Superintendent's Notice to Interested Parties

Clearstead Advisors, LLC, Cleveland, Ohio ("Clearstead"), a Registered Investment Advisor regulated by the Securities Exchange Commission, has applied to the Superintendent of the Bureau of Financial Institutions, pursuant to Title 9-B, M.R.S. Chapter 121, for a certificate of public convenience and advantage to establish a nondepository trust company to be known as Clearstead Trust, LLC, ("CT") to be owned by Clearstead and located at 1 Union Street, Suite 302, Portland, Maine. If the application is approved, the organizers anticipate that CT will pursue an acquisition of a majority of the assets of Atlantic Trust, LLC, a Maine non-depository company located in Portland, Maine. The names, addresses, and occupations of the proposed initial directorate of CT are listed below.

David C. Fulton, Jr.  Cleveland, OH  President, CEO, Shareholder of Clearstead
John P.M. Higgins  Cape Elizabeth, ME  Proposed Co-Chairman of Board
William O. Hall, III  Cape Elizabeth, ME  Proposed President & CEO
Carl J. Tippit  Chagrin Falls, OH  Senior Managing Director of Clearstead
Gregory P. Althans  Pepper Pike, OH  Senior Managing Director of Clearstead
Thomas J. Clarke, Jr.  Palm Beach Gardens, FL  Retired Entrepreneur
Albert J. Bartosic  New York, NY  Executive Director of Fordham Foundry

The public is invited to submit written comments on this application to the Bureau of Financial Institutions, 36 State House Station, Augusta, Maine 04333-0036 or electronically through the Bureau of Financial Institutions’ website at www.maine.gov/pfr/financialinstitutions. A hearing on this application will be held by the Superintendent, as provided in Title 9-B M.R.S. § 252, upon receipt of a bona fide and reasonable request. The Bureau will accept written comments and requests for a hearing through Sunday, April 25, 2021. The Superintendent's decision on this application will be based on the decision-making criteria set forth in Title 9-B M.R.S. § 253.

Lloyd P. LaFountain III
Superintendent

Gardiner, Maine
March 26, 2021