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HEALTH QUARTERLY STATEMENT

AS OF JUNE 30, 2020

OF THE CONDITION AND AFFAIRS OF THE

Arcadian Health Plan, Inc.

NAIC Group Code 0119 (Current) 0119 (Prior) NAIC Company Code 12151 Employer's ID Number 20-1001348

Organized under the Laws of Washington, State of Domicile or Port of Entry WA

Country of Domicile United States of America

Licensed as business type: Health Maintenance Organization

Is HMO Federally Qualified? Yes [X] No [ ]

Incorporated/Organized 04/06/2004 Commenced Business 01/01/2005

Statutory Home Office 300 Deschutes Way SW, Suite 304 (Street and Number), Tumwater, WA, US 98501 (City or Town, State, Country and Zip Code)

Main Administrative Office 500 West Main Street (Street and Number)
Louisville, KY, US 40202 (City or Town, State, Country and Zip Code), 502-580-1000 (Area Code) (Telephone Number)

Mail Address P.O. Box 740036 (Street and Number or P.O. Box), Louisville, KY, US 40201-7436 (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 500 West Main Street (Street and Number)
Louisville, KY, US 40202 (City or Town, State, Country and Zip Code), 502-580-1000 (Area Code) (Telephone Number)

Internet Website Address www.humana.com

Statutory Statement Contact Amanda Nethery (Name), 502-580-1624 (Area Code) (Telephone Number)
DOIINQUIRIES@humana.com (E-mail Address), 502-580-2099 (FAX Number)

OFFICERS

President	Bruce Dale Broussard	Chief Financial Officer	Elizabeth Lisa Ferrari #
Associate VP, Asst Gen Counsel & Corporate Secretary	Joseph Matthew Ruschell	SVP, Chief Actuary	Vanessa Marie Olson

OTHER

Alan James Bailey, VP & Treasurer	Ricky Howard Beavin, Chief Executive Officer	Andrew Joseph Besendorf III, Appointed Actuary
Courtney Danielle Durall, Assistant Corporate Secretary and Legal Advisor	Jeffrey Carl Fernandez, SVP, Medicare West and MarketPOINT	Christopher Howal Hunter, Segment President, Group & Military Business
Brian Andrew Kane, Executive VP, Finance	Steven Edward McCulley, SVP, Medicare	Matthew George Moore #, Regional President
Sean Joseph O'Reilly, SVP, Enterprise Compliance & Chief Compliance Officer	William Mark Preston, VP, Investments	Richard Donald Remmers, SVP, Employer Group Sales
George Renaudin II, SVP, Medicare East & Provider	Donald Hank Robinson, SVP, Tax	Gilbert Alan Stewart, SVP, Medicare Divisional Leader
Daniel Andrew Tufto, SVP, Medicare Divisional Leader	Richard Andrew Vollmer, SVP, Medicare Divisional Leader	

DIRECTORS OR TRUSTEES

Ricky Howard Beavin	Bruce Dale Broussard	Brian Andrew Kane

State of Kentucky
County of Jefferson SS:

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Bruce D Broussard		Alan J. Bailey
Bruce Dale Broussard President	Joseph Matthew Ruschell Corporate Secretary	Alan James Bailey VP & Treasurer

Subscribed and sworn to before me this 8th day of August, 2020

Julia Wentworth
Julia Wentworth
Notary Public
January 10, 2021

a. Is this an original filing? ..... Yes [X] No [ ]
b. If no,
1. State the amendment number.....
2. Date filed .....
3. Number of pages attached.....

JULIA WENTWORTH NOTARY PUBLIC STATE AT LARGE, KENTUCKY

Julia Wentworth
Notary Public
State at Large
Kentucky

ASSETS

	Current Statement Date 1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 December 31 Prior Year Net Admitted Assets
1. Bonds	886,633,588	0	886,633,588	813,908,651
2. Stocks:				
2.1 Preferred stocks	0	0	0	0
2.2 Common stocks	0	0	0	0
3. Mortgage loans on real estate:				
3.1 First liens	0	0	0	0
3.2 Other than first liens	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less $ 0 encumbrances)	0	0	0	0
4.2 Properties held for the production of income (less $ 0 encumbrances)	0	0	0	0
4.3 Properties held for sale (less $ 0 encumbrances)	0	0	0	0
5. Cash ($ 1,348,306), cash equivalents ($ 338,401,965) and short-term investments ($ 167,050,332)	506,800,603	0	506,800,603	272,097,911
6. Contract loans (including $ 0 premium notes)	0	0	0	0
7. Derivatives	0	0	0	0
8. Other invested assets	0	0	0	0
9. Receivables for securities	20,251	0	20,251	0
10. Securities lending reinvested collateral assets	0	0	0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	1,393,454,441	0	1,393,454,441	1,086,006,562
13. Title plants less $ 0 charged off (for Title insurers only)	0	0	0	0
14. Investment income due and accrued	4,734,020	0	4,734,020	4,411,674
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	134,792,327	1,483,318	133,309,009	680,504
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including $ 0 earned but unbilled premiums)	0	0	0	0
15.3 Accrued retrospective premiums ($ 24,310,556) and contracts subject to redetermination ($ 39,106,444)	63,416,999	0	63,416,999	72,949,766
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	0	0	0	0
16.2 Funds held by or deposited with reinsured companies	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts	0	0	0	0
17. Amounts receivable relating to uninsured plans	15,775,703	0	15,775,703	3,693,675
18.1 Current federal and foreign income tax recoverable and interest thereon	0	0	0	0
18.2 Net deferred tax asset	8,218,330	533,732	7,684,598	7,684,598
19. Guaranty funds receivable or on deposit	0	0	0	0
20. Electronic data processing equipment and software	0	0	0	0
21. Furniture and equipment, including health care delivery assets ($ 0)	0	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates	0	0	0	0
24. Health care ($ 131,655,668) and other amounts receivable	138,363,116	6,707,343	131,655,773	71,425,951
25. Aggregate write-ins for other than invested assets	33,240,665	33,240,665	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	1,791,995,601	41,965,057	1,750,030,544	1,246,852,729
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0	0
28. Total (Lines 26 and 27)	1,791,995,601	41,965,057	1,750,030,544	1,246,852,729
DETAILS OF WRITE-INS				
1101.	0	0	0	0
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Prepaid Commissions	26,720,519	26,720,519	0	0
2502. Provider Contracts	5,902,667	5,902,667	0	0
2503. Deposits	607,533	607,533	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page	9,946	9,946	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	33,240,665	33,240,665	0	0

LIABILITIES, CAPITAL AND SURPLUS

		Current Period			Prior Year
		1 Covered	2 Uncovered	3 Total	4 Total
1.	Claims unpaid (less $ 0 reinsurance ceded)	554,188,783	24,773,187	578,961,970	377,711,201
2.	Accrued medical incentive pool and bonus amounts	67,772,376	0	67,772,376	61,497,322
3.	Unpaid claims adjustment expenses	3,068,168	0	3,068,168	2,240,380
4.	Aggregate health policy reserves, including the liability of $ 0 for medical loss ratio rebate per the Public Health Service Act	15,992,955	0	15,992,955	15,257,099
5.	Aggregate life policy reserves	0	0	0	0
6.	Property/casualty unearned premium reserve	0	0	0	0
7.	Aggregate health claim reserves	0	0	0	0
8.	Premiums received in advance	944,322	0	944,322	1,465,341
9.	General expenses due or accrued	100,671,633	0	100,671,633	12,406,889
10.1	Current federal and foreign income tax payable and interest thereon (including $ 164,140 on realized gains (losses))	30,654,182	0	30,654,182	4,838,358
10.2	Net deferred tax liability	0	0	0	0
11.	Ceded reinsurance premiums payable	0	0	0	0
12.	Amounts withheld or retained for the account of others	403	0	403	1,164
13.	Remittances and items not allocated	1,392,249	0	1,392,249	1,072,548
14.	Borrowed money (including $ 0 current) and interest thereon $ 0 (including $ 0 current)	0	0	0	0
15.	Amounts due to parent, subsidiaries and affiliates	70,740,800	0	70,740,800	29,508,946
16.	Derivatives	0	0	0	0
17.	Payable for securities	0	0	0	0
18.	Payable for securities lending	0	0	0	0
19.	Funds held under reinsurance treaties (with $ 0 authorized reinsurers, $ 0 unauthorized reinsurers and $ 0 certified reinsurers)	0	0	0	0
20.	Reinsurance in unauthorized and certified ($ 0) companies	0	0	0	0
21.	Net adjustments in assets and liabilities due to foreign exchange rates	0	0	0	0
22.	Liability for amounts held under uninsured plans	81,507,010	0	81,507,010	24,009,853
23.	Aggregate write-ins for other liabilities (including $ 1,481 current)	370,724	0	370,724	75,190
24.	Total liabilities (Lines 1 to 23)	927,303,605	24,773,187	952,076,792	530,084,291
25.	Aggregate write-ins for special surplus funds	XXX	XXX	0	86,545,504
26.	Common capital stock	XXX	XXX	1,000,020	1,000,020
27.	Preferred capital stock	XXX	XXX	0	0
28.	Gross paid in and contributed surplus	XXX	XXX	624,630,733	594,630,733
29.	Surplus notes	XXX	XXX	0	0
30.	Aggregate write-ins for other than special surplus funds	XXX	XXX	0	0
31.	Unassigned funds (surplus)	XXX	XXX	172,322,999	34,592,181
32.	Less treasury stock, at cost:				
	32.1 0 shares common (value included in Line 26 $ 0)	XXX	XXX	0	0
	32.2 0 shares preferred (value included in Line 27 $ 0)	XXX	XXX	0	0
33.	Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	797,953,752	716,768,438
34.	Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	1,750,030,544	1,246,852,729
	DETAILS OF WRITE-INS				
2301.	Unclaimed Property	370,724	0	370,724	75,190
2302.					
2303.					
2398.	Summary of remaining write-ins for Line 23 from overflow page	0	0	0	0
2399.	Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	370,724	0	370,724	75,190
2501.	Special Surplus - Projected HCRL Assessment for the Upcoming Year	XXX	XXX	0	86,545,504
2502.		XXX	XXX		
2503.		XXX	XXX		
2598.	Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599.	Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	XXX	XXX	0	86,545,504
3001.	_	XXX	XXX	0	0
3002.		XXX	XXX		
3003.		XXX	XXX		
3098.	Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099.	Totals (Lines 3001 through 3003 plus 3098)(Line 30 above)	XXX	XXX	0	0

STATEMENT OF REVENUE AND EXPENSES

		Current Year To Date		Prior Year To Date	Prior Year Ended December 31
		1 Uncovered	2 Total	3 Total	4 Total
1.	Member Months	XXX	2,532,762	2,176,558	4,461,256
2.	Net premium income (including $ 0 non-health premium income)	XXX	2,728,269,490	2,145,025,647	4,345,827,532
3.	Change in unearned premium reserves and reserve for rate credits	XXX	0	0	0
4.	Fee-for-service (net of $ 0 medical expenses)	XXX	0	0	0
5.	Risk revenue	XXX	0	0	0
6.	Aggregate write-ins for other health care related revenues	XXX	0	0	0
7.	Aggregate write-ins for other non-health revenues	XXX	0	0	0
8.	Total revenues (Lines 2 to 7)	XXX	2,728,269,490	2,145,025,647	4,345,827,532
	Hospital and Medical:				
9.	Hospital/medical benefits	81,679,870	1,908,885,765	1,542,609,390	3,174,589,592
10.	Other professional services	3,888,979	90,887,382	75,494,396	153,707,788
11.	Outside referrals	0	0	0	0
12.	Emergency room and out-of-area	1,865,482	43,597,256	39,742,089	84,647,446
13.	Prescription drugs	7,459,673	174,334,342	123,715,877	200,477,858
14.	Aggregate write-ins for other hospital and medical	0	0	0	0
15.	Incentive pool, withhold adjustments and bonus amounts	0	46,790,763	31,554,257	57,651,351
16.	Subtotal (Lines 9 to 15)	94,894,004	2,264,495,509	1,813,116,009	3,671,074,034
	Less:				
17.	Net reinsurance recoveries	0	0	0	0
18.	Total hospital and medical (Lines 16 minus 17)	94,894,004	2,264,495,509	1,813,116,009	3,671,074,034
19.	Non-health claims (net)	0	0	0	0
20.	Claims adjustment expenses, including $ 60,564,830 cost containment expenses	0	76,190,465	67,382,933	142,602,865
21.	General administrative expenses	0	294,666,216	164,442,940	393,467,927
22.	Increase in reserves for life and accident and health contracts (including $ 0 increase in reserves for life only)	0	0	0	0
23.	Total underwriting deductions (Lines 18 through 22)	94,894,004	2,635,352,189	2,044,941,882	4,207,144,826
24.	Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	92,917,301	100,083,765	138,682,706
25.	Net investment income earned	0	13,759,973	13,881,594	30,778,918
26.	Net realized capital gains (losses) less capital gains tax of $ 730,759	0	2,749,047	1,421,498	2,408,901
27.	Net investment gains (losses) (Lines 25 plus 26)	0	16,509,021	15,303,092	33,187,819
28.	Net gain or (loss) from agents' or premium balances charged off [(amount recovered $ 0) (amount charged off $ 0)]	0	0	0	0
29.	Aggregate write-ins for other income or expenses	0	39	81	90
30.	Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	109,426,361	115,386,938	171,870,615
31.	Federal and foreign income taxes incurred	XXX	39,259,384	22,400,836	37,393,705
32.	Net income (loss) (Lines 30 minus 31)	XXX	70,166,977	92,986,102	134,476,909
	DETAILS OF WRITE-INS				
0601.		XXX	0	0	0
0602.		XXX	0	0	0
0603.		XXX			
0698.	Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0	0
0699.	Totals (Lines 0601 through 0603 plus 0698)(Line 6 above)	XXX	0	0	0
0701.		XXX			
0702.		XXX			
0703.		XXX			
0798.	Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0	0
0799.	Totals (Lines 0701 through 0703 plus 0798)(Line 7 above)	XXX	0	0	0
1401.					
1402.					
1403					
1498.	Summary of remaining write-ins for Line 14 from overflow page	0	0	0	0
1499.	Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	0	0	0	0
2901.	Miscellaneous Income	0	39	81	90
2902.					
2903					
2998.	Summary of remaining write-ins for Line 29 from overflow page	0	0	0	0
2999.	Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	39	81	90

STATEMENT OF REVENUE AND EXPENSES (Continued)

		1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
	CAPITAL AND SURPLUS ACCOUNT			
33.	Capital and surplus prior reporting year	716,768,438	511,484,723	511,484,723
34.	Net income or (loss) from Line 32	70,166,977	92,986,102	134,476,909
35.	Change in valuation basis of aggregate policy and claim reserves	0	0	0
36.	Change in net unrealized capital gains (losses) less capital gains tax of $ 0	(598,682)	1,765,146	1,977,196
37.	Change in net unrealized foreign exchange capital gain or (loss)	0	0	0
38.	Change in net deferred income tax	0	0	2,816,657
39.	Change in nonadmitted assets	(18,382,981)	(14,244,048)	(4,209,897)
40	Change in unauthorized and certified reinsurance	0	0	0
41.	Change in treasury stock	0	0	0
42.	Change in surplus notes	0	0	0
43.	Cumulative effect of changes in accounting principles	0	0	0
44.	Capital Changes:			
	44.1 Paid in	0	0	0
	44.2 Transferred from surplus (Stock Dividend)	0	0	0
	44.3 Transferred to surplus	0	0	0
45.	Surplus adjustments:			
	45.1 Paid in	30,000,000	70,000,000	70,222,850
	45.2 Transferred to capital (Stock Dividend)	0	0	0
	45.3 Transferred from capital	0	0	0
46.	Dividends to stockholders	0	0	0
47.	Aggregate write-ins for gains or (losses) in surplus	0	0	0
48.	Net change in capital & surplus (Lines 34 to 47)	81,185,314	150,507,201	205,283,715
49.	Capital and surplus end of reporting period (Line 33 plus 48)	797,953,752	661,991,924	716,768,438
	DETAILS OF WRITE-INS			
4701.	_	0	0	0
4702.				
4703.				
4798.	Summary of remaining write-ins for Line 47 from overflow page	0	0	0
4799.	Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	0	0	0

CASH FLOW

		1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
	Cash from Operations			
1.	Premiums collected net of reinsurance	2,605,415,722	2,187,961,309	4,351,275,375
2.	Net investment income	15,817,645	14,462,500	32,702,761
3.	Miscellaneous income	0	0	0
4.	Total (Lines 1 to 3)	2,621,233,367	2,202,423,808	4,383,978,136
5.	Benefit and loss related payments	2,117,371,676	1,781,420,419	3,544,955,586
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0
7.	Commissions, expenses paid and aggregate write-ins for deductions	236,020,813	185,836,455	515,839,270
8.	Dividends paid to policyholders	0	0	0
9.	Federal and foreign income taxes paid (recovered) net of $ 830,710 tax on capital gains (losses)	14,174,319	16,781,889	39,814,424
10.	Total (Lines 5 through 9)	2,367,566,808	1,984,038,762	4,100,609,279
11.	Net cash from operations (Line 4 minus Line 10)	253,666,559	218,385,046	283,368,857
	Cash from Investments			
12.	Proceeds from investments sold, matured or repaid:			
	12.1 Bonds	211,807,919	286,768,602	406,957,536
	12.2 Stocks	0	0	0
	12.3 Mortgage loans	0	0	0
	12.4 Real estate	0	0	0
	12.5 Other invested assets	0	0	0
	12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	16,056	541	541
	12.7 Miscellaneous proceeds	0	35	0
	12.8 Total investment proceeds (Lines 12.1 to 12.7)	211,823,975	286,769,178	406,958,078
13.	Cost of investments acquired (long-term only):			
	13.1 Bonds	284,047,804	531,947,572	711,824,925
	13.2 Stocks	0	0	0
	13.3 Mortgage loans	0	0	0
	13.4 Real estate	0	0	0
	13.5 Other invested assets	0	0	0
	13.6 Miscellaneous applications	20,251	1,805,000	0
	13.7 Total investments acquired (Lines 13.1 to 13.6)	284,068,055	533,752,572	711,824,925
14.	Net increase (or decrease) in contract loans and premium notes	0	0	0
15.	Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(72,244,080)	(246,983,395)	(304,866,847)
	Cash from Financing and Miscellaneous Sources			
16.	Cash provided (applied):			
	16.1 Surplus notes, capital notes	0	0	0
	16.2 Capital and paid in surplus, less treasury stock	30,000,000	70,000,000	70,000,000
	16.3 Borrowed funds	0	0	0
	16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
	16.5 Dividends to stockholders	0	0	0
	16.6 Other cash provided (applied)	23,280,213	22,090,562	55,359,246
17.	Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	53,280,213	92,090,563	125,359,246
	RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18.	Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	234,702,692	63,492,214	103,861,256
19.	Cash, cash equivalents and short-term investments:			
	19.1 Beginning of year	272,097,911	168,236,655	168,236,655
	19.2 End of period (Line 18 plus Line 19.1)	506,800,603	231,728,869	272,097,911

Note: Supplemental disclosures of cash flow information for non-cash transactions:

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10
	Total	2 Individual	3 Group	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other
Total Members at end of:										
1. Prior Year	385,108	0	0	0	0	0	0	385,108	0	0
2. First Quarter	421,276	0	0	0	0	0	0	421,276	0	0
3. Second Quarter	428,914	0	0	0	0	0	0	428,914	0	0
4. Third Quarter	0	0	0	0	0	0	0	0	0	0
5. Current Year	0	0	0	0	0	0	0	0	0	0
6. Current Year Member Months	2,532,762	0	0	0	0	0	0	2,532,762	0	0
Total Member Ambulatory Encounters for Period:										
7 Physician	3,692,711	0	0	0	0	0	0	3,692,711	0	0
8. Non-Physician	2,047,486	0	0	0	0	0	0	2,047,486	0	0
9. Total	5,740,197	0	0	0	0	0	0	5,740,197	0	0
10. Hospital Patient Days Incurred	463,451	0	0	0	0	0	0	463,451	0	0
11. Number of Inpatient Admissions	49,948	0	0	0	0	0	0	49,948	0	0
12. Health Premiums Written (a)	2,728,269,490	0	0	0	0	0	0	2,728,269,490	0	0
13. Life Premiums Direct	0	0	0	0	0	0	0	0	0	0
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	0
15. Health Premiums Earned	2,728,269,490	0	0	0	0	0	0	2,728,269,490	0	0
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	0
17. Amount Paid for Provision of Health Care Services	2,117,371,347	0	0	0	0	0	0	2,117,371,347	0	0
18. Amount Incurred for Provision of Health Care Services	2,264,495,509	0	0	0	0	0	0	2,264,495,509	0	0

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees $ 2,728,269,490

STATEMENT AS OF JUNE 30, 2020 OF THE Arcadian Health Plan, Inc.

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

Aging Analysis of Unpaid Claims

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 Days	6 Over 120 Days	7 Total
Claims Unpaid (Reported)						
0299999 Aggregate accounts not individually listed-uncovered	3,879,992	947,004	96,827	25,631	5,184	4,954,638
0399999 Aggregate accounts not individually listed-covered	36,870,444	8,999,103	920,119	243,563	49,255	47,082,484
0499999 Subtotals	40,750,436	9,946,107	1,016,946	269,194	54,439	52,037,122
0599999 Unreported claims and other claim reserves						526,924,848
0699999 Total amounts withheld						0
0799999 Total claims unpaid						578,961,970
0899999 Accrued medical incentive pool and bonus amounts						67,772,376

UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5	6
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year	Claims Incurred in Prior Years (Columns 1 + 3)	Estimated Claim Reserve and Claim Liability December 31 of Prior Year
1. Comprehensive (hospital and medical)	0	0	0	0	0	0
2. Medicare Supplement	0	0	0	0	0	0
3. Dental Only	0	0	0	0	0	0
4. Vision Only	0	0	0	0	0	0
5. Federal Employees Health Benefits Plan	0	0	0	0	0	0
6. Title XVIII - Medicare	308,844,463	1,768,011,174	16,257,587	562,704,382	325,102,051	377,711,201
7 Title XIX - Medicaid	0	0	0	0	0	0
8. Other health	0	0	0	0	0	0
9. Health subtotal (Lines 1 to 8)	308,844,463	1,768,011,174	16,257,587	562,704,382	325,102,051	377,711,201
10. Healthcare receivables (a)	0	138,363,010	0	0	0	77,961,349
11. Other non-health	0	0	0	0	0	0
12. Medical incentive pools and bonus amounts	40,515,709	0	13,370,517	54,401,859	53,886,226	61,497,322
13. Totals (Lines 9-10+11+12)	349,360,172	1,629,648,164	29,628,104	617,106,241	378,988,277	361,247,174

(a) Excludes $ 0 loans or advances to providers not yet expensed.

NOTES TO THE FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The financial statements of the Company are presented on the basis of accounting practices prescribed or permitted by the Washington Office of Insurance.

The Washington Office of Insurance (the Office) recognizes only statutory accounting practices prescribed or permitted by the State of Washington for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Washington Insurance Law. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures manual (NAIC SSAP) has been adopted as a component of prescribed or permitted practices by the State of Washington. The Commissioner of Insurance has the right to permit other specific practices that deviate from prescribed practices. No deviations from the Codification currently exist.

A reconciliation of the Company's net income and capital and surplus between NAIC SSAP and practices prescribed and permitted by the State of Washington is shown below:

	SSAP #	F/S Page	F/S Line #	2020	2019
Net Income					
1. Arcadian Health Plan, Inc. Washington basis	xxx	xxx	xxx	$ 70,166,977	$ 134,476,909
2. State Prescribed Practices that is an increase/(decrease) NAIC SSAP				-	-
3. State Permitted Practices that is an increase/(decrease) NAIC SSAP				-	-
4. NAIC SSAP	xxx	xxx	xxx	$ 70,166,977	$ 134,476,909
Surplus					
5. Arcadian Health Plan, Inc. Washington basis	xxx	xxx	xxx	$ 797,953,752	$ 716,768,438
6. State Prescribed Practices that is an increase/(decrease) NAIC SSAP				-	-
7. State Permitted Practices that is an increase/(decrease) NAIC SSAP				-	-
8. NAIC SSAP	xxx	xxx	xxx	$ 797,953,752	$ 716,768,438

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. These estimates are based on knowledge of current events and anticipated future events, and accordingly, actual results could differ from those estimates.

C. Accounting Policy

Premiums are reported as earned in the period in which members are entitled to receive services, and are net of retroactive membership adjustments. Retroactive membership adjustments result from enrollment changes not yet processed, or not yet reported by an employer group or the government. Premiums received prior to such period are recorded as advance premiums.

Benefits incurred and loss adjustment expenses include claim payments, capitation payments, pharmacy costs net of rebates, allocations of certain centralized expenses, legal and administrative costs to settle claims, and various other costs incurred to provide health insurance coverage to members, as well as estimates of future payments to hospitals and others for medical care provided prior to the date of the statements of admitted assets, liabilities and surplus. Capitation payments represent monthly contractual fees disbursed to participating primary care physicians, and other providers who are responsible for providing medical care to members. Pharmacy costs represent payments for members' prescription drug benefits, net of rebates from drug manufacturers.

In addition, the Company uses the following accounting policies:

(1) Short-term investments include investments mainly in U.S. Government obligations with a maturity of twelve months or less from the date of purchase. Short-term investments are recorded at amortized cost. The carrying value of short-term investments approximates fair value due to the short-term maturities of the investments.

(2-4) Investments are valued and classified in accordance with methods prescribed by the NAIC. Bonds with an NAIC rating of 1 or 2 are carried at amortized cost, with all other bonds being recorded at the lower of amortized cost or fair value; redeemable preferred stocks are carried at amortized cost; and non-redeemable preferred stocks are carried at fair value. Common stocks are carried at fair value.

The Company regularly evaluates investment securities for impairment. For all securities other than loan-backed and structured securities, the Company considers factors affecting the investee, factors affecting the industry the investee operates within, and general debt and equity market trends. The Company also considers the length of time an investment's fair value has been below carrying value, the near term prospects for recovery to carrying value, and the Company's intent and ability to hold the investment until maturity or market recovery is realized. If and when a determination is made that a decline in fair value below the cost basis is other-than-temporary, the related investment is written down to its estimated fair value through earnings.

Amortization of bond premium or discount is computed using the scientific interest method.

NOTES TO THE FINANCIAL STATEMENTS

Income from investments is recorded on an accrual basis. For the purpose of determining realized gains and losses, the cost of securities sold is based upon specific identification. Investment income due and accrued over 90 days past due is nonadmitted.

(5) Not Applicable.

(6) For loan backed and structured securities where the securities fair value is less than the amortized cost, the Company considers several factors to determine if the security's impairment is other-than-temporary. If the Company has the intent to sell the security or if the Company does not have the intent and ability to retain the security until recovery of its fair value, the related investment is written down to its estimated fair value through earnings. If, however, the Company has the intent and ability to retain the security until recovery of its fair value, the Company considers factors affecting the investee, factors affecting the industry the investee operates within, and general debt and equity market trends. The Company also considers the length of time an investment's fair value has been below carrying value and the near term prospects for recovery to carrying value. If the determination is made, based on these factors, that the Company does expect to recover the entire amortized cost of the security, then an other-than-temporary impairment has not occurred. If, however, the determination is made that the Company does not expect to recover the entire amortized cost of the security based on the factors noted above, the Company recognizes a realized loss in earnings for the non-interest related decline. No loss is recognized for the interest impairment.

(7) Not Applicable.

(8) Not Applicable.

(9) Not Applicable.

(10-11) The estimates of future medical benefit payments are developed using actuarial methods and assumptions based upon claim payment patterns, medical cost inflation, historical development such as claim inventory levels and claim receipt patterns, and other relevant factors. Corresponding administrative costs to process outstanding claims are estimated and accrued. Estimates of future payments relating to services incurred in the current and prior periods are continually reviewed by management and adjusted as necessary.

The Company assesses the profitability of its contracts for providing health insurance coverage to its members when current operating results or forecasts indicate probable future losses. The Company records a premium deficiency liability in current operations to the extent that the sum of expected future medical costs, claim adjustment expenses and maintenance costs exceed related future premiums. Investment income is not contemplated in the calculation of the premium deficiency liability.

Management believes the Company's benefits payable and loss adjustment expense are adequate to cover future claims and loss adjustment expense payments required, however, such estimates are based on knowledge of current events and anticipated future events and, therefore, the actual liability could differ from the amounts provided.

(12) The Company has not modified its capitalization policy from the prior period.

Equipment is stated at cost less accumulated depreciation. Depreciation expense is computed using the straight-line method over estimated useful lives generally ranging from three to five years. Improvements to leased facilities are depreciated over the shorter of the remaining lease term or the anticipated life of the improvement.

The Company recognizes an asset or liability for the deferred tax consequences of temporary differences between the tax basis of assets or liabilities and their reported amounts in the financial statements. The temporary differences will result in taxable or deductible amounts in future years when the reported amounts of the assets or liabilities are recovered or settled.

(13) The Company estimates anticipated Pharmacy Rebate Receivables using the analysis of historical recovery patterns.

(14) Not Applicable.

(15) Not Applicable.

D. Going Concern

Management of the Company has evaluated the Company's ability to continue as a going concern under SSAP No. 1, *Accounting Policies, Risks & Uncertainties, and Other Disclosures* (SSAP No. 1). Based on this evaluation, Management has determined that there is no substantial doubt about the Company's ability to continue as a going concern.

2. Accounting Changes and Corrections of Errors

Not Applicable.

3. Business Combinations and Goodwill

A. Statutory Purchase Method

Not Applicable.

B. Statutory Merger

Not Applicable.

NOTES TO THE FINANCIAL STATEMENTS

C. Assumption Reinsurance

Not Applicable.

D. Impairment Loss

Not Applicable.

4. Discontinued Operations

Not Applicable.

5. Investments

A. Mortgage Loans, Including Mezzanine Real Estate Loans

Not Applicable.

B. Debt Restructuring

Not Applicable.

C. Reverse Mortgages

Not Applicable.

D. Loan-Backed Securities

(1) Prepayment assumptions for mortgage-backed/loan-backed and structured securities were obtained from industry market sources.

(2) Not Applicable.

(3) Not Applicable.

(4) The Company does not have any investments in an other-than-temporary impairment position at June 30, 2020.

Gross unrealized losses and related fair value of temporarily impaired securities that have been in a continuous unrealized loss position were as follows at June 30, 2020:

(a) The aggregate amount of unrealized losses:

1.	Less than Twelve Months	$	(501,136)
2.	Twelve Months or Longer	$	(1,121,096)

(b) The aggregate related fair value of securities with unrealized losses:

1.	Less than Twelve Months	$	33,363,463
2.	Twelve Months or Longer	$	74,878,714

(5) Unrealized losses are primarily due to increases in market interest rates and tighter liquidity conditions in the current markets than when the securities were purchased. All issuers of securities trading at an unrealized loss remain current on all contractual payments and the Company believes it is probable that all amounts due according to the contractual terms of the debt securities are collectible. After taking into account these and other factors, including the severity of the decline and the Company's ability and intent to hold these securities until recovery or maturity, the Company determined the unrealized losses on these investment securities were temporary and, as such, no impairment was required.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

(1) The Company has no repurchase agreements or securities lending transactions.

(2) The Company has not pledged any of its assets as collateral.

(3-7) Not Applicable.

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not Applicable.

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not Applicable.

H. Repurchase Agreements Transactions Accounted for as a Sale

Not Applicable.

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

NOTES TO THE FINANCIAL STATEMENTS

Not Applicable.

J. Real Estate

Not Applicable.

K. Low-Income Housing Tax Credits (LIHTC)

Not Applicable.

L. Restricted Assets

(1) Restricted Assets (Including Pledged)

	1	2	3	4	5	6	7
Restricted Asset Category	Total Gross (Admitted & Nonadmitted) Restricted from Current Year	Total Gross (Admitted & Nonadmitted) Restricted from Prior Year	Increase/ (Decrease) (1 minus 2)	Total Current Year Nonadmitted Restricted	Total Current Year Admitted Restricted (1 minus 4)	Percentage Gross (Admitted & Nonadmitted) Restricted to Total Assets (a)	Percentage Admitted Restricted to Total Admitted Assets (b)
a. Subject to contractual obligation for which liability is not shown	$ -	$ -	$ -	$ -	$ -	-%	-%
b. Collateral held under security lending agreements	-	-	-	-	-	-	-
c. Subject to repurchase agreements	-	-	-	-	-	-	-
d. Subject to reverse repurchase agreements	-	-	-	-	-	-	-
e. Subject to dollar repurchase agreements	-	-	-	-	-	-	-
f. Subject to dollar reverse repurchase agreements	-	-	-	-	-	-	-
g. Placed under option contracts	-	-	-	-	-	-	-
h. Letter stock or securities restricted to sale – excluding FHLB capital stock	-	-	-	-	-	-	-
i. FHLB capital stock	-	-	-	-	-	-	-
j. On deposit with states	11,258,473	12,318,518	(1,060,045)	-	11,258,473	0.63%	0.64%
k. On deposit with other regulatory bodies	-	-	-	-	-	-	-
l. Pledged collateral to FHLB (including assets backing funding agreements)	-	-	-	-	-	-	-
m. Pledged as collateral not captured in other categories	-	-	-	-	-	-	-
n. Other restricted assets	-	-	-	-	-	-	-
o. Total Restricted Assets	$ 11,258,473	$ 12,318,518	$ (1,060,045)	-	$ 11,258,473	0.63%	0.64%

(2) Detail of Assets Pledged as Collateral Not Captured in Other Categories (Contracts that Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Not Applicable.

(3) Detail of Other Restricted Assets Categories (Contracts that Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Not Applicable.

(4) Collateral Received and Reflected as Assets Within the Reporting Entity's Financial Statements

Not Applicable.

M. Working Capital Finance Investments

Not Applicable.

N. Offsetting and Netting of Assets and Liabilities

Not Applicable.

O. 5GI* Securities

Not Applicable.

P. Short Sales

Not Applicable.

NOTES TO THE FINANCIAL STATEMENTS

Q. Prepayment Penalty and Acceleration Fees

Not Applicable.

6. Joint Ventures, Partnerships and Limited Liability Companies

A. The Company has no investments in Joint Ventures, Partnerships or Limited Liability Companies that exceed 10.0 percent of its admitted assets.

B. The Company did not recognize any impairment write down for its investments in Joint Ventures, Partnerships and Limited Liability Companies during the statement periods.

7. Investment Income

A. Due and accrued income was excluded from surplus on the following basis:

All investment income due and accrued with amounts that are over 90 days past due with the exception of mortgage loans in default.

B. The total amount excluded was $0.

8. Derivative Instruments

Not Applicable.

9. Income Taxes

No material change since year-end December 31, 2019.

10. Information Concerning Parent, Subsidiaries and Affiliates

A.-F. The Company has several management contracts with Humana Inc. and other related parties whereby the Company is provided with medical and executive management, information systems, claims processing, billing and enrollment, and telemarketing and other services as required by the Company. Management fees charged to operations for the years ended December 31, 2019 and 2018 were $423,633,092 and $332,371,017, respectively. As a part of this agreement, Humana Inc. makes cash disbursements on behalf of the Company which includes, but is not limited to, medical related items, general and administrative expenses, commissions and payroll. The Company continues to be primarily liable for any outstanding payments made on behalf of the Company, should Humana Inc. not be able to fulfill its obligations.

The Company has various related party agreements with no material change since year-end December 31, 2019.

No dividends or returns of capital were paid by the Company as of June 30, 2020.

The Company received a $30,000,000 capital contribution from Humana, Inc. on March 31, 2020.

At June 30, 2020, the Company reported $70,740,800 due to Humana Inc. Amounts due to or from parent are generally settled within 90 days.

G. All outstanding shares of the Company are owned by the Parent Company.

H. Not Applicable.

I. Not Applicable.

J. Not Applicable.

K. Not Applicable.

L. Not Applicable.

M. All SCA Investments

Not Applicable.

N. Investment in Insurance SCA

Not Applicable.

O. SCA Loss Tracking

Not Applicable.

11. Debt

A. Debt Including Capital Notes

The Company has no debentures outstanding.

The Company has no capital notes outstanding.

NOTES TO THE FINANCIAL STATEMENTS

The Company does not have any reverse repurchase agreements.

B. Federal Home Loan Bank (FHLB) Agreements

The Company does not have any FHLB agreements.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A.-D. Defined Benefit Plans

Not Applicable.

E. Defined Contribution Plans

Not Applicable.

F. Multiemployer Plans

Not Applicable.

G. Consolidated/Holding Company Plans

No material change since year-end December 31, 2019.

H. Postemployment Benefits and Compensated Absences

Not Applicable.

I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17)

Not Applicable.

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

(1) The Company has $16.667 par value common stock with 60,000 shares authorized and 60,000 shares issued and 60,000 outstanding. All shares are common stock shares.

(2) The Company has no preferred stock outstanding.

(3-5) Dividends and returns of capital to shareholders are noncumulative and are paid as determined by the Board of Directors. In accordance with the Office statutes, the maximum amount which can be paid by the Company to shareholders without prior approval by the Office is the lesser of 10% of total surplus, or the greater of net operating gain for the calendar year preceding the dividend or for the 3 calendar years preceding the dividend less dividends paid for the most recent 2 of those calendar years. All ordinary dividends are limited to available and accumulated surplus funds. Based on these restrictions, the Company can pay a maximum dividend or return of capital to shareholders of approximately $34,590,000 in 2020 without prior regulatory approval.

Within the limitations above, there are no restrictions placed on the portion of Company profits that may be paid as ordinary dividends to stockholders.

No dividends or returns of capital were paid by the Company as of June 30, 2020.

Dividends or returns of capital to shareholders paid by the Company are listed below.

(6) There were no restrictions placed on the Company's surplus, including for whom the surplus is being held.

(7) Not Applicable.

(8) Not Applicable.

(9) Changes in balances of special surplus funds from the prior year is due to the health insurance industry fee having been permanently repealed beginning calendar year 2021.

(10) The portion of unassigned funds (surplus) represented or reduced by cumulative unrealized gains and losses is $(598,682).

(11) Not Applicable.

(12) Not Applicable.

(13) Not Applicable.

14. Liabilities, Contingencies and Assessments

A. Contingent Commitments

Not Applicable.

B. Assessments

NOTES TO THE FINANCIAL STATEMENTS

Not Applicable.

C. Gain Contingencies

Not Applicable.

D. Claims related extra contractual obligation and bad faith losses stemming from lawsuits

Not Applicable.

E. Joint and Several Liabilities

Not Applicable.

F. All Other Contingencies

During the ordinary course of business, the Company is subject to pending and threatened legal actions. Management of the Company does not believe that any of these actions will have a material adverse effect on the Company's surplus, results of operations or cash flows. However, the likelihood or outcome of current or future legal proceedings cannot be accurately predicted, and they could adversely affect the Company's surplus, results of operations and cash flows.

The Company is not aware of any other material contingent liabilities as of June 30, 2020.

15. Leases

Not Applicable.

16. Information about Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

The Company has no investment in Financial Instruments with Off-Balance Sheet Risk or Concentrations of Credit Risk.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. Transfers of Receivables Reported as Sales

Not Applicable.

B. Transfer and Servicing of Financial Assets

Not Applicable.

C. Wash Sales

Not Applicable.

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

A. ASO Plans

Not Applicable.

B. ASC Plans

Not Applicable.

C. Medicare or Other Similarly Structured Cost Based Reimbursement Contract

(1) The Company records no revenue explicitly attributable to the cost share and reinsurance components of its Medicare or other similarly structured cost based reimbursement contracts.

(2) As of June 30, 2020, the Company has recorded a receivable from CMS of $15,775,703 related to the cost share and reinsurance components of administered Medicare products. The Company does not have any receivables greater than 10% of the Company's accounts receivable from uninsured accident and health plans or $10,000.

(3) As no revenue is recorded in connection with the cost share and reinsurance components of the Company's Medicare or other similarly structured cost based reimbursement contracts, the Company has recorded no allowances and reserves for adjustment of recorded revenues and receivables.

(4) The Company has made no adjustment to revenue resulting from audit of receivables related to revenues recorded in the prior period.

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Not Applicable.

NOTES TO THE FINANCIAL STATEMENTS

20. Fair Value Measurements

A. (1) The fair value of financial assets at June 30, 2020 were as follows:

	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Total
a. Assets at fair value					
Bonds					$ -
U.S. governments	$ -	$ -	$ -	$ -	
Tax-exempt municipal	-	-	-	-	-
Residential mortgage-backed	-	6,450	-	-	6,450
Corporate debt securities	-	28,289,822	-	-	28,289,822
Total bonds	-	28,296,272	-	-	28,296,272
Total assets at fair value/NAV	$ -	$ 28,296,272	$ -	$ -	$ 28,296,272
b. Liabilities at fair value	$ -	$ -	$ -	$ -	$ -
Total liabilities at fair value	$ -	$ -	$ -	$ -	$ -

The Company reports transfers between Level 1 and Level 2 of the fair value hierarchy levels at the end of the reporting period. There were no transfers between Level 1 and Level 2 of the fair value hierarchy between December 31, 2019 and June 30, 2020.

(2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy

Not Applicable.

(3) The Company reports transfers into or out of Level 3 of the fair value hierarchy levels at the end of the reporting period. There were no transfers into or out of Level 3 of the fair value hierarchy levels between December 31, 2019 and June 30, 2020.

(4) Fair value of actively traded debt securities are based on quoted market prices. Fair value of other debt securities are based on quoted market prices of identical or similar securities or based on observable inputs like interest rates generally using a market valuation approach, or, less frequently, an income valuation approach and are generally classified as Level 2. The Company generally obtains one quoted price for each security from a third party pricing service. These prices are generally derived from recently reported trades for identical or similar securities, including adjustments through the reporting date based upon observable market information. When quoted prices are not available, the third party pricing service may use quoted market prices of comparable securities or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include benchmark yields, reported trades, credit spreads, broker quotes, default rates and prepayment speeds. The Company is responsible for the determination of fair value and as such, the Company performs analysis on the prices received from the third party pricing service to determine whether the prices are reasonable estimates of fair value. The Company's analysis includes a review of monthly price fluctuations as well as a quarterly comparison of the prices received from the pricing service to prices reported by the Company's third party investment advisor. Based on the Company's internal price verification procedures and review of fair value methodology documentation provided by the third party pricing service, there were no material adjustments to the prices obtained from the third party pricing service during the quarter ended June 30, 2020.

(5) Derivative Fair Values

Not Applicable.

B. Other Fair Value Disclosures

Not Applicable.

C. Fair Values for All Financial Instruments by Levels 1, 2 and 3

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds, short-term investments and cash equivalents	$ 1,428,712,586	$ 1,392,085,885	$ 338,401,965	$ 1,090,310,621	$ -	$ -	$ -

D. Financial Instruments for which Not Practicable to Estimate Fair Values

Not Applicable.

21. Other Items

A. Extraordinary Items

The temporary deferral of non-essential care resulting from stay-at-home and physical distancing orders and other restrictions on movement and economic activity implemented throughout the country beginning the second half of March 2020 to reduce the spread of the novel coronavirus, or COVID-19 has impacted the Company's business. Hospital admissions and utilization were significantly depressed in April, increased throughout May and June, and remained modestly below normal historical levels at the close of the quarter. The impact of the deferral of nonessential care on the Company's second quarter operating results was partially offset by COVID-19 testing and treatment costs, as well as the Company's ongoing pandemic relief efforts.

NOTES TO THE FINANCIAL STATEMENTS

B. Troubled Debt Restructuring: Debtors

Not Applicable.

C. Other Disclosures and Unusual Items

Not Applicable.

D. Business Interruption Insurance Recoveries

Not Applicable.

E. State Transferable and Non-transferable Tax Credits

Not Applicable.

F. Subprime Mortgage Related Risk Exposure

(1) The Company consults with its external investment managers to assess its subprime mortgage related risk exposure. Certain characteristics are utilized to determine if a mortgage-backed security has subprime exposure. The main characteristics reviewed when determining this are the collateral and structure of the security, the loan purpose, loan documentation, occupancy, geographical location, loan size and type. Subprime mortgage borrowers typically have lower credit scores, lower loan balances and higher loan-to-values than other conforming loans. Management's practices include reviewing quantitative and qualitative credit models that analyze loan-level collateral composition, historical underwriter performance trends, the impact of macroeconomic factors, and issuer risks; as well as reviewing the estimation of security cash flows and monthly model calibrations.

(2) Direct exposure through investments in sub-prime mortgage loans.

The Company has no direct exposure through investment to sub-prime mortgage loans.

(3) Direct exposure through other investments:

a. Residential mortgage backed securities – No substantial exposure noted.
b. Commercial mortgage backed securities – No substantial exposure noted.
c. Collateralized debt obligations – No substantial exposure noted.
d. Structured securities – No substantial exposure noted.
e. Equity investment in SCAs – No substantial exposure noted.
f. Other assets – No substantial exposure noted.
g. Total – No substantial exposure noted.

(4) Underwriting exposure to sub-prime mortgage risk through Mortgage Guaranty coverage, Financial Guaranty coverage, Directors and Officers liability coverage, or Errors and Omissions liability coverage.

Not Applicable.

Classification of mortgage related securities is primarily based on information from outside data services, including rating agency actions. When considering our exposure, the Company evaluated the percentage of full documentation loans, percent of owner occupied properties, FICO scores, average margin for ARM loans, percent of loans with prepayment penalties, the existence of non-traditional underwriting standards, among other factors.

G. Retained Assets

Not Applicable.

H. Insurance Linked Securities

Not Applicable.

22. Events Subsequent

The Company is not aware of any events or transactions occurring subsequent to the close of the books for this statement which may have a material effect on its financial condition. Subsequent events have been considered through August 6, 2020 for the Statutory Statement issued on August 6, 2020.

23. Reinsurance

A. Ceded Reinsurance Report

Section 1 – General Interrogatories

(1) Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10.0 percent or controlled, either directly or indirectly, by the company or by any representative, officer, trustee, or director of the company?

Yes ( ) No (X)

(2) Have any policies issued by the company been reinsured with a company chartered in a country other than the United States (excluding U.S. Branches of such companies) that is owned in excess of 10.0 percent or controlled directly or indirectly by an insured, a beneficiary, a creditor or an insured or any other person not primarily engaged in the

insurance business?

Yes ( ) No (X)

Section 2 – Ceded Reinsurance Report – Part A

(1) Does the company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits?

Yes ( ) No (X)

(2) Does the reporting entity have any reinsurance agreements in effect such that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amounts that, in aggregate and allowing for offset of mutual credits from other reinsurance agreements with the same reinsurer, exceed the total direct premium collected under the reinsured policies?

Yes ( ) No (X)

Section 3 – Ceded Reinsurance Report – Part B

(1) What is the estimated amount of the aggregate reduction in surplus, (for agreements other than those under which the reinsurer may unilaterally cancel for reasons other than for nonpayment of premium or other similar credits that are reflected in Section 2 above) of termination of ALL reinsurance agreements, by either party, as of the date of this statement? Where necessary, the company may consider the current or anticipated experience of the business reinsured in making this estimate. $0

(2) Have any new agreements been executed or existing agreements amended, since January 1 of the year of this statement, to include policies or contracts that were in force or which had existing reserves established by the company as of the effective date of the agreement?

Yes ( ) No (X)

B. Uncollectible Reinsurance

Not Applicable.

C. Commutation of Ceded Reinsurance

Not Applicable.

D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation

Not Applicable.

24. Retrospectively Rated Contracts and Contracts Subject to Redetermination

A. The Company estimates accrued retrospective premium adjustments for its Medicare business through a mathematical approach using an algorithm based upon settlement procedures defined by contracts with CMS.

B. The Company records accrued retrospective premium as an adjustment to earned premiums.

C. The amount of net premiums written by the Company at June 30, 2020 that are subject to retrospective rating features was $2,728,269,490, or 100.00% of the total net premiums written. No other net premiums written by the Company are subject to retrospective rating features.

D. Medical loss ratio rebates required pursuant to the Public Health Service Act

Not Applicable.

E. Risk Sharing Provisions of the Affordable Care Act

Not Applicable.

25. Change in Incurred Claims and Claim Adjustment Expenses

Reserves as of December 31, 2019 were $379,951,581. As of June 30, 2020, $389,372,761 has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now $16,343,743 as a result of re-estimation of unpaid claims and claim adjustment expenses. Therefore, there has been a $25,764,923 unfavorable prior-year development since December 31, 2019. The increase is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased as additional information becomes known regarding individual claims. The amounts presented in this footnote exclude any impact related to Pharmacy Rebates and other Healthcare Receivable activity. Impact of such receivables can be seen in Footnote 28. The Company did not experience any material prior year claim development on retrospectively rated policies.

26. Intercompany Pooling Arrangements

Not Applicable.

27. Structured Settlements

The Company has no structured settlements.

NOTES TO THE FINANCIAL STATEMENTS

28. Health Care Receivables

A. Pharmaceutical Rebate Receivables

Quarter	Estimate Pharmacy Rebates as Reported on Financial Statements	Pharmacy Rebates as Billed or Otherwise Confirmed	Actual Rebates Received Within 90 Days of Billing	Actual Rebates Received Within 91 to 180 Days of Billing	Actual Rebates Received More than 181 Days after Billing
12/31/2020	$ -	$ -	$ -	$ -	$ -
9/30/2020	-	-	-	-	-
6/30/2020	134,630,331	134,630,331	-	-	-
3/31/2020	98,641,596	98,641,596	96,236,730	-	-
12/31/2019	73,283,351	73,283,351	72,896,071	-	-
9/30/2019	81,082,048	81,082,048	80,521,374	168,994	391,680
6/30/2019	119,518,755	119,518,755	118,016,591	388,230	1,113,934
3/31/2019	78,040,458	78,040,458	77,296,766	-	743,692
12/31/2018	56,761,098	56,761,098	56,106,624	273,710	160,334
9/30/2018	68,353,073	68,353,073	68,123,613	229,460	-
6/30/2018	82,118,478	82,118,478	81,769,886	348,592	-
3/31/2018	50,657,583	50,657,583	50,657,583	-	-

B. Risk Sharing Receivables

Not Applicable.

29. Participating Policies

The Company has no participating policies.

30. Premium Deficiency Reserves

Not Applicable.

31. Anticipated Salvage and Subrogation

Not Applicable.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [X]

1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [X]

2.2 If yes, date of change: .....

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [X] No [ ]
If yes, complete Schedule Y, Parts 1 and 1A.

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [X]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.

3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [X] No [ ]

3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. 0000049071

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [X]
If yes, complete and file the merger history data file with the NAIC.

4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
NA		

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [X] N/A [ ]
If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 03/31/2019

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 03/31/2019

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 12/12/2019

6.4 By what department or departments?
The Department of Managed Health Care of California

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [X] No [ ] N/A [ ]

6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [X] No [ ] N/A [ ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [X]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ ] No [X]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

GENERAL INTERROGATORIES

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes [X] No [ ]
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? ..... Yes [ ] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
NA

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes [ ] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes [ ] No [X]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... $ 0

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes [ ] No [X]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ..... $ 0

13. Amount of real estate and mortgages held in short-term investments: ..... $ 0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes [ ] No [X]

14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	$ 0	$ 0
14.22 Preferred Stock	$ 0	$ 0
14.23 Common Stock	$ 0	$ 0
14.24 Short-Term Investments	$ 0	$ 0
14.25 Mortgage Loans on Real Estate	$ 0	$ 0
14.26 All Other	$ 0	$ 0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	$ 0	$ 0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	$ 0	$ 0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes [ ] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes [ ] No [ ] N/A [ ]
If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. ..... $ 0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 ..... $ 0

16.3 Total payable for securities lending reported on the liability page. ..... $ 0

GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [X] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JP Morgan Chase	4 Metro Tech Center, 6th Floor, Mail Code: NY1-C512, Brooklyn, NY 11245, Attn: Charline Ottley

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [ ] No [X]

17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["…that have access to the investment accounts"; "…handle securities"]

1 Name of Firm or Individual	2 Affiliation
BLACKROCK FINANCIAL MANAGEMENT, INC	U
W. Mark Preston	I

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?..... Yes [X] No [ ]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?..... Yes [X] No [ ]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
107105	BLACKROCK FINANCIAL MANAGEMENT, INC	549300LVXYIVJKE13M84	The SEC	DS

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [X] No [ ]

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
    a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
    b. Issuer or obligor is current on all contracted interest and principal payments.
    c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

    Has the reporting entity self-designated 5GI securities? ..... Yes [ ] No [X]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
    a. The security was purchased prior to January 1, 2018.
    b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
    c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
    d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

    Has the reporting entity self-designated PLGI securities? ..... Yes [ ] No [X]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
    a. The shares were purchased prior to January 1, 2019.
    b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
    c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
    d. The fund only or predominantly holds bonds in its portfolio.
    e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
    f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

    Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? ..... Yes [ ] No [X]

GENERAL INTERROGATORIES

PART 2 - HEALTH

1. Operating Percentages:

   1.1 A&H loss percent ..... 85.2 %

   1.2 A&H cost containment percent ..... 2.2 %

   1.3 A&H expense percent excluding cost containment expenses ..... 11.4 %

2.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [X]

2.2 If yes, please provide the amount of custodial funds held as of the reporting date ..... $ 0

2.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [X]

2.4 If yes, please provide the balance of the funds administered as of the reporting date ..... $ 0

3. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? ..... Yes [X] No [ ]

3.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? ..... Yes [ ] No [ ]

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Type of Reinsurer	9 Certified Reinsurer Rating (1 through 6)	10 Effective Date of Certified Reinsurer Rating

NONE

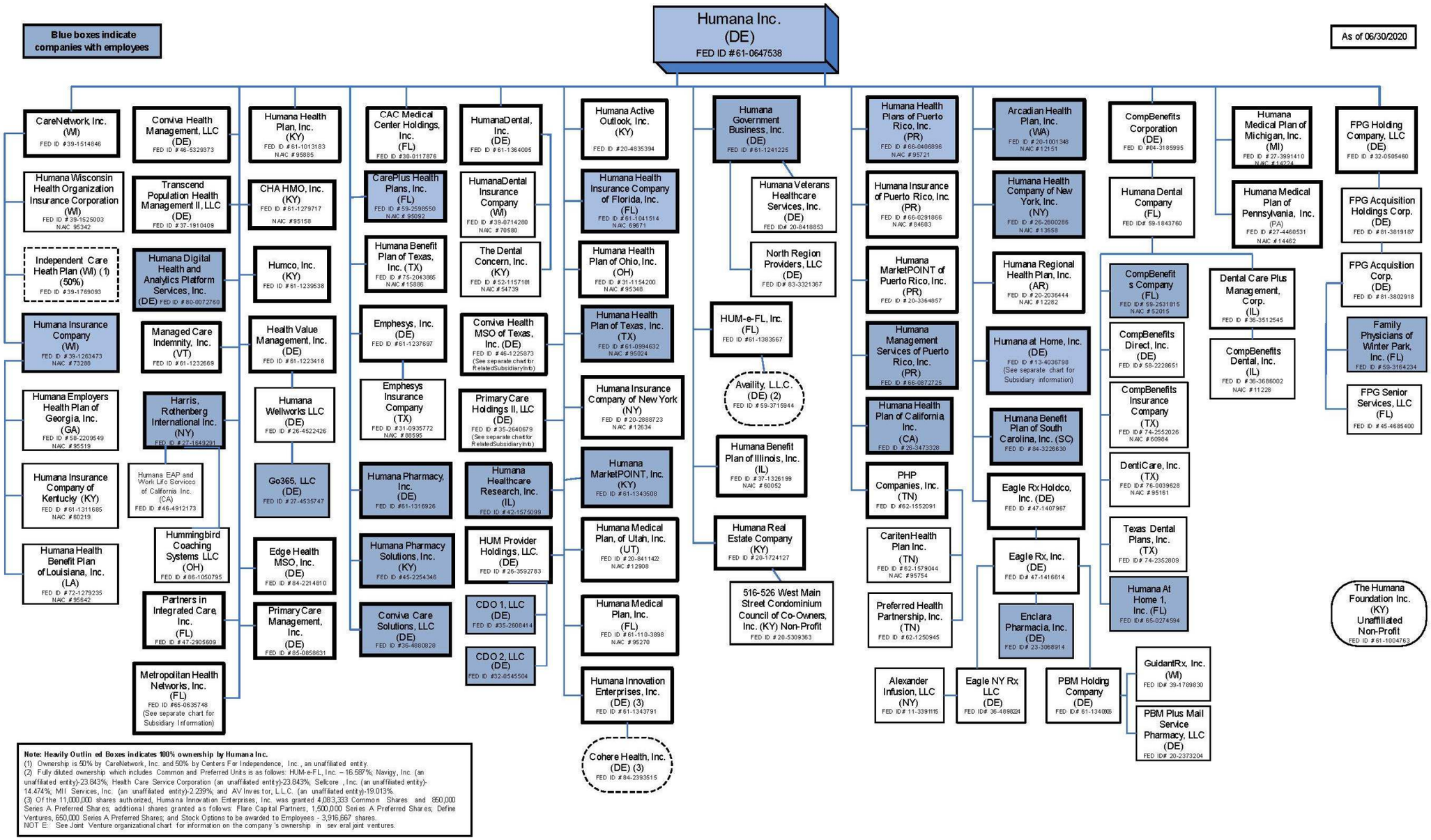
SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

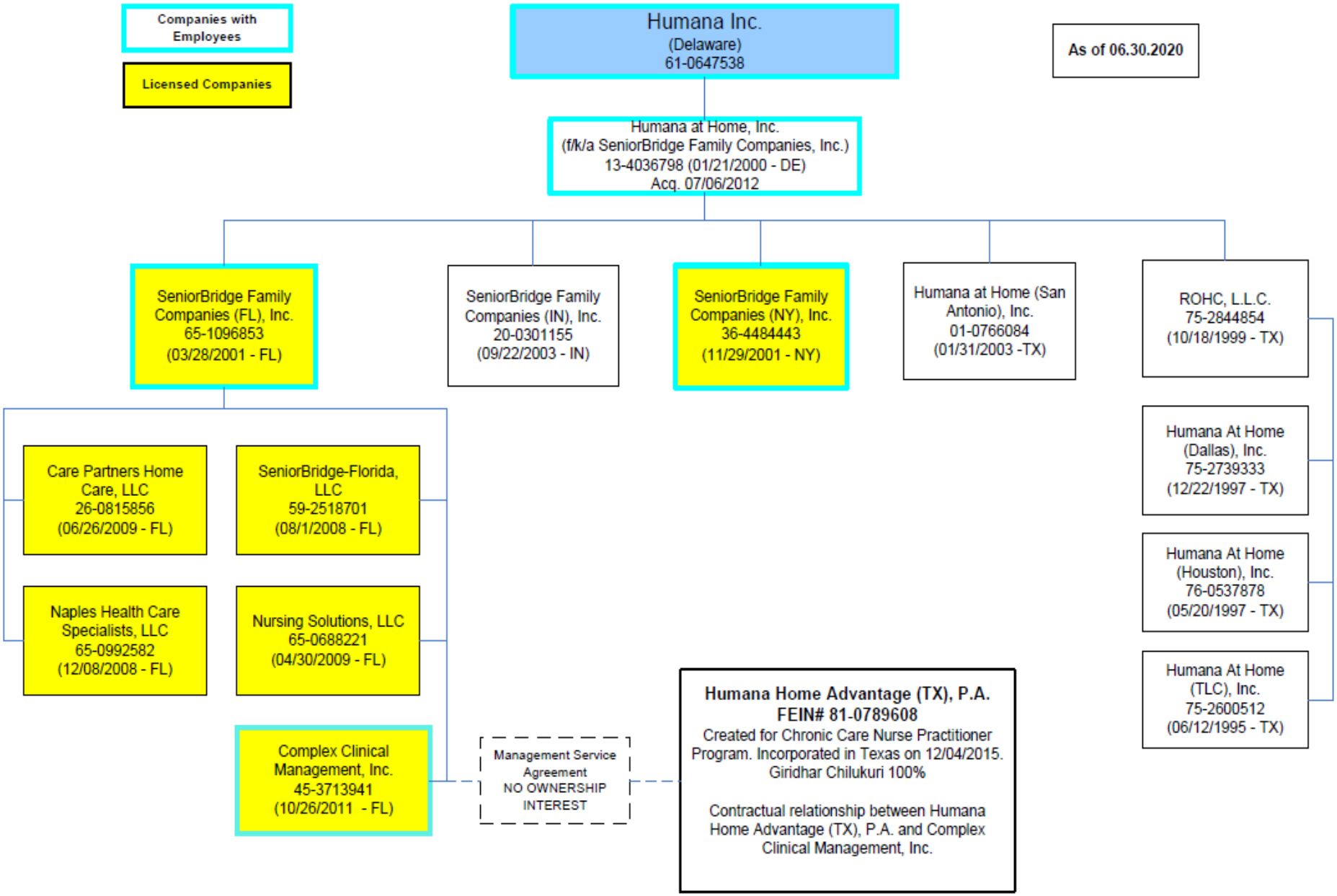
	1	Direct Business Only							
		2	3	4	5	6	7	8	9
States, etc.	Active Status (a)	Accident and Health Premiums	Medicare Title XVIII	Medicaid Title XIX	Federal Employees Health Benefits Program Premiums	Life and Annuity Premiums & Other Considerations	Property/ Casualty Premiums	Total Columns 2 Through 7	Deposit-Type Contracts
1. Alabama AL	L	0	268,115,942	0	0	0	0	268,115,942	0
2. Alaska AK	N	0	0	0	0	0	0	0	0
3. Arizona AZ	L	0	0	0	0	0	0	0	0
4. Arkansas AR	L	0	226,564,816	0	0	0	0	226,564,816	0
5. California CA	L	0	593,932,654	0	0	0	0	593,932,654	0
6. Colorado CO	N	0	0	0	0	0	0	0	0
7. Connecticut CT	N	0	0	0	0	0	0	0	0
8. Delaware DE	N	0	0	0	0	0	0	0	0
9. District of Columbia DC	N	0	0	0	0	0	0	0	0
10. Florida FL	N	0	0	0	0	0	0	0	0
11. Georgia GA	N	0	0	0	0	0	0	0	0
12. Hawaii HI	N	0	0	0	0	0	0	0	0
13. Idaho ID	L	0	12,966,724	0	0	0	0	12,966,724	0
14. Illinois IL	N	0	0	0	0	0	0	0	0
15. Indiana IN	L	0	437,885,709	0	0	0	0	437,885,709	0
16. Iowa IA	N	0	0	0	0	0	0	0	0
17. Kansas KS	N	0	0	0	0	0	0	0	0
18. Kentucky KY	L	0	165,016,126	0	0	0	0	165,016,126	0
19. Louisiana LA	N	0	0	0	0	0	0	0	0
20. Maine ME	L	0	42,008,658	0	0	0	0	42,008,658	0
21. Maryland MD	N	0	0	0	0	0	0	0	0
22. Massachusetts MA	N	0	0	0	0	0	0	0	0
23. Michigan MI	N	0	0	0	0	0	0	0	0
24. Minnesota MN	N	0	0	0	0	0	0	0	0
25. Mississippi MS	N	0	0	0	0	0	0	0	0
26. Missouri MO	L	0	0	0	0	0	0	0	0
27. Montana MT	N	0	0	0	0	0	0	0	0
28. Nebraska NE	L	0	(74,728)	0	0	0	0	(74,728)	0
29. Nevada NV	N	0	0	0	0	0	0	0	0
30. New Hampshire NH	L	0	17,922,222	0	0	0	0	17,922,222	0
31. New Jersey NJ	N	0	0	0	0	0	0	0	0
32. New Mexico NM	N	0	0	0	0	0	0	0	0
33. New York NY	N	0	0	0	0	0	0	0	0
34. North Carolina NC	N	0	0	0	0	0	0	0	0
35. North Dakota ND	N	0	0	0	0	0	0	0	0
36. Ohio OH	N	0	0	0	0	0	0	0	0
37. Oklahoma OK	L	0	0	0	0	0	0	0	0
38. Oregon OR	N	0	0	0	0	0	0	0	0
39. Pennsylvania PA	N	0	0	0	0	0	0	0	0
40. Rhode Island RI	N	0	0	0	0	0	0	0	0
41. South Carolina SC	L	0	449,625,612	0	0	0	0	449,625,612	0
42. South Dakota SD	N	0	0	0	0	0	0	0	0
43. Tennessee TN	N	0	0	0	0	0	0	0	0
44. Texas TX	L	0	0	0	0	0	0	0	0
45. Utah UT	N	0	0	0	0	0	0	0	0
46. Vermont VT	N	0	0	0	0	0	0	0	0
47. Virginia VA	L	0	214,387,209	0	0	0	0	214,387,209	0
48. Washington WA	L	0	282,104,668	0	0	0	0	282,104,668	0
49. West Virginia WV	L	0	17,813,877	0	0	0	0	17,813,877	0
50. Wisconsin WI	N	0	0	0	0	0	0	0	0
51. Wyoming WY	N	0	0	0	0	0	0	0	0
52. American Samoa AS	N	0	0	0	0	0	0	0	0
53. Guam GU	N	0	0	0	0	0	0	0	0
54. Puerto Rico PR	N	0	0	0	0	0	0	0	0
55. U.S. Virgin Islands VI	N	0	0	0	0	0	0	0	0
56. Northern Mariana Islands MP	N	0	0	0	0	0	0	0	0
57. Canada CAN	N	0	0	0	0	0	0	0	0
58. Aggregate Other Aliens OT	XXX	0	0	0	0	0	0	0	0
59. Subtotal	XXX	0	2,728,269,490	0	0	0	0	2,728,269,490	0
60. Reporting Entity Contributions for Employee Benefit Plans	XXX	0	0	0	0	0	0	0	0
61. Totals (Direct Business)	XXX	0	2,728,269,490	0	0	0	0	2,728,269,490	0
DETAILS OF WRITE-INS									
58001.	XXX	0	0	0	0	0	0	0	0
58002.	XXX								
58003.	XXX								
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0	0	0

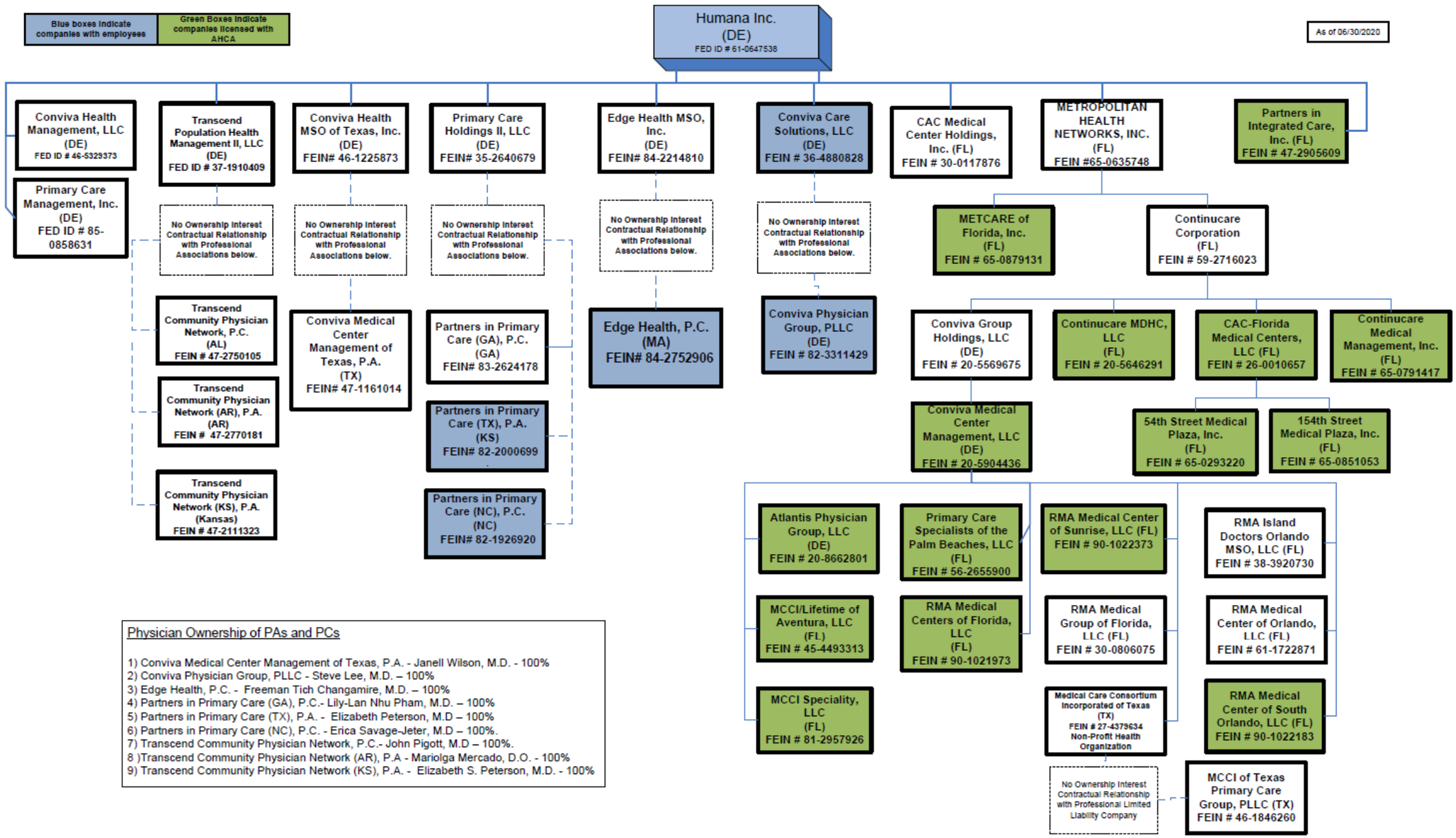
(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG 17
R - Registered - Non-domiciled RRGs 0
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state 0
Q - Qualified - Qualified or accredited reinsurer 0
N - None of the above - Not allowed to write business in the state 40



Note: Heavily Outlined Boxes indicates 100% ownership by Humana Inc.
(1) Ownership is 50% by CareNetwork, Inc. and 50% by Centers For Independence, Inc., an unaffiliated entity.
(2) Fully diluted ownership which includes Common and Preferred Units is as follows: HUM-e-FL, Inc. – 16.587%; Navigy, Inc. (an unaffiliated entity)-23.843%; Health Care Service Corporation (an unaffiliated entity)-23.843%; Sellcore, Inc. (an unaffiliated entity)-14.474%; MII Services, Inc. (an unaffiliated entity)-2.239%; and AV Investor, L.L.C. (an unaffiliated entity)-19.013%.
(3) Of the 11,000,000 shares authorized, Humana Innovation Enterprises, Inc. was granted 4,083,333 Common Shares and 850,000 Series A Preferred Shares; additional shares granted as follows: Flare Capital Partners, 1,500,000 Series A Preferred Shares; Define Ventures, 650,000 Series A Preferred Shares; and Stock Options to be awarded to Employees - 3,916,667 shares.
NOTE: See Joint Venture organizational chart for information on the company's ownership in several joint ventures.


Companies with Employees
Licensed Companies
Humana Inc.
(Delaware)
61-0647538
As of 06.30.2020
Humana at Home, Inc.
(f/k/a SeniorBridge Family Companies, Inc.)
13-4036798 (01/21/2000 - DE)
Acq. 07/06/2012
SeniorBridge Family Companies (FL), Inc.
65-1096853
(03/28/2001 - FL)
SeniorBridge Family Companies (IN), Inc.
20-0301155
(09/22/2003 - IN)
SeniorBridge Family Companies (NY), Inc.
36-4484443
(11/29/2001 - NY)
Humana at Home (San Antonio), Inc.
01-0766084
(01/31/2003 -TX)
ROHC, L.L.C.
75-2844854
(10/18/1999 - TX)
Care Partners Home Care, LLC
26-0815856
(06/26/2009 - FL)
SeniorBridge-Florida, LLC
59-2518701
(08/1/2008 - FL)
Naples Health Care Specialists, LLC
65-0992582
(12/08/2008 - FL)
Nursing Solutions, LLC
65-0688221
(04/30/2009 - FL)
Complex Clinical Management, Inc.
45-3713941
(10/26/2011 - FL)
Management Service Agreement
NO OWNERSHIP INTEREST
Humana Home Advantage (TX), P.A.
FEIN# 81-0789608
Created for Chronic Care Nurse Practitioner Program. Incorporated in Texas on 12/04/2015.
Giridhar Chilukuri 100%
Contractual relationship between Humana Home Advantage (TX), P.A. and Complex Clinical Management, Inc.
Humana At Home (Dallas), Inc.
75-2739333
(12/22/1997 - TX)
Humana At Home (Houston), Inc.
76-0537878
(05/20/1997 - TX)
Humana At Home (TLC), Inc.
75-2600512
(06/12/1995 - TX)



Physician Ownership of PAs and PCs

1) Conviva Medical Center Management of Texas, P.A. - Janell Wilson, M.D. - 100%
2) Conviva Physician Group, PLLC - Steve Lee, M.D. – 100%
3) Edge Health, P.C. - Freeman Tich Changamire, M.D. – 100%
4) Partners in Primary Care (GA), P.C.- Lily-Lan Nhu Pham, M.D. – 100%
5) Partners in Primary Care (TX), P.A. - Elizabeth Peterson, M.D – 100%
6) Partners in Primary Care (NC), P.C. - Erica Savage-Jeter, M.D – 100%.
7) Transcend Community Physician Network, P.C.- John Pigott, M.D – 100%.
8)Transcend Community Physician Network (AR), P.A - Mariolga Mercado, D.O. - 100%
9) Transcend Community Physician Network (KS), P.A. - Elizabeth S. Peterson, M.D. - 100%

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 Is an SCA Filing Required? (Y/N)	16 *
0119	Humana Inc.	00000	65-0851053				154th Street Medical Plaza, Inc.	FL	NIA	CAC-Florida Medical Centers, LLC	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	20-5309363				516-526 West Main Street Condomium Council of Co-Owners, Inc.	KY	NIA	Humana Real Estate Company	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	65-0293220				54th Street Medical Plaza, Inc.	FL	NIA	CAC-Florida Medical Centers, LLC	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	12151	20-1001348				Arcadian Health Plan, Inc.	WA	RE	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	20-8662801				Atlantis Physician Group, LLC	DE	NIA	Conviva Medical Center Management, LLC	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	30-0117876				CAC Medical Center Holdings, Inc.	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	26-0010657				CAC-Florida Medical Centers, LLC	FL	NIA	Continucare Corporation	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	26-0815856				Care Partners Home Care, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	39-1514846				CareNetwork, Inc.	WI	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	95092	59-2598550				CarePlus Health Plans, Inc.	FL	IA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	95754	62-1579044				Cariten Health Plan Inc.	TN	IA	PHP Companies, Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	35-2608414				CDO 1, LLC	DE	NIA	HUM Provider Holdings, LLC	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	32-0545504				CDO 2, LLC	DE	NIA	HUM Provider Holdings, LLC	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	95158	61-1279717				CHA HMO, Inc.	KY	IA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	52015	59-2531815				CompBenefits Company	FL	IA	Humana Dental Company	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	04-3185995				CompBenefits Corporation	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	11228	36-3686002				CompBenefits Dental, Inc.	IL	IA	Dental Care Plus Management, Corp.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	58-2228851				CompBenefits Direct, Inc.	DE	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	60984	74-2552026				CompBenefits Insurance Company	TX	IA	Humana Dental Company	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	45-3713941				Complex Clinical Management, Inc.	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	42-1575099				Humana Healthcare Research, Inc.	IL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	59-2716023				Continucare Corporation	FL	NIA	Metropolitan Health Networks, Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	20-5646291				Continucare MDHC, LLC	FL	NIA	Continucare Corporation	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	65-0791417				Continucare Medical Management, Inc.	FL	NIA	Continucare Corporation	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	36-4880828				Conviva Care Solutions, LLC	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	15886	75-2043865				Humana Benefit Plan of Texas, Inc.	TX	IA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	36-3512545				Dental Care Plus Management, Corp.	IL	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	95161	76-0039628				DentiCare, Inc.	TX	IA	Humana Dental Company	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	88595	31-0935772				Emphesys Insurance Company	TX	IA	Emphesys, Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	61-1237697				Emphesys, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	59-3164234				Family Physicians of Winter Park, Inc.	FL	NIA	FPG Acquisition Corp.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	81-3802918				FPG Acquisition Corp.	DE	NIA	FPG Acquisition Holdings Corp.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	81-3819187				FPG Acquisition Holdings Corp.	DE	NIA	FPG Holding Company, LLC	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	32-0505460				FPG Holding Company, LLC	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	45-4685400				FPG Senior Services, LLC	FL	NIA	FPG Acquisition Corp.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	27-1649291				Harris, Rothenberg International Inc.	NY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	61-1223418				Health Value Management, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	46-4912173				Humana EAP and Work-Life Services of California, Inc.	CA	IA	Harris, Rothenberg International Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	26-3592783				HUM Provider Holdings, LLC	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	20-4835394				Humana Active Outlook, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	75-2739333				Humana At Home (Dallas), Inc.	TX	NIA	ROHC, L.L.C.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	76-0537878				Humana At Home (Houston), Inc.	TX	NIA	ROHC, L.L.C.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	65-0274594				Humana At Home 1, Inc.	FL	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	13-4036798				Humana at Home, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	60052	37-1326199				Humana Benefit Plan of Illinois, Inc.	IL	IA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	59-1843760				Humana Dental Company	FL	NIA	CompBenefits Corporation	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	95519	58-2209549				Humana Employers Health Plan of Georgia, Inc.	GA	IA	Humana Insurance Company	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	61-1241225				Humana Government Business, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	95642	72-1279235				Humana Health Benefit Plan of Louisiana, Inc.	LA	IA	Humana Insurance Company	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	13558	26-2800286				Humana Health Company of New York, Inc.	NY	IA	Humana Inc.	Ownership	100.000	Humana Inc.		0

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 Is an SCA Filing Required? (Y/N)	16 *
0119	Humana Inc.	69671	61-1041514				Humana Health Insurance Company of Florida, Inc.	FL	IA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	26-3473328				Humana Health Plan of California, Inc.	CA	IA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	95348	31-1154200				Humana Health Plan of Ohio, Inc.	OH	IA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	95024	61-0994632				Humana Health Plan of Texas, Inc.	TX	IA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	95885	61-1013183				Humana Health Plan, Inc.	KY	IA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	95721	66-0406896				Humana Health Plans of Puerto Rico, Inc.	PR	IA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	61-0647538		0000049071	NYSE	Humana Inc.	DE	UDP	See Footnote 1	Other	0.000	See Footnote 1		2
0119	Humana Inc.	00000	61-1343791				Humana Innovation Enterprises, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	73288	39-1263473				Humana Insurance Company	WI	IA	CareNetwork, Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	60219	61-1311685				Humana Insurance Company of Kentucky	KY	IA	Humana Insurance Company	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	12634	20-2888723				Humana Insurance Company of New York	NY	IA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	84603	66-0291866				Humana Insurance of Puerto Rico, Inc.	PR	IA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	20-3364857				Humana MarketPOINT of Puerto Rico, Inc.	PR	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	61-1343508				Humana MarketPOINT, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	14224	27-3991410				Humana Medical Plan of Michigan, Inc.	MI	IA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	14462	27-4660531				Humana Medical Plan of Pennsylvania, Inc	PA	IA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	12908	20-8411422				Humana Medical Plan of Utah, Inc.	UT	IA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	95270	61-1103898				Humana Medical Plan, Inc.	FL	IA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	45-2254346				Humana Pharmacy Solutions, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	61-1316926				Humana Pharmacy, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	12282	20-2036444				Humana Regional Health Plan, Inc.	AR	IA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	20-8418853				Humana Veterans Healthcare Services, Inc.	DE	NIA	Humana Government Business, Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	26-4522426				Humana WellWorks LLC	DE	NIA	Health Value Management, Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	95342	39-1525003				Humana Wisconsin Health Organization Insurance Corporation	WI	IA	CareNetwork, Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	70580	39-0714280				HumanaDental Insurance Company	WI	IA	HumanaDental, Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	61-1364005				HumanaDental, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	27-4535747				Go365, LLC	DE	NIA	HumanaWellworks LLC	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	61-1239538				Humco, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	61-1383567				HUM-e-FL, Inc.	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	86-1050795				Hummingbird Coaching Systems LLC	OH	NIA	Harris, Rothenberg International Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	39-1769093				Independent Care Health Plan	WI	OTH	See Footnote 2	Other	50.000	Humana Inc.		3
0119	Humana Inc.	00000	61-1232669				Managed Care Indemnity, Inc.	VT	IA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	20-5569675				Conviva Group Holdings, LLC	DE	NIA	Continucare Corporation	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	20-5904436				Conviva Medical Center Management, LLC	DE	NIA	Conviva Group Holdings, LLC	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	45-4493313				MCCI/Lifetime of Aventura, LLC	FL	NIA	Conviva Medical Center Management, LLC	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	81-2957926				MCCI Speciality, LLC	FL	NIA	Conviva Medical Center Management, LLC	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	27-4379634				Medical Care Consortium Incorporated of Texas	TX	NIA	Conviva Medical Center Management, LLC	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	65-0879131				METCARE of Florida, Inc.	FL	NIA	Metropolitan Health Networks, Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	65-0635728				Metropolitan Health Networks, Inc.	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	65-0992582				Naples Health Care Specialists, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	65-0688221				Nursing Solutions, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	62-1552091				PHP Companies, Inc.	TN	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	62-1250945				Preferred Health Partnership, Inc.	TN	NIA	PHP Companies, Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	20-1724127				Humana Real Estate Company	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	46-1225873				Conviva Health MSO of Texas, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	56-2655900				Primary Care Specialist of the Palm Beaches, LLC	DE	NIA	Conviva Medical Center Management, LLC	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	38-3920730				RMA Island Doctors Orlando MSO, LLC	FL	NIA	Conviva Medical Center Management, LLC	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	90-1022183				RMA Medical Center of South Orlando, LLC	FL	NIA	Conviva Medical Center Management, LLC	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	61-1722871				RMA Medical Center of Orlando, LLC	FL	NIA	Conviva Medical Center Management, LLC	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	90-1022373				RMA Medical Center of Sunrise, LLC	FL	NIA	Conviva Medical Center Management, LLC	Ownership	100.000	Humana Inc.		0

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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0119	Humana Inc.	00000	90-1021973				RMA Medical Centers of Florida, LLC	FL	NIA	Conviva Medical Center Management, LLC	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	30-0806075				RMA Medical Group of Florida, LLC	FL	NIA	Conviva Medical Center Management, LLC	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	75-2844854				ROHC, L.L.C.	TX	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	65-1096853				SeniorBridge Family Companies (FL), Inc.	FL	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	20-0301155				SeniorBridge Family Companies (IN), Inc.	IN	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	36-4484443				SeniorBridge Family Companies (NY), Inc.	NY	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	01-0766084				Humana At Home (San Antonio), Inc.	TX	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	59-2518701				SeniorBridge-Florida, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	74-2352809				Texas Dental Plans, Inc.	TX	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	54739	52-1157181				The Dental Concern, Inc.	KY	IA	HumanaDental, Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	75-2600512				Humana At Home (TLC), Inc.	TX	NIA	ROHC, L.L.C.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	80-0072760				Humana Digital Health and Analytics Platform Services, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	46-5329373				Conviva Health Management, LLC	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	66-0872725				Humana Management Services of Puerto Rico, Inc.	PR	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	83-3321367				North Region Providers, LLC	DE	NIA	Humana Government Business, Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	35-2640679				Primary Care Holdings II, LLC	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	37-1910409				Transcend Population Health Management II, LLC	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	84-2214810				Edge Health MSO, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	47-2905609				Partners in Integrated Care, Inc.	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	84-3226630				Humana Benefit Plan of South Carolina, Inc.	SC	IA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	11-3391115				Alexander Infusion, LLC	NY	NIA	Eagle NY Rx, LLC	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	36-4898224				Eagle NY Rx, LLC	DE	NIA	Eagle Rx, Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	47-1407967				Eagle Rx Holdco, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	47-1416614				Eagle Rx, Inc.	DE	NIA	Eagle Rx Holdco, Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	23-3068914				Enclara Pharmacia, Inc.	DE	NIA	Eagle Rx, Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	39-1789830				GuidantRx, Inc.	WI	NIA	PBM Holding Company	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	61-1340806				PBM Holding Company	DE	NIA	Eagle Rx, Inc.	Ownership	100.000	Humana Inc.		0
0119	Humana Inc.	00000	20-2373204				PBM Plus Mail Service Pharmacy, LLC	DE	NIA	PBM Holding Company	Ownership	100.000	Humana Inc.		0

Asterisk	Explanation
0000001	Humana Inc., a Delaware corporation and ultimate parent company in the holding company system, is a publicly traded company on the New York Stock Exchange and ownership fluctuates daily.
0000002	Independent Care Health Plan, a Wisconsin corporation licensed as an HMO, operates an integrated, coordinated medical and social service managed care program for chronically disabled Medicaid recipients in Milwaukee, Wisconsin. CareNetwork, Inc. owns 50% of the company's stock. Centers For Independence, Inc. owns the other 50%.

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

		Response
1.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO

Explanation:

1. This type of business is not written.

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]

1 2 1 5 1 2 0 2 0 3 6 5 0 0 0 0 2

OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Assets Line 25

	Current Statement Date			4
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	Prior Year Net Admitted Assets
2504. Prepaid Expenses	9,946	9,946	0	0
2597. Summary of remaining write-ins for Line 25 from overflow page	9,946	9,946	0	0

SCHEDULE A - VERIFICATION

Real Estate

NONE

		1 Year to Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year		
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Current year change in encumbrances		
4.	Total gain (loss) on disposals		
5.	Deduct amounts received on disposals		
6.	Total foreign exchange change in book/adjusted carrying value		
7.	Deduct current year's other than temporary impairment recognized		
8.	Deduct current year's depreciation		
9.	Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10.	Deduct total nonadmitted amounts		
11.	Statement value at end of current period (Line 9 minus Line 10)		

SCHEDULE B - VERIFICATION

Mortgage Loans

NONE

		1 Year to Date	2 Prior Year Ended December 31
1.	Book value/recorded investment excluding accrued interest, December 31 of prior year		
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Capitalized deferred interest and other		
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease)		
6.	Total gain (loss) on disposals		
7.	Deduct amounts received on disposals		
8.	Deduct amortization of premium and mortgage interest points and commitment fees		
9.	Total foreign exchange change in book value/recorded investment excluding accrued interest		
10.	Deduct current year's other than temporary impairment recognized		
11.	Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12.	Total valuation allowance		
13.	Subtotal (Line 11 plus Line 12)		
14.	Deduct total nonadmitted amounts		
15.	Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

NONE

		1 Year to Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year		
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Capitalized deferred interest and other		
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease)		
6.	Total gain (loss) on disposals		
7.	Deduct amounts received on disposals		
8.	Deduct amortization of premium and depreciation		
9.	Total foreign exchange change in book/adjusted carrying value		
10.	Deduct current year's other than temporary impairment recognized		
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12.	Deduct total nonadmitted amounts		
13.	Statement value at end of current period (Line 11 minus Line 12)		

SCHEDULE D - VERIFICATION

Bonds and Stocks

		1 Year to Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value of bonds and stocks, December 31 of prior year	813,908,651	506,525,579
2.	Cost of bonds and stocks acquired	284,047,804	711,824,925
3.	Accrual of discount	(238,934)	171,395
4.	Unrealized valuation increase (decrease)	(598,682)	1,977,194
5.	Total gain (loss) on disposals	3,463,751	3,048,700
6.	Deduct consideration for bonds and stocks disposed of	211,807,919	407,100,518
7.	Deduct amortization of premium	2,141,084	2,681,606
8.	Total foreign exchange change in book/adjusted carrying value	0	0
9.	Deduct current year's other than temporary impairment recognized	0	0
10.	Total investment income recognized as a result of prepayment penalties and/or acceleration fees	0	142,982
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	886,633,588	813,908,651
12.	Deduct total nonadmitted amounts	0	0
13.	Statement value at end of current period (Line 11 minus Line 12)	886,633,588	813,908,651

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

	NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
	BONDS								
1.	NAIC 1 (a)	980,616,585	1,796,151,843	1,597,490,487	(7,319,785)	980,616,585	1,171,958,156	0	902,957,371
2.	NAIC 2 (a)	94,317,014	88,902,468	54,783,452	6,166,292	94,317,014	134,602,322	0	110,112,680
3.	NAIC 3 (a)	61,794,390	2,830,000	2,857,282	1,510,887	61,794,390	63,277,995	0	61,572,186
4.	NAIC 4 (a)	1,378,198	0	943,313	1,367,773	1,378,198	1,802,659	0	1,413,958
5.	NAIC 5 (a)	0	0	0	0	0	0	0	0
6.	NAIC 6 (a)	0	0	0	0	0	0	0	0
7.	Total Bonds	1,138,106,187	1,887,884,311	1,656,074,534	1,725,167	1,138,106,187	1,371,641,131	0	1,076,056,195
	PREFERRED STOCK								
8.	NAIC 1	0	0	0	0	0	0	0	0
9.	NAIC 2	0	0	0	0	0	0	0	0
10.	NAIC 3	0	0	0	0	0	0	0	0
11.	NAIC 4	0	0	0	0	0	0	0	0
12.	NAIC 5	0	0	0	0	0	0	0	0
13.	NAIC 6	0	0	0	0	0	0	0	0
14.	Total Preferred Stock	0	0	0	0	0	0	0	0
15.	Total Bonds and Preferred Stock	1,138,106,187	1,887,884,311	1,656,074,534	1,725,167	1,138,106,187	1,371,641,131	0	1,076,056,195

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 $ 420,983,186 ; NAIC 2 $ 64,024,358 ; NAIC 3 $ 0 NAIC 4 $ 0 ; NAIC 5 $ 0 ; NAIC 6 $ 0

SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year-to-Date	5 Paid for Accrued Interest Year-to-Date
9199999 Totals	167,050,332	XXX	167,053,981	202,375	220,328

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	0
2. Cost of short-term investments acquired	231,280,781	413,670
3. Accrual of discount	322,043	0
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	0	0
6. Deduct consideration received on disposals	64,500,000	410,000
7. Deduct amortization of premium	52,492	3,670
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	167,050,332	0
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	167,050,332	0

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of Derivatives

N O N E

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

		1 Year To Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	280,652,880	177,956,097
2.	Cost of cash equivalents acquired	6,896,487,874	19,036,625,150
3.	Accrual of discount	2,362,840	7,606,650
4.	Unrealized valuation increase (decrease)	0	0
5.	Total gain (loss) on disposals	16,056	541
6.	Deduct consideration received on disposals	6,841,113,984	18,941,529,673
7.	Deduct amortization of premium	3,700	5,885
8.	Total foreign exchange change in book/adjusted carrying value	0	0
9.	Deduct current year's other than temporary impairment recognized	0	0
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	338,401,965	280,652,880
11.	Deduct total nonadmitted amounts	0	0
12.	Statement value at end of current period (Line 10 minus Line 11)	338,401,965	280,652,880

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

N O N E

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

N O N E

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

N O N E

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation and Admini-strative Symbol
912828-Y2-0	UNITED STATES TREASURY		05/06/2020	UknownNOMURA		1,029,219	1,000,000	8,149	1
912828-Z9-4	UNITED STATES TREASURY		04/29/2020	MORGAN STANLEY CO		5,424,229	5,000,000	15,453	1
912828-ZX-1	UNITED STATES TREASURY		06/26/2020	MORGAN STANLEY CO		14,985,377	15,000,000	0	1
0599999. Subtotal - Bonds - U.S. Governments						21,438,824	21,000,000	23,602	XXX
3132D5-5F-5	FH SB8046 - RMBS		04/28/2020	CREDIT SUISSE AG NY BRANCH		4,807,013	4,553,039	6,450	1
3133G8-C9-0	FH QN1896 - RMBS		04/28/2020	MORGAN STANLEY CO		1,182,607	1,117,643	1,583	1
3133G8-DD-0	FH QN1900 - RMBS		04/28/2020	MORGAN STANLEY CO		1,441,375	1,360,590	1,928	1
31346Y-XB-4	FH QA5174 - RMBS		04/28/2020	JP MORGAN SECS INC., - FIXED INCOME		8,580,079	8,076,556	8,077	1
3140HD-7A-6	FN BK0888 - RMBS		04/27/2020	WELLS FARGO SECURITIES		5,870,090	5,435,268	7,247	1
31418C-MG-6	FN MA3058 - RMBS		04/27/2020	JEFFERIES & COMPANY, INC.		2,447,243	2,283,142	3,044	1
31418D-N7-3	FN MA4013 - RMBS		04/28/2020	JP MORGAN SECS INC., - FIXED INCOME		3,350,010	3,208,630	3,788	1
31418D-N8-1	FN MA4014 - RMBS		04/28/2020	Various		5,394,540	5,108,896	7,238	1
31418D-QH-8	FN MA4055 - RMBS		05/27/2020	BARCLAYS CAPITAL INC FIXED INC		5,104,692	4,920,000	9,567	1
709235-T7-0	PENNSYLVANIA ST UNIV		05/06/2020	BARCLAYS CAPITAL INC FIXED INC		26,005,000	26,005,000	0	1FE
92778F-DA-8	VIRGINIA COLLEGE BUILDING AUTHORITY		06/24/2020	CORPORATE ACTION		10,069	10,000	157	1FE
3199999. Subtotal - Bonds - U.S. Special Revenues						64,192,717	62,078,764	49,078	XXX
002824-BP-4	ABBOTT LABORATORIES		06/22/2020	MORGAN STANLEY CO		481,945	485,000	0	1FE
023135-BR-6	AMAZON.COM INC		06/01/2020	GOLDMAN		2,899,043	2,900,000	0	1FE
03027X-BB-5	AMERICAN TOWER CORP		06/01/2020	RBC CAPITAL MARKETS		598,314	600,000	0	2FE
037833-DU-1	APPLE INC		05/28/2020	Various		14,995,700	15,000,000	4,583	1FE
05492V-AF-2	BBCMS 2020-C7 A5 - CMBS		06/12/2020	BARCLAYS CAPITAL INC FIXED INC		1,111,314	1,079,000	1,465	1FE
05565E-BK-0	BMW US CAPITAL LLC		04/06/2020	Bank of America Securities		2,131,349	2,135,000	0	1FE
056054-AA-7	BX 19XL A - CMBS		04/01/2020	CITIGROUP GLOBAL MARKETS INC.		(5,150)	(5,150)	0	1FE
06540R-AE-4	BANK 2017-BNK9 A4 - CMBS		05/22/2020	PERSHING LLC		759,189	690,000	1,763	1FE
08160B-AD-6	BMARK 2018-B5 A4 - CMBS		05/28/2020	CITIGROUP GLOBAL MARKETS INC.		1,158,320	1,000,000	0	1FE
12597B-AT-6	CSAIL 2019-C17 A4 - CMBS		06/09/2020	Bank of America Securities		1,281,819	1,205,000	925	1FE
12597N-AS-2	CSAIL 2020-C19 A3 - CMBS		05/14/2020	BMOCM/BONDS		1,315,145	1,270,000	1,536	1FE
126307-BB-2	CSC HOLDINGS LLC		06/02/2020	JP MORGAN SECS INC., - FIXED INCOME		240,000	240,000	0	3FE
13607G-RK-2	CANADIAN IMPERIAL BANK OF COMMERCE	C	06/17/2020	CITIGROUP GLOBAL MARKETS INC.		2,328,695	2,330,000	0	1FE
15189X-AU-2	CENTERPOINT ENERGY HOUSTON ELECTRIC LLC		06/02/2020	MUFG SECURITIES AMERICAS INC.		124,749	125,000	0	1FE
17291E-AW-1	CGCMT 2016-P6 A5 - CMBS		05/21/2020	BMOCM/BONDS		1,104,375	1,000,000	2,583	1FE
225401-AQ-1	CREDIT SUISSE GROUP AG	C	06/02/2020	CREDIT SUISSE SECURITIES (USA)		1,595,000	1,595,000	0	2FE
22822V-AT-8	CROWN CASTLE INTERNATIONAL CORP		06/04/2020	JP MORGAN SECS INC., - FIXED INCOME		3,099,722	3,105,000	0	2FE
23312V-AF-3	DBJPM 2016-C3 A5 - CMBS		05/21/2020	BMOCM/BONDS		695,888	660,000	1,325	1
29444U-BG-0	EQUINIX INC		06/08/2020	Bank of America Securities		1,541,122	1,545,000	0	2FE
29717P-AV-9	ESSEX PORTFOLIO LP		06/17/2020	US BANCORP INVESTMENTS INC.		618,111	585,000	5,512	2FE
345397-A6-0	FORD MOTOR CREDIT COMPANY LLC		06/16/2020	MORGAN STANLEY CO		845,000	845,000	0	3FE
36252T-AR-6	GSMS 2016-GS2 A4 - CMBS		06/03/2020	GOLDMAN		1,066,328	1,000,000	339	1FE
36258N-AC-6	GMCAR 2020-1 A3 - ABS		04/29/2020	JP MORGAN SECS INC., - FIXED INCOME		897,618	885,000	679	1FE
402563-AA-8	GSM 1 A1 - CDO	C	06/09/2020	MORGAN STANLEY CO		983,750	1,000,000	8,740	1FE
41283L-AY-1	HARLEY-DAVIDSON FINANCIAL SERVICES INC		06/03/2020	JP MORGAN SECS INC., - FIXED INCOME		1,263,267	1,265,000	0	2FE
43813R-AC-1	HAROT 2020-1 A3 - ABS		04/29/2020	JP MORGAN SECS INC., - FIXED INCOME		1,344,807	1,330,000	595	1FE
50185V-AA-1	LCCM 2014-909 A - CMBS		04/29/2020	CREDIT SUISSE SECURITIES (USA)		2,007,500	2,000,000	0	1FE
58933Y-AZ-8	MERCK & CO INC		06/16/2020	Bank of America Securities		3,950,769	3,985,000	0	1FE
64110L-AY-2	NETFLIX INC		04/23/2020	MORGAN STANLEY CO		400,000	400,000	0	3FE
67109B-CN-2	OHALF 2015-1 AR2 - CDO		06/02/2020	CREDIT SUISSE SECURITIES (USA)		1,478,700	1,500,000	1,444	1FE
77341D-AA-5	ROCKT 2017-3 A - CDO	C	06/02/2020	Bank of America Securities		1,415,976	1,439,000	4,183	1FE
776743-AJ-5	ROPER TECHNOLOGIES INC		06/08/2020	WELLS FARGO SECURITIES		262,024	265,000	0	2FE
78449F-AC-5	SMB 2016-A A2B - ABS		06/03/2020	JP MORGAN SECS INC., - FIXED INCOME		366,739	365,482	359	1FE
78449L-AC-2	SMB 2018-B A2B - ABS		05/12/2020	GOLDMAN		765,001	791,530	978	1FE
78449V-AA-4	SMB 20PTA A1 - ABS		06/11/2020	GOLDMAN		1,021,606	1,027,575	294	1FE
82967N-BG-2	SIRIUS XM RADIO INC		06/08/2020	JP MORGAN SECS INC., - FIXED INCOME		620,000	620,000	0	3FE
845011-AC-9	SOUTHWEST GAS CORP		06/01/2020	US BANCORP INVESTMENTS INC.		544,313	545,000	0	1FE
853496-AG-2	STANDARD INDUSTRIES INC		06/16/2020	Bank of America Securities		725,000	725,000	0	3FE
89232H-AC-9	TAOT 2020-A A3 - ABS		04/29/2020	JP MORGAN SECS INC., - FIXED INCOME		2,024,688	2,000,000	1,476	1FE
92890F-AU-0	WFRBS 2014-C20 A5 - CMBS		05/19/2020	PERSHING LLC		708,892	658,000	1,460	1FE
95002R-AX-6	WFCM 2020-C56 A5 - CMBS		05/27/2020	WELLS FARGO SECURITIES		2,015,555	1,957,000	399	1FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						62,782,181	62,147,437	40,637	XXX
06738E-BM-6	BARCLAYS PLC	C	06/17/2020	BARCLAYS CAPITAL INC FIXED INC		2,330,000	2,330,000	0	2FE
4899999. Subtotal - Bonds - Hybrid Securities						2,330,000	2,330,000	0	XXX

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation and Administrative Symbol
8399997. Total - Bonds - Part 3						150,743,722	147,556,202	113,318	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						150,743,722	147,556,202	113,318	XXX
8999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						0	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	XXX
9999999 - Totals						150,743,722	XXX	113,318	XXX

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation and Admini-strative Symbol
36179U-UJ-9	G2 MA5985 - RMBS		06/01/2020	Paydown		3,022,050	3,022,050	3,111,295	3,103,059	0	(81,010)	0	(81,010)	0	3,022,050	0	0	0	42,643	06/20/2049	1
36202E-LJ-6	G2 003929 - RMBS		06/01/2020	Paydown		2,889	2,889	2,858	2,866	0	23	0	23	0	2,889	0	0	0	59	12/20/2036	1
36241K-KV-9	GN 782108 - RMBS		06/01/2020	Paydown		946	946	938	944	0	3	0	3	0	946	0	0	0	21	08/15/2021	1
36290R-QT-3	GN 615266 - RMBS		06/01/2020	Paydown		3,115	3,115	3,076	3,083	0	32	0	32	0	3,115	0	0	0	54	06/15/2033	1
36291N-DU-2	GN 632915 - RMBS		06/01/2020	Paydown		717	717	719	717	0	1	0	1	0	717	0	0	0	17	01/15/2021	1
912828-ND-8	UNITED STATES TREASURY		05/15/2020	Maturity @ 100.00		1,000,000	1,000,000	1,011,484	1,002,642	0	(2,642)	0	(2,642)	0	1,000,000	0	0	0	17,500	05/15/2020	1
912828-Z9-4	UNITED STATES TREASURY		05/15/2020	DEUTSCHE BANK SECURITIES, INC.		5,428,701	5,000,000	5,424,229	0	0	(2,079)	0	(2,079)	0	5,422,150	0	6,551	6,551	19,162	02/15/2030	1
0599999. Subtotal - Bonds - U.S. Governments						9,458,418	9,029,717	9,554,599	4,113,310	0	(85,671)	0	(85,671)	0	9,451,867	0	6,551	6,551	79,456	XXX	XXX
56052A-ZD-1	MAINE ST		06/01/2020	Maturity @ 100.00		140,000	140,000	156,164	142,308	0	(2,308)	0	(2,308)	0	140,000	0	0	0	3,500	06/01/2020	1FE
658256-E6-5	NORTH CAROLINA ST		05/01/2020	Maturity @ 100.00		80,000	80,000	92,954	81,104	0	(1,104)	0	(1,104)	0	80,000	0	0	0	2,000	05/01/2020	1FE
928109-T9-8	VIRGINIA ST		06/01/2020	Maturity @ 100.00		290,000	290,000	324,922	294,391	0	(4,391)	0	(4,391)	0	290,000	0	0	0	7,250	06/01/2020	1FE
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions						510,000	510,000	574,041	517,803	0	(7,803)	0	(7,803)	0	510,000	0	0	0	12,750	XXX	XXX
239019-P4-8	DAVIS CNTY UTAH SCH DIST		06/01/2020	Maturity @ 100.00		25,000	25,000	29,181	25,375	0	(375)	0	(375)	0	25,000	0	0	0	625	06/01/2020	1FE
86476P-QZ-4	SUFFOLK CNTY N Y		04/09/2020	Bank of America Securities		110,000	110,000	125,483	111,219	0	(1,047)	0	(1,047)	0	110,171	0	(171)	(171)	2,490	05/01/2020	2FE
86476P-RB-6	SUFFOLK CNTY N Y		04/09/2020	BARCLAYS CAPITAL INC FIXED INC		149,257	145,000	170,468	154,724	0	(1,165)	0	(1,165)	0	153,559	0	(4,302)	(4,302)	3,283	05/01/2022	2FE
86476P-RC-4	SUFFOLK CNTY N Y		04/09/2020	BARCLAYS CAPITAL INC FIXED INC		172,092	165,000	195,730	179,540	0	(1,203)	0	(1,203)	0	178,337	0	(6,245)	(6,245)	3,735	05/01/2023	2FE
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						456,349	445,000	520,861	470,859	0	(3,791)	0	(3,791)	0	467,067	0	(10,718)	(10,718)	10,133	XXX	XXX
30298L-AE-1	FRESB 2019-SB65 A1H - CMBS		06/01/2020	Paydown		1,085	1,085	1,090	1,089	0	(5)	0	(5)	0	1,085	0	0	0	10	05/25/2039	1
3128MB-DN-4	FH G12609 - RMBS		06/01/2020	Paydown		672	672	682	674	0	(2)	0	(2)	0	672	0	0	0	15	04/01/2022	1
3128MJ-3H-1	FH G08799 - RMBS		06/01/2020	Paydown		122,422	122,422	122,092	122,091	0	331	0	331	0	122,422	0	0	0	1,560	02/01/2048	1
3128MJ-3M-0	FH G08803 - RMBS		06/01/2020	Paydown		157,609	157,609	157,270	157,272	0	336	0	336	0	157,609	0	0	0	2,019	03/01/2048	1
3128MJ-4G-2	FH G08822 - RMBS		06/01/2020	Paydown		89,635	89,635	89,442	89,441	0	194	0	194	0	89,635	0	0	0	1,119	07/01/2048	1
3128MJ-6M-7	FH G08875 - RMBS		06/01/2020	Paydown		16,769	16,769	16,733	16,732	0	37	0	37	0	16,769	0	0	0	205	03/01/2049	1
3128MJ-6S-4	FH G08880 - RMBS		06/01/2020	Paydown		121,787	121,787	121,525	121,516	0	270	0	270	0	121,787	0	0	0	1,498	04/01/2049	1
3128MJ-Y2-0	FH G08728 - RMBS		06/01/2020	Paydown		42,756	42,756	45,743	45,577	0	(2,821)	0	(2,821)	0	42,756	0	0	0	733	10/01/2046	1
3128MJ-Y8-7	FH G08734 - RMBS		06/01/2020	Paydown		41,008	41,008	43,891	43,767	0	(2,759)	0	(2,759)	0	41,008	0	0	0	707	11/01/2046	1
3128MJ-ZM-5	FH G08747 - RMBS		06/01/2020	Paydown		33,539	33,539	33,529	33,529	0	11	0	11	0	33,539	0	0	0	424	02/01/2047	1
312942-F9-1	FH A93792 - RMBS		06/01/2020	Paydown		12,356	12,356	13,017	12,955	0	(599)	0	(599)	0	12,356	0	0	0	200	09/01/2040	1
3132A9-R7-4	FH ZS8610 - RMBS		06/01/2020	Paydown		28,486	28,486	29,810	0	0	(1,323)	0	(1,323)	0	28,486	0	0	0	118	05/01/2031	1
3132D5-3H-3	FH SB8000 - RMBS		04/16/2020	Various		1,747,859	1,664,064	1,697,540	1,696,692	0	(2,431)	0	(2,431)	0	1,694,260	0	53,599	53,599	19,138	07/01/2034	1
3132D5-4K-5	FH SB8026 - RMBS		06/01/2020	Various		3,982,711	3,816,063	3,844,684	3,844,624	0	(1,802)	0	(1,802)	0	3,842,822	0	139,889	139,889	36,923	01/01/2035	1
3132D5-4Q-2	FH SB8031 - RMBS		06/01/2020	Various		13,285,581	12,728,865	12,895,195	0	0	(7,091)	0	(7,091)	0	12,888,104	0	397,477	397,477	86,764	02/01/2035	1
3132D5-5F-5	FH SB8046 - RMBS		06/01/2020	Paydown		35,334	35,334	37,305	0	0	(1,971)	0	(1,971)	0	35,334	0	0	0	88	05/01/2035	1
3132DV-3V-5	FH SD8012 - RMBS		06/01/2020	Paydown		750,325	750,325	782,565	0	0	(32,241)	0	(32,241)	0	750,325	0	0	0	7,285	09/01/2049	1
3132FC-EB-7	FH Z40130 - RMBS		06/01/2020	Paydown		144,848	144,848	143,321	143,325	0	1,523	0	1,523	0	144,848	0	0	0	1,832	01/01/2046	1
3132JN-LY-1	FH Q21243 - RMBS		06/01/2020	Paydown		21,544	21,544	22,662	22,567	0	(1,023)	0	(1,023)	0	21,544	0	0	0	334	08/01/2043	1
3132L5-L9-2	FH V80352 - RMBS		06/01/2020	Paydown		69,009	69,009	68,281	68,289	0	721	0	721	0	69,009	0	0	0	908	09/01/2043	1
3132L9-WV-3	FH V84260 - RMBS		06/01/2020	Paydown		198,287	198,287	199,154	199,112	0	(826)	0	(826)	0	198,287	0	0	0	2,942	06/01/2048	1
3132WF-UP-2	FH Q42389 - RMBS		06/01/2020	Paydown		2,211	2,211	2,307	2,297	0	(86)	0	(86)	0	2,211	0	0	0	28	08/01/2046	1
3132WG-GL-5	FH Q42902 - RMBS		06/01/2020	Paydown		8,952	8,952	9,581	9,528	0	(576)	0	(576)	0	8,952	0	0	0	177	09/01/2046	1
3132WG-TS-6	FH Q43260 - RMBS		06/01/2020	Paydown		73,882	73,882	79,077	78,323	0	(4,440)	0	(4,440)	0	73,882	0	0	0	1,258	09/01/2046	1
3132WH-GW-9	FH Q43812 - RMBS		06/01/2020	Paydown		16,971	16,971	18,165	18,313	0	(1,342)	0	(1,342)	0	16,971	0	0	0	297	09/01/2046	1
3132XV-UZ-4	FH Q53299 - RMBS		06/01/2020	Paydown		50,926	50,926	50,817	50,817	0	109	0	109	0	50,926	0	0	0	632	01/01/2048	1
3132Y0-NH-9	FH Q56691 - RMBS		06/01/2020	Paydown		33,238	33,238	33,167	33,166	0	72	0	72	0	33,238	0	0	0	462	05/01/2048	1
31335A-NJ-3	FH G60393 - RMBS		06/01/2020	Paydown		821,013	821,013	829,223	829,291	0	(8,279)	0	(8,279)	0	821,013	0	0	0	12,211	01/01/2046	1
31335B-RZ-1	FH G61404 - RMBS		06/01/2020	Paydown		176,618	176,618	178,550	178,483	0	(1,864)	0	(1,864)	0	176,618	0	0	0	2,562	08/01/2043	1
31336B-C9-0	FH QN1896 - RMBS		06/01/2020	Paydown		5,575	5,575	5,899	0	0	(324)	0	(324)	0	5,575	0	0	0	14	03/01/2035	1
31336B-DD-0	FH QN1900 - RMBS		06/01/2020	Paydown		7,718	7,718	8,177	0	0	(458)	0	(458)	0	7,718	0	0	0	19	04/01/2035	1
31346Y-XB-4	FH QA5174 - RMBS		06/01/2020	Paydown		91,856	91,856	97,583	0	0	(5,727)	0	(5,727)	0	91,856	0	0	0	230	12/01/2049	1
3136B1-FP-4	FNR 2018-14 PA - CMO/RMBS		06/01/2020	Paydown		113,171	113,171	114,763	114,635	0	(1,463)	0	(1,463)	0	113,171	0	0	0	1,654	04/25/2047	1
3137BP-DW-6	FHR 4583 UP - CMO/RMBS		06/01/2020	Paydown		52,992	52,992	55,473	55,145	0	(2,154)	0	(2,154)	0	52,992	0	0	0	662	07/15/2045	1
3137BS-ZE-6	FHR 4631 GP - CMO/RMBS		06/01/2020	Paydown		59,862	59,862	64,108	63,574	0	(3,712)	0	(3,712)	0	59,862	0	0	0	872	03/15/2046	1
3138AV-P6-6	FN AJ4044 - RMBS		06/01/2020	Paydown		87,631	87,631	90,493	90,470	0	(2,839)	0	(2,839)	0	87,631	0	0	0	1,536	10/01/2041	1

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation and Admini-strative Symbol
3138AX-HC-8	FN AJ5626 - RMBS		04/16/2020	Various		82,239	76,483	80,641	80,704	0	(231)	0	(231)	0	80,473	0	1,766	1,766	1,033	11/01/2041	1
3138ED-UL-3	FN AK8686 - RMBS		06/01/2020	Paydown		1,484	1,484	1,564	1,564	0	(80)	0	(80)	0	1,484	0	0	0	22	05/01/2042	1
3138EJ-ZR-2	FN AL2551 - RMBS		06/01/2020	Paydown		7,889	7,889	8,408	8,366	0	(477)	0	(477)	0	7,889	0	0	0	123	10/01/2042	1
3138EK-G7-4	FN AL2921 - RMBS		06/01/2020	Paydown		2,623	2,623	2,797	2,782	0	(159)	0	(159)	0	2,623	0	0	0	38	08/01/2042	1
3138EL-B3-6	FN AL3657 - RMBS		06/01/2020	Paydown		1,081	1,081	1,153	1,146	0	(65)	0	(65)	0	1,081	0	0	0	17	06/01/2043	1
3138EP-WL-4	FN AL6950 - RMBS		06/01/2020	Paydown		2,836	2,836	3,041	3,020	0	(184)	0	(184)	0	2,836	0	0	0	48	12/01/2042	1
3138EQ-HY-1	FN AL7446 - RMBS		06/01/2020	Paydown		8,744	8,744	9,326	9,281	0	(537)	0	(537)	0	8,744	0	0	0	145	09/01/2045	1
3138EQ-ZF-2	FN AL7941 - RMBS		06/01/2020	Paydown		59,765	59,765	65,144	64,697	0	(4,931)	0	(4,931)	0	59,765	0	0	0	1,017	12/01/2045	1
3138ER-5V-8	FN AL9859 - RMBS		06/01/2020	Paydown		33,066	33,066	32,993	32,993	0	72	0	72	0	33,066	0	0	0	422	03/01/2047	1
3138ER-K8-2	FN AL9318 - RMBS		06/01/2020	Paydown		79,831	79,831	85,831	85,508	0	(5,677)	0	(5,677)	0	79,831	0	0	0	1,375	10/01/2043	1
3138ER-RV-4	FN AL9499 - RMBS		06/01/2020	Paydown		22,633	22,633	23,064	23,061	0	(428)	0	(428)	0	22,633	0	0	0	336	01/01/2046	1
3138ET-TP-1	FN AL8657 - RMBS		06/01/2020	Paydown		42,860	42,860	47,026	46,643	0	(3,783)	0	(3,783)	0	42,860	0	0	0	723	06/01/2046	1
3138LV-CV-3	FN AO4583 - RMBS		06/01/2020	Paydown		17,663	17,663	18,832	18,761	0	(1,098)	0	(1,098)	0	17,663	0	0	0	290	06/01/2042	1
3138M0-SE-1	FN AO8616 - RMBS		06/01/2020	Paydown		6,229	6,229	6,641	6,613	0	(384)	0	(384)	0	6,229	0	0	0	98	07/01/2042	1
3138W1-4Q-7	FN AR4430 - RMBS		04/16/2020	Various		1,062,253	995,864	1,030,952	1,025,491	0	(2,071)	0	(2,071)	0	1,023,420	0	38,833	38,833	11,519	03/01/2043	1
3138WG-FT-6	FN AS6477 - RMBS		06/01/2020	Paydown		37,252	37,252	37,482	37,487	0	(235)	0	(235)	0	37,252	0	0	0	541	01/01/2046	1
3138WG-SP-0	FN AS6825 - RMBS		06/01/2020	Paydown		1,176,291	1,176,291	1,197,244	1,197,407	0	(21,116)	0	(21,116)	0	1,176,291	0	0	0	17,034	03/01/2046	1
3138WG-SQ-8	FN AS6826 - RMBS		06/01/2020	Paydown		542,736	542,736	552,404	552,743	0	(10,006)	0	(10,006)	0	542,736	0	0	0	8,347	03/01/2046	1
3138WG-YG-3	FN AS7010 - RMBS		06/01/2020	Paydown		151,244	151,244	154,127	154,262	0	(3,018)	0	(3,018)	0	151,244	0	0	0	2,215	04/01/2046	1
3138WH-DU-3	FN AS7314 - RMBS		06/01/2020	Paydown		825,444	825,444	841,179	841,273	0	(15,829)	0	(15,829)	0	825,444	0	0	0	11,432	06/01/2046	1
3138WH-NN-8	FN AS7596 - RMBS		06/01/2020	Paydown		170,273	170,273	171,923	171,857	0	(1,584)	0	(1,584)	0	170,273	0	0	0	2,479	07/01/2046	1
3138WJ-L9-7	FN AS8451 - RMBS		06/01/2020	Paydown		156,937	156,937	156,759	156,758	0	179	0	179	0	156,937	0	0	0	2,000	12/01/2046	1
3138WJ-XN-3	FN AS8784 - RMBS		06/01/2020	Paydown		37,948	37,948	37,865	37,865	0	83	0	83	0	37,948	0	0	0	487	02/01/2047	1
3138WK-3E-3	FN AS9796 - RMBS		06/01/2020	Paydown		136,609	136,609	137,164	137,155	0	(546)	0	(546)	0	136,609	0	0	0	2,043	06/01/2047	1
3138WL-AM-5	FN AS9911 - RMBS		06/01/2020	Paydown		248,783	248,783	249,793	249,718	0	(935)	0	(935)	0	248,783	0	0	0	3,668	07/01/2047	1
3140EU-LJ-6	FN BC0328 - RMBS		06/01/2020	Paydown		532,185	532,185	547,195	547,205	0	(15,020)	0	(15,020)	0	532,185	0	0	0	8,883	12/01/2045	1
3140EW-3W-3	FN BC2612 - RMBS		06/01/2020	Paydown		415	415	415	414	0	1	0	1	0	415	0	0	0	5	10/01/2046	1
3140F0-JG-0	FN BC4762 - RMBS		06/01/2020	Paydown		1,251,460	1,251,460	1,263,779	1,263,812	0	(12,353)	0	(12,353)	0	1,251,460	0	0	0	19,065	10/01/2046	1
3140F3-C3-0	FN BC7289 - RMBS		06/01/2020	Paydown		1,709	1,709	1,706	1,704	0	5	0	5	0	1,709	0	0	0	18	10/01/2046	1
3140FB-6X-3	FN BD4485 - RMBS		06/01/2020	Paydown		10,164	10,164	10,712	10,671	0	(507)	0	(507)	0	10,164	0	0	0	125	07/01/2045	1
3140FD-E9-3	FN BD5559 - RMBS		06/01/2020	Paydown		128,729	128,729	128,498	128,498	0	231	0	231	0	128,729	0	0	0	1,800	11/01/2046	1
3140FE-2G-8	FN BD7074 - RMBS		06/01/2020	Paydown		20,122	20,122	20,082	20,082	0	39	0	39	0	20,122	0	0	0	255	03/01/2047	1
3140FE-X3-3	FN BD6997 - RMBS		06/01/2020	Paydown		10,354	10,354	11,082	10,948	0	(594)	0	(594)	0	10,354	0	0	0	153	10/01/2046	1
3140FG-5N-5	FN BD8952 - RMBS		06/01/2020	Paydown		5,892	5,892	5,931	5,870	0	21	0	21	0	5,892	0	0	0	74	11/01/2046	1
3140FG-6S-3	FN BD8980 - RMBS		06/01/2020	Paydown		10,714	10,714	10,739	10,675	0	38	0	38	0	10,714	0	0	0	134	11/01/2046	1
3140FG-7G-8	FN BD8994 - RMBS		06/01/2020	Paydown		768	768	766	766	0	2	0	2	0	768	0	0	0	11	11/01/2046	1
3140FK-S3-5	FN BE0537 - RMBS		06/01/2020	Paydown		7,206	7,206	7,194	7,183	0	23	0	23	0	7,206	0	0	0	93	11/01/2046	1
3140FL-PL-6	FN BE1326 - RMBS		06/01/2020	Paydown		30,184	30,184	31,066	0	0	(882)	0	(882)	0	30,184	0	0	0	103	09/01/2031	1
3140FT-FP-1	FN BE7373 - RMBS		06/01/2020	Paydown		32,058	32,058	32,994	0	0	(937)	0	(937)	0	32,058	0	0	0	130	12/01/2031	1
3140GN-5T-6	FN BH0857 - RMBS		06/01/2020	Paydown		187,702	187,702	188,465	188,379	0	(677)	0	(677)	0	187,702	0	0	0	2,653	06/01/2047	1
3140HD-7A-6	FN BK0888 - RMBS		06/01/2020	Paydown		298,110	298,110	321,959	0	0	(23,849)	0	(23,849)	0	298,110	0	0	0	994	07/01/2048	1
3140J5-P4-5	FN BM1342 - RMBS		06/01/2020	Paydown		112,751	112,751	113,209	113,160	0	(409)	0	(409)	0	112,751	0	0	0	1,728	05/01/2047	1
3140J6-DH-7	FN BM1903 - RMBS		06/01/2020	Paydown		28,687	28,687	28,678	28,676	0	11	0	11	0	28,687	0	0	0	435	08/01/2047	1
3140J7-K4-6	FN BM3014 - RMBS		06/01/2020	Paydown		109,230	109,230	113,019	112,824	0	(3,593)	0	(3,593)	0	109,230	0	0	0	1,563	02/01/2045	1
3140J7-RW-7	FN BM3200 - RMBS		06/01/2020	Paydown		24,785	24,785	24,688	24,691	0	94	0	94	0	24,785	0	0	0	309	07/01/2043	1
3140J7-U6-0	FN BM3304 - RMBS		06/01/2020	Paydown		7,685	7,685	7,937	7,925	0	(239)	0	(239)	0	7,685	0	0	0	109	12/01/2047	1
3140J8-JM-6	FN BM3867 - RMBS		06/01/2020	Paydown		441,617	441,617	454,831	454,653	0	(13,035)	0	(13,035)	0	441,617	0	0	0	7,565	02/01/2046	1
3140J8-MN-0	FN BM3964 - RMBS		06/01/2020	Paydown		79,299	79,299	80,079	80,090	0	(791)	0	(791)	0	79,299	0	0	0	1,135	07/01/2046	1
3140J9-FS-5	FN BM4676 - RMBS		06/01/2020	Paydown		82,818	82,818	84,733	84,405	0	(1,587)	0	(1,587)	0	82,818	0	0	0	1,420	10/01/2048	1
3140J9-GD-7	FN BM4695 - RMBS		06/01/2020	Paydown		67,314	67,314	66,504	66,500	0	814	0	814	0	67,314	0	0	0	858	02/01/2047	1
3140J9-GY-1	FN BM4714 - RMBS		06/01/2020	Paydown		15,165	15,165	15,615	15,607	0	(442)	0	(442)	0	15,165	0	0	0	260	01/01/2046	1
3140J9-KF-7	FN BM4793 - RMBS		06/01/2020	Paydown		908,269	908,269	935,446	935,295	0	(27,026)	0	(27,026)	0	908,269	0	0	0	15,497	03/01/2046	1
3140J9-ME-8	FN BM4856 - RMBS		06/01/2020	Paydown		766,337	766,337	790,345	790,132	0	(23,795)	0	(23,795)	0	766,337	0	0	0	12,952	04/01/2047	1
3140J9-YD-7	FN BM5207 - RMBS		06/01/2020	Paydown		459,446	459,446	454,062	454,054	0	5,392	0	5,392	0	459,446	0	0	0	5,783	02/01/2047	1
3140JA-CG-1	FN BM5470 - RMBS		06/01/2020	Paydown		181,489	181,489	182,495	182,478	0	(989)	0	(989)	0	181,489	0	0	0	2,793	03/01/2048	1
3140JA-CH-9	FN BM5471 - RMBS		06/01/2020	Paydown		414,655	414,655	422,775	422,730	0	(8,075)	0	(8,075)	0	414,655	0	0	0	6,370	06/01/2045	1
3140JA-EU-8	FN BM5546 - RMBS		06/01/2020	Paydown		78,091	78,091	79,067	79,055	0	(965)	0	(965)	0	78,091	0	0	0	1,093	05/01/2044	1
3140JA-GD-4	FN BM5595 - RMBS		06/01/2020	Paydown		57,751	57,751	58,383	58,386	0	(635)	0	(635)	0	57,751	0	0	0	828	08/01/2045	1

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation and Admini-strative Symbol
3140JA-GE-2	FN BM5596 - RMBS		06/01/2020	Paydown		419,544	419,544	424,297	424,269	0	(4,725)	0	(4,725)	0	419,544	0	0	0	6,121	06/01/2047	1
3140JG-LQ-6	FN BN0334 - RMBS		06/01/2020	Paydown		107,655	107,655	112,382	0	0	(4,727)	0	(4,727)	0	107,655	0	0	0	1,446	12/01/2048	1
3140Q7-5R-4	FN CA0855 - RMBS		06/01/2020	Paydown		350,806	350,806	359,028	358,857	0	(8,051)	0	(8,051)	0	350,806	0	0	0	5,192	12/01/2047	1
3140Q9-3M-3	FN CA2603 - RMBS		06/01/2020	Paydown		41,184	41,184	41,083	41,083	0	101	0	101	0	41,184	0	0	0	514	10/01/2048	1
3140QA-G8-7	FN CA2922 - RMBS		06/01/2020	Paydown		94,245	94,245	94,035	94,036	0	209	0	209	0	94,245	0	0	0	1,153	12/01/2048	1
3140QC-PW-0	FN CA4936 - RMBS		06/01/2020	Paydown		154,226	154,226	158,624	0	0	(4,398)	0	(4,398)	0	154,226	0	0	0	1,614	01/01/2050	1
3140X3-AX-6	FN FM0021 - RMBS		06/01/2020	Paydown		1,182,875	1,182,875	1,234,810	0	0	(51,936)	0	(51,936)	0	1,182,875	0	0	0	15,935	03/01/2049	1
3140X4-H9-0	FN FM1155 - RMBS		06/01/2020	Paydown		354,456	354,456	365,422	0	0	(10,966)	0	(10,966)	0	354,456	0	0	0	1,494	06/01/2032	1
3140X5-J3-8	FN FM2081 - RMBS		06/01/2020	Paydown		27,283	27,283	28,098	28,097	0	(815)	0	(815)	0	27,283	0	0	0	341	12/01/2049	1
3140X5-JX-2	FN FM2077 - RMBS		06/01/2020	Paydown		91,565	91,565	94,184	94,182	0	(2,616)	0	(2,616)	0	91,565	0	0	0	1,153	12/01/2049	1
31417A-JK-6	FN AB3865 - RMBS		06/01/2020	Paydown		3,784	3,784	4,037	4,011	0	(228)	0	(228)	0	3,784	0	0	0	57	11/01/2041	1
31417D-F7-3	FN AB6489 - RMBS		06/01/2020	Paydown		48,282	48,282	48,003	48,012	0	270	0	270	0	48,282	0	0	0	589	10/01/2042	1
31417E-CS-8	FN AB7280 - RMBS		06/01/2020	Paydown		3,980	3,980	3,957	3,958	0	22	0	22	0	3,980	0	0	0	50	12/01/2042	1
31417G-5W-2	FN AB9860 - RMBS		06/01/2020	Paydown		1,884	1,884	1,873	1,873	0	11	0	11	0	1,884	0	0	0	24	07/01/2043	1
31418C-2M-5	FN MA3479 - RMBS		06/01/2020	Paydown		92,479	92,479	92,251	92,251	0	228	0	228	0	92,479	0	0	0	1,183	09/01/2048	1
31418C-MG-6	FN MA3058 - RMBS		06/01/2020	Paydown		146,119	146,119	156,622	0	0	(10,502)	0	(10,502)	0	146,119	0	0	0	487	07/01/2047	1
31418C-UB-8	FN MA3277 - RMBS		06/01/2020	Paydown		257,221	257,221	264,194	263,494	0	(6,274)	0	(6,274)	0	257,221	0	0	0	4,343	02/01/2048	1
31418C-ZV-9	FN MA3455 - RMBS		06/01/2020	Paydown		648,229	648,229	646,634	646,631	0	1,598	0	1,598	0	648,229	0	0	0	8,162	08/01/2048	1
31418D-ET-5	FN MA3745 - RMBS		06/01/2020	Paydown		1,543,175	1,543,175	1,590,625	0	0	(47,451)	0	(47,451)	0	1,543,175	0	0	0	18,029	08/01/2049	1
31418D-EU-2	FN MA3746 - RMBS		06/01/2020	Paydown		705,546	705,546	735,633	0	0	(30,087)	0	(30,087)	0	705,546	0	0	0	7,813	08/01/2049	1
31418D-HD-7	FN MA3827 - RMBS		06/01/2020	Various		4,001,431	3,837,704	3,873,233	3,873,178	0	(2,789)	0	(2,789)	0	3,870,389	0	131,042	131,042	37,184	11/01/2034	1
31418D-JJ-2	FN MA3864 - RMBS		06/01/2020	Various		9,516,497	9,121,121	9,197,368	9,197,231	0	(5,134)	0	(5,134)	0	9,192,097	0	324,400	324,400	88,299	12/01/2034	1
31418D-N7-3	FN MA4013 - RMBS		06/01/2020	Paydown		26,445	26,445	27,610	0	0	(1,165)	0	(1,165)	0	26,445	0	0	0	55	05/01/2035	1
31418D-N8-1	FN MA4014 - RMBS		06/01/2020	Paydown		41,833	41,833	44,172	0	0	(2,339)	0	(2,339)	0	41,833	0	0	0	105	05/01/2035	1
31418D-QH-8	FN MA4055 - RMBS		06/01/2020	Paydown		10,607	10,607	11,005	0	0	(398)	0	(398)	0	10,607	0	0	0	22	06/01/2050	1
35563P-GG-8	SCRT 2018-3 MA - CMO		06/01/2020	Paydown		141,103	141,103	140,338	140,332	0	771	0	771	0	141,103	0	0	0	2,046	08/27/2057	1
35563P-JF-7	SCRT 2019-1 MA - CMO/RMBS		06/01/2020	Paydown		159,540	159,540	160,455	160,906	0	(1,366)	0	(1,366)	0	159,540	0	0	0	2,356	07/25/2058	1
35563P-KG-3	SCRT 2019-2 MA - CMO		06/01/2020	Paydown		44,372	44,372	45,052	44,887	0	(515)	0	(515)	0	44,372	0	0	0	651	08/26/2058	1
35563P-LH-0	SCRT 2019-3 MA - CMO		06/01/2020	Paydown		115,163	115,163	120,376	120,625	0	(5,463)	0	(5,463)	0	115,163	0	0	0	1,685	10/25/2058	1
35563P-ML-0	SCRT 2019-4 MA - CMO		06/01/2020	Paydown		111,213	111,213	113,650	113,427	0	(2,215)	0	(2,215)	0	111,213	0	0	0	1,392	02/25/2059	1
35563P-NP-0	SCRT 2020-1 MA - CMO		06/01/2020	Paydown		184,734	184,734	191,976	0	0	(7,242)	0	(7,242)	0	184,734	0	0	0	1,173	08/25/2059	1
544445-AD-1	LOS ANGELES CALIF DEPT ARPTS ARPT REV		05/15/2020	Maturity @ 100.00		100,000	100,000	114,678	101,405	0	(1,405)	0	(1,405)	0	100,000	0	0	0	2,500	05/15/2020	1FE
646066-QV-5	NEW JERSEY ST EDL FACS AUTH REV		04/09/2020	PERSHING LLC		39,333	35,000	43,427	39,188	0	(334)	0	(334)	0	38,853	0	480	480	1,376	07/01/2023	1FE
646066-RB-8	NEW JERSEY ST EDL FACS AUTH REV		04/09/2020	Bank of America Securities		30,260	30,000	34,968	30,597	0	(341)	0	(341)	0	30,256	0	4	4	1,179	07/01/2020	1FE
646066-RC-6	NEW JERSEY ST EDL FACS AUTH REV		04/09/2020	CITIGROUP GLOBAL MARKETS INC.		26,199	25,000	29,908	26,435	0	(272)	0	(272)	0	26,163	0	36	36	983	07/01/2021	1FE
646066-RD-4	NEW JERSEY ST EDL FACS AUTH REV		04/09/2020	WELLS FARGO, N.A./SIG		32,614	30,000	36,691	32,745	0	(310)	0	(310)	0	32,435	0	179	179	1,179	07/01/2022	1FE
646066-RE-2	NEW JERSEY ST EDL FACS AUTH REV		04/09/2020	PERSHING LLC		28,104	25,000	31,077	28,018	0	(241)	0	(241)	0	27,776	0	327	327	983	07/01/2023	1FE
646066-RG-7	NEW JERSEY ST EDL FACS AUTH REV		04/09/2020	MORGAN STANLEY CO		60,248	50,000	63,854	58,512	0	(423)	0	(423)	0	58,089	0	2,159	2,159	1,965	07/01/2025	1FE
646066-RH-5	NEW JERSEY ST EDL FACS AUTH REV		04/09/2020	MORGAN STANLEY CO		12,411	10,000	12,936	11,927	0	(80)	0	(80)	0	11,847	0	564	564	393	07/01/2026	1FE
646136-Z5-3	NEW JERSEY ST TRANSN TR FD AUTH		06/15/2020	Maturity @ 100.00		120,000	120,000	130,837	121,408	0	(1,408)	0	(1,408)	0	120,000	0	0	0	3,000	06/15/2020	2FE
88283L-HY-5	TEXAS TRANSN COMMN ST HWY FD REV		04/01/2020	Maturity @ 100.00		45,000	45,000	52,116	45,381	0	(381)	0	(381)	0	45,000	0	0	0	1,125	04/01/2020	1FE
927781-WH-3	VIRGINIA COLLEGE BUILDING AUTHORITY		06/24/2020	CORPORATE ACTION		10,069	10,000	11,735	10,243	0	(175)	0	(175)	0	10,069	0	0	0	407	09/01/2020	1FE
3199999. Subtotal - Bonds - U.S. Special Revenues						54,915,822	53,453,178	54,393,966	35,454,513	0	(500,880)	0	(500,880)	0	53,825,068	0	1,090,754	1,090,754	575,841	XXX	XXX
00130H-BT-1	AES CORP		06/08/2020	Call @ 100.81		723,834	718,000	722,488	721,308	0	(554)	0	(554)	0	720,754	0	3,080	3,080	20,029	05/15/2023	3FE
00192M-AB-5	ARIFL 2017-A A2 - ABS		06/15/2020	Paydown		8,016	8,016	8,015	8,016	0	0	0	0	0	8,016	0	0	0	65	04/15/2026	1FE
00774M-AB-1	AERCAP IRELAND CAPITAL DAC	C	06/26/2020	Bank of America Securities		153,581	170,000	169,140	169,322	0	39	0	39	0	169,361	0	(15,780)	(15,780)	5,843	07/21/2027	2FE
00774M-AF-2	AERCAP IRELAND CAPITAL DAC	C	06/29/2020	Various		1,116,363	1,145,000	1,142,126	1,142,968	0	262	0	262	0	1,143,229	0	(26,867)	(26,867)	46,047	07/03/2023	2FE
031162-CM-0	AMGEN INC		05/11/2020	Maturity @ 100.00		440,000	440,000	439,758	439,970	0	30	0	30	0	440,000	0	0	0	4,840	05/11/2020	2FE
04033B-AB-4	ARIFL 18B A2 - ABS		06/15/2020	Paydown		153,655	153,655	153,651	153,653	0	2	0	2	0	153,655	0	0	0	2,087	08/16/2027	1FE
04965C-AJ-7	ATRM XII AR - CDO		04/22/2020	Paydown		13,777	13,777	13,691	13,692	0	85	0	85	0	13,777	0	0	0	190	04/22/2027	1FE
05618D-AJ-3	BABSN 2014-I A1R - CDO		04/20/2020	Paydown		765,712	765,712	764,946	763,110	0	2,601	0	2,601	0	765,712	0	0	0	11,781	07/21/2025	1FE
097023-BR-5	BOEING CO		06/29/2020	Various		164,053	170,000	168,059	168,705	0	89	0	89	0	168,794	0	(4,742)	(4,742)	2,003	06/15/2026	2FE
11042T-AA-1	BRITISH AIRWAYS PASS THROUGH TRUST 2018	C	06/17/2020	BAIRD (ROBERT W.) & CO. INC.		306,268	322,388	322,388	322,384	0	(2)	0	(2)	0	322,383	0	(16,115)	(16,115)	6,091	03/20/2033	1FE

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation and Admini-strative Symbol
12543P-AK-9	CWHL 2006-21 A10 - CMO/RMBS		05/01/2020	Paydown		207	224	191	183	0	24	0	24	0	207	0	0	0	5	02/25/2037	1Z
12543P-AK-9	CWHL 2006-21 A10 - CMO/RMBS		06/01/2020	Paydown		61	61	52	50	0	11	0	11	0	61	0	0	0	2	02/25/2037	4FE
14310M-AW-7	CGMS 2014-1 A1R - CDO		04/17/2020	Paydown		1,945	1,945	1,934	1,936	0	9	0	9	0	1,945	0	0	0	29	04/17/2031	1FE
14315L-AA-2	CGMS 2014-3-R A1A - CDO	C	04/27/2020	Paydown		12,113	12,113	12,077	12,032	0	82	0	82	0	12,113	0	0	0	179	07/28/2031	1FE
25243Y-AX-7	DIAGEO CAPITAL PLC	C	05/18/2020	Maturity @ 100.00		515,000	515,000	514,732	514,948	0	52	0	52	0	515,000	0	0	0	7,725	05/18/2020	1FE
25470X-AJ-4	DISH DBS CORP		05/20/2020	BARCLAYS CAPITAL INC FIXED INC		969,650	960,000	928,800	940,452	0	2,800	0	2,800	0	943,252	0	26,398	26,398	48,097	07/15/2022	4FE
29336U-AB-3	ENLINK MIDSTREAM PARTNERS LP		05/20/2020	JEFFERIES & COMPANY, INC.		522,856	665,000	630,088	631,182	0	2,787	0	2,787	0	633,969	0	(111,113)	(111,113)	18,775	04/01/2024	3FE
29336U-AF-4	ENLINK MIDSTREAM PARTNERS LP		05/21/2020	JEFFERIES & COMPANY, INC.		367,350	465,000	462,675	435,938	26,862	117	0	26,979	0	462,917	0	(95,567)	(95,567)	19,416	07/15/2026	3FE
29372J-AC-1	EFF 2017-2 A3 - ABS		06/20/2020	Paydown		16,385	16,385	16,384	16,385	0	0	0	0	0	16,385	0	0	0	182	01/20/2023	1FE
29373F-AB-0	EFF 182 A2 - ABS		06/20/2020	Paydown		219,639	219,639	219,606	219,622	0	16	0	16	0	219,639	0	0	0	2,788	02/20/2024	1FE
345397-YM-9	FORD MOTOR CREDIT COMPANY LLC		06/12/2020	Maturity @ 100.00		610,000	610,000	610,000	610,000	0	0	0	0	0	610,000	0	0	0	7,396	06/12/2020	3FE
61237W-AB-2	MONTEFIORE MEDICAL CENTER		04/13/2020	Call @ 100.00		20,000	20,000	20,000	20,000	0	0	0	0	0	20,000	0	0	0	14	10/20/2026	1FE
61237W-AC-0	MONTEFIORE MEDICAL CENTER		04/13/2020	Call @ 100.00		5,000	5,000	5,000	5,000	0	0	0	0	0	5,000	0	0	0	0	04/20/2032	1
63941K-AA-3	NAVSL 2020-C A1 - ABS		06/15/2020	Paydown		245,387	245,387	245,387	0	0	0	0	0	0	245,387	0	0	0	700	11/15/2068	1FE
67106M-AN-3	OFSBS 7R AR - CDO		04/20/2020	Paydown		1,299,873	1,299,873	1,298,313	1,295,691	0	4,182	0	4,182	0	1,299,873	0	0	0	18,819	10/19/2026	1FE
674599-CP-8	OCCIDENTAL PETROLEUM CORP		04/14/2020	Bank of America Securities		365,575	430,000	429,540	429,599	0	43	0	43	0	429,642	0	(64,067)	(64,067)	7,985	08/15/2022	3FE
78449F-AC-5	SMB 2016-A A2B - ABS		06/15/2020	Paydown		6,831	6,831	6,855	0	0	(23)	0	(23)	0	6,831	0	0	0	10	05/15/2031	1FE
78449L-AC-2	SMB 2018-B A2B - ABS		06/15/2020	Paydown		36,741	36,741	35,509	0	0	1,231	0	1,231	0	36,741	0	0	0	61	01/15/2037	1FE
78449V-AA-4	SMB 20PTA A1 - ABS		06/25/2020	Paydown		53,740	53,740	53,428	0	0	312	0	312	0	53,740	0	0	0	21	09/15/2059	1FE
90331H-NS-8	US BANK NA		05/18/2020	Call @ 100.00		1,270,000	1,270,000	1,270,000	1,270,000	0	0	0	0	0	1,270,000	0	0	0	19,710	05/21/2021	1FE
96328D-BF-0	WHLS 2018-1 A2 - ABS		06/20/2020	Paydown		53,990	53,990	53,986	53,988	0	2	0	2	0	53,990	0	0	0	681	04/20/2027	1FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						10,437,600	10,793,476	10,718,816	10,360,133	26,863	14,198	0	41,060	0	10,742,372	0	(304,771)	(304,771)	251,570	XXX	XXX
8399997. Total - Bonds - Part 4						75,778,190	74,231,371	75,762,283	50,916,618	26,863	(583,948)	0	(557,085)	0	74,996,374	0	781,816	781,816	929,750	XXX	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999. Total - Bonds						75,778,190	74,231,371	75,762,283	50,916,618	26,863	(583,948)	0	(557,085)	0	74,996,374	0	781,816	781,816	929,750	XXX	XXX
8999997. Total - Preferred Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799997. Total - Common Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9999999 - Totals						75,778,190	XXX	75,762,283	50,916,618	26,863	(583,948)	0	(557,085)	0	74,996,374	0	781,816	781,816	929,750	XXX	XXX

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open

N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

N O N E

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

N O N E

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1		2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
						6	7	8	
Depository		Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date	First Month	Second Month	Third Month	*
US BANK	Knoxville,TN		0.000	0	0	(10,403,557)	(11,908,566)	(12,479,193)	XXX
BANK OF NY	WEST PATERSON, NJ		0.000	0	0	32,540	86,587	86,734	XXX
JP MORGAN CHASE	New York,NY		0.000	0	0	4,161,544	478,523	75,643	XXX
JP Morgan Time Deposit	New York,NY		0.050	0	0	70,808,634	55,295,216	13,665,121	XXX
0199998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories		XXX	XXX	0	0	0	0	0	XXX
0199999. Totals - Open Depositories		XXX	XXX	0	0	64,599,162	43,951,760	1,348,306	XXX
0299998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories		XXX	XXX	0	0	0	0	0	XXX
0299999. Totals - Suspended Depositories		XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit		XXX	XXX	0	0	64,599,162	43,951,760	1,348,306	XXX
0499999. Cash in Company's Office		XXX	XXX	XXX	XXX	0	0	0	XXX
0599999. Total - Cash		XXX	XXX	0	0	64,599,162	43,951,760	1,348,306	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year
	UNITED STATES TREASURY		06/01/2020	0.000	07/21/2020	14,999,000	0	1,500
	UNITED STATES TREASURY		06/25/2020	0.000	07/28/2020	14,998,808	0	265
	UNITED STATES TREASURY		06/30/2020	0.000	08/11/2020	7,998,861	0	28
	UNITED STATES TREASURY		06/12/2020	0.000	09/10/2020	10,996,301	0	990
	UNITED STATES TREASURY		06/01/2020	0.000	07/09/2020	99,997,500	0	9,375
	UNITED STATES TREASURY		06/26/2020	0.000	07/23/2020	14,999,038	0	219
0199999. Subtotal - Bonds - U.S. Governments - Issuer Obligations						163,989,507	0	12,376
0599999. Total - U.S. Government Bonds						163,989,507	0	12,376
1099999. Total - All Other Government Bonds						0	0	0
1799999. Total - U.S. States, Territories and Possessions Bonds						0	0	0
2499999. Total - U.S. Political Subdivisions Bonds						0	0	0
	FEDERAL AGRICULTURAL MORTGAGE CORP		06/30/2020	0.000	07/15/2020	10,999,551	0	32
	FEDERAL HOME LOAN BANKS		06/26/2020	0.000	08/21/2020	14,997,025	0	292
	FEDERAL HOME LOAN BANKS		06/16/2020	0.000	09/14/2020	11,296,115	0	777
	FEDERAL HOME LOAN BANKS		06/24/2020	0.000	07/22/2020	19,998,717	0	428
2599999. Subtotal - Bonds - U.S. Special Revenues - Issuer Obligations						57,291,408	0	1,528
3199999. Total - U.S. Special Revenues Bonds						57,291,408	0	1,528
	American Electric Power Company, Inc.		05/20/2020	0.000	07/16/2020	9,998,958	0	2,917
	American Electric Power Company, Inc.		05/27/2020	0.000	07/17/2020	9,999,200	0	1,750
	Cummins Inc.		06/08/2020	0.000	08/17/2020	24,991,188	0	4,313
	Duke Energy Corporation		06/01/2020	0.000	07/21/2020	17,997,500	0	3,750
	Koch Industries, Inc.		05/21/2020	0.000	08/18/2020	23,691,784	0	7,018
	Mondelez International, Inc.		05/18/2020	0.000	07/21/2020	9,997,667	0	5,133
3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations						96,676,297	0	24,880
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds						96,676,297	0	24,880
4899999. Total - Hybrid Securities						0	0	0
5599999. Total - Parent, Subsidiaries and Affiliates Bonds						0	0	0
6099999. Subtotal - SVO Identified Funds						0	0	0
6599999. Subtotal - Unaffiliated Bank Loans						0	0	0
7699999. Total - Issuer Obligations						317,957,212	0	38,785
7799999. Total - Residential Mortgage-Backed Securities						0	0	0
7899999. Total - Commercial Mortgage-Backed Securities						0	0	0
7999999. Total - Other Loan-Backed and Structured Securities						0	0	0
8099999. Total - SVO Identified Funds						0	0	0
8199999. Total - Affiliated Bank Loans						0	0	0
8299999. Total - Unaffiliated Bank Loans						0	0	0
8399999. Total Bonds						317,957,212	0	38,785
09248U-55-1	BLKRK LQ:TREAS INSTL		06/09/2020	0.050		25,000	2,325	0
31846V-54-2	FIRST AMER:TRS OBG Z	SD	06/02/2020	0.060		5,257	0	0
31846V-88-0	FIRST AMER:TRS OBG A	SD	06/02/2020	0.010		13,058	0	3
4812C2-23-9	JPMORGAN:US TRS+MM CAP		06/30/2020	0.120		20,401,422	0	516
8599999. Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO						20,444,737	2,326	520
990220-47-7	RBS CITIZENS NA CASH SWEEP	SD	05/29/2020	0.000		17	0	17
8699999. Subtotal - All Other Money Market Mutual Funds						17	0	17
8899999 - Total Cash Equivalents						338,401,965	2,326	39,322