## HOW TO FILE A RATE CASE



When doing a rate case you will create a case file in the PUC's Case Management System. Each case filing will have:

1) an initial filing 2) a copy of public notice and 3) a final filing

Login to CMS	https://www.maine.gov/mpuc/online-services
Step 1:	Choose registered user: "Sign in"
Step 2:	Select: "Case File"
Step 3:	Click: "Submit New Case or File in Existing Case"
Step 4:	Choose: "New" as the case type
Step 5:	For <b>Utility/Industry Type</b> and <b>Subtype</b> : Enter "Water"
Step 6:	For <b>Pertaining to Utility/Company:</b> Select "Add" *
Step 7:	For <b>Utility/Company Name</b> : Type the name of your utility and click "Search"
Step 8:	For <b>Case Type</b> : Use the drop down to choose "Request for Approval"
Step 9:	For <b>Case Subtype</b> : Use the drop down to choose "Rate Change" (choose the type of rate case you are doing either 6104, 6104-A or 6104-B)
Step 10:	A <b>Case Title</b> of something like: "Your Utility Name Rate Case" should autofill
Step 11:	Under <b>Description of Filing</b> : Type "Initial filing"
Step 12:	For <b>Filing Party</b> : Select "Representing Utility/Company"
Step 13	Under <b>Utility/Company Name:</b> Select "Add" and choose your utility.
Step 14:	For the <b>Document Type</b> : Use the drop down box and choose "Initial filing"
Step 15:	For the <b>Document Subtype</b> : Use the drop down box and choose "Initial filing"
Step 16:	Click: "Attach non-confidential document(s)" and then select your file(s)- this will be initial rate filing and copy of public notice. At a later date you will add a document to the existing case for the final filing. **
Step 17:	To attach many documents, attach one document, choose the file and click "done." Click "attach" again and fill out the fields below and select "Add Public Document."

\*If you do not have a utility assigned:

Follow these steps to add the utility under your profile or call the clerk at the PUC for assistance at (207) 287-3831

- To add the utility to your profile click "Update Contact Information" on left menu.
- Add your company under "Representing Company/Organization".
- Click "Add", type the utility name in "Utility/Company Name" and click "Search"
- Select your utility from the list below by checking the box next to the utility name
- Click "Select" at the bottom of the page

\*\*If the web page is not working, make sure you have pop-ups enabled.

Add CMS to your contacts to ensure you get communications on your case, otherwise emails could go to your junk folder: MPUC-CMSnotification@maine.gov

## HOW TO FILE IN THE TARIFF MODULE

When doing a rate case or updating Terms and Conditions a copy of the final tariff needs to go into CMS in the Tariff Module. These are the step by step instructions on how to file.

Login to CMS	https://www.maine.gov/mpuc/online-services
Step 1:	Choose registered user: "Sign in"
Step 2:	
Step 3:	
Step 4:	
Step 5:	
Step 6:	
Step 7:	
Step 8:	
Step 9:	
Step 10:	
Step 11:	
Step 12:	
Step 13	
Step 14:	
Step 15:	
Step 16:	
Step 17:	