

JANET T. MILLS  
ATTORNEY GENERAL



TEL: (207) 626-8800  
TTY USERS CALL MAINE RELAY 711

STATE OF MAINE  
OFFICE OF THE ATTORNEY GENERAL  
6 STATE HOUSE STATION  
AUGUSTA, MAINE 04333-0006

REGIONAL OFFICES:  
84 HARLOW ST., 2ND FLOOR  
BANGOR, MAINE 04401  
TEL: (207) 941-3070  
FAX: (207) 941-3075

415 CONGRESS ST., STE. 301  
PORTLAND, MAINE 04101-3014  
TEL: (207) 822-0260  
FAX: (207) 822-0259

14 ACCESS HIGHWAY., STE. 1  
CARIBOU, MAINE 04736  
TEL: (207) 496-3792  
FAX: (207) 496-3291

July 7, 2017

Eric Cioppa, Superintendent of Insurance  
Attn: Shari Gregory  
Docket No. INS-17-1000  
Bureau of Insurance  
Maine Dept. of Professional and Financial Regulation  
34 State House Station  
Augusta, Maine 04333-0034

Re: *Anthem Blue Cross and Blue Shield 2018 Rate Filing for Individual Health Plans*  
Docket No. INS-17-1000

Dear Superintendent Cioppa:

Enclosed for filing please find two hard copies of the following:

SUBMITTED BY: Christina M. Moylan, AAG  
DATE: July 7, 2017  
DOCUMENT TITLE: Attorney General's Third Information Request  
DOCUMENT TYPE: Information Request  
CONFIDENTIAL: No

Copies are also being served this date in the manner indicated on the enclosed Certificate of Service. Thank you for your attention.

Sincerely,

*/s/ Christina M. Moylan*

CHRISTINA M. MOYLAN, AAG  
207/626-8838

[christina.moylan@maine.gov](mailto:christina.moylan@maine.gov)

SCOTT W. BOAK, AAG

207/626-8566

[scott.boak@maine.gov](mailto:scott.boak@maine.gov)

CMM/s

Enc.

cc: Service list

**STATE OF MAINE  
DEPARTMENT OF PROFESSIONAL AND FINANCIAL REGULATION  
BUREAU OF INSURANCE**

IN RE: )  
)  
ANTHEM BLUE CROSS AND BLUE )  
SHIELD 2018 INDIVIDUAL RATE FILING ) **ATTORNEY GENERAL'S**  
) **THIRD INFORMATION REQUEST**  
)  
Docket No. INS-17-1000 )

To: Christopher T. Roach, Esq.  
Roach Hewitt Ruprecht Sanchez & Bischoff PC  
66 Pearl Street, Suite 200  
Portland, ME 04101  
[croach@roachhewitt.com](mailto:croach@roachhewitt.com)

Instructions

Pursuant to Bureau of Insurance Rules, Chapter 350, § 10(B), and paragraph V of the Superintendent's January 6, 2017, Notice of Pending Proceeding and Public Hearing, the Attorney General serves the following discovery request upon Anthem Health Plans of Maine, Inc. dba Anthem Blue Cross and Blue Shield ("Anthem"). If any of the information sought hereby is not known by Anthem but is known by other persons and is obtainable by reasonable means, then Anthem is requested to obtain and provide that information. The Attorney General further asks that Anthem supplement its response immediately, should additional information become available after its initial response to this request.

Requests

1. Please provide Anthem's annual financial statements ("Health Annual Statement") for years ended December 31, 2015, and December 31, 2016.
2. Please identify the amount of dividends distributed outside of Anthem Health Plans of Maine, Inc. in each of 2015 and 2016, and identify to whom the dividend was distributed.

Dated: July 7, 2017

/s/ Christina M. Moylan  
CHRISTINA M. MOYLAN  
Assistant Attorney General  
SCOTT BOAK  
Assistant Attorney General  
6 State House Station  
Augusta, Maine 04333-0006  
Counsel for Attorney General

**STATE OF MAINE  
DEPARTMENT OF PROFESSIONAL AND FINANCIAL REGULATION  
BUREAU OF INSURANCE**

IN RE: )  
)  
ANTHEM BLUE CROSS AND BLUE )  
SHIELD 2018 INDIVIDUAL RATE FILING ) **CERTIFICATE OF SERVICE**  
)  
)  
Docket No. INS-17-1000 )

The undersigned counsel for the Attorney General hereby certifies that on this date I caused to be mailed by electronic mail, hand-delivery or United States first class mail, postage prepaid, as indicated, copies of the Attorney General’s Third Discovery Request upon the persons and at the addresses indicated below.

Thomas C. Sturtevant, Jr., Assistant Attorney General  
6 State House Station  
Augusta, Maine 04333-0006  
[Thomas.C.Sturtevant@maine.gov](mailto:Thomas.C.Sturtevant@maine.gov)  
[e-mail and hand delivery]

Christopher Roach, Esq.  
66 Pearl Street, Suite 200  
Portland, Maine 04101  
[croach@roachhewitt.com](mailto:croach@roachhewitt.com)  
[e-mail]

Kristine Ossenfort  
Anthem Blue Cross and Blue Shield  
2 Gannett Drive  
South Portland, Maine 04106  
[kristine.ossenfort@anthem.com](mailto:kristine.ossenfort@anthem.com)  
[email]

Colin Hay, Assistant Attorney General  
66 State House Station  
Augusta, Maine 04333-0034  
[sarah.hewett@maine.gov](mailto:sarah.hewett@maine.gov)  
[e-mail]

Mary M. Hooper  
34 State House Station  
Augusta, Maine 04333-0034  
[Mary.M.Hooper@maine.gov](mailto:Mary.M.Hooper@maine.gov)  
[e-mail]

Robert A. Wake  
34 State House Station  
Augusta, Maine 04333-0034  
[robert.a.wake@maine.gov](mailto:robert.a.wake@maine.gov)  
[e-mail]

Sherry L. Ingalls  
34 State House Station  
Augusta, Maine 04333-0034  
[sherry.l.ingalls@maine.gov](mailto:sherry.l.ingalls@maine.gov)  
[e-mail]

Dated: July 7, 2017

/s/ Christina M. Moylan  
Christina M. Moylan, Assistant Attorney General  
6 State House Station  
Augusta, Maine 04333-0006  
Counsel for Attorney General  
207/626-8838  
[christina.moylan@maine.gov](mailto:christina.moylan@maine.gov)