

## Received JAN 23 2019

COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

MAIL: 135 STATE HOUSE STATION, AUGUSTA, MAINE 04333 OFFICE: 45 MEMORIAL CIRCLE, AUGUSTA, MAINE

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# Maine Ethics Commission

#### STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES 2018 Calendar Year

☐ Check here if this statement is an update or amendment of a previously filed statement.

Name a	Job Title
PAUL H. SIGHINOLIFI	EXECUTIVE BIRECION / CHAIR
Department  WORKERS' COMPENSATION	Phone (work) (201) 287 - 7101
Mailing Address (work)	E-mail Address (work)
27 STATE HOUSE STATION, AUGUSTA, MAINE	paul. sighinolfic maine, sur

#### **GENERAL INSTRUCTIONS**

- Complete all sections. If a section is not applicable, check the box marked "None."
- · A glossary is located in the back of this form.
- If completing this form by hand, please write legibly.
- Report the sources of income for you, your spouse or domestic partner, and your dependent children.
- Report only specific sources of income. Dollar amounts need not be listed.

### Please keep a copy of this statement for your records!

#### REPORTING DEADLINES

Constitutional Officers and the State Auditor

Newly elected constitutional officers and the state auditor must file the financial disclosure statement within 30 days of election and by April 15 of each year they are in office, unless a report for that year has already been filed.

**Appointed Executive Employees** 

Newly appointed executive employees who are appointed by the Governor and confirmed by the Legislature must file the financial disclosure statement prior to their confirmation and by April 15 of each year of their employment, unless a report for that year has already been filed.

**Executive Employees in Major Policy-Influencing Positions** 

Executive employees in major policy-influencing positions must file the financial disclosure statement by April 15 of each year of their employment.

Leaving Office or Terminating Employment

Constitutional officers and the state auditor and all other executive employees must file a final financial disclosure statement within 45 days of leaving office or terminating employment that covers the calendar year of leaving office or terminating employment.

#### REQUIREMENT TO FILE AN UPDATED STATEMENT

Executive branch employees are required to update their financial disclosure statement within 30 days of a substantial change in income, reportable liabilities or positions of the executive branch employee or an immediate family member (except dependent children) that occurs in the current calendar year. (5 M.R.S.A. § 19(3)(C)) Substantial changes include but are not limited to:

- A new employer or other source of income that has paid the executive branch employee or immediate family member \$2,000 or more in the current year;
- A new reportable liability of \$3,000 or more obtained during the current calendar year;
- A new contract or other arrangement between the executive branch employee, immediate family member or associated organization and a State agency, board or commission for the lease or sale of goods or services with a value of more than \$10,000 during the current calendar year; and
- A new position in a political action committee, ballot question committee, party committee, or non-profit or for profit organization.

Pa	rt 1. Ind	come from B	Employment	by Anothe	er						
	None.	Check this t	oox if you did	not have ir	ncome fro	m employm	ent by and	other.			
	Name o	of Employer		Address		Principal Ty Business A	pe of Econo ctivity of Em	omic or ployer		Job Title	
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Pa			Self-Employn								
ď	None.	Check this b	oox if you did	not have ir	come troi	m seit-empi	oyment.				
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Pa	7		the Practice of the box if you did		ncome fro	m the practi	ce of law.				
Nan	ne of Prac	ctice or Firm	Address		Your Ma of Pr	jor Areas actice		Major Area Practice	is Ass	Position: Partner, ociate, Sole Practitio	oner

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Part 5. Income from Any Other So	ource	
□ None. Check this box if you did	not have income from any other source.	
Name of Source	Address	Description of Income
MORGAN STANLEY	1850 KSIRKET NW SUITE 980 WASHING TON, D.C.	INVESTMENTINCOME
FIDELITY INVESTMENTS	PO BIX 170001 CINCINNATA, OHIO 45217-002	INVESTMENT INCOME
NEUBELGER + BERMAN MANAGEMENT 44C	P.O. BOX 8403 BOSTON, MA. ODZGC-8403	INVESTMENT INCOME
Part 6-A. Compensation Income	of Immediate Family Members	
<ul> <li>None. Check this box if no men employment or compensation.</li> </ul>	nbers of your immediate family received i	ncome of \$2,000 or more from
Name and Job Title (do not list name of dependent child	Employer's Name and Address	Principal Type of Economic or Business Activity of Employer
VICKIE SIDOY MO ANBSTHESIONOGIST	WALLO COUNTY GENERAL BENFAST, MAINE MOSPITAL	ANESTHEBIA SERVICES
7 :	SPECTRUM MEDICAL BANGM, MAIUR	ANESTHESTA SERVICES
Part 6-B. Other Sources of Incom	e of Immediate Family Members	
□ None. Check this box if no men other source.	nbers of your immediate family received i	ncome of \$2,000 or more from any
Name of Spouse or Partner (do not list name of dependent child	Source of Income Name and Address	Type of Income
VICKIE SIABY	MORGAN STANLLY 1853 K STREET WASIMINGTON, OC	INVEST MENT INCOME
VICKIE SIDBY	SAMOSAM BOLLAG SECURITY	RETILEMEN INCOME
VICKIE SIDOU	CHARGES SCHWAS  JI MAIN SMELT SAN FRANCISCO	INVESTMENT INCOME

Part 7. Loans			
None. Check this box if you did	not have reportable	liabilities.	
Lender's Name		ender's Address	Principal Type of Economic or Business Activity of Lender
Part 8. Gifts, Including Travel and	Accommodations		
☐ None. Check this box if you did	not receive any gifts	S.	
Source of Gift		Section of the sectio	Source of Gift
1. NCCI MEETING EXPENSE	S	2. HAW PABLISH MEETING	
3. IAIABL MEETING EXPENS	£s	4. NEW ENGLAND E MEETING E	CO44EGE OF NVIRONMENTAL MEDICINE (PENSES
Part 9. Honoraria			
□ None. Check this box if you did r	ot receive honorari	a.	
Source of Honorari			rce of Honoraria
1. NEWEYGLAND COLLEGE OF ENVIR MEDICINE SPEAKING HONORAIRA	OMENTA	2.	
3.		4.	
Part 10. Positions in Political Action	n, Ballot Question	or Party Committees	
None. Check this box if you and or fundraiser of a PAC, BQC, or F	your immediate fam Party Committee.	ily were not a treasurer,	or principal officer, decision-maker
Name of Committee	Name of Official or	Family Member	Title
1.			
2.			
3.			

Part 11. Conducting Business with	State Agencies			
None. Check this box if neither you	ou nor your immedi	ate family did busine	ess with any State a	agency.
Name of Agency	Name of Individu Selling Good	ual/Organization s or Services	Description of G	Good or Services
				A. C.
Part 12. Representing Others Befo	the factory of the factor of the factory of the factory of the factory of the factory of the factor			
None. Check this box if neither y	ou nor your immedi	ate family represent	ted another before	a State agency.
Name of Agency		Name of Ind	ividual Receiving C	ompensation
Part 13. Positions in For-Profit and	d Non-Profit Orga	nizations		
□ None. Check this box if you and non-profit organizations.	members your imm	ediate family did no	t hold positions in a	any for-profit or
Organization/Business and Address	Title	Name of Position Holder	Relationship to Executive	Compensated
			Employee	Yes/No
ROMALO MARDONALA HOUSE CHARITIES PORTHAND, MAINE	DIRECTOM	SEAF	Self Spouse Dependent	Yes/No
	DIRECTUR DIRECTUR VICE/RESIDENT	SELF	Self  Spouse	
CHARITIES  PORTHAND, MAINE  I AIABC  MADISON, WISCONSIN  SOUTHERN ASSOCIATION OF  WORKERS' COMPENSATION  ADMINISTRATOS	DIRECTUR		Self Spouse Dependent Self Spouse	No
CHARTIES PORTHAND, MAINE I AIABC MANISON, WISCONSIN  SOUTHERN ASSOCIATION OF WORKERS' COMPENSATION	BIRECTUR VICE PRESIDENT BIRECTOR	SELF	Self Spouse Dependent Self Spouse Dependent Self Spouse Self Spouse	NO
CHARITIES PORTHAND, MAINE I AIABC MADISON, WISCOUSIN  SOUTHERN ASSOCIATION OF WORKERS' COMPENSATION ADMINISTRATOS	DIRECTUR VICE PRESIDENT DIRECTOR SIGN	SELF SELF ATURE	Self Spouse Dependent Self Spouse Dependent Self Dependent Self Dependent Dependent	No No
CHARTIES PORTHAND, MAINE I ATABC MANISON, WISCOUSIN  SOUTHERN ASSOCIATION OF VORKERS' COMPENSATION ADMINISTRATIOS ATHANIN, GA	DIRECTUR VICE PRESIDENT DIRECTOR SIGN	SELF SELF ATURE	Self Spouse Dependent Self Spouse Dependent Self Dependent Self Dependent Dependent	No No SE IT IS TRUE,

## ADDITIONAL INFORMATION

Please provide any additional information in the space below. Indicate the part number for the information you are providing. Use additional pages if necessary.

irt Number		
	CHARLES SCHWAD	INVESTMENT
5		MCOME.
Am.	OI MAIN STREET, SAN FRANCISCO, CA VANGUARD INVESTMENTS	INVESTMENT
5×6B	P.O. DOX 1110 VANLEY FORKE, PA	INCOME
3	P.O. DON 1110 VANLEY FAME, PA	RETIREMENT
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