STATE OF MAINE DEPARTMENT OF PROFESSIONAL AND FINANCIAL REGULATION BUREAU OF INSURANCE

In re: Andrea M. Going

National Producer No. 17792254 Docket No. INS-24-206

LICENSE DENIAL NOTICE, ORDER, and OPPORTUNITY FOR HEARING

Effective as of **April 29, 2024**, your Maine nonresident producer application will be **DENIED** without further formal proceedings unless you request a hearing as specified below. The reasons for your license denial are as follows:

- 1. On March 10, 2017 the Indiana Department of Insurance issued an order that suspended your nonresident producer license due to your failure to provide a list of appointments to the Indiana Department of Insurance when requested to do so within ten (10) days.
- 2. On July 22, 2022, you were convicted of theft, a misdemeanor, in the Hancock Superior Court in Indiana under Case Number 30D02-2112-CM-001560.
- 3. On August 22, 2022 you were convicted of conversion, a misdemeanor, in the Franklin City Court in Indiana under Case Number 41H01-2002-CM-000103.
- 4. On October 13, 2023 you applied to the Maine Bureau of Insurance for a nonresident insurance producer license.
- 5. When you applied to the Maine Bureau of Insurance for a nonresident producer license you disclosed neither the suspension order described in Paragraph 1 nor the criminal convictions described in Paragraphs 2 and 3 when prompted to do so on your application. This failure to disclose represents grounds for the denial of your Maine nonresident producer license pursuant to 24-A M.R.S. §1420-E(1) and 24-A M.R.S. §1420-K(1)(A).
- 6. The criminal convictions described in Paragraphs 2 and 3 represent grounds for the denial of your Maine nonresident producer license pursuant to 24-A M.R.S. §1420-K(1)(F) as it has not been demonstrated that you have been sufficiently rehabilitated to warrant the public trust.
- 7. On November 28, 2023, the Kansas Insurance Department issued you a Decision on License Application that denied your application for a nonresident producer license due to your failure to disclose the order described in Paragraph 1 and the misdemeanor convictions described in Paragraphs 2 and 3 when prompted to do so on your application.
- 8. The suspension order described in Paragraph 1 and the Decision on License Application described in Paragraph 7 constitute grounds for the denial of your Maine nonresident producer license pursuant to 24-A M.R.S. §1420-K(1)(I).

Therefore, based upon the above grounds, your application for a nonresident producer license is denied as of **April 29, 2024**, pursuant to 24-A M.R.S. §§ 1417(1) and 1420-K, subject to your right to request a hearing. Under the Maine Insurance Code, specifically 24-

A M.R.S. § 1417(1) and § 1420-K, you have the right to a hearing before the Superintendent or his designee to appeal this action. If you request a hearing, you will have the right to present evidence and arguments in your defense.

If you request a hearing, you will receive further communication regarding scheduling. The matters to be determined through the hearing process are whether you committed one or more of the violations listed above, and if so, whether grounds exist to deny your Maine license application.

To request a hearing, you must notify the Bureau of Insurance in writing no later than **April 29, 2024**. If you do not file a written request for a hearing within 30 days from the time you knew or reasonably should have known of this act through this Notice and Order, you will lose your right to request a hearing on this matter. Pursuant to 24-A M.R.S. § 213(3), this Notice from the Superintendent to you shall be deemed to have been given when deposited in a mail depository of the United States Post Office.

Any request for a hearing, as well as all other communications regarding this Notice, Order, and Opportunity for Hearing must be addressed to Bureau Licensing Supervisor Tracy Cunningham, Bureau of Insurance, #34 State House Station, Augusta, Maine 04333-0034 (for US Postal Service deliveries) or 76 Northern Avenue, Gardiner, Maine 04333-0034 (for private carrier deliveries, such as FedEx or UPS). You may also reach Mrs. Cunningham by e-mail at tracy.a.cunningham@maine.gov or by telephone at (207) 624-8436.

March 29, 2024

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Robert L. Carey Superintendent of Insurance