



**STATE OF MAINE**  
**Department of Professional and Financial Regulation**  
**Bureau of Financial Institutions**



***Restricted Term(s) Filing***  
***9-B M.R.S. §§ 241(9), 882***

- This form is to be utilized by an individual, corporation, partnership or other entity to serve notice of, or request permission for, the use of one or more of the following restricted terms or derivations thereof: “savings” “bank” “credit union” and/or “trust.”
- The Bureau reserves the right to request additional information as it deems necessary to render a decision on your filing.
- You may provide any information in addition to that requested by the Bureau which, in your opinion, might aid in the disposition of your request. Any such unsolicited information will be accepted for consideration with the express understanding that it may be made public unless designated confidential.

1. Name of Business Entity (Actual or Proposed):

2. Assumed Name or d/b/a (if any):

3. Address, City or Town, State and Zip Code:

4. Telephone:

5. State of Incorporation or Formation (Current or Anticipated):

6. Website:

7. Contact Person:

8. Is the entity a financial institution, financial institution holding company, subsidiary, or affiliate of a financial institution?

Yes

No

a. If yes, please specify the following:

Bank

Savings & Loan  
Association

Credit Union

Holding Company

Other

State Charter

Federal Charter

Foreign Financial Institution with  
Domestic Charter

9. Please briefly describe the purpose and activities to be conducted under the requested name:

10. Certification:

I attest that the information stated in this application is true and correct based upon my knowledge. I declare that, if approved, the applicant will only use the restricted term in the manner described above. I understand that the use of the term or any derivative in a manner not contemplated herein could be deceptive or otherwise injurious to public interest, and may be subject to civil penalties under Maine law.

**Applicant Signature:**

\_\_\_\_\_

**Title:**

\_\_\_\_\_

**Date:**

\_\_\_\_\_

Please submit this form electronically or by mail to the following:

**Bureau of Financial Institutions  
36 State House Station  
Augusta, ME 04333-0036  
(207) 624-8574 phone  
(207) 624-8590 fax  
Chase.Hewitt@Maine.gov**

**Restrictions on the use of the terms “savings,” “bank” and derivatives of those terms:**

**9-B MRS § 241(9):**

*A person, if duly authorized under the laws of this State, another state or the United States to conduct the business of banking, may use as a part of the name or title under which it conducts business in this State the terms "saving," "savings," "savings bank," "bank," "banker," "trust," "trust company," "banking" or "trust and banking company." The superintendent may require the filing of supporting documentation relating to this paragraph in the form and manner and containing such information as the superintendent may prescribe... a person, without prior written approval of the superintendent, may not use the terms "saving," "savings," "savings bank," "bank," "banker," "trust," "trust company," "banking" or "trust and banking company" or any derivatives of those terms as part of the name or title under which business is conducted or as a designation of such business.*

**Restrictions on the use of the term “Credit Union:”**

**9-B MRS § 882:**

*A person, if duly authorized under the laws of this State, another state or the United States to conduct the business of banking as a credit union, may use as a part of the name or title under which it conducts business in this State the term "credit union." The superintendent may require the filing of supporting documentation relating to this paragraph in the form and manner and containing any information the superintendent may prescribe... A person may not use the term "credit union" as part of the name or title under which business is conducted or as a designation of such a business without prior written approval of the superintendent.*

# Notice of Approval - Use of a Restricted Term 9-B MRS §§ 241(9), 882

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Please leave this page blank for the Bureau to complete.

**Applicant Name:** \_\_\_\_\_

**Date of Application:** \_\_\_\_\_

**Permission is granted to use the restricted term and the Bureau is formally notified of the contemplated use therein.** Upon reviewing the above application and any relevant public records or additional information, I confirm that this entity may use the restricted term requested. When the business name set forth is used, it must be used in its entirety. The use of the restricted term as contemplated here will not be deceptive or injurious to public interest.

**This entity may not use the restricted term requested.** More information is needed or the use of the term as contemplated herein may be deceptive or injurious to public interest. Please contact the Bureau for further information.

Chase Hewitt

Attorney  
Bureau of Financial Institutions

cc: Tammy Mower, Supervisor of Corporations, Division of Corporations, UCC & Commissions

End of Form.