

STATE OF MAINE
DEPARTMENT OF THE SECRETARY OF STATE

Notice of Agency Rulemaking Proposal

Agency: Bureau of Financial Institutions

Chapter Number and Rule Title: Chapter 146: Advisory Rulings

Type of Rule: Routine Technical

Proposal Filing Number: Leave Blank - Assigned by the Department of the Secretary of State

Brief Summary: This proposed Rule explains how to make a request to the Superintendent of the Bureau of

Financial Institutions to issue an Advisory Ruling concerning the application of Title 9-A and Title 9-B to an existing factual situation. The proposed Rule also provides an overview of how a request shall be submitted to and processed by the Bureau of Financial Institutions.

Public Hearing (*include day, date, time, and location*): **None Scheduled.**

Comment Deadline (*include day, date, and time*): **December 12, 2025.**

Contact Person for this Filing (*include Name; Mailing address; Telephone number; Fax number; TTY (Teletypewriter) number; and Email address*):

Chase Hewitt, Attorney, Bureau of Financial Institutions

36 State House Station

Augusta, ME 04330-0036

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Chase.hewitt@maine.gov

Contact Person for Small Business Impact Statement (*if different*): Same as above.

Financial Impact on Municipalities or Counties (*if any*): None expected.

Statutory Authority for this Rule: 5 M.R.S. § 9001; 9-B M.R.S. §§ 211, 215; and 9-A M.R.S. §§ 6 - 104

Substantive State or Federal Law Being Implemented (*if different*): This rulemaking will not implement substantive state or federal law.

Agency Website: <https://www.maine.gov/pfr/financialinstitutions/>

Email Address for Overall Agency Rulemaking Liaison: chase.hewitt@maine.gov

Choose one of the following:

The summary provided above is for publication in both the newspaper and website notices.

Please approve the bottom portion of this form and assign the appropriate AdvantageME number.

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ADDITIONAL INFORMATION FOR THE WEB NOTICE

Detailed Summary:

n/a.