Inc.	PA	IA	Beacon Health Options, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		80-0427425		0001156039		Beacon Health Options Holdco, Inc.	DE	NIA	BVO Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		54-1414194		0001156039		Beacon Health Options, Inc.	VA	NIA	FHC Health Systems, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		04-3324848		0001156039		Beacon Health Strategies LLC	MA	NIA	Beacon Health Financing LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		47-1871128		0001156039		Beacon Health Vista Parent, Inc.	DE	NIA	Beacon Health Options Holdco, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		46-2507551		0001156039		Beacon Plan Funding, LLC	DE	NIA	Beacon Health Financing LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		45-5208205		0001156039		BHS IPA, LLC	NY	NIA	Beacon Health Strategies LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	96962	58-1638390		0001156039		Blue Cross Blue Shield Healthcare Plan of Georgia, Inc.	GA	IA	Cerulean Companies, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	54003	39-0138065		0001156039		Blue Cross Blue Shield of Wisconsin	WI	IA	Crossroads Acquisition Corp.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		95-3760980		0001156039		Blue Cross of California	CA	IA	WellPoint California Services, Inc.	Ownership	100.000	Anthem, Inc.	N	0101
0671	Anthem, Inc.		20-2994048		0001156039		Blue Cross of California Partnership Plan, Inc.	CA	IA	Blue Cross of California	Ownership	100.000	Anthem, Inc.	N	0101
0671	Anthem, Inc.		47-2272036		0001156039		BVO Holdings, LLC	DE	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		84-1782311		0001156039		CareMarket, Inc.	IN	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		95-4694706		0001156039		CareMore Health Plan	CA	IA	CareMore Health System	Ownership	100.000	Anthem, Inc.	N	0101
0671	Anthem, Inc.	13562	38-3795280		0001156039		CareMore Health Plan of Arizona, Inc.	AZ	IA	CareMore Health System	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	13605	26-4001602		0001156039		CareMore Health Plan of Nevada	NV	IA	CareMore Health System	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		27-1625392		0001156039		CareMore Health Plan of Texas, Inc.	TX	NIA	CareMore Health System	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		32-0373216		0001156039		CareMore, LLC	IN	NIA	CareMore Health System	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		20-2076421		0001156039		CareMore Health System	CA	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		58-2217138		0001156039		Cerulean Companies, Inc.	GA	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		39-1413702		0001156039		Claim Management Services, Inc.	WI	NIA	Blue Cross Blue Shield of Wisconsin	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	16345	82-1853423		0001156039		CCHA, LLC	CO	IA	Anthem Partnership Holding Company, LLC	Ownership	50.000	Anthem, Inc.	N	0102
0671	Anthem, Inc.				0001156039		CHCS IPA, Inc.	NY	NIA	Beacon Health Options, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	14064	26-4674149		0001156039		Community Care Health Plan of Louisiana, Inc.	LA	IA	Anthem Partnership Holding Company, LLC	Ownership	75.000	Anthem, Inc.	N	0104
0671	Anthem, Inc.	12586	20-3317697		0001156039		Community Care Health Plan of Nevada, Inc.	NV	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	10345	31-1440175		0001156039		Community Insurance Company	OH	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	95693	39-1462554		0001156039		Compcare Health Services Insurance Corporation	WI	IA	Blue Cross Blue Shield of Wisconsin	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		20-0334650		0001156039		Crossroads Acquisition Corp.	DE	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		82-3027094		0001156039		DBG Holdings, Inc.	IN	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		41-1905556		0001156039		DeCare Analytics, LLC	MN	NIA	DeCare Dental, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		02-0574609		0001156039		DeCare Dental Health International, LLC	MN	NIA	DeCare Dental, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.				0001156039		DeCare Dental Insurance Ireland, Ltd.	IRL	NIA	DeCare Dental, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		73-1665525		0001156039		DeCare Dental Networks, LLC	MN	NIA	DeCare Dental, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		01-0822645		0001156039		DeCare Dental, LLC	MN	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.				0001156039		DeCare Operations Ireland, Limited	IRL	NIA	DeCare Dental, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		20-0660775		0001156039		Delivery Network, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		26-2544715		0001156039		Designated Agent Company, Inc.	KY	NIA	Anthem Health Plans of Kentucky, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		27-2844373		0001156039		EasyScripts Cutler Bay, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		26-2974996		0001156039		EasyScripts Hialeah, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		30-0478573		0001156039		EasyScripts LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	

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PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 Is an SCA Filing Required? (Y/N)	16 *
0671	Anthem, Inc.		46-0613819		0001156039		EasyScripts Westchester, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		13-3934328		0001156039		EHC Benefits Agency, Inc.	NY	NIA	WellPoint Holding Corp	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	55093	23-7391136		0001156039		Empire HealthChoice Assurance, Inc.	NY	UDP	WellPoint Holding Corp	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	95433	13-3874803		0001156039		Empire HealthChoice HMO, Inc.	NY	RE	Empire HealthChoice Assurance, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	16244	82-1454637		0001156039		Empower Healthcare Solutions, LLC	AR	IA	Beacon Health Options, Inc.	Ownership	16.600	Anthem, Inc.	N	0115
0671	Anthem, Inc.		26-4286154		0001156039		Federal Government Solutions, LLC	WI	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		54-1230110		0001156039		FHC Health Systems, Inc.	VA	NIA	Beacon Health Vista Parent, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		59-3537092		0001156039		Florida Health Partners, Inc.	FL	NIA	Beacon Health Options, Inc.	Ownership	50.000	Anthem, Inc.	N	0112
0671	Anthem, Inc.	10119	41-2128275		0001156039		Freedom Health, Inc.	FL	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		33-0884790		0001156039		GeriNet Physician Services, Inc.	CA	NIA	CareMore Health System	Ownership	50.000	Anthem, Inc.	N	0102
0671	Anthem, Inc.		20-1378912		0001156039		Global TPA, LLC	FL	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		95-2907752		0001156039		Golden West Health Plan, Inc.	CA	IA	WellPoint California Services, Inc.	Ownership	100.000	Anthem, Inc.	N	0101
0671	Anthem, Inc.		84-4672692		0001156039		GR Health Solutions LLC	PA	NIA	Anthem Partnership Holding Company, LLC	Ownership	50.000	Anthem, Inc.	N	0102
0671	Anthem, Inc.	97217	58-1473042		0001156039		Greater Georgia Life Insurance Company	GA	IA	Blue Cross Blue Shield Healthcare Plan of Georgia, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		51-0365660		0001156039		Health Core, Inc.	DE	NIA	Arcus Enterprises, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		54-1237939		0001156039		Health Management Corporation	VA	NIA	Southeast Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		36-3897701		0001156039		Health Ventures Partner, L.L.C.	IL	NIA	UNICARE National Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	95169	54-1356687		0001156039		HealthKeepers, Inc.	VA	IA	Anthem Southeast, Inc.	Ownership	92.510	Anthem, Inc.	N	
0671	Anthem, Inc.	95169	54-1356687		0001156039		HealthKeepers, Inc.	VA	IA	UNICARE National Services, Inc.	Ownership	7.490	Anthem, Inc.	N	
0671	Anthem, Inc.	96475	43-1616135		0001156039		HealthLink HMO, Inc.	MO	IA	HealthLink, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		43-1364135		0001156039		HealthLink, Inc.	IL	NIA	RightCHOICE Managed Care, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		82-4966088		0001156039		HealthLink Insurance Company	IL	NIA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	16574	13-3865627		0001156039		HealthPlus HP, LLC	NY	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	0100
0671	Anthem, Inc.	10122	20-0982649		0001156039		HealthSun Health Plans, Inc.	FL	IA	Highland Acquisition Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		20-0660271		0001156039		HealthSun Holdings, LLC	FL	NIA	HealthSun Management, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		20-0660168		0001156039		HealthSun Management, LLC	FL	NIA	Highland Acquisition Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		46-5250294		0001156039		HealthSun Physicians Network, LLC	FL	NIA	Delivery Network, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		46-3434016		0001156039		HealthSun Physicians Network I, LLC	FL	NIA	HealthSun Physicians Network, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	78972	86-0257201		0001156039		Healthy Alliance Life Insurance Company	MO	IA	RightCHOICE Managed Care, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		47-3953545		0001156039		HEP AP Holdings, Inc.	DE	NIA	Imaging Management Holdings, L.L.C.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		81-3867547		0001156039		Highland Holdco, Inc.	DE	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		81-3487249		0001156039		Highland Acquisition Holdings, LLC	DE	NIA	Highland Intermediate Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		81-3471305		0001156039		Highland Intermediate Holdings, LLC	DE	NIA	Highland Investor Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		81-3493196		0001156039		Highland Investor Holdings, LLC	DE	NIA	ATH Holding Company, LLC	Ownership	72.480	Anthem, Inc.	N	0107
0671	Anthem, Inc.		81-3493196		0001156039		Highland Investor Holdings, LLC	DE	NIA	Highland Holdco, Inc.	Ownership	27.520	Anthem, Inc.	N	0107
0671	Anthem, Inc.	95473	84-1017384		0001156039		HMO Colorado, Inc.	CO	IA	Rocky Mountain Hospital and Medical Service, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	95358	37-1216698		0001156039		HMO Missouri, Inc.	MO	IA	RightCHOICE Managed Care, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		82-2157122		0001156039		IEC Group Holdings, Inc.	ID	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		82-0497661		0001156039		IEC Group, Inc. d/b/a AmeriBen	ID	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		75-2619605		0001156039		Imaging Management Holdings, L.L.C.	DE	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		82-3062245		0001156039		IngenioRX, Inc.	IN	NIA	DBG Holdings, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		98-1397080		0001156039		Legato Health Technologies LLP	IN	NIA	Legato Holdings I, Inc.	Ownership	100.000	Anthem, Inc.	N	0105
0671	Anthem, Inc.		98-1490582		0001156039		Legato Health Technologies Philippines, Inc.	PHL	NIA	Legato Holdings I, Inc.	Ownership	100.000	Anthem, Inc.	N	0106
0671	Anthem, Inc.		82-3030791		0001156039		Legato Holdings I, Inc.	IN	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		82-3031178		0001156039		Legato Holdings II, LLC	IN	NIA	Legato Holdings I, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		02-0581429		0001156039		Living Complete Technologies, Inc.	MD	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		04-3307857		0001156039		Massachusetts Behavioral Health Partnership	MA	NIA	Beacon Health Options, Inc.	Ownership	50.000	Anthem, Inc.	N	0111
0671	Anthem, Inc.		04-3307857		0001156039		Massachusetts Behavioral Health Partnership	MA	NIA	OPTIONS Health Care, Inc.	Ownership	50.000	Anthem, Inc.	N	0111
0671	Anthem, Inc.	95527	02-0494919		0001156039		Matthew Thornton Health Plan, Inc.	NH	IA	Anthem Health Plans of New Hampshire, Inc.	Ownership	100.000	Anthem, Inc.	N	

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 Is an SCA Filing Required? (Y/N)	16 *
0671	Anthem, Inc.		39-2013971		0001156039		Meridian Resource Company, LLC	WI	NIA	Compcare Health Services Insurance Corporation	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	12913	20-5862801		0001156039		Missouri Care, Incorporated	MO	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		82-4684953		0001156039		Momentum Health Partners, LLC	NC	NIA	Anthem Partnership Holding Company, LLC	Ownership	50.000	Anthem, Inc.	N	0102
0671	Anthem, Inc.		83-0892028		0001156039		Nash Holding Company, LLC	DE	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		35-1840597		0001156039		National Government Services, Inc.	IN	NIA	Federal Government Solutions, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		04-2919509		0001156039		New England Research Institute, Inc.	MA	NIA	Health Core, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		81-5476630		0001156039		NGS Federal, LLC	IN	NIA	Federal Government Solutions, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		20-1847098		0001156039		North Florida Behavioral Health Partners, Inc.	FL	NIA	Beacon Health Options, Inc.	Ownership	50.000	Anthem, Inc.	N	0113
0671	Anthem, Inc.	12259	20-1336412		0001156039		Optimum Healthcare, Inc.	FL	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		54-1826967		0001156039		OPTIONS Health Care, Inc.	DE	NIA	Beacon Health Options, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		95-4249368		0001156039		Park Square Holdings, Inc.	CA	NIA	WellPoint California Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		95-4386221		0001156039		Park Square I, Inc.	CA	NIA	WellPoint California Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		95-4249345		0001156039		Park Square II, Inc.	CA	NIA	WellPoint California Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		27-1991772		0001156039		Pasteur Medical Birds Road, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		20-2749389		0001156039		Pasteur Medical Center, LLC	DE	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		27-1366915		0001156039		Pasteur Medical Cutler Bay, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		20-0610128		0001156039		Pasteur Medical Group, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		37-1668303		0001156039		Pasteur Medical Hialeah Gardens, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		45-1616220		0001156039		Pasteur Medical Holdings, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		47-3464152		0001156039		Pasteur Medical Kendall, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		27-2810478		0001156039		Pasteur Medical Management, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		33-1217936		0001156039		Pasteur Medical Miami Gardens, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		27-2651017		0001156039		Pasteur Medical North Miami Beach, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		26-0813665		0001156039		Pasteur Medical Partners, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	12812	30-0326654		0001156039		Region 6 Rx Corp	PA	IA	GR Health Solutions LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		56-2396739		0001156039		Resolution Health, Inc.	DE	NIA	Anthem Southeast, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		47-0851593		0001156039		RightCHOICE Managed Care, Inc.	DE	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	11011	84-0747736		0001156039		Rocky Mountain Hospital and Medical Service, Inc.	CO	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		20-0473316		0001156039		SellCore, Inc.	DE	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	13726	27-0945036		0001156039		Simply Healthcare Plans, Inc.	FL	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		55-0712302		0001156039		Southeast Services, Inc.	VA	NIA	Anthem Southeast, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		45-4071004		0001156039		State Sponsored Services, Inc.	IN	NIA	UNICARE Specialty Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		35-1835818		0001156039		The Anthem Companies, Inc.	IN	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		45-5443372		0001156039		The Anthem Companies of California, Inc.	CA	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.				0001156039		TriaDD NY, LLC dba MyCompass	NY	NIA	Alliance Care Management, LLC	Ownership	25.000	Anthem, Inc.	N	0114
0671	Anthem, Inc.		43-1967924		0001156039		TrustSolutions, LLC	WI	NIA	Federal Government Solutions, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	11810	84-1620480		0001156039		UNICARE Health Plan of West Virginia, Inc.	WV	IA	UNICARE National Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		36-3899137		0001156039		UNICARE Illinois Services, Inc.	IL	NIA	UNICARE National Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	80314	52-0913817		0001156039		UNICARE Life & Health Insurance Company	IN	IA	UNICARE National Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		95-4635507		0001156039		UNICARE National Services, Inc.	DE	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		77-0494551		0001156039		UNICARE Specialty Services, Inc.	DE	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	89518	86-0684895		0001156039		Value Health Reinsurance, Inc.	AZ	IA	Beacon Health Options, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		45-4238555		0001156039		ValueOptions Federal Services, Inc.	VA	NIA	Beacon Health Options, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		20-8819392		0001156039		ValueOptions of Kansas, Inc.	KS	NIA	Beacon Health Options, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	15448	46-2053405		0001156039		ValueOptions of New Jersey, Inc.	NJ	IA	Beacon Health Options, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	95799	75-2749263		0001156039		ValueOptions of Texas, Inc.	TX	IA	Beacon Health Options, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		82-3300542		0001156039		Valus, Inc.	IN	NIA	DBG Holdings, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	15951	47-5456872		0001156039		WellCare of Nebraska, Inc.	NE	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		20-0660563		0001156039		WellMax Health Medical Centers, LLC	FL	NIA	Delivery Network, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		20-0660644		0001156039		WellMax Health Physicians Network, LLC	FL	NIA	Delivery Network, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		20-4405193		0001156039		WellPoint Acquisition, LLC	IN	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 Is an SCA Filing Required? (Y/N)	16 *
0671	Anthem, Inc.		95-4640531		0001156039		WellPoint California Services, Inc.	DE	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		95-4657170		0001156039		WellPoint Dental Services, Inc.	DE	NIA	UNICARE Specialty Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		81-2874917		0001156039		WellPoint Health Solutions, Inc.	DE	NIA	Federal Government Solutions, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		20-3620996		0001156039		WellPoint Holding Corp	DE	UIP	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		45-2736438		0001156039		WellPoint Information Technology Services, Inc.	CA	NIA	Blue Cross of California	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		36-4595641		0001156039		WellPoint Insurance Services, Inc.	HI	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.		47-2546820		0001156039		WellPoint Military Care Corporation	IN	NIA	Federal Government Solutions, LLC	Ownership	100.000	Anthem, Inc.	N	
0671	Anthem, Inc.	15929	47-5569628		0001156039		Wisconsin Collaborative Insurance Company	WI	IA	Crossroads Acquisition Corp.	Ownership	55.000	Anthem, Inc.	N	0110
0671	Anthem, Inc.		20-8672847		0001156039		WPMI, LLC	DE	NIA	ATH Holding Company, LLC	Ownership	69.910	Anthem, Inc.	N	0103

Asterisk	Explanation
0100	Insurer is deemed to be an insurance affiliate in column 10 and has an NAIC Company Code in column 3. However, it does not file an NAIC statutory statement because it is regulated by the New York State Department of Health.
0101	Insurer is deemed to be an insurance affiliate in column 10, but does not have an NAIC Company Code in column 3 because it is regulated by the California Department of Managed Health Care.
0102	50% owned by unaffiliated investors
0103	30.09% owned by unaffiliated investors
0104	25% owned by an unaffiliated investor
0105	Legato Health Technologies LLP is a Limited Liability Partnership formed under the laws of India, and is 99% owned by Legato Holdings I, Inc. an Indiana corporation, and 1% owned by Legato Holdings II, LLC, an Indiana Limited Liability company.
0106	Legato Health Technologies Philippines, Inc. was incorporated under with the Republic of the Philippines, and is 100% owned by Legato Holdings I, Inc. an Indiana corporation.
0107	Highland Investor Holding LLC is a Limited Liability Company formed under the laws of Delaware, and is 72.48% owned by Anthem Holding Company, LLC. an Indiana limited liability company, and 27.52% owned by Highland Holdco, Inc., a Delaware corporation.
0108	Applied Pathways, LLC is a Limited Liability Company formed under the laws of Illinois, and is 53.52% owned by American Imaging Management, Inc. an Illinois limited liability company, and 46.48% owned by HEP AP Holdings, Inc., a Delaware corporation.
0109	Anthem Worker's Compensation, LLC is owned 75% by Anthem Blue Cross Life and Health Insurance Company and 25% by HealthLink, Inc.
0110	45% of WCIC is owned by Aurora Health Care, Inc. (non-affiliate) and 55% by Crossroads Acquisition Corp
0111	Massachusetts Behavioral Health Partnership is a General Partnership formed under the laws of Massachusetts, and is 50% owned by Beacon Health Options, Inc., a Virginia corporation, and 50% owned by OPTIONS Health Care, Inc., a Delaware corporation.
0112	Florida Health Partners, Inc. is 50% owned by Beacon Health Options, Inc. and 50% owned by Florida Behavioral Health, Inc. (non-affiliate)
0113	North Florida Behavioral Health Partners, Inc. is 50% owned by Beacon Health Options, Inc. and 50% owned by North Florida Behavioral Health Network, Inc. (non-affiliate)
0114	75% owned by an unaffiliated investor
0115	83.4% owned by an unaffiliated investor

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

		Response
1.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO

Explanation:

1.

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]

OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Assets Line 25

		Current Statement Date			4
		1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	Prior Year Net Admitted Assets
2504.	Premium Tax Recoverable	1,990,892		1,990,892	2,367,110
2505.	Stop Loss Receivables (NY Regulation 4321, 4322 & 4327)	1,715,461		1,715,461	1,616,037
2506.	Blue Card Receivables	188,931	179,341	9,590	9,008
2507.	Miscellaneous Receivables	343,020	343,020	0	0
2508.	City Income Tax Recoverable	0		0	510,375
2509.	State Income Tax Recoverable	0		0	235,938
2597.	Summary of remaining write-ins for Line 25 from overflow page	4,238,304	522,361	3,715,943	4,738,468

Additional Write-ins for Liabilities Line 23

		Current Period			Prior Year
		1 Covered	2 Uncovered	3 Total	4 Total
2304.	Other premium liability	315,097		315,097	320,756
2305.	Out of Area Program Payable	252,360		252,360	383,056
2397.	Summary of remaining write-ins for Line 23 from overflow page	567,457	0	567,457	703,812

SCHEDULE A - VERIFICATION

Real Estate

NONE

		1 Year to Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year		
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Current year change in encumbrances		
4.	Total gain (loss) on disposals		
5.	Deduct amounts received on disposals		
6.	Total foreign exchange change in book/adjusted carrying value		
7.	Deduct current year's other than temporary impairment recognized		
8.	Deduct current year's depreciation		
9.	Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10.	Deduct total nonadmitted amounts		
11.	Statement value at end of current period (Line 9 minus Line 10)		

SCHEDULE B - VERIFICATION

Mortgage Loans

NONE

		1 Year to Date	2 Prior Year Ended December 31
1.	Book value/recorded investment excluding accrued interest, December 31 of prior year		
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Capitalized deferred interest and other		
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease)		
6.	Total gain (loss) on disposals		
7.	Deduct amounts received on disposals		
8.	Deduct amortization of premium and mortgage interest points and commitment fees		
9.	Total foreign exchange change in book value/recorded investment excluding accrued interest		
10.	Deduct current year's other than temporary impairment recognized		
11.	Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12.	Total valuation allowance		
13.	Subtotal (Line 11 plus Line 12)		
14.	Deduct total nonadmitted amounts		
15.	Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

NONE

		1 Year to Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year		
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Capitalized deferred interest and other		
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease)		
6.	Total gain (loss) on disposals		
7.	Deduct amounts received on disposals		
8.	Deduct amortization of premium and depreciation		
9.	Total foreign exchange change in book/adjusted carrying value		
10.	Deduct current year's other than temporary impairment recognized		
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12.	Deduct total nonadmitted amounts		
13.	Statement value at end of current period (Line 11 minus Line 12)		

SCHEDULE D - VERIFICATION

Bonds and Stocks

		1 Year to Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value of bonds and stocks, December 31 of prior year	313,107,531	302,991,019
2.	Cost of bonds and stocks acquired	41,943,324	70,673,095
3.	Accrual of discount	71,100	163,341
4.	Unrealized valuation increase (decrease)	(294,685)	61,253
5.	Total gain (loss) on disposals	1,367,042	1,253,480
6.	Deduct consideration for bonds and stocks disposed of	52,726,816	59,272,609
7.	Deduct amortization of premium	1,402,032	2,870,673
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		
10.	Total investment income recognized as a result of prepayment penalties and/or acceleration fees		108,625
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	302,065,464	313,107,531
12.	Deduct total nonadmitted amounts		
13.	Statement value at end of current period (Line 11 minus Line 12)	302,065,464	313,107,531

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	220,853,810	15,872,391	28,345,142	(4,220,692)	220,853,810	204,160,367	0	232,050,301
2. NAIC 2 (a)	91,011,470	6,246,782	4,511,366	1,387,003	91,011,470	94,133,889	0	79,034,009
3. NAIC 3 (a)	1,893,132	0	224,780	1,543,754	1,893,132	3,212,106	0	1,392,988
4. NAIC 4 (a)	0	0	0	559,102	0	559,102	0	
5. NAIC 5 (a)	0				0	0		630,233
6. NAIC 6 (a)	0				0	0		
7. Total Bonds	313,758,412	22,119,173	33,081,288	(730,833)	313,758,412	302,065,464	0	313,107,531
PREFERRED STOCK								
8. NAIC 1	0				0	0		0
9. NAIC 2	0				0	0		0
10. NAIC 3	0				0	0		0
11. NAIC 4	0				0	0		0
12. NAIC 5	0				0	0		0
13. NAIC 6	0				0	0		0
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	313,758,412	22,119,173	33,081,288	(730,833)	313,758,412	302,065,464	0	313,107,531

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 $ ..... ; NAIC 2 $ ..... ; NAIC 3 $ ..... NAIC 4 $ ..... ; NAIC 5 $ ..... ; NAIC 6 $.....

Schedule DA - Part 1 - Short-Term Investments

N O N E

Schedule DA - Verification - Short-Term Investments

N O N E

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of Derivatives

N O N E

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

		1 Year To Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	0	1,994,911
2.	Cost of cash equivalents acquired	160,000,000	149,248,975
3.	Accrual of discount		4,093
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals	(19,992)	(4,977)
6.	Deduct consideration received on disposals	142,980,008	151,243,002
7.	Deduct amortization of premium		
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	17,000,000	0
11.	Deduct total nonadmitted amounts		
12.	Statement value at end of current period (Line 10 minus Line 11)	17,000,000	0

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

N O N E

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

N O N E

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

N O N E

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation and Admini-strative Symbol
38381V-V5-6	GOVERNMENT NATIONAL MORTGAGE SERIES 2019-74 CLASS AT 3.000% 06/20/49		04/01/2020	CLARKE + CO		(207)	(195)	0	1
0599999. Subtotal - Bonds - U.S. Governments						(207)	(195)	0	XXX
167562-NW-3	CHICAGO IL MIDWAY ARPT REVENUE SERIES A 5.000% 01/01/33		05/18/2020	Piper Jaffray & Hopwood Inc		170,142	160,000	3,089	1FE
181059-M3-7	CLARK CNTY NV SCH DIST SERIES B 5.000% 06/15/32		05/14/2020	Stifel Nicolaus & Co		897,376	735,000	15,619	1FE
207758-ZZ-9	CONNECTICUT ST SPL TAX OBLIG R 4.000% 05/01/36		05/20/2020	RBC DOMINION SECURITIES		518,128	470,000	0	1FE
3137FN-BV-1	FREDDIE MAC SERIES 4908 CLASS BD 3.000% 04/25/49		05/08/2020	Bank of America		10,413	10,000	10	1
3137FP-LK-9	FREDDIE MAC SERIES 4926 CLASS BP 3.000% 10/25/49		06/24/2020	Stifel Nicolaus & Co		21,238	20,000	47	1
31418D-P9-7	FNMA POOL MA4047 2.000% 06/01/50		05/21/2020	Goldman Sachs & Co		1,215,799	1,190,000	1,719	1
45204F-AH-8	ILLINOIS ST FIN AUTH REVENUE SERIES C 0.050% 08/15/49		05/28/2020	J P Morgan		1,400,000	1,400,000	60	1FE
482085-EU-7	JURUPA CA PUBLIC FING AUTH SPL SERIES A 4.000% 09/01/33		06/10/2020	Stifel Nicolaus & Co		267,419	225,000	0	1FE
54714C-AQ-0	LOVE FIELD TX ARPT MODERNIZATI 5.000% 11/01/32		05/14/2020	Piper Jaffray & Hopwood Inc		322,051	290,000	685	1FE
54714C-AS-6	LOVE FIELD TX ARPT MODERNIZATI 5.000% 11/01/34		05/14/2020	Piper Jaffray & Hopwood Inc		662,160	600,000	1,417	1FE
54714C-AT-4	LOVE FIELD TX ARPT MODERNIZATI 5.000% 11/01/35		05/14/2020	Piper Jaffray & Hopwood Inc		629,958	570,000	1,346	1FE
59261A-QY-6	MET TRANSPRTN AUTH NY REVENUE SERIES C 5.000% 11/15/31		04/02/2020	Wells Fargo		502,395	500,000	9,792	1FE
64990F-JG-0	NEW YORK ST DORM AUTH ST PERSO SERIES A 5.250% 03/15/39		06/25/2020	Morgan Stanley		758,376	600,000	9,100	1FE
650036-AE-6	NEW YORK ST URBAN DEV CORP REV SERIES A 5.000% 03/15/35		06/22/2020	Goldman Sachs & Co		1,136,740	865,000	0	1FE
3199999. Subtotal - Bonds - U.S. Special Revenues						8,512,195	7,635,000	42,884	XXX
00287Y-CF-4	ABBVIE INC SERIES 144A 3.450% 03/15/22		05/12/2020	Taxable Exchange		1,028,415	1,000,000	5,654	2FE
037833-DU-1	APPLE INC 1.650% 05/11/30		05/04/2020	Goldman Sachs & Co		1,241,200	1,250,000	0	1FE
05329W-AQ-5	AUTONATION INC 4.750% 06/01/30		06/24/2020	STATE STREET GLOBAL MARKETS, L		1,195,403	1,100,000	4,935	2FE
05606F-AA-1	BX TRUST 2019 OC11 SERIES 2019-OC11 CLASS A 144A 3.202% 12/09/41		06/17/2020	Morgan Stanley		255,642	245,000	392	1FM
05606F-AJ-2	BX TRUST SERIES 2019-OC11 CLASS C 144A 3.856% 12/09/41		05/05/2020	Morgan Stanley		9,208	10,000	6	1FM
12625K-AD-7	COMM MORTGAGE TRUST SERIES 2013-CR8 CLASS A4 3.334% 06/10/46		04/01/2020	Interest Capitalization		0	0	0	1FM
26442E-AH-3	DUKE ENERGY OHIO INC 2.125% 06/01/30		05/18/2020	Credit Suisse		609,616	610,000	0	1FE
29444U-BG-0	EQUINIX INC 1.800% 07/15/27		06/08/2020	Bank of America		1,211,950	1,215,000	0	2FE
30037D-AA-3	EVERGY METRO SERIES 2020 2.250% 06/01/30		05/18/2020	Salomon Bros		724,159	725,000	0	1FE
369604-BW-2	GENERAL ELECTRIC CO 3.625% 05/01/30		05/13/2020	J P Morgan		951,910	1,000,000	2,316	2FE
46647P-BR-6	JPMORGAN CHASE & CO 2.182% 06/01/28		05/19/2020	J P Morgan		1,000,000	1,000,000	0	1FE
55336V-BK-5	MPLX LP SERIES WI 3.500% 12/01/22		05/20/2020	Tax Free Exchange		339,455	340,000	5,586	2FE
72650R-BN-1	PLAINS ALL AMERICAN PIPE 3.800% 09/15/30		06/08/2020	Various		460,389	460,000	0	3FE
832432-AN-8	SMITHSONIAN INSTITUTION 1.867% 09/01/29		06/11/2020	J P Morgan		1,000,000	1,000,000	0	1FE
832432-AP-3	SMITHSONIAN INSTITUTION 1.917% 09/01/30		06/11/2020	J P Morgan		540,000	540,000	0	1FE
87165L-CC-3	SYNCHRONY CREDIT CARD MASTER SERIES 2018-2 CLASS A 3.470% 05/15/26		06/17/2020	Cantor Fitzgerald & Co		484,184	455,000	175	1FE
87264A-BC-8	T MOBILE USA INC SERIES 144A 3.750% 04/15/27		05/13/2020	JANE STREET EXECUTION SERVICES		1,059,260	1,000,000	3,750	2FE
981464-HF-0	WORLD FINANCIAL NETWORK CREDIT SERIES 2019-C CLASS A 2.210% 07/15/26		05/27/2020	Wells Fargo		647,091	645,000	554	1FE
06739G-CR-8	BARCLAYS BANK PLC 1.700% 05/12/22	D	05/05/2020	Barclays		849,301	850,000	0	1FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						13,607,183	13,445,000	23,368	XXX
8399997. Total - Bonds - Part 3						22,119,171	21,079,805	66,252	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						22,119,171	21,079,805	66,252	XXX
8999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						0	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	XXX
9999999 - Totals						22,119,171	XXX	66,252	XXX

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation and Admini-strative Symbol
38379C-TB-2	GOVERNMENT NATIONAL MORTGAGE SERIES 2014-91 CLASS MA 3.000% 01/16/40		05/18/2020	Bank of America		615,430	574,162	584,995	584,736	0	(4)	0	(4)	0	584,732	0	30,698	30,698	8,086	01/16/2040	1
38379C-TB-2	GOVERNMENT NATIONAL MORTGAGE SERIES 2014-91 CLASS MA 3.000% 01/16/40		05/01/2020	Paydown		19,940	19,940	20,317	20,308	0	(367)	0	(367)	0	19,940	0	0	0	223	01/16/2040	1
38381T-KF-1	GOVERNMNET NATIONAL MORTGAGE A SERIES 2019-29 CLASS CB 3.000% 10/20/48		05/18/2020	First Tennessee Capital		537,455	516,473	520,831	520,101	0	(503)	0	(503)	0	519,599	0	17,856	17,856	7,274	10/20/2048	1
38381T-KF-1	GOVERNMNET NATIONAL MORTGAGE A SERIES 2019-29 CLASS CB 3.000% 10/20/48		05/01/2020	Paydown		8,516	8,516	8,588	8,576	0	(60)	0	(60)	0	8,516	0	0	0	96	10/20/2048	1
38381V-V5-6	GOVERNMENT NATIONAL MORTGAGE SERIES 2019-74 CLASS AT 3.000% 06/20/49		06/01/2020	CLARKE + CO		1,216,661	1,140,397	1,160,749	1,146,441	0	(1,046)	0	(1,046)	0	1,158,429	0	58,232	58,232	17,014	06/20/2049	1
38381V-V5-6	GOVERNMENT NATIONAL MORTGAGE SERIES 2019-74 CLASS AT 3.000% 06/20/49		06/01/2020	Paydown		65,994	65,994	67,171	66,343	0	(1,104)	0	(1,104)	0	65,994	0	0	0	839	06/20/2049	1
0599999. Subtotal - Bonds - U.S. Governments						2,463,996	2,325,482	2,362,651	2,346,505	0	(3,084)	0	(3,084)	0	2,357,210	0	106,786	106,786	33,532	XXX	XXX
452152-P2-1	ILLINOIS STATE SERIES C 5.000% 11/01/29		06/24/2020	Goldman Sachs & Co		692,309	650,000	734,598	729,055	0	(4,350)	0	(4,350)	0	724,706	0	(32,397)	(32,397)	21,215	11/01/2029	2FE
928109-F4-4	VIRGINIA ST SERIES B 4.000% 06/01/23		06/09/2020	Wells Fargo		11,122,300	10,000,000	11,768,800	10,633,255	0	(79,844)	0	(79,844)	0	10,553,412	0	568,888	568,888	211,111	06/01/2023	1FE
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions						11,814,609	10,650,000	12,503,398	11,362,310	0	(84,194)	0	(84,194)	0	11,278,118	0	536,491	536,491	232,326	XXX	XXX
251130-ET-3	DETROIT MI CITY SCH DIST 5.000% 05/01/26		05/08/2020	TMC BONDS		69,477	65,000	71,315	66,682	0	(252)	0	(252)	0	66,429	0	3,048	3,048	1,724	05/01/2026	1FE
259309-M8-2	DOUGLAS CNTY NE SCH DIST 4.000% 12/15/34		05/06/2020	Federated Investors		116,066	100,000	108,999	108,454	0	(259)	0	(259)	0	108,196	0	7,870	7,870	1,589	12/15/2034	1FE
346843-PT-6	FORT BEND TX INDEP SCH DIST SERIES B 5.000% 02/15/30		05/01/2020	ROBERT W. BAIRD CO.INCO		541,705	430,000	526,294	520,138	0	(3,512)	0	(3,512)	0	516,626	0	25,079	25,079	15,528	02/15/2030	1FE
403755-S7-7	GWINNETT CNTY GA SCH DIST 4.000% 02/01/30		06/22/2020	Merrill Lynch Pierce Fenner		982,039	850,000	948,082	938,432	0	(7,228)	0	(7,228)	0	931,204	0	50,835	50,835	30,506	02/01/2030	1FE
562383-AZ-2	MANCHESTER OHIO LOCAL SCH DIST 4.000% 12/01/20		04/20/2020	Oppenheimer & Co.		253,755	250,000	256,498	250,779	0	(259)	0	(259)	0	250,519	0	3,236	3,236	3,917	12/01/2020	1Z
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						1,963,042	1,695,000	1,911,188	1,884,485	0	(11,510)	0	(11,510)	0	1,872,974	0	90,068	90,068	53,264	XXX	XXX
009730-PC-0	AKRON BATH COPLEY JT TWP OH HO SERIES B 0.180% 11/15/47		04/03/2020	GOLDMAN SACHS		395,000	395,000	395,000	0	0	0	0	0	0	395,000	0	0	0	1,205	11/15/2047	1FE
009730-PC-0	AKRON BATH COPLEY JT TWP OH HO SERIES B 0.180% 11/15/47		05/01/2020	Call 100.0000		5,000	5,000	5,000	0	0	0	0	0	0	5,000	0	0	0	19	11/15/2047	1FE
011903-EM-9	ALASKA ST INDL DEV EXPORT AU 5.000% 04/01/24		06/03/2020	FIRST SAFETY FUND NATIONAL BAN		583,424	530,000	619,798	572,307	0	(5,381)	0	(5,381)	0	566,926	0	16,498	16,498	17,961	04/01/2024	1FE
015086-MZ-1	ALEXANDRIA LA UTILITIES REVENU 4.000% 05/01/25		04/20/2020	Stifel Nicolaus & Co		444,650	405,000	422,204	413,060	0	(533)	0	(533)	0	412,526	0	32,123	32,123	7,695	05/01/2025	1FE
194641-AL-0	COLLIER CNTY FL INDL DEV AUTH 5.500% 10/01/26		06/24/2020	TMC BONDS		443,826	430,000	472,278	452,335	0	(1,830)	0	(1,830)	0	450,506	0	(6,679)	(6,679)	17,107	10/01/2026	1FE
196479-5U-9	COLORADO ST HSG & FIN AUTH SERIES H 4.250% 11/01/49		05/01/2020	Call 100.0000		5,000	5,000	5,485	5,464	0	(15)	0	(15)	0	5,449	0	(449)	(449)	106	11/01/2049	1FE
254840-DR-9	DIST OF COLUMBIA TAX INCR 5.000% 06/01/27		06/22/2020	Merrill Lynch Pierce Fenner		312,360	300,000	329,460	305,330	0	(1,777)	0	(1,777)	0	303,552	0	8,808	8,808	8,458	06/01/2027	1FE
3137FN-FU-9	FREDDIE MAC SERIES 4911 CLASS MB 3.000% 09/25/49		05/21/2020	Goldman Sachs & Co		1,233,881	1,190,000	1,221,284	1,219,155	0	(3,496)	0	(3,496)	0	1,215,659	0	18,222	18,222	17,453	09/25/2049	1
31385X-LY-1	FNMA POOL 555743 5.000% 09/01/33		06/01/2020	Paydown		19,848	19,848	21,309	21,247	0	(1,399)	0	(1,399)	0	19,848	0	0	0	419	09/01/2033	1
3140FX-FR-8	FNMA POOL BF0175 2.500% 01/01/57		06/01/2020	Paydown		22,999	22,999	22,001	22,021	0	978	0	978	0	22,999	0	0	0	242	01/01/2057	1
3140FX-GT-3	FNMA POOL BF0209 3.500% 02/01/42		06/01/2020	Paydown		37,001	37,001	37,429	37,418	0	(417)	0	(417)	0	37,001	0	0	0	535	02/01/2042	1
3140J8-4A-8	FNMA POOL BM4416 5.000% 10/01/41		06/01/2020	Paydown		18,079	18,079	19,308	19,281	0	(1,202)	0	(1,202)	0	18,079	0	0	0	377	10/01/2041	1
3140JH-DQ-3	FNMA POOL BN1010 4.000% 02/01/49		04/24/2020	Salomon Bros		(19,950)	(18,716)	(19,160)	(19,152)	0	0	0	0	0	(19,152)	0	(798)	(798)	6,122	02/01/2049	1
3140JH-DQ-3	FNMA POOL BN1010 4.000% 02/01/49		04/01/2020	Paydown		18,716	18,716	19,160	19,152	0	(436)	0	(436)	0	18,716	0	0	0	2,290	02/01/2049	1
3140QA-HP-8	FNMA POOL CA2937 4.000% 01/01/49		05/19/2020	Goldman Sachs & Co		392,786	362,433	373,136	372,948	0	49	0	49	0	372,997	0	19,790	19,790	6,806	01/01/2049	1
3140QA-HP-8	FNMA POOL CA2937 4.000% 01/01/49		06/01/2020	Paydown		151,993	151,993	156,481	156,402	0	(4,410)	0	(4,410)	0	151,993	0	0	0	2,488	01/01/2049	1
31418D-P9-7	FNMA POOL MA4047 2.000% 06/01/50		06/01/2020	Paydown		2,460	2,460	2,513	0	0	(53)	0	(53)	0	2,460	0	0	0	4	06/01/2050	1
45204F-AH-8	ILLINOIS ST FIN AUTH REVENUE SERIES C 0.050% 08/15/49		06/23/2020	J P Morgan		1,400,000	1,400,000	1,400,000	0	0	0	0	0	0	1,400,000	0	0	0	79	08/15/2049	1FE
546398-L9-7	LOUISIANA ST PUBLIC FACS AUTH 5.625% 05/01/21		05/06/2020	Merrill Lynch Pierce Fenner		41,780	40,000	39,773	39,961	0	10	0	10	0	39,971	0	1,809	1,809	1,169	05/01/2021	1Z
546398-L9-7	LOUISIANA ST PUBLIC FACS AUTH 5.625% 05/01/21		05/01/2020	Call 100.0000		35,000	35,000	34,801	34,966	0	8	0	8	0	34,974	0	26	26	984	05/01/2021	1Z

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation and Admini-strative Symbol
57419T-DL-2	MARYLAND ST CMNTY DEV ADMIN DE SERIES C 3.500% 03/01/50		05/06/2020	RBC DOMINION SECURITIES		712,125	675,000	725,139	724,133	0	(1,697)	0	(1,697)	0	722,437	0	(10,312)	(10,312)	13,256	03/01/2050	1FE
59261A-KM-8	MET TRANSPRTN AUTH NY REVENUE 0.743% 11/01/32		04/06/2020	Corporate Action		735,000	735,000	735,000	735,000	0	0	0	0	0	735,000	0	0	0	4,338	11/01/2032	1FE
59261A-QY-6	MET TRANSPRTN AUTH NY REVENUE SERIES C 5.000% 11/15/31		06/01/2020	STATE STREET GLOBAL MARKETS, L		103,585	100,000	100,479	0	0	(23)	0	(23)	0	100,456	0	3,129	3,129	2,750	11/15/2031	1FE
594654-GD-1	MICHIGAN ST HSG DEV AUTH SF MT SERIES B 3.750% 06/01/50		05/08/2020	RBC DOMINION SECURITIES		626,681	585,000	637,849	636,957	0	(1,873)	0	(1,873)	0	635,085	0	(8,403)	(8,403)	11,700	06/01/2050	1FE
594654-GD-1	MICHIGAN ST HSG DEV AUTH SF MT SERIES B 3.750% 06/01/50		06/01/2020	Redemption 100.0000		5,000	5,000	5,452	5,444	0	(18)	0	(18)	0	5,426	0	(426)	(426)	110	06/01/2050	1FE
60534W-N6-1	MISSISSIPPI ST DEV BANK SPL OB 5.000% 04/01/32		05/06/2020	Duncan Williams Inc.		123,878	100,000	120,220	118,981	0	(641)	0	(641)	0	118,339	0	5,539	5,539	3,014	04/01/2032	1FE
65854R-BB-5	N CENTRL TX HLTH FAC DEV CORP 5.000% 08/15/27		06/26/2020	Piper Jaffray & Hopwood Inc		109,690	100,000	112,172	103,571	0	(652)	0	(652)	0	102,919	0	6,771	6,771	4,375	08/15/2027	1FE
66272R-AK-8	NORTH SUMTER CNTY UTIL 5.250% 10/01/20		04/20/2020	Merrill Lynch Pierce Fenner		132,183	130,000	127,548	129,763	0	96	0	96	0	129,859	0	2,324	2,324	3,811	10/01/2020	1FE
762196-SB-0	RHODE ISLAND ST HLTH & EDUCTNL 5.000% 05/15/35		04/30/2020	Merrill Lynch Pierce Fenner		132,649	110,000	131,898	130,525	0	(659)	0	(659)	0	129,865	0	2,784	2,784	2,582	05/15/2035	1FE
85732G-PG-9	STATE PUBLIC SCH BLDG AUTH 5.000% 04/01/24		04/20/2020	Morgan Stanley		215,828	200,000	230,432	208,158	0	(1,083)	0	(1,083)	0	207,075	0	8,753	8,753	5,583	04/01/2024	1FE
92818H-LS-8	VIRGINIA PUBLIC SCHOOL AUTHORI SERIES B 5.000% 08/01/27		06/22/2020	Salomon Bros		944,197	730,000	855,516	840,698	0	(6,352)	0	(6,352)	0	834,346	0	109,851	109,851	32,749	08/01/2027	1FE
3199999. Subtotal - Bonds - U.S. Special Revenues						9,384,669	8,819,813	9,358,965	7,305,125	0	(32,806)	0	(32,806)	0	9,175,311	0	209,360	209,360	175,787	XXX	XXX
023764-AA-1	AMERN AIRLINE 16-2 A PTT SERIES A 3.650% 12/15/29		06/15/2020	Redemption 100.0000		22,500	22,500	22,500	22,500	0	0	0	0	0	22,500	0	0	0	411	06/15/2028	1FE
02377B-AB-2	AMER AIRLN 15-2 AA PTT 3.600% 03/22/29		05/29/2020	ROBERT W. BAIRD CO.INCO		520,376	563,330	563,330	563,330	0	0	0	0	0	563,330	0	(42,954)	(42,954)	14,083	09/22/2027	1FE
037833-DC-1	APPLE INC 2.100% 09/12/22		05/15/2020	Toronto Dominion Securities		776,333	750,000	749,115	749,511	0	68	0	68	0	749,579	0	26,754	26,754	10,806	09/12/2022	1FE
05950B-AG-9	BANC OF AMERICA ALT LN TR SERIES 2006-5 CLASS CB7 6.000% 06/25/46		06/01/2020	Paydown		20,558	23,604	14,540	23,352	251	(3,046)	0	(2,795)	0	20,558	0	0	0	510	06/25/2046	1FM
126650-BP-4	CVS/CAREMARK CORP 6.036% 12/10/28		06/10/2020	Redemption 100.0000		8,015	8,015	8,963	8,719	0	(25)	0	(25)	0	8,694	0	(679)	(679)	202	12/10/2028	2FE
16163C-AH-5	CHASE MORTGAGE FINANCE CORP SERIES 2006-A1 CLASS 2A3 4.009% 09/25/36		06/01/2020	Paydown		52,673	51,651	25,019	33,743	0	18,930	0	18,930	0	52,673	0	0	0	1,025	09/25/2036	1FM
20268J-AB-9	COMMONSPIRIT HEALTH 3.347% 10/01/29		05/12/2020	Wells Fargo		1,341,851	1,395,000	1,395,000	1,395,000	0	0	0	0	0	1,395,000	0	(53,150)	(53,150)	34,110	10/01/2029	2FE
345397-YG-2	FORD MOTOR CREDIT CO LLC 3.810% 01/09/24		04/08/2020	Piper Jeffries & Co		861,350	1,000,000	997,820	998,056	0	126	0	126	0	998,181	0	(136,831)	(136,831)	28,804	01/09/2024	2FE
350910-AN-5	FOUR TIMES SQUARE TRUST SERIES 2006-4TS CLASS A 5.401% 12/13/28		06/11/2020	Paydown		5,127	5,127	5,922	5,189	0	(61)	0	(61)	0	5,127	0	0	0	115	12/13/2028	1FM
36250G-AN-5	GS MORTGAGE SECURITIES TRUST SERIES 2015-GC30 CLASS A3 3.119% 05/10/50		05/01/2020	Paydown		6,721	6,721	7,070	0	0	(349)	0	(349)	0	6,721	0	0	0	70	05/10/2050	1FM
42806D-AU-3	HERTZ VEHICLE FINANCING LLC SERIES 16-2A CLASS A 144A 2.980% 03/25/22		06/25/2020	Paydown		293,148	293,148	293,081	293,131	0	17	0	17	0	293,148	0	0	0	4,011	03/25/2022	1FE
446438-RQ-8	HUNTINGTON NATIONAL BANK 2.875% 08/20/20		05/11/2020	Toronto Dominion Securities		1,004,010	1,000,000	995,800	999,431	0	327	0	327	0	999,758	0	4,252	4,252	21,003	08/20/2020	1FE
477143-AH-4	JETBLUE 2019 1 CLASS AA SERIES AA 2.750% 11/15/33		05/15/2020	Redemption 100.0000		3,658	3,658	3,658	3,658	0	0	0	0	0	3,658	0	0	0	51	11/15/2033	1FE
55336V-AY-6	MPLX LP SERIES 144A 3.500% 12/01/22		05/20/2020	Tax Free Exchange		339,455	340,000	339,308	339,376	0	79	0	79	0	339,455	0	0	0	5,586	12/01/2022	2FE
68236J-AA-9	ONE BRYANT PARK SERIES 2019-OBP CLASS A 144A 2.516% 09/15/54		06/17/2020	Bank of America		256,092	245,000	245,000	245,000	0	0	0	0	0	245,000	0	11,092	11,092	3,391	09/15/2054	1FM
82652N-AA-6	SIERRA RECEIVABLES FUNDING CO SERIES 2019-3A CLASS A 144A 2.340% 08/20/36		06/20/2020	Paydown		40,443	40,443	40,442	40,442	0	1	0	1	0	40,443	0	0	0	386	08/20/2036	1FE
85208N-AA-8	SPRINT SPECTRUM SPEC I SERIES A-1 3.360% 09/20/21		06/20/2020	Redemption 100.0000		51,250	51,250	51,601	51,363	0	(42)	0	(42)	0	51,321	0	(71)	(71)	861	09/20/2021	2FE
90346W-AA-1	US AIRWAYS SER 2013-1 CLASS A PTT EETC 3.950% 11/15/25		05/15/2020	Redemption 100.0000		79,565	79,565	80,098	79,846	0	(16)	0	(16)	0	79,830	0	(266)	(266)	1,571	11/15/2025	1FE

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation and Admini-strative Symbol
90932L-AA-5	UNITED AIR 2015-1 A PTT 3.450% 06/01/29		06/01/2020	Redemption 100.0000		60,441	60,441	60,593	60,563	0	(6)	0	(6)	0	60,556	0	(115)	(115)	1,043	12/01/2027	1FE
92935J-BC-8	WF-RBS COMMERCIAL MTG TRUST SERIES 2011- C2 CLASS A4 4.869% 02/15/44		06/01/2020	Paydown		8,673	8,673	10,191	8,788	0	(115)	0	(115)	0	8,673	0	0	0	187	02/15/2044	1FM
958667-AC-1	WESTERN MIDSTREAM OPERAT 4.050% 02/01/30		04/02/2020	Wells Fargo		118,170	225,000	224,775	0	0	5	0	5	0	224,780	0	(106,610)	(106,610)	2,101	02/01/2030	3FE
89114Q-BC-1	TORONTO DOMINION BANK SERIES GMTN 2.500% 12/14/20	A	05/15/2020	J P Morgan		1,249,227	1,235,000	1,232,345	1,234,468	0	213	0	213	0	1,234,681	0	14,547	14,547	13,293	12/14/2020	1FE
00507U-AR-2	ACTAVIS FUNDING SCS 3.450% 03/15/22	D	05/12/2020	Taxable Exchange		1,029,414	1,000,000	980,400	992,852	0	1,157	0	1,157	0	994,009	0	35,406	35,406	22,904	03/15/2022	2FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						8,149,050	8,408,126	8,346,571	8,148,318	251	17,263	0	17,514	0	8,397,675	0	(248,625)	(248,625)	166,524	XXX	XXX
8399997. Total - Bonds - Part 4						33,775,366	31,898,421	34,482,773	31,046,743	251	(114,331)	0	(114,080)	0	33,081,288	0	694,080	694,080	661,433	XXX	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999. Total - Bonds						33,775,366	31,898,421	34,482,773	31,046,743	251	(114,331)	0	(114,080)	0	33,081,288	0	694,080	694,080	661,433	XXX	XXX
8999997. Total - Preferred Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799997. Total - Common Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9999999 - Totals						33,775,366	XXX	34,482,773	31,046,743	251	(114,331)	0	(114,080)	0	33,081,288	0	694,080	694,080	661,433	XXX	XXX

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open

N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

N O N E

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

N O N E

SCHEDULE DL - PART 1
SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date
(Securities lending collateral assets reported in aggregate on Line 10 of the Assets page and not included on Schedules A, B, BA, D, DB and E)

1 CUSIP Identification	2 Description	3 Code	4 NAIC Designation and Administrative Symbol	5 Fair Value	6 Book/Adjusted Carrying Value	7 Maturity Date
912833-Z5-2	UNITED STATES OF AMERICA BOND ZERO CPN 15/AUG/2037 USD 100		1	20,824	20,824	08/15/2037
912828-5V-8	UNITED STATES OF AMERICA NOTES FIXED 2.5% 15/JAN/2022 USD 100		1	143,693	143,693	01/15/2022
912828-D5-6	UNITED STATES OF AMERICA NOTES FIXED 2.375% 15/AUG/2024 USD 100		1	476,415	476,415	08/15/2024
912828-K7-4	UNITED STATES OF AMERICA NOTES FIXED 2% 15/AUG/2025 USD 100		1	578,912	578,912	08/15/2025
912828-VM-9	UNITED STATES OF AMERICA NOTES FIXED .375% 15/JUL/2023 USD 100		1	250,401	250,401	07/15/2023
912828-Y3-8	UNITED STATES OF AMERICA NOTES FIXED .75% 15/JUL/2028 USD 100		1	379,306	379,306	07/15/2028
912828-YX-2	UNITED STATES OF AMERICA NOTES FIXED 1.75% 31/DEC/2026 USD 100		1	374,462	374,462	12/31/2026
912828-ZJ-2	UNITED STATES OF AMERICA NOTES FIXED .125% 15/APR/2025 USD 100		1	446,688	446,688	04/15/2025
0199999. Subtotal - Bonds - U.S. Governments - Issuer Obligations				2,670,701	2,670,701	XXX
0599999. Total - U.S. Government Bonds				2,670,701	2,670,701	XXX
1099999. Total - All Other Government Bonds				0	0	XXX
1799999. Total - U.S. States, Territories and Possessions Bonds				0	0	XXX
2499999. Total - U.S. Political Subdivisions Bonds				0	0	XXX
3199999. Total - U.S. Special Revenues Bonds				0	0	XXX
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds				0	0	XXX
4899999. Total - Hybrid Securities				0	0	XXX
5599999. Total - Parent, Subsidiaries and Affiliates Bonds				0	0	XXX
5999999. Subtotal - SVO Identified Funds				0	0	XXX
6299999. Subtotal - Unaffiliated Bank Loans				0	0	XXX
6399999. Total - Issuer Obligations				2,670,701	2,670,701	XXX
6499999. Total - Residential Mortgage-Backed Securities				0	0	XXX
6599999. Total - Commercial Mortgage-Backed Securities				0	0	XXX
6699999. Total - Other Loan-Backed and Structured Securities				0	0	XXX
6799999. Total - SVO Identified Funds				0	0	XXX
6899999. Total - Affiliated Bank Loans				0	0	XXX
6999999. Total - Unaffiliated Bank Loans				0	0	XXX
7099999. Total Bonds				2,670,701	2,670,701	XXX
7399999. Total - Preferred Stocks (Schedule D, Part 2, Section 1 type)				0	0	XXX
7999999. Total - Common Stocks (Schedule D, Part 2, Section 2 type)				0	0	XXX
8099999. Total - Preferred and Common Stocks				0	0	XXX
000000-00-0	LMA AMERICAS LLC			3,368	3,352	10/06/2020
8999999. Total - Short-Term Invested Assets (Schedule DA type)				3,368	3,352	XXX
000000-00-0	AGRIC BK OF CHINA LTD - NY BRANCH			11,237	11,236	09/01/2020
000000-00-0	MUFG Bank Ltd, New York Branch			4,515	4,531	10/15/2020
000000-00-0	MUFG Bank Ltd, New York Branch			2,249	2,247	08/03/2020
000000-00-0	NATIXIS NY			2,250	2,247	09/09/2020
000000-00-0	National Westminster Bank PLC			28,090	28,090	09/04/2020
000000-00-0	THE CHIBA BANK LTD NEW YORK BRANCH			28,089	28,110	08/04/2020
000000-00-0	BNP PARIBAS, NY			2,246	2,247	02/11/2021
000000-00-0	BNP PARIBAS, NY			2,246	2,247	02/12/2021
000000-00-0	BANK OF MONTREAL CHICAGO			7,867	7,865	09/09/2020
000000-00-0	BANK OF MONTREAL CHICAGO			2,248	2,247	07/10/2020
000000-00-0	BANK OF MONTREAL CHICAGO			5,619	5,618	08/06/2020
000000-00-0	BANK OF NOVA SCOTIA HOUSTON			5,620	5,618	09/08/2020
000000-00-0	CIBC NY			7,868	7,865	08/06/2020
000000-00-0	CIBC NY			5,611	5,618	02/26/2021
000000-00-0	CIC NY			5,612	5,618	02/12/2021
000000-00-0	GOLDMAN SACHS BANK USA			2,802	2,809	02/22/2021
000000-00-0	GOLDMAN SACHS BANK USA			2,802	2,809	02/22/2021
000000-00-0	ROYAL BANK OF CANADA NY			11,236	11,236	07/08/2020
000000-00-0	SOCIETE GENERALE NEW YORK			5,617	5,618	08/14/2020
000000-00-0	SVENSKA NY			5,620	5,618	12/03/2020
000000-00-0	WELLS FARGO BANK SAN FRANCISCO N.A.			5,618	5,618	08/20/2020
000000-00-0	WESTPAC BKG CORP, NEW YORK			5,615	5,618	10/28/2020
000000-00-0	RABOBANK LONDON			2,802	2,809	01/08/2021
000000-00-0	BNP PARIBAS, NY			2,248	2,247	10/09/2020
000000-00-0	BANCO DEL ESTADO DE CHILE NY			5,619	5,618	07/08/2020
000000-00-0	BANCO DEL ESTADO DE CHILE NY			3,369	3,371	08/28/2020
000000-00-0	BANK OF MONTREAL CHICAGO			3,370	3,371	11/13/2020
000000-00-0	BANK OF NOVA SCOTIA HOUSTON			2,247	2,247	07/10/2020
000000-00-0	RABOBANK NEW YORK			3,370	3,371	09/04/2020
000000-00-0	CREDIT AGRICOLE CIB, NY			6,180	6,180	01/29/2021
000000-00-0	SKANDI NEW YORK			5,619	5,611	10/02/2020
000000-00-0	SOCIETE GENERALE NEW YORK			2,246	2,247	12/31/2020
000000-00-0	STANDARD CHARTERED NY			5,616	5,618	08/07/2020
000000-00-0	STANDARD CHARTERED NY			5,615	5,618	08/26/2020
000000-00-0	SUMITOMO MITSUI TRUST BANK LTD,NY			28,087	28,090	12/02/2020
000000-00-0	SUMITOMO BK NY			3,371	3,371	08/03/2020
000000-00-0	SUMITOMO BK NY			2,247	2,247	08/03/2020
000000-00-0	SVENSKA NY			3,370	3,366	11/30/2020
000000-00-0	UNION BANK OF SWITZERLAND, STAMFORD			7,862	7,865	12/04/2020
000000-00-0	DBS BANK LIMITED, SINGAPORE			4,494	4,494	08/11/2020
000000-00-0	DBS BANK LIMITED, SINGAPORE			5,618	5,618	08/03/2020
000000-00-0	ROYAL BANK OF CANADA			22,472	22,472	07/01/2020
000000-00-0	SHINKIN CENTRAL BANK			33,708	33,708	09/04/2020
000000-00-0	SUMITOMO MITSUI BANK CORP SYD OBU			4,494	4,494	07/24/2020
000000-00-0	Mitsubishi UFJ Trust & Bank Co			11,229	11,226	09/09/2020
9099999. Total - Cash (Schedule E Part 1 type)				335,930	335,989	XXX
	UNITED OF OMAHA LIFE INSURANCE			5,618	5,618	07/15/2020
	UNITED OF OMAHA LIFE INSURANCE			2,247	2,247	07/30/2020
	NATIXIS FINANCIAL PRODUCTS LLC			15,730	15,730	07/01/2020
09248U-70-0	TFDXX LEX BLACKROCK FED FUND			22,472	22,472	07/01/2020
261908-10-7	DREYFUS TREASURY & AGENCY LEX			45,506	45,506	07/01/2020
31607A-70-3	FIDELITY INST GOVT LEX			11,236	11,236	07/01/2020
38141W-27-3	Goldman Sachs Fin Square Govt Fd			112,360	112,360	07/01/2020
44330V-48-0	HSBC US GOVT FUND LEX			59,551	59,551	07/01/2020
61747C-70-7	MS GOVT US LEX			28,090	28,090	07/01/2020
825252-88-5	INVESCO GOVT AND AGCY LEX			30,200	30,200	07/01/2020
857492-70-6	STATE STREET INST US GOVT FUND			44,944	44,944	07/01/2020
949921-12-6	WELLS FARGO GOVT FD LEX			22,472	22,472	07/01/2020
	DEUTSCHE BANK SECURITIES INC.			95,506	95,506	07/01/2020
	DEUTSCHE BANK SECURITIES INC.			76,626	76,626	07/01/2020
	BMO CAPITAL MKTS			28,090	28,090	07/01/2020
	CITIGROUP GLOBAL MARKETS INC			11,236	11,236	08/04/2020
	CITIGROUP GLOBAL MARKETS INC			22,472	22,472	08/04/2020
	CITIGROUP GLOBAL MARKETS INC			11,236	11,236	08/04/2020
	CITIGROUP GLOBAL MARKETS INC			11,236	11,236	08/04/2020
	CITIGROUP GLOBAL MARKETS INC			44,944	44,944	08/04/2020
	CS LLC Repo BONY			39,329	39,330	09/03/2020
9199999. Total - Cash Equivalents (Schedule E Part 2 type)				741,101	741,102	XXX
9999999 - Totals				3,751,100	3,751,144	XXX

General Interrogatories:

1. Total activity for the year Fair Value $ (549,937) Book/Adjusted Carrying Value $ (523,825)
2. Average balance for the year Fair Value $ 2,838,916 Book/Adjusted Carrying Value $ 2,839,190
3. Reinvested securities lending collateral assets book/adjusted carrying value included in this schedule by NAIC designation:
   NAIC 1 $ 3,550,902 NAIC 2 $ 200,242 NAIC 3 $ NAIC 4 $ NAIC 5 $ NAIC 6 $

SCHEDULE DL - PART 2
SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date
(Securities lending collateral assets included on Schedules A, B, BA, D, DB and E and not reported in aggregate on Line 10 of the Assets page)

1 CUSIP Identification	2 Description	3 Code	4 NAIC Designation and Administrative Symbol	5 Fair Value	6 Book/Adjusted Carrying Value	7 Maturity Date
9999999 - Totals						XXX

NONE

General Interrogatories:

1. Total activity for the year Fair Value $ ..... Book/Adjusted Carrying Value $ .....
2. Average balance for the year Fair Value $ ..... Book/Adjusted Carrying Value $ .....

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1	2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					6	7	8	
Depository	Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date	First Month	Second Month	Third Month	*
Bank of America 101 S. Tryon Street, 19th Floor, Charlotte, NC 28255		0.000	0	0	1,962,653	1,914,946	1,537,981	XXX
JP Morgan Chase 4 New York Plaza, 13th Floor, New York, NY 10004		0.000	0	0	2,598,061	5,860,452	13,482,575	XXX
0199998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX						XXX
0199999. Totals - Open Depositories	XXX	XXX	0	0	4,560,714	7,775,398	15,020,556	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	0	0	4,560,714	7,775,398	15,020,556	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX				XXX
0599999. Total - Cash	XXX	XXX	0	0	4,560,714	7,775,398	15,020,556	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year
0599999. Total - U.S. Government Bonds						0	0	0
1099999. Total - All Other Government Bonds						0	0	0
1799999. Total - U.S. States, Territories and Possessions Bonds						0	0	0
2499999. Total - U.S. Political Subdivisions Bonds						0	0	0
3199999. Total - U.S. Special Revenues Bonds						0	0	0
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds						0	0	0
4899999. Total - Hybrid Securities						0	0	0
5599999. Total - Parent, Subsidiaries and Affiliates Bonds						0	0	0
6099999. Subtotal - SVO Identified Funds						0	0	0
6599999. Subtotal - Unaffiliated Bank Loans						0	0	0
7699999. Total - Issuer Obligations						0	0	0
7799999. Total - Residential Mortgage-Backed Securities						0	0	0
7899999. Total - Commercial Mortgage-Backed Securities						0	0	0
7999999. Total - Other Loan-Backed and Structured Securities						0	0	0
8099999. Total - SVO Identified Funds						0	0	0
8199999. Total - Affiliated Bank Loans						0	0	0
8299999. Total - Unaffiliated Bank Loans						0	0	0
8399999. Total Bonds						0	0	0
25160K-20-7	DEUTSCHE GOVT MMKT SER		06/26/2020	0.000		17,000,000	0	0
8699999. Subtotal - All Other Money Market Mutual Funds						17,000,000	0	0
8899999 - Total Cash Equivalents						17,000,000	0	0