

RECEIVED

COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

APR 14 2014

Malne Ethics Commission

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### STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

For the 2013 Calendar Year: January 1, 2013 - December 31, 2013

Check here if this statement is an update or amendment of a previously filed statement.

Name

OSEPH E. THOMAS

Department

Department

Phone (work)

Mailing Address (work)

52 STATE HOUSE TOTAL 2 AUGUSTA 04223

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### **GENERAL INSTRUCTIONS**

- Complete all sections. If a section is not applicable, check the box marked "None."
- · A glossary is located in the back of this form.
- If completing this form by hand, please write legibly.
- Report the sources of income for you, your spouse or domestic partner, and your dependent children.
- Report only specific sources of income. Dollar amounts need not be listed.

Please keep a copy of this statement for your records!

#### REPORTING DEADLINES

## **Constitutional Officers and the State Auditor**

Newly elected constitutional officers and the state auditor must file the financial disclosure statement within 30 days of election and by April 15 of each year they are in office, unless a report for that year has already been filed.

### Appointed Executive Employees

Newly appointed executive employees who are appointed by the Governor and confirmed by the Legislature must file the financial disclosure statement prior to their confirmation and by April 15 of each year of their employment, unless a report for that year has already been filed.

### **Executive Employees in Major Policy-Influencing Positions**

Executive employees in major policy-influencing positions must file the financial disclosure statement by April 15 of each year of their employment.

### **Leaving Office or Terminating Employment**

Constitutional officers and the state auditor and all other executive employees must file a final financial disclosure statement within 45 days of leaving office or terminating employment that covers the calendar year of leaving office or terminating employment.

# REQUIREMENT TO FILE AN UPDATED STATEMENT

Executive branch employees are required to update their financial disclosure statement within 30 days of a substantial change in income, reportable liabilities or positions of the executive branch employee or an immediate family member (except dependent children) that occurs in the current calendar year. (5 M.R.S.A. § 19(3)(C)) Substantial changes include but are not limited to:

- A new employer or other source of income that has paid the executive branch employee or immediate family member \$2,000 or more in the current year;
- A new reportable liability of \$3,000 or more obtained during the current calendar year;
- A new contract or other arrangement between the executive branch employee, immediate family member or associated organization and a State agency, board or commission for the lease or sale of goods or services with a value of more than \$10,000 during the current calendar year; and
- A new position in a political action committee, ballot question committee, party committee, or non-profit or for profit organization.

☐ None. Check this box if	vou did not ha	ve income fron	n employme	ent by anoth	er.	-	
Name of Employer	<del></del>	ress	- Principal Ty	pe of Economictivity of Emplo	c or	Job Title	100 100 100 100 100 100 100 100 100 100
	Commence of the finding of the first		,			•	
Part 2. Income from Self-	Employment=						11
None. Check this box if	you did not ha	ve income fron	n self-emplo	yment.			
Name of Your Business/Trade	Name	Addr	ess.		Principal or Bu	Type of Economic siness Activity	
			al a manager and a second and a	and Survey, Disposer 1		The second secon	
Name of Client or Customer, if req instructions)	uired (see	Addr	ess in the second secon		Principal or Busines	Type of Economic is Activity of Client	
						•	
			•				
Part 8. Business Entities	25. 17. 17. 17. 17. 17. 17. 17. 17. 17. 17			The second secon	3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3		
☐ None. Check this box if	vou and vour i	mmediate fami	ly did not ov	vn or contro	I more than	5% of any business	_
Name of Business		Addr			Principal :	Type of Economic	
						•	
Part 4. Income from the P	ractice of Lav				The second secon		
None. Check this box if	you did not hav	e income from	the practice	e of law.			
Name of Practice or Firm	Address	Your Majo Prac	r Areas of	Firm's Majo Prac		Position: Partner, Associate, Sole Practitioner	
						•	

Part 5. Income from Any Other Sou		
Name of Source	Address	Description of Income
MAINE STATE RETIREMENT	AUGUSTA, ME	CITY OF POETLAND RETIREMEN

Part 6-A. Compensation Income of Im	mediate Family Members	
□ None. Check this box if no members employment or compensation.	of your immediate family received inco	ome of \$2,000 or more from
Name and Job Title (do not list name of dependent child)	Employer's Name and Address	Principal Type of Economic or Business Activity of Employer
TAMMY S. THOMAS	45 COMMERCE DR. AUBUSTA, ME 04830	STATE OF MAINE DEPT. OF LABOR
•		

Part 6-B. Other Sources of Income of In	nmediate Family Members	
None. Check this box if no members of	your immediate family received inc	come of \$2,000 or more from any
other source.		
Name of Spouse or Partner (do not list name of dependent child)	Source of Income Name and Address	Type of Income
	***************************************	
	•	

Part 7. Loans  None. Check this box if you did not have re		
Lender's Name	Lender's Address	Principal Type of Economic or Business Activity of Lender
	·	

Part 8. Gifts, Including Travel and Accou	nmodations	
None. Check this box if you did not recei		
Source of Gift		Source of Gift
1.	2.	
		ACC -
3.	4.	·

Part 9. Honoraria	
None. Check this box if you did not received honora	aria.
Source of Honoraria	Source of Honoraria
1.	2.
3.	4.

Name of Committee	Vame of Official or Fam				
		my wember		ıtle	
1.			-		
2.			······································		

None. Check this box if neithe	r you nor your immed	liate family did busine	ss with any State a	gency.
Name of Agency		vidual/Organization ods or Services	Description of	Good or Services
and the second s		- Marie		
Part 12. Representing Others I  None. Check this box if neithe			and another before a	State agency
None. Check this box if heather Name of Ager			dividual Receiving C	
- Marie N		· · · · · · · · · · · · · · · · · · ·		
	- Julia Sant Turio - 1			
Part 13. Positions in For-Profit	and Non-Profit Org	janizations		
□ None. Check this box if you ar	7 17 , Francisco 10- 10- 10- 20- 20- 20- 20- 20- 20- 20- 20- 20- 2	311901.0	hold positions in ar	ny for-profit or nor
The second secon	7 17 , Francisco 10- 10- 10- 20- 20- 20- 20- 20- 20- 20- 20- 20- 2	311901.0	hold positions in an Relationship to executive branch employee	
<ul> <li>□ None. Check this box if you are profit organizations.</li> <li>□ Organization/Business</li> <li>□ and Address</li> </ul>	nd members your imn	Name of Position	Relationship to executive branch employee	Compensated
<ul> <li>□ None. Check this box if you are profit organizations.</li> <li>□ Organization/Business</li> <li>□ and Address</li> </ul>	nd members your imn	Name of Position	Relationship to executive branch employee  Self Spouse	Compensated
<ul> <li>□ None. Check this box if you are profit organizations.</li> <li>□ Organization/Business</li> <li>□ and Address</li> </ul>	nd members your imn	Name of Position	Relationship to executive branch employee  Self Spouse Dependent Self Spouse	Compensated
<ul><li>□ None. Check this box if you ar profit organizations.</li><li>□ Organization/Business</li></ul>	Title  VICE PRESIDENT	Name of Position Holder Joseph Thomas	Relationship to executive branch employee  Self Spouse Dependent  Self Spouse Dependent  Self Spouse Dependent	Compensated
None. Check this box if you are profit organizations.  Organization/Business and Address  and Address  FOUNDATION  CERTIFY THAT I HAVE EXAMIN	Title  VICE PRESIDENT	Name of Position Holder Joseph Thomas	Relationship to executive branch employee  Self Spouse Dependent Self Spouse Dependent Self Dependent Dependent	- Compensated Yes/No
□ None. Check this box if you ar profit organizations.  Organization/Business and Address  ANETREE BUEN FOUNDATION	Title  VICE PRESIDENT	Name of Position Holder Joseph Thomas	Relationship to executive branch employee  Self Spouse Dependent Self Spouse Dependent Self Dependent Dependent	- Compensate Yes/No