

STATE OF MAINE  
DEPARTMENT OF THE SECRETARY OF STATE

**Notice of Agency Rulemaking Proposal**

**Agency:** Bureau of Financial Institutions

**Chapter Number and Rule Title:** Chapter 146: Advisory Rulings

**Type of Rule:** Routine Technical

**Proposal Filing Number:** Leave Blank - Assigned by the Department of the Secretary of State

**Brief Summary:** This proposed Rule explains how to make a request to the Superintendent of the Bureau of Financial Institutions to issue an Advisory Ruling concerning the application of Title 9-A and Title 9-B to an existing factual situation. The proposed Rule also provides an overview of how a request shall be submitted to and processed by the Bureau of Financial Institutions.

**Public Hearing** *(include day, date, time, and location):* None Scheduled.

**Comment Deadline** *(include day, date, and time):* December 12, 2025.

**Contact Person for this Filing** *(include Name; Mailing address; Telephone number; Fax number; TTY (Teletypewriter) number; and Email address):*

Chase Hewitt, Attorney, Bureau of Financial Institutions  
36 State House Station  
Augusta, ME 04330-0036  
Telephone: 207-624-8574  
Chase.hewitt@maine.gov

**Contact Person for Small Business Impact Statement** *(if different):* Same as above.

**Financial Impact on Municipalities or Counties** *(if any):* None expected.

**Statutory Authority for this Rule:** 5 M.R.S. § 9001; 9-B M.R.S. §§ 211, 215; and 9-A M.R.S. § 6 - 104

**Substantive State or Federal Law Being Implemented** *(if different):* This rulemaking will not implement substantive state or federal law.

**Agency Website:** <https://www.maine.gov/pfr/financialinstitutions/>

**Email Address for Overall Agency Rulemaking Liaison:** [chase.hewitt@maine.gov](mailto:chase.hewitt@maine.gov)

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Choose one of the following:

*The summary provided above is for publication in both the newspaper and website notices.*

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ADDITIONAL INFORMATION FOR THE WEB NOTICE

Detailed Summary:

n/a.