ANNUAL REPORT

MAINE LABOR RELATIONS BOARD, PANEL OF MEDIATORS, and STATE BOARD OF ARBITRATION AND CONCILIATION

Fiscal Year 2024

I. Introduction

The purpose of this report is to review for Governor Janet T. Mills the operations of the Maine Labor Relations Board (Board or MLRB) and its affiliated organizations, the Panel of Mediators (Panel), the State Board of Arbitration and Conciliation (BAC), and the Logging Dispute Resolution Board (Logging Board) in Fiscal Year 2024. To that end, this report contains:

- background information including the statutory foundation for public-sector collective bargaining in Maine as well as a review of the missions and composition of the Board, Panel, BAC, and Logging Board,
- a review of Board, Panel, BAC, and Logging Board operations for FY24,
- a summary of MLRB-related legislation enacted by the 131st Legislature during FY24,
- a brief discussion of operational achievements, goals, and related plans.

II. Background

a. Statutory Framework for Public-Sector Collective Bargaining in Maine

Public-sector employees in Maine have the right to engage in collective bargaining, as provided by four separate laws that govern labor relations in the State. Those laws are:

- The Municipal Public Employees Labor Relations Law (covering municipal and county employees including clerical, schools, fire, police, and public works employees), 26 M.R.S.A. §961, *et seq*.
- The State Employees Labor Relations Act (covering state employees, including the legislative and executive branches), 26 M.R.S.A. §979, et seq.
- The University Employees Labor Relations Act (covering employees of the University of Maine and Maine Community College systems, as well as Maine Maritime Academy), 26 M.R.S.A. §1021, *et seq*.
- The Judicial Employees Labor Relations Act (covering the State's judicial branch employees), 26 M.R.S.A. §1281, et seq

In all, approximately 61,000 individuals, employed by nearly 500 public-sector employers, fall within the jurisdiction of these laws.

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¹ 26 M.R.S.A. §§931, 965(2)(C), 968(7) and 979-J(1) respectively require the submission of an annual report regarding Board, Panel, and BAC operations to the Governor.

The State's labor relations laws share a common foundation that establishes the framework for collective bargaining in Maine. The stated purpose of each law is to improve the relationship between public employers and their employees. Employees may choose to engage in collective bargaining by selecting a bargaining agent, i.e. union, to represent a group of the employees, called a bargaining unit, who share common working conditions.

Once a bargaining agent is certified, the employer and bargaining agent must bargain in good faith over wages, hours, and working conditions for the bargaining unit. If the parties are unable to reach agreement on their own, they may participate in mediation, fact-finding, and arbitration to resolve the bargaining impasse. Should one party fail to meet its statutory obligations, the other party may seek enforcement of the law by filing a complaint with the Board for which the Board will conduct a hearing and render a decision and order. The Board's decisions are subject to appeal to the Maine courts.

b. Mission

The Board's primary mission is to enforce the rights and obligations provided in the State's labor relations laws. To do so, the Board and its affiliated organizations perform four central functions: (1) the determination of bargaining units, (2) the certification of bargaining agents, (3) the resolution of collective bargaining impasses, and (4) the adjudication of complaints that allege violations of the labor relations laws.

The Board, Panel, and BAC have separate, but related, responsibilities and jurisdiction. The Board itself is responsible for resolving disputes over the composition of bargaining units, conducting elections to determine bargaining agents, conducting hearings for prohibited practice complaints, and issuing decisions and orders for those complaints.

The Panel's central purpose is to facilitate resolutions to bargaining impasses involving public-sector employers and their counter-part bargaining agents. Specifically, if an employer and a union are unable to reach agreement in their negotiations, they can request that a mediator from the Panel be assigned to help them achieve a resolution to their bargaining dispute.

The BAC is available to resolve bargaining impasses if mediation and fact-finding are unsuccessful. Most frequently, the BAC provides arbitration services for contract grievances.

While not involved in Maine's public sector collective bargaining process, the recently created Logging Board has the authority to decide disputes between logging contractors and forest landowners over wage violations, payout amounts, contract violations, and disputes in hiring.

c. Composition

Maine Labor Relations Board

The Board is composed of three members and six alternates appointed by the Governor to four-year terms, subject to legislative approval. Out of the three members, one is designated to represent the public (Public Representative), another to represent employees (Employee Representative), and the third to represent employers (Employer Representative). The Public Representative is also designated to serve as the Board's Chair. Each member has two alternates in the event the member is unavailable for a Board hearing.

The Board is currently composed as follows:

Public Representative/Chairs		Appointment Expires
Sheila Mayberry, Esq.	Board Chair	9/30/27
Shari Broder, Esq.	Alternate Chair	9/30/27
Rebekah Smith, Esq.	Alternate Chair	9/30/27
Employee Representatives		
1 2 1	Employee Dommesontative	0/20/25
Roberta de Araujo, Esq.	Employee Representative	9/30/25
James Mackie	Alternate Employee Rep.	9/30/25
Jessica Maher, Esq.	Alternate Employee Rep.	9/30/25
Employer Representatives		
Michael Miles	Employer Representative	9/30/25
Ann Freeman, Esq.	Alternate Employer Rep.	9/30/25
Alyssa Tibbetts, Esq.	Alternate Employer Rep.	9/30/25

Panel of Mediators

The Panel is composed of five to ten individuals appointed by the Governor to three-year terms. 26 M.R.S.A. §965(2)(C). The Panel is currently composed as follows:

	<u>Appointment Expires</u>
Maria Fox, Esq.	8/24/24
Jane Gilbert	8/24/24
Chester Hillier	8/24/24
Denis Jean	8/24/24
Arthur Kyricos	8/24/24
Robert Lyman	8/24/24
James Mackie	8/24/24
Erik Peters, Esq.	8/24/24
Rebekah Smith	8/24/24

Board of Arbitration and Conciliation

The BAC is also composed of gubernatorial appointees, respectively designated as public chairs, employer representatives, and employee representatives. 26 M.R.S.A. §931. Their terms of appointment are three years. *Id.* The BAC is currently composed as follows:

Neutral Chairs		Appointment Expires
Shari Broder, Esq.	Board Chair	07/07/25
Sheila Mayberry, Esq.	Alternate Chair	07/07/25
Lynn Dondis, Esq.	Alternate Chair	07/07/25
Employee Representatives Chester Hillier	Employee Rep.	07/07/25
James Mackie Joan Morin	Alt. Employee Rep. Alt. Employee Rep.	07/07/25 07/07/25
JOHN MIONIN	The Employee Rep.	01/01/25

Employer Representatives

Donald Gerrish Employer Rep. 07/07/25 Patricia Dunn, Esq. Alt. Employer Rep. 07/07/25 Linda McGill, Esq. Alt. Employer Rep. 07/07/25

Logging Dispute Resolution Board

The Logging Board is composed of gubernatorial appointees, respectively designated Their terms of appointment are three years. *Id.* By law, the chair of the BAC serves as the Chair of the Logging Board. Additionally, in FY 23, the Governor appointed two representatives to the Logging Board such that the Board is composed as follows:

Neutral Chairs		Appointment Expires
Shari Broder, Esq.	Board Chair	07/07/25
Sheila Mayberry, Esq.	Alternate Chair	07/07/25
Lynn Dondis, Esq.	Alternate Chair	07/07/25
Forest Products Harvester Representatives		
Michael Carpenter, Esq.	Harvester Rep.	03/27/25
Vacant	Alt. Rep.	
Vacant	Alt. Rep.	
Forest Landowner Representatives		
Patrick Strauch	Landowner Rep.	03/27/25
Vacant	Alt. Rep.	
Vacant	Alt. Rep.	

Neutral Staff

Apart from the Governor-appointed positions, the day-to-day operations of the Board, Panel, and BAC are handled by a neutral, non-appointed legal and administrative staff composed of the Executive Director, Board Counsel, and Office Manager. The Board's Executive Director also serves in that same capacity for the Panel, in addition to serving as Clerk to the BAC.

On a regular basis, the Board's staff members respond to inquiries from public employers and employees or their representatives, and members of the public. The staff is the primary source of information for persons interested in the operations and procedures of Maine's public sector labor laws. For inquiries that involve matters over which the Board has no jurisdiction, the staff suggests other agencies or organizations that may be of assistance.

The Board's staff maintains a State of Maine website on behalf of the Board, Panel and BAC. See www.maine.gov/mlrb/. The website provides a variety of Board-related information including links to MLRB-related statutes, administrative rules and forms. One of the site's more important components is a search engine through which the public can research previous Board decisions as well as the Superior and Law Court opinions reviewing those decisions. Access to this case law permits public employers, employees, and bargaining agents to know the parameters of required or permitted conduct and to use that information to comply with the law.

III. Board Operations for FY24

a. Bargaining Unit and Election Matters

As noted above, the Maine Labor Relations Board is responsible for certifying a labor organization as the designated bargaining agent of a bargaining unit, i.e. a group of employees who share common working conditions. A labor organization has three potential options to obtain certification: (1) voluntary recognition from the employer, (2) filing an election petition, supported by a 30% showing of interest, or (3) filing a majority sign-up petition for a bargaining unit that is currently unrepresented by another labor organization.

Absent voluntary recognition, a labor organization may pursue an election or majority sign-up petitions. For both petitions, an employer may object to the scope of the proposed bargaining unit. Upon such an objection, the Board's Executive Director conducts a hearing and issues a determination, subject to appeal to the Board, of the bargaining unit membership.

For an election petition, once the bargaining unit is finalized, the Board conducts an election, typically by mail ballot. Through the election, each bargaining unit employee is permitted to vote by secret ballot as to whether they wish to be represented by a particular labor organization for the purposes of collective bargaining. On average, a mail ballot election is completed in about six weeks from the time that the petition is filed until the certification of results.

For a majority sign-up petition, once the bargaining unit is finalized, the Executive Director reviews the written authorizations submitted for the employees from the proposed bargaining unit to determine if a majority of the group designated a particular labor organization as their bargaining agent. If a majority have signed such an authorization, the MLRB certifies the labor organization as the employees' bargaining agent without the need for a traditional election. If the Executive Director determines that the Union lacks majority support, the Board will conduct an election.

During FY24, the Board addressed several bargaining unit representation matters, including:

- **Elections**: A total of 13 election petitions were filed. Of those 13 petitions:
 - 2 involved the decertification of the existing bargaining agent in favor of no union representation,
 - o 7 involved a labor organization seeking to represent a bargaining unit represented by a different labor organization,
 - o 3 involved multiple local affiliates of a larger labor organization voting to merge into a single affiliate (see Chapter 240 of P.L. 2023),
 - o 1 involved a labor organization seeking to add a group of unrepresented employees to its existing bargaining unit.

To process these petitions, the Board conducted 11 elections and issued related certifications (noting two elections are not scheduled to close until after the start of the next fiscal year). One petition was withdrawn prior to the scheduled tally of ballots. One bargaining agent merger election was recently filed and remains pending.

Additionally, the Executive Director issued a decision following a hearing conducted in FY23 for a unit determination petition filed that year. Through the decision, the Executive Director dismissed a petition requesting an election to sever certain employees from an existing bargaining unit. See <u>Androscoggin County Patrol Deputies and Androscoggin County Employees Association and Androscoggin County Sheriff's Office</u>, No. 23-UD-03 (July 31, 2023).

• **Majority sign-up**: A total of 10 Majority sign-up petitions were filed. The Board issued a certification of a new bargaining agent for 9 of those petitions. One petition remains pending as an employer's objections to the scope of the proposed bargaining unit are adjudicated.

Additionally, the Board decided an appeal of a majority sign-up petition filed in FY23 in which the Executive Director initially determined that an election should be conducted. Upon appeal, the Board ordered that a certification of bargaining agent should be issued for that petition. See <u>AFSCME Council 93 and Town of Orono</u>, No. 23-UDA-04 (August 17, 2023).

- Voluntary Recognitions: A total of 6 voluntary recognitions were filed through which an employer and labor organization reached agreement as to the composition of the bargaining unit of employees and a labor organization's status as the bargaining agent for that unit.
- Unit Clarifications: A total of 1 unit clarification petition was filed which remains pending with the likelihood of a hearing.

b. Prohibited Practice Complaints

The Board is responsible for adjudicating prohibited practice complaints alleging a violation of the state's public sector labor relations laws. Once a complaint is filed, the Executive Director conducts an initial review of the complaint to determine if it alleges a violation of the law. If the original complaint fails to allege a violation of the law, the charging party is permitted the opportunity to amend the complaint once. Ultimately, if a complaint's alleged facts do not state an actionable claim, the complaint is dismissed subject to appeal to the Board.

If a complaint does allege a violation of the law, the Executive Director engages the parties in settlement discussions. Should the settlement discussions be unsuccessful, a hearing is conducted by the full, tri-partite Board. Thereafter, the Board issues a written decision and order which is subject to appeal to the courts.

In FY 24, a total of 19 prohibited practice complaints were filed with the Board. Subject matter at issue included alleged failures to negotiate in good faith, alleged interference with protected activity, and the alleged violation of a labor organization's duty of fair representation. In addition to the 19 complaints filed in FY 24, a total of 8 complaints were held over from FY 23. In sum, 27 complaints were pending before the Board during FY 24.

During this fiscal year, 14 of the 27 pending complaints were closed, as summarized below:

- 6 complaints were either withdrawn or settled by the parties without a hearing.
- 6 complaints were decided by the Board, five of which required a hearing. See:
 - o <u>Lisbon Education Association v. Lisbon School Committee</u>, Nos. 23-PPC-18 and 23-PPC-19 (May 23, 2024),
 - o *James Elwell Jr. filing as Merrymeeting Employees Association v. MSAD 75*, No. 24-PPC-07 (March 20, 2024),
 - o <u>Maine Service Employees Association v. State of Maine, Executive Branch, No.</u> 24-PPC-01 (January 24, 2024),
 - o <u>Maine State Law Enforcement Association v. Maine Office of State Fire Marshal</u>, No. 23-PPC-07 (December 18, 2023),
 - o James Escoto v. AFSCME Council 93, No. 23-PPC-10 (August 31, 2023),
 - o <u>Maine Service Employees Association v. State of Maine</u>, No. 23-PPC-04 (August 15, 2023).
- 2 complaints were dismissed by the MLRB's Executive Director for failing to allege an actionable claim.

Of the thirteen complaints that remain pending, the Board has conducted hearings for three and will be issuing decisions for each shortly. Two complaints are currently scheduled for hearing. One complaint is being held in abeyance at the request of the parties and seven more recently filed complaints are actively moving through the prehearing process and will be scheduled for hearing absent resolution.

In FY 24, the Board's decision in *Lisbon Education Association v. Lisbon School Committee*, Nos. 23-PPC-18 and 23-PPC-19 (May 23, 2024) was appealed to Superior Court. The appeal remains pending. No other Board decisions were appealed this year.

IV. Panel and Impasse Resolution Operations for FY 2023

A critical aspect of MLRB operations is to provide negotiation impasse resolution services to Maine's public-sector employers and labor organizations. Often, for a variety of reasons, parties are unable to reach agreement when negotiating either an initial or successor collective bargaining agreement. When such a bargaining impasse occurs, Maine's labor relations laws provide a three-step process to resolve the impasse.

First, parties may request the assignment of a neutral Panel mediator. The Board's Executive Director is responsible for assigning a Panel mediator. After assignment, the mediator meets with the parties and facilitates the discussion and resolution of subjects in dispute. Given the overall frequency of Panel mediation requests, this service represents an area where the MLRB and

Panel have the regular opportunity to provide direct assistance to numerous public-sector parties, with the goal of improving those labor-management relationships at the local level as well as promoting stability in labor relations state-wide.

If the parties are unable to reach ultimate agreement through mediation, either party may request a fact-finding panel, composed of a neutral chair, employer representative, and employee representative. Parties can either request a private fact-finding panel or a BAC fact-finding panel. Upon receipt of a request, the Board's staff assigns the fact-finding panel and coordinates the logistics for the fact-finding hearing. At hearing, each party presents evidence in support of its respective proposals, after which the fact finders issue a decision which provides the panel's advisory determination for all subjects in dispute. Additionally, at times, the assigned fact-finding panel conciliates a resolution during the hearing process.

Finally, if the parties remain in dispute following fact-finding, they may submit the outstanding issues to interest arbitration. Parties may obtain interest arbitration from either private arbitrators or members of the BAC. By law, the resulting arbitration decision is binding on the parties except any decision that addresses wages, retirement, or insurance, in which case the decision is advisory only. Parties are not required to notify the Board if they invoke interest arbitration.

a. Mediations in FY 2024

In FY 24, parties filed a total of 35 requests for Panel mediation. These requests typically involved parties at the municipal or county level. Additionally, 8 mediations were held over from pre-FY 24. Of the 43 matters pending in FY 24, a total of 24 were closed during the year. Of the 24 cases closed, the Panel's mediators facilitated a resolution in 21 cases during mediation itself, while an additional case was resolved shortly after mediation. As referenced below, a total of two mediations resulted in a request for fact-finding, with both of those matters resolving without the need for a fact-finding hearing. In the last several months of the fiscal year, the Board received 20 requests for mediation, 17 of which remain pending at this time.

b. Fact-Finding in FY 2024

In FY 24, parties filed a total of two requests for a private fact-finding panel. Of those, the parties reached settlement in one case prior to the hearing, and, in the other case, the parties reached a settlement through the assistance of the fact-finders prior to the completion of the hearing process.

V. BAC Operations for FY 2024

The BAC is available to provide the labor relations community with three services: (1) fact-finding for unresolved bargaining disputes, (2) interest arbitration if that mediation and fact-finding are unsuccessful to resolve a bargaining impasse, and (3) arbitration for grievances alleging a breach of a collective bargaining agreement. In practice, most of the BAC's activity is related to providing arbitration services for contract grievances. For parties to obtain BAC arbitration services, the parties must jointly request the service and/or the parties' collective bargaining agreement permits a contract grievance to be submitted to the BAC for arbitration.

In FY 24, the BAC received a total of 9 requests for BAC services, all of which involved requests for contract grievance arbitration services. In addition, 6 requests for BAC services were carried over from pre-FY 24. In sum, 15 cases were pending before the BAC this year.

Throughout this year, a total of 4 BAC cases were settled or withdrawn without the need for a hearing. For those cases that went to hearing, the BAC issued a total of 3 grievance arbitration decisions while 1 post-hearing decision remains pending. Additionally, the BAC issued a fact-finding advisory opinion for a fact-finding request filed in FY23. Seven cases remain pending a hearing, including 2 cases where the parties have requested to hold the cases in abeyance.

VI. Logging Board Operations for FY 2024

In FY 24, no complaints were filed with the Logging Dispute Resolution Board and the Board did not convene during the year.

VII. <u>Legislative Matters</u>

During its second session, the 131st Legislature enacted one MLRB-related bill as summarized below:

 L.D. 2032 "An Act to Improve Maine's Labor Laws by Changing the Laws Governing Elections of Collective Bargaining Agents for Certain Public Employees" signed by the Governor on March 14, 2024, enacted as Chapter 541 of P.L. 2023

L.D. 2032 extends the ability to file majority sign-up petitions to State, Judicial, and University of Maine System employees. Previously, only those employees covered by the Municipal Public Employees Labor Relations Law had the ability to pursue certification of a bargaining agent through the majority sign-up process.

VIII. Achievements, Goals, and Plans

In addition to fulfilling its statutory mission of enforcing Maine's public sector collective bargaining laws this past year, the Board continued its focus on increasing access for Maine's labor-management parties to the Board's services. For example, the Board conducted its first training for Maine's labor-management practitioners in recent memory. The training was well attended by both labor and management representatives and included a review of the statutory foundation for collective bargaining in Maine as well as an update on recent Board decisions and MLRB-related legislation enacted by the Maine legislature.

Looking ahead, the Board seeks to continue its engagement with Maine's labor-management community by conducting similar trainings on at least an annual basis. Additionally, the Board anticipates that it will conduct rulemaking in the upcoming fiscal year to further update its procedural rules with the goal of providing a more efficient process for those appearing before or engaging with the Board.

IX. Conclusion

The Board, Panel, and BAC continue to play a critical role in facilitating stability in Maine's public-sector labor relations. In FY24, the MLRB's components successfully addressed numerous representation matters, bargaining impasses, grievance arbitrations and prohibited practice complaints. Many of the disputes submitted to the Board and its affiliates were resolved, thus helping all involved avoid the financial and administrative costs associated with further protracted argument and litigation. When needed, the Board conducted hearings and issued decisions to ensure compliance with the State's collective bargaining laws.

The Board also timely processed elections and majority sign-up petitions ensuring that questions of a labor organization's status as bargaining agent were quickly addressed. By doing so, employers and labor organizations could promptly move forward in meeting their respective obligations under the labor relations laws.

In sum, the Board, Panel, and BAC look forward to continuing to provide Maine's labor-management parties effective service in the coming year. Please let us know if there is any additional information regarding Board, Panel, or BAC operations that we can provide.

Dated: June 28, 2024 Respectfully submitted,

Neil P. Daly

Executive Director

Neil P. Daly

Maine Labor Relations Board