

# Receivemental Ethics and Election Practices

MAY 2 0 2019

MAIL: 135 STATE HOUSE STATION, AUGUSTA, MAINE 04333 OFFICE: 45 MEMORIAL CIRCLE, AUGUSTA, MAINE WEBSITE: WWW.MAINE.GOV/ETHICS E-MAIL: ETHICS@MAINE.GOV

PHONE: 207-287-4179

# Maine Ethics Commission

# STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

Covering 2018 Calendar Year — Due April 16, 2019

### oxtimes Check here if this statement is an update or amendment of a previously filed statement.

| Name   | Job Title                                       |
|--|---|
| Joseph E. Thomas   | State Fire Marshal                              |
| Department DPS - Office of State Fire Marshal                            | Phone (work)<br>(207) 626-3870                  |
| Mailing Address (work)<br>52 State House Station, Augusta, ME 04333-0052 | E-mail Address (work) joseph.e.thomas@maine.gov |

#### **GENERAL INSTRUCTIONS**

- Complete all sections. If a section is not applicable, check the box marked "None."
- · A glossary is located in the back of this form.
- · If completing this form by hand, please write legibly.
- Report the sources of income for you, your spouse or domestic partner, and your dependent children.
- Report only specific sources of income. Dollar amounts need not be listed.

### Please keep a copy of this statement for your records!

#### REPORTING DEADLINES

#### **Constitutional Officers and the State Auditor**

Newly elected constitutional officers and the state auditor must file the financial disclosure statement within 30 days of election and by April 15 of each year they are in office, unless a report for that year has already been filed.

#### **Appointed Executive Employees**

Newly appointed executive employees who are appointed by the Governor and confirmed by the Legislature must file the financial disclosure statement prior to their confirmation and by <u>April 15</u> of each year of their employment, unless a report for that year has already been filed.

## **Executive Employees in Major Policy-Influencing Positions**

Executive employees in major policy-influencing positions must file the financial disclosure statement by <u>April 15</u> of each year of their employment.

#### Leaving Office or Terminating Employment

Constitutional officers and the state auditor and all other executive employees must file a final financial disclosure statement within 45 days of leaving office or terminating employment that covers the calendar year of leaving office or terminating employment.

#### REQUIREMENT TO FILE AN UPDATED STATEMENT

Executive branch employees are required to update their financial disclosure statement within 30 days of a substantial change in income, reportable liabilities or positions of the executive branch employee or an immediate family member (except dependent children) that occurs in the current calendar year. (5 M.R.S.A. § 19(3)(C)) Substantial changes include but are not limited to:

- A new employer or other source of income that has paid the executive branch employee or immediate family member \$2,000 or more in the current year;
- A new reportable liability of \$3,000 or more obtained during the current calendar year;
- A new contract or other arrangement between the executive branch employee, immediate family member or associated
  organization and a State agency, board or commission for the lease or sale of goods or services with a value of more
  than \$10,000 during the current calendar year; and
- A new position in a political action committee, ballot question committee, party committee, or non-profit or for profit organization.

| Part1. Income from Em   | ployment by Another              |   |   |  |  |  |
|---|----------------------------------|---|---|--|--|--|
| None. Check this box if you did not have income from employment by another. |                                  |   |   |  |  |  |
| Name of Employer  | Address                          | Principal Type of Economic of Business Activity of Employer | Job: Title  |  |  |  |
|   |                                  |   |   |  |  |  |
|   |                                  |   |   |  |  |  |
| *                                     |                                  |   |   |  |  |  |
|   |                                  |   |   |  |  |  |
| Part 2 Income from Sel  | r-Employment                     |   |   |  |  |  |
| None. Check this box  | cif you did not have income from | n self-employment.  |   |  |  |  |
| Name of Your Business/Irad  | de Name Addi                     | 988 - F   | rincipal Typerof Economic                             |  |  |  |
|   |                                  |   | or Business Activity                                  |  |  |  |
|   |                                  |   |   |  |  |  |
|   |                                  |   |   |  |  |  |
| Name of Client or Gustomer, I   | frequired Addi                   |   | rincipal Type of Economic                             |  |  |  |
| (see instructions)  |                                  | 0   | Business Activity of Glient                           |  |  |  |
|   |                                  |   |   |  |  |  |
|   |                                  |   |   |  |  |  |
| Panes, Business Entitle   | S                                |   |   |  |  |  |
| 図 None. Check this box  | cif you and your immediate fam   | nily did not own or control mo                              | re than 5% of any business.                           |  |  |  |
| - Name of Business  | Aeldr                            | ess P   | rinelpal Type of Economic                             |  |  |  |
|   |                                  |   | or Business Activity                                  |  |  |  |
|   |                                  |   |   |  |  |  |
|   |                                  |   |   |  |  |  |
|   |                                  |   |   |  |  |  |
| Part 4. Income from the Practice of Law                                     |                                  |   |   |  |  |  |
| None. Check this box if you did not have income from the practice of law.   |                                  |   |   |  |  |  |
| Name of Practice or Firm  | Address Your Ma<br>of Pra        | gr Areas Firm's Major Arc<br>actice of Practice             | Pas Position: Partner<br>Associate, Scie Practitioner |  |  |  |
|   | ·                                |   |   |  |  |  |
|   |                                  |   |   |  |  |  |
|   |                                  |   |   |  |  |  |
|   |                                  |   |   |  |  |  |

| Part 5. Income from Any Other Source  |  |   |                                  |  |  |  |
|---|--|---|----------------------------------|--|--|--|
| □ None. Check this box if you did not have income from any other source.  |  |   |                                  |  |  |  |
| Name of Source  | Address  |   | Description of Income            |  |  |  |
| Maine State Retirement System   | Maine Public Employees Retirement System P.O. Box 349 Augusta, ME 04332-0349 |   | Portland Fire Department pension |  |  |  |
|   |  |   |                                  |  |  |  |
| Part 6-A. Compensation Income o   | flm  | mediate Family Members  |                                  |  |  |  |
| □ None. Check this box if no members of your immediate family received income of \$2,000 or more from employment or compensation. |  |   |                                  |  |  |  |
| Name and Job Title<br>(do not list name of dependent child  | l):  | Employer's Name and Address   |                                  | Principal Type of Economic or<br>Business Activity of Employer |  |  |
| Tammy S. Thomas, Office Associate Supervisor  |  | Department of Labor<br>54 State House Station<br>Augusta, ME 04333-0054 |                                  | State of Maine Government                                      |  |  |
|   |  |   |                                  |  |  |  |
|   |  |   |                                  |  |  |  |
| Part 6-B. Other Sources of Income   | e of   | Immediate Family Members  |                                  | (00,000  |  |  |
| None. Check this box if no members of your immediate family received income of \$2,000 or more from any other source.             |  |   |                                  |  |  |  |
| Name of Spouse of Parther (do not list name of dependent child  | )  | Source of Income<br>Name and Address                                    |                                  | Type of Income   |  |  |
| ,   |  |   |                                  |  |  |  |
|   |  |   |                                  |  |  |  |
|   |  |   |                                  |  |  |  |

| Part 7. Loans   |                   |   |       |   |  |  |
|---|-------------------|---|-------|---|--|--|
| ☑ None. Check this box if you did not have reportable liabilities.  |                   |   |       |   |  |  |
| Lender's Name   |                   | Lender's Address                        |       | Principal Type of Economic or Business Activity of Lender |  |  |
|   |                   |   |       |   |  |  |
|   |                   |   |       | . A mile traderice of                                     |  |  |
|   |                   |   |       |   |  |  |
| Part 8_Gifts, Including Travel an   | d Accommodati     | oms                                     |       |   |  |  |
| None. Check this box if you die   | d not receive any | gifts.                                  |       |   |  |  |
| Source of Gift  |                   |   | So    | ource of Gift   |  |  |
| 1.  |                   | 2.                                      |       |   |  |  |
| 3.  |                   | 4.                                      |       | ·   |  |  |
|   |                   |   |       |   |  |  |
| Part 9: Honoraria   |                   |   |       |   |  |  |
| None. Check this box if you did   | not receive hono  | raria.                                  |       |   |  |  |
| Source of Honora  | ria               |   | Sour  | se of Honoraria   |  |  |
| 1.  |                   | 2.                                      |       | •   |  |  |
| 3.  |                   | 4.                                      |       |   |  |  |
| . 0.  |                   |   |       |   |  |  |
| Part 10. Positions in Political Acti  | on-Ballor Quesi   | ion or Party Commi                      | tees: |   |  |  |
| ☑ None. Check this box if you and your immediate family were not a treasurer, or principal officer, decision-maker or fundraiser of a PAC, BQC, or Party Committee. |                   |   |       |   |  |  |
| Name of Committee   | Name of Officia   | iLor∈Family Member                      |       | Tille   |  |  |
| 1.  |                   |   |       |   |  |  |
| 0   |                   | . , , , , , , , , , , , , , , , , , , , |       |   |  |  |
| 2.  |                   |   |       |   |  |  |
| 3.  | ,                 |   |       |   |  |  |
|   |                   |   | l     |   |  |  |

| Part 11. J  | Conducting Business                         | with State Agenci     | 05                        |                            |                   |  |  |
|---|---|-----------------------|---------------------------|----------------------------|-------------------|--|--|
| ☑ None. Check this box if neither you nor your immediate family did business with any State agency.           |   |                       |                           |                            |                   |  |  |
| N   | Jame of Agency                              |                       | dividual/Organization     | Description of C           | Good or Services  |  |  |
|   |   | Sellidigie            | Goods or Services         |                            |                   |  |  |
|   |   |                       |                           |                            |                   |  |  |
|   |   |                       |                           |                            |                   |  |  |
|   |   |                       |                           |                            |                   |  |  |
| Panti (2.5)   | Representing Others                         | <br>Belore Slate Aven | CIES                      |                            |                   |  |  |
|   | Check this box if neith                     |                       |                           | nted another before        | a State agency.   |  |  |
|   | Name of Ager                                |                       |                           | dividual Receiving C       |                   |  |  |
|   | 5-402-4019-                                 |                       |                           |                            |                   |  |  |
|   |   |                       |                           |                            |                   |  |  |
|   |   |                       |                           |                            |                   |  |  |
|   |   |                       |                           |                            |                   |  |  |
|   |   |                       |                           |                            |                   |  |  |
|   | Positions in For-Profi                      |                       |                           |                            |                   |  |  |
| : —   | Check this box if you a ofit organizations. | and members your      | immediate family did n    | ot hold positions in a     | any for-profit or |  |  |
|   | anization/Business                          |                       | Name of Position          | Relationship to Executive  | Compensated       |  |  |
| 7/19  | and Address                                 | Title                 | Holder                    | Employee                   | Yes/No            |  |  |
| Pine Tree Burn  | Foundation                                  | Trustee               | Joseph E. Thomas          | ☑ Self                     | ☐ Yes             |  |  |
|   |   |                       |                           | ☐ Spouse<br>☐ Dependent    | ☑ No              |  |  |
|   |   |                       |                           | ☐ Self                     |                   |  |  |
|   |   |                       |                           | ☐ Spouse ☐ Dependent       | │                 |  |  |
|   |   |                       |                           | — o-k                      |                   |  |  |
|   |   |                       |                           | ☐ Spouse                   | ☐ Yes<br>☐ No     |  |  |
|   |   |                       |                           | ☐ Dependent                |                   |  |  |
|   |   |                       | GNATURE                   |                            |                   |  |  |
| I CERTIFY THAT I HAVE EXAMINED THIS REPORT AND TO THE BEST OF MY KNOWLEDGE IT IS TRUE, CORRECT, AND COMPLETE. |   |                       |                           |                            |                   |  |  |
|   |   |                       |                           |                            |                   |  |  |
| Jones The   |   |                       |                           |                            |                   |  |  |
| Signature Date  |   |                       |                           |                            |                   |  |  |
|   | /   |                       | TEMENT IS A CLASS E CRIMI | E. (1 M.R.S.A. § 1016-G(3) | (B))              |  |  |

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