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APR 16 2010

MAINE ETHICS COMMISSION

**2009 STATEMENT OF SOURCES OF INCOME (5 M.R.S.A. § 19)**

Covering the calendar year January 1, 2009 through December 31, 2009

Please file this statement with the **Maine Ethics Commission** by 5:00 p.m. on **April 16, 2010**. Please contact Commission staff at 287-4179 or come to the Commission office at 45 Memorial Circle, Augusta, if you have any questions about this form, your reporting requirements, or how to report specific situations.

**EMPLOYEE INFORMATION**

Name **David A. Cole** Title **Commissioner**

Department/Agency/Bureau/Division **Maine Department of Transportation / Executive** Work Phone **624-3003**

Mailing Address, City, ZIP **#16 SHS, Child St., Augusta, Maine 04333-0016**

**PART 1. INCOME DERIVED FROM EMPLOYMENT BY ANOTHER**

List the name and address of each employer from whom you received compensation of \$1,000 or more. Specify the principal type of economic activity of each employer.

None

Name of Employer	Address	Principal Type of Economic Activity of Employer
State of Maine	MaineDOT, #16 SHS, Augusta, Maine 04333-0016	Transportation

**PART 2. INCOME DERIVED FROM SELF-EMPLOYMENT OR LAW PRACTICE**

A. List the name and address of your business or law firm, if any, and list the major areas of economic activity or practice from which you derived income. If associated with a partnership, firm, professional association, or similar business entity, list the major areas of economic activity or practice of that entity.

None

Name and Address of Business Entity or Law Firm	Major Areas of Economic Activity/ Practice (self)	Major Areas of Economic Activity/ Practice (partnership, association, firm or similar business entity)
Name:		
Address:		
Name:		
Address:		

**PART 2 (continued). INCOME DERIVED FROM SELF-EMPLOYMENT**

B. List each source of income derived from self-employment or practice that represents more than 10% of your gross income or \$1,000, whichever is greater, and specify the principal type of economic activity of the entity or person from whom you derived such income. If this form of disclosure is prohibited by law, rule, or an established code of professional ethics, specify only the principal type of economic activity of the entity or person from whom the income was derived.

Name and Address of Source	Principal Type of Economic Activity of Entity or Person Who is the Source of the Income
Name: Address:	
Name: Address:	

**PART 3. OTHER SOURCES OF INCOME**

List each source of income of \$1,000 or more not listed in Parts 1 or 2 of this form. Do not include gifts or honoraria. If none, check the box.

None

Name and Address of Source	Kind of Income (investments, leases, etc.)
Name: Address:	
Name: Address:	
Name: Address:	

**PART 4. REPORTABLE LIABILITIES**

List the names of creditors for any unsecured loans of \$3,000 or more that you received during the reporting period, and list the major areas of economic activity of each creditor. Do not list credit card liabilities, or educational loans, loans from a relative, loans that were made as campaign contributions, or business loans from regulated financial institutions. If none, check the box.

None

Name and Address of Creditor	Principal Type of Economic Activity of Creditor
Name: Address:	
Name: Address:	
* Information e-mailed to ethics separately	

**PART 5. REPORTABLE GIFTS**

List the specific source of gifts received during the reporting period with an aggregate value of more than \$300. If none, check the box.

None

Name of Source of Gift	Name of Source of Gift
1.	3.
2.	4.

**PART 6. REPORTABLE HONORARIA**

List the source of any honoraria accepted for appearances or speeches related to your official capacity or duties. If none, check the box.

None

	Name of Source of Honoraria	Name of Source of Honoraria
1.		3.
2.		4.

**PART 7. REPRESENTATION BEFORE STATE AGENCIES**

List each executive branch agency before which you or a member of your immediate family represented or assisted others for compensation of any amount other than your official salary. Indicate whether you or a family member appeared before the agency. If none, check the box.

None

	Name of Agency	Name of Agency
1.		3.
2.		4.

**PART 8. BUSINESS WITH STATE AGENCIES**

List each executive branch agency to which you or a member of your immediate family sold goods or services with a value in excess of \$1,000 during the reporting period. Indicate whether you or a family member sold the goods or services. If none, check the box.

None

	Name of Agency	Name of Agency
1.		3.
2.		4.

**PART 9. INCOME RECEIVED BY MEMBERS OF IMMEDIATE FAMILY**

List the type of economic activity representing each source of income of \$1,000 or more received by your spouse or domestic partner or dependent child(ren) during the reporting period and the kind of income represented. If your spouse or domestic partner received \$1,000 or more of income, list his or her name and job title. List only the job title of dependent children who received income of \$1,000 or more. Do not include gifts.

Name of Spouse or Domestic Partner and Job Title	Type of Economic Activity Representing Source of Income Received	Kind of Income
Name: Karen Cole - Executive Vice President	1. Business Association	1. Employment
Job Title: -Business Manager	2. Education	2. Employment
	3.	3.
Dependent Child(ren) - Job Titles Only		
Job Title: Retail Associate		Employment
Job Title: Retail Associate		Employment
Job Title:		

**PART 10. OFFICER OR DIRECTOR POSITIONS**

List any for-profit or nonprofit corporation, firm, association, partnership or business in which you or a member of your immediate family held any office, trusteeship, directorship, or position of any nature. Indicate whether you or a family member held the position and whether the position was compensated. If a family member listed, indicate your relationship and the name of the family member.

None

Organization/Business and Address	Title	Position Held By:	Family Member's Name	Compensated?
Bangor Center Corp. Bangor, Maine	Ex-Officio Member	Spouse	Karen	No
See attached for Commissioner				

**SIGNATURE**

I affirm that the contents of this report are true, complete and accurate to the best of my knowledge.

  
\_\_\_\_\_  
Signature

4/15/2010  
\_\_\_\_\_  
Date

Subscribed and sworn (affirmed) to before me this 15 day of April, 2010

Signature of Notary Public/Attorney-at-law Cheryl C. Martin-Hunt

My commission expires \_\_\_\_\_  
(Seal is optional)

**CHERYL C. MARTIN-HUNT**  
Notary Public State of Maine

My commission expires December 5, 2010

**ADDITIONAL INFORMATION**

Please provide any additional information below (and on additional sheets if needed). Indicate the part or section number for the information you are providing. Use additional pages, if necessary.

Part/Section  
Number

Organization/Business	Title	Position Held By:	Family Member's Name	Compensated
Maine Port Authority #16 SHS Augusta, Maine 04333	Chair & Board Member	David Cole		No
Northern New England Passenger Rail Authority 75 West Commercial St. Portland, Maine 04101	Board Member	David Cole		No
AASHTO 444 North Capitol St. N.W. Suite 249 Washington, D.C. 20001	Board Member	David Cole		No
NASTO Northeast Association of State Transportation Officials President Joseph F. Marie Connecticut Dept. of Transportation 860-594-3000	Board Member	David Cole		No

