

# RECEIVED

COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

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# Maine Ethics Commission

APR 14 2014

# STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

For the 2013 Calendar Year: January 1, 2013 - December 31, 2013

| Check here if this statement is an update or amendment of | a previously filed statement.    |
|---|----------------------------------|
| Name Bruce VAn NOIR.                                      | Job Title<br>Deputy Commissioner |
| Department  | Phone (work)                     |
| Maine Department of Transportation                        | 624-3002                         |
| Mailing Address (work)                                    | Ę-mail Address (work)            |
| 24 Child St. SHS # 16, ALBUSTA ME                         | bruce. Uprnote emaine. 901       |
| 04333-  | 0016                             |

# **GENERAL INSTRUCTIONS**

- Complete all sections. If a section is not applicable, check the box marked "None."
- · A glossary is located in the back of this form.
- If completing this form by hand, please write legibly.
- Report the sources of income for you, your spouse or domestic partner, and your dependent children.
- Report only specific sources of income. Dollar amounts need not be listed.

Please keep a copy of this statement for your records!

#### REPORTING DEADLINES

#### Constitutional Officers and the State Auditor

Newly elected constitutional officers and the state auditor must file the financial disclosure statement within 30 days of election and by April 15 of each year they are in office, unless a report for that year has already been filed.

# Appointed Executive Employees

Newly appointed executive employees who are appointed by the Governor and confirmed by the Legislature must file the financial disclosure statement prior to their confirmation and by April 15 of each year of their employment, unless a report for that year has already been filed.

# **Executive Employees in Major Policy-Influencing Positions**

Executive employees in major policy-influencing positions must file the financial disclosure statement by April 15 of each year of their employment.

# **Leaving Office or Terminating Employment**

Constitutional officers and the state auditor and all other executive employees must file a final financial disclosure statement within 45 days of leaving office or terminating employment that covers the calendar year of leaving office or terminating employment.

# REQUIREMENT TO FILE AN UPDATED STATEMENT

Executive branch employees are required to update their financial disclosure statement within 30 days of a substantial change in income, reportable liabilities or positions of the executive branch employee or an immediate family member (except dependent children) that occurs in the current calendar year. (5 M.R.S.A. § 19(3)(C)) Substantial changes include but are not limited to:

- A new employer or other source of income that has paid the executive branch employee or immediate family member \$2,000 or more in the current year;
- A new reportable liability of \$3,000 or more obtained during the current calendar year;
- A new contract or other arrangement between the executive branch employee, immediate family member or associated organization and a State agency, board or commission for the lease or sale of goods or services with a value of more than \$10,000 during the current calendar year; and
- A new position in a political action committee, ballot question committee, party committee, or non-profit or for profit organization.

| Part 1/ Income from Emp                           | ployment by                             | / Another  |  |  |  |   |  |
|---|---|--|--|--|--|---|--|
| None. Check this box i                            | if you did not                          | have income fror   | n employme   | ent by and   | other.   |   |  |
| Name of Employer                                  |   | Address  | Principal Ty<br>Business A   | pe of Econ   | omic or<br>nolover   | jo                                      | b Title  |
|   |   |  |  |  | ∯ <b>೯</b> ೨೦೩೯೩೪ ಪ್ರಾಣಂತಿ   | <u> </u>                                |  |
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| D-10-10-10-10-10-10-10-10-10-10-10-10-10-         |   |  |  |  |  |   |  |
| Part 2. Income from Self  None. Check this box in |   |  | n self emple   | wment  |  |   | (A)  |
| Name of Your Business/Trade                       |   | on a series of the series of t | ress   | ymen.  | Pr   | incipal Type of E                       | conomic  |
|   |   |  |  |  |  | or Business Ad                          | Hivity   |
| ,   |   |  | <u> </u>   |  | <del>-</del>   | ,                                       |  |
|   |   |  |  |  |  |   |  |
|   |   |  |  |  |  |   |  |
| Name of Client or Customer, if red                | quired (see                             | Add  | ress   |  | Pr   | incipal Type of E                       | conomic  |
| instructions)                                     |   |  | And the second s |  | - EUL  | Business Activity                       | / or client  |
|   |   |  |  |  |  |   |  |
| *   |   |  | 4  |  | <u> </u>   |   | <del>*************************************</del>   |
|   |   |  |  |  |  |   |  |
|   |   |  |  |  |  |   |  |
|   | ## #TT10701                             |  |  |  |  |   |  |
| Part 3. Business Entitles                         | 12 :                                    | · · · · · · · · · · · · · · · · · · ·  | '  | The state of the s | 41   | 15-5 EO/ of s                           | business   |
| None. Check this box it                           | you and you                             |  | ily ala not ov<br>ress   | Wh or con  |  | tnan 5% of a<br>incipal Type of E       |  |
|   |   | A control of the cont | 1  | Security (1997) The security of the security o | 19 19 19 19 19 19 19 19 19 19 19 19 19 1   | or Business Ac                          |  |
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|   |   |  | 20 To 10 To  |  | and the second s | - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 |  |
| Part 4 Income from the I                          |   |  | 10 11 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1  | 170 de 17 |  |   | 3 (1 days (1 d |
| None. Check this box if                           | you did not h                           |  | the practice<br>or Areas of  |  | vajor Area:  | e of Pos                                | ition:=Partner,  |
| Name of Flactice of Firm                          | Audies                                  | Pra  | ctice  |  | ractice  | Ass                                     | sociate, Sole<br>Practitioner  |
|   | 10 ( 10 ( 10 ( 10 ( 10 ( 10 ( 10 ( 10 ( | 2 (1) (1) (1) (1) (1) (1) (1) (1) (1) (1)  | 7-11-11-11-11-11-11-11-11-11-11-11-11-11   | ** ** *** *** *** *** *** *** *** ***  | 2. 4. 4.   | **************************************  | And Annual Control of the same of Consession   |
|   |   |  |  |  |  |   |  |
|   |   |  |  | <del>                                     </del>   |  | <del></del>                             |  |

| Part 5 Income from Any Other S   | ource   |                       |  |
|--|---------|-----------------------|--|
| None. Check this box if you did not have income from any other source. |         |                       |  |
| Name of Source   | Address | Description of Income |  |
|  |         |                       |  |
|  |         |                       |  |
|  |         |                       |  |
|  |         |                       |  |
| ,  |         |                       |  |
|  |         |                       |  |
|  |         |                       |  |
|  |         |                       |  |

| Part 6-A. Compensation Income of Im  None. Check this box if no members employment or compensation. |   | me of \$2,000 or more from                                  |
|---|---|---|
| Name and Job Title<br>(do not list name of dependent child)   | Employer's Name and Address                             | Principal Type of Economic or Business Activity of Employer |
| Susan Uan Note<br>Admin Assistant   | Mid Coast Hospit'Al<br>123 Medical Dr.<br>Brunswick, ME | Healthcare  |
|   |   |   |
|   |   |   |

| Part 6-B. Other Sources of Income of Im  ☐ None. Check this box if no members of yother source. |                                   | ome of \$2,000 or more from any |
|---|-----------------------------------|---------------------------------|
| Name of Spouse or Partner (do not list name of dependent child)                                 | Source of Income Name and Address | Type of Income                  |
|   |                                   |                                 |
|   |                                   |                                 |
|   |                                   |                                 |
|   |                                   |                                 |
|   |                                   |                                 |

| Part 7. Loans                               |                       |   |
|---|-----------------------|---|
| None. Check this box if you did not have re | portable liabilities. |   |
| Lender's Name                               | Lender's Address      | Principal Type of Economic or Business Activity of Lender |
|   |                       |   |
|   |                       |   |

| Part 8.: Gifts, Including Travel and Accommodations     |                |
|---|----------------|
| None. Check this box if you did not received any gifts. |                |
| Source of Gift  | Source of Gift |
| 1.  | 2.             |
| 3.  | 4.             |
|   |                |

| Part 9. Honoraria   |                     |
|---------------------|---------------------|
| Source of Honoraria | Source of Honoraria |
| 1.                  | 2.                  |
|                     |                     |
| 3.                  | 4.                  |
|                     |                     |

. .

| Part 10. Positions in Political Acti                                  | on, Ballot Question or Party Commit | lees                                       |
|---|-------------------------------------|--|
| None. Check this box if you and yor fundraiser of a PAC, BQC, or Part | •                                   | ırer, or principal officer, decision-maker |
| Name of Committee   | Name of Official or Family Member   | Title                                      |
| 1.  |                                     |  |
|   |                                     | · · · · · · · · · · · · · · · · · · ·      |
| 2.  |                                     |  |
|   | •                                   |  |

| None. Check this box if neither you   | ou nor your imme   | ediate family did busine  | ess with any State a  | agency.  |
|---|--|---|---|--|
| Name of Agency  |  | lividual/Organization<br>Goods or Services  | Description of  | Good or Services                               |
|   |  |   |   |  |
|   |  |   |   | a kayka na panana na                           |
|   |  |   |   |  |
| Part 12. Representing Others Bef  | ore State Agen   | cles  |   |  |
| None. Check this box if neither yo  | ou nor your imme   | ediate family represente  | ed another before a   | State agency.                                  |
| Name of Agency  |  | Name of Inc   | dividual Receiving (  | Compensation.                                  |
|   | The state of the s | Market variety of the COTA of | Colon |  |
| <u>.</u>  | <u> </u>   |   |   |  |
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|   |  |   |   |  |
| Part 13. Positions in For-Profit an   | ıd Non-Profit Or   | rganizations  |   |  |
| Part 13 Positions In For-Profit an None. Check this box if you and n profit organizations.                                  |  |   | hold positions in ar  | ny for-profit or non-                          |
| None. Check this box if you and n   |  |   | hold positions in ar  Relationship to executive branch employee   | ny for-profit or non-<br>Compensated<br>Yes/No |
| None. Check this box if you and no profit organizations.  Organization/Business   | nembers your im  | mediate family did not . Name of Position   | Relationship to executive branch  | Compensated                                    |
| None. Check this box if you and no profit organizations.  Organization/Business   | nembers your im  | mediate family did not . Name of Position   | Relationship to executive branch employee  Self Spouse  | Compensated                                    |
| None. Check this box if you and no profit organizations.  Organization/Business   | nembers your im  | mediate family did not . Name of Position   | Relationship to executive branch employee  Self Spouse Dependent Self Spouse  | Compensated                                    |
| None. Check this box if you and no profit organizations.  Organization/Business   | members your im  | mediate family did not . Name of Position   | Relationship to executive branch: employee  Self Spouse Dependent  Self Spouse Dependent  Self Spouse Dependent   | Compensated                                    |
| None. Check this box if you and no profit organizations.  Organization/Business   | members your im  | Name of Position Holder   | Relationship to executive branch- employee  Self Spouse Dependent Self Spouse Dependent Self Dependent Dependent  | Compensated Yes/No                             |
| None. Check this box if you and no profit organizations.  Organization/Business and Address  I CERTIFY THAT I HAVE EXAMINED | members your im  | Name of Position Holder   | Relationship to executive branch- employee  Self Spouse Dependent Self Spouse Dependent Self Dependent Dependent  | Compensated Yes/No                             |