

# Received MAR 2 6 2019

Maine Ethics Commission

#### COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

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# STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

Covering 2018 Calendar Year — Due April 16, 2019

Check here if this statement is an update or amendment of a previously filed statement.

Name	Job Title
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#### **GENERAL INSTRUCTIONS**

- Complete all sections. If a section is not applicable, check the box marked "None."
- · A glossary is located in the back of this form.
- · If completing this form by hand, please write legibly.
- Report the sources of income for you, your spouse or domestic partner, and your dependent children.
- Report only specific sources of income. Dollar amounts need not be listed.

## Please keep a copy of this statement for your records!

#### REPORTING DEADLINES

#### Constitutional Officers and the State Auditor

Newly elected constitutional officers and the state auditor must file the financial disclosure statement within 30 days of election and by <u>April 15</u> of each year they are in office, unless a report for that year has already been filed.

#### **Appointed Executive Employees**

Newly appointed executive employees who are appointed by the Governor and confirmed by the Legislature must file the financial disclosure statement prior to their confirmation and by <u>April 15</u> of each year of their employment, unless a report for that year has already been filed.

## **Executive Employees in Major Policy-Influencing Positions**

Executive employees in major policy-influencing positions must file the financial disclosure statement by <u>April 15</u> of each year of their employment.

#### **Leaving Office or Terminating Employment**

Constitutional officers and the state auditor and all other executive employees must file a final financial disclosure statement within 45 days of leaving office or terminating employment that covers the calendar year of leaving office or terminating employment.

## REQUIREMENT TO FILE AN UPDATED STATEMENT

Executive branch employees are required to update their financial disclosure statement within 30 days of a substantial change in income, reportable liabilities or positions of the executive branch employee or an immediate family member (except dependent children) that occurs in the current calendar year. (5 M.R.S.A. § 19(3)(C)) Substantial changes include but are not limited to:

- A new employer or other source of income that has paid the executive branch employee or immediate family member \$2,000 or more in the current year:
- A new reportable liability of \$3,000 or more obtained during the current calendar year;
- A new contract or other arrangement between the executive branch employee, immediate family member or associated organization and a State agency, board or commission for the lease or sale of goods or services with a value of more than \$10,000 during the current calendar year; and
- A new position in a political action committee, ballot question committee, party committee, or non-profit or for profit organization.

Part 1.	Income from Emp	oloyment by A	nother				
⊠ Nor	ne. Check this box	if you did not h	ave income fro	m employme	ent by another		
Name of Employer		Address		Principal Type of Economic or Business Activity of Employer			Job Title
	Income from Self						
⊠ Nor	ne. Check this box	if you did not h	ave income fro	m self-emplo	oyment.		
Name	of Your Business/Trade	Name	Add	ress			e of Economic ess Activity
Name (	of Client or Customer, if	required	Add	ress		Principal Typ	e of Economic
	(see instructions)					or Business A	Activity of Client
	Business Entitles		r immediate far	nily did not o	wn or control	more than 5º	% of any business.
	Name of Business		Add	iress		Principal Typ or Busine	pe of Economic ess Activity
	Income from the ne. Check this box			om the practi	ce of law.		
Name of	Practice or Firm	Address		ajor Areas ractice	Firm's Major of Practi		Position: Partner, ssociate, Sole Practitioner

Part 5. Income from Any Other Source						
None. Check this box if you did not have income from any other source.						
Name of Source	Address	Description of Income				
Part 6-A. Compensation Income of	f Immediate Family Members					
□ None. Check this box if no members of your immediate family received income of \$2,000 or more from employment or compensation.						
Name and Job Title (do not list name of dependent child)	Employer's Name and Address )	Principal Type of Economic or Business Activity of Employer				
Dependent, Customer Service	Publix Supermarkets, Knoxville, TN	Grocery				
Part 6-B. Other Sources of Income	of Immediate Family Members					
None. Check this box if no members of your immediate family received income of \$2,000 or more from any other source.						
Name of Spouse or Partner (do not list name of dependent child)	Source of Income Name and Address	Type of Income				
	:					

Part 7. Loans						
None. Check this box if you did	d not have report	table liabilities.				
Lender's Name		Lender's Addre	Principal Type of Econon Business Activity of Ler			
,						
Part 8. Gifts, Including Travel an	id Accommodal	tions				
□ None. Check this box if you dient	d not receive any	y gifts.		Allena VIII on the state of the		
Source of Gift			Source of Gift			
1. Electric Power Research Institute		2. Harvard Electi	2. Harvard Electricity Policy Group			
3.		4.	4.			
Part 9. Honoraria						
⊠ None. Check this box if you did	not receive hon	oraria.				
Source of Honora	ıria		Source of Honoraria			
1.		2.				
3.		4.	4.			
Part 10. Positions in Political Acti	ion, Ballot Ques	stion or Party Com	nmittees			
☑ None. Check this box if you and your immediate family were not a treasurer, or principal officer, decision-maker or fundraiser of a PAC, BQC, or Party Committee.						
Name of Committee	Name of Offic	ial or Family Memb	per Title			
1.						
2.						
3.						

Part 11. Conducting Business v	vith State Agencies					
☑ None. Check this box if neither	er you nor your immed	diate family did busi	ness with any State	agency.		
Name of Agency		dual/Organization ds or Services	Description of Good or Services			
Part 12. Representing Others B						
None. Check this box if neither	er you nor your immed	diate family represer	nted another before	a State agency.		
Name of Agency Name of Ind			dividual Receiving C	ompensation		
·						
Part 13. Positions in For-Profit	and Non-Profit Orga	nizations				
None. Check this box if you as non-profit organizations.						
Organization/Business and Address	Title	Name of Position Holder	Relationship to Executive Employee	Compensated Yes/No		
			□ Self	☐ Yes		
			☐ Spouse ☐ Dependent	□ No		
			☐ Self ☐ Spouse	☐ Yes		
			☐ Dependent	□ No		
			☐ Self ☐ Spouse	□ Yes		
			☐ Dependent	□ No		
	SIGN	ATURE				
I CERTIFY THAT I HAVE EXAMINE CORRECT, AND COMPLETE.	ED THIS REPORT A	ND TO THE BEST (	OF MY KNOWLEDG	E IT IS TRUE,		
Robert B Willeamso	<b>~</b>		_ 25 M	arch 2019		
Signature Date						
THE INTENTIONAL FILING OF A FALSE STATEMENT IS A CLASS E CRIME. (1 M.R.S.A. § 1016-G(3)(B))						