

MAINE INSURANCE DATA SECURITY LAW COMPLIANCE CERTIFICATION

The Maine Insurance Data Security Act, 24-A M.R.S. §§ 2261 – 2272, requires annual certifications concerning compliance with these requirements of the Act:

- Under § 2264(9), each Maine domestic insurance carrier must certify its compliance with the Act's information security program requirements.
- Under § 2269(2)(A), each Licensee subject to HIPAA and HITECH must certify its compliance with those laws.
- Under § 2269(2)(B), each insurance producer business entity that maintains an information security program and is owned by a depository institution must certify its compliance with GLBA.

These certifications are due by April 15th.

* Required

1. Licensee Name: *

2. Licensee's NAIC, NPR, or Maine License Number: *

3. I hereby certify that the Licensee named above is (check applicable box(es)): *

An insurance carrier duly organized under the laws of the State of Maine and is in compliance with the requirements of the Information Security Program set forth in 24-A R.S. § 2264; will maintain for examination by the Superintendent all records, schedules and data supporting this certification for a period of 5 years from the date submitted; and, to the extent the carrier has identified areas, systems, or processes requiring material improvement, updating, or redesign, will document the identification and the remedial efforts planned and underway to address those areas, systems or processes. The Licensee will make this documentation available for the Superintendent's inspection upon request. This certification is submitted on behalf of these Maine domestic carriers in the same holding company system.

Subject to and in compliance with the federal Health Insurance Portability and Accountability Act of 1996, Public Law 104-191 and related privacy, security and breach notification regulations pursuant to 45 C.F.R., Parts 160 and 164 and the federal Health Information Technology for Economic and Clinical Health Act, Public Law 111-5; and maintains a program for information security and breach notification that treats all information relating to consumers in the State of Maine in the same manner as protected health information.

An insurance producer business entity licensed pursuant to 24-A M.R.S. § 1420-E; is owned by a depository institution that maintains an information security program in compliance with the standards for safeguarding customer information as set forth pursuant to the federal Gramm-Leach-Bliley Act, 15 U.S.C. §§ 6801 and 6805; and upon the Superintendent's request will produce information that independently validates the controlling depository institution's adoption of an information security program that satisfies the standards for safeguarding customer information.

4. If you check the first box above and are submitting this certification on behalf of other Maine domestic carriers, enter the names of those carriers here. *

5. I further certify that:

- the Licensee has authorized me to execute this certification,
- I have read and understand the statements in this certification, and
- these statements are true and complete to the best of my knowledge and belief. *

Name:

6. Title: *

7. Date: *

If you would like a copy of these responses, please use your browser's printing function. Ctrl-P or right-click within your browser and select print.



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