

**STATE OF MAINE
DEPARTMENT OF PROFESSIONAL AND FINANCIAL REGULATION
BUREAU OF INSURANCE**

***In re:* DAVID MACKENZIE**

**National Producer No. 18800425
Maine License No. PRR310135
Docket No. INS-25-276**

NOTICE OF HEARING

I. PENDING PROCEEDING AND HEARING

Notice is hereby given that a hearing in this matter will be held at 11:00 a.m. on January 26, 2026, in the Kennebec Conference Room of the Maine Department of Professional & Financial Regulation Building, 76 Northern Avenue, Gardiner, Maine.

On October 27, 2025, Maine Bureau of Insurance Superintendent Robert L. Carey issued an Order revoking David MacKenzie's resident insurance producer license. The Order was based upon two allegations: (1) that on October 1, 2024, Mr. MacKenzie was convicted of three felony offenses: aggravated assault, aggravated operating under the influence, and leaving the scene of a motor vehicle accident, in the Penobscot County Superior Court in case number PENCDCR-2023-02111; and (2) that Mr. MacKenzie failed to report the criminal convictions to the Superintendent of Insurance as required by 24-A M.R.S. § 1420-P(2).

The criminal convictions described above constitute grounds for revocation of an insurance producer license pursuant to 24-A M.R.S. § 1420-K(1)(F).

Failure to report the criminal convictions listed above within 30 days of the initial pretrial hearing date as required by 24-A M.R.S. § 1420(P)(2) constitutes grounds for revocation of a producer license pursuant to 24-A M.R.S. § 1420-K(1)(B).

The Superintendent's Order was subject to Mr. MacKenzie's ability to request a hearing in this matter. Mr. MacKenzie timely requested a hearing on November 25, 2025. The purpose of the hearing will be to consider: (1) whether Mr. MacKenzie committed the criminal offenses listed above; and (2) whether Mr. MacKenzie failed to report the criminal charges to the Superintendent of Insurance as required. If one or both of the allegations

are proven by a preponderance of the evidence, the hearing officer may take any action regarding Mr. MacKenzie's resident producer license, as permitted by 24-A M.R.S. § 1417(1), including revocation.

Pursuant to 24-A M.R.S. § 230(2) the Superintendent of Insurance is required to give 14 days advanced written notice of proceedings of this nature.

The hearing will be held pursuant to 24-A M.R.S. § 229. Pursuant to 24-A M.R.S. § 210, Superintendent Carey has appointed Bureau of Insurance Deputy Superintendent Timothy Schott to serve as hearing officer, with full decision-making authority. The hearing shall be conducted in accordance with the procedures set forth in the Maine Administrative Procedure Act, 5 M.R.S. §§ 9051–9064, the Maine Insurance Code, 24-A M.R.S. §§ 229–236 and Maine Bureau of Insurance Rule Chapter 350.

II. PARTIES; INTERVENTION; HEARING PARTICIPATION

Mr. MacKenzie is designated a party to the proceeding.

Any other person wishing to intervene as a party in the proceeding shall file an application in writing with the Deputy Superintendent no later than 10 days prior to the date of the scheduled hearing. Applicants should either hand deliver their intervention applications to the attention of Paige Courtney at the offices of the Bureau of Insurance, 76 Northern Avenue, Gardiner, Maine, or email them to paige.h.courtney@maine.gov or mail them to the Deputy Superintendent at the following address:

Timothy Schott, Deputy Superintendent
Attn: Paige Courtney
Docket No. INS-25-276
Bureau of Insurance
Maine Department of Professional and Financial Regulation
#34 State House Station
Augusta, Maine 04333-0034

The Deputy Superintendent will grant late intervention applications only upon a compelling demonstration of good cause. Persons should seek intervention only if they are willing to undertake the responsibilities placed upon parties to an adjudicatory proceeding under Maine law and Insurance Rule Chapter 350.

An applicant claiming intervention as of right pursuant to 5 M.R.S. § 9054(1) shall include in the application a statement either explaining how the applicant is or may be, or is a member of a class that is or may be, substantially and directly affected by the proceeding or identifying the applicant as an agency of federal, state, or local government. Applications for permissive intervention pursuant to 5 M.R.S. § 9054(2) shall contain a statement explaining and substantiating the applicant's interest in the proceeding.

Any party or participant that opposes an application for intervention shall file a statement in opposition with the Deputy Superintendent no later than 5 days prior to the date of the scheduled hearing. The Deputy Superintendent in his discretion may rule on an intervention application at any time, without waiting for any statements in opposition.

Bureau Staff are permitted to participate in this hearing pursuant to the Administrative Procedure Act and Bureau rules, including presenting evidence, examining witnesses and presenting arguments. Mr. MacKenzie is permitted to participate in the hearing remotely.

III. DOCUMENT EXCHANGES, RIGHT TO BE REPRESENTED BY COUNSEL

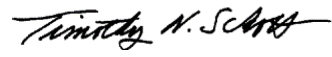
If either Mr. MacKenzie, any other party, or Bureau staff intends to present evidence or testimony not currently contained in the record of Mr. MacKenzie's alleged violations cited above, Mr. MacKenzie, any other party, and Bureau staff must file such information with the hearing officer and exchange such information at least 5 days prior to the date of the hearing, unless an exception is granted by the hearing officer.

Parties are entitled to be represented by counsel, to present evidence and to examine all witnesses. A party's failure to attend the hearing may result in a disposition by default with respect to that party.

The Department of Professional and Financial Regulation does not discriminate on the basis of disability. Persons who need any sort of assistance or accommodation for effective participation in this hearing should make their needs known to Paige Courtney at (207) 624-8495, paige.h.courtney@maine.gov, sufficiently in advance of the hearing so that appropriate arrangements can be made.

PER ORDER OF THE SUPERINTENDENT OF INSURANCE

January 12, 2026



Timothy N. Schott
Deputy Superintendent of Insurance

cc: David MacKenize, Courtney Awale