

## COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

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MAY 17 2012

Maine Ethics Commission

Mail: 135 State House Station, Augusta, Maine 04333 Office: 45 Memorial Circle, Augusta, Maine Website: www.maine.gov/ethics

PHONE: 207-287-4179

FAX: 207-287-6775

## STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

2011 Calendar Year: January 1, 2011 - December 31, 2011

Please file this statement with the <u>Maine Ethics Commission</u> by **5:00 p.m. on April 13, 2012**. Please contact Commission staff at 287-4179 or come to the Commission office at 45 Memorial Circle, Augusta, if you have any questions about this form, your reporting requirements, or how to report specific situations.

**Reporting Deadlines** 

- This personal financial disclosure statement must be filed annually by the Governor, constitutional
  officers, State Auditor, all state employees in major policy-influencing positions (other than assistant
  attorneys general), and any other executive branch employee who is appointed by the Governor and
  confirmed by the Legislature.
- The statement must be filed by the close of the second week of April and covers the preceding calendar year (the reporting year).
- No statement is required in April if the executive employee has already filed a statement covering the
  preceding year as an initial report. (Employees appointed by the Governor must file an initial report
  before confirmation by the Legislature, and the Governor, constitutional officers, and State Auditor
  must file an initial report within 30 days of his or her election.)
- If there is a substantial change in the sources of your income or positions during the current calendar year, file an "update statement" for the current year within 30 days of the substantial change.

REPORT TYPE

## **General Instructions**

**Email Address** 

- Complete all sections. If a section is not applicable, check the box marked "None."
- · A glossary is located in the back of this form.
- If completing this form by hand, please write legibly.

	·	
Annual	□ Initial	□ Update
	EXECUTIVE EMPLOYEE INFO	DRMATION
Name John T	- Rohde	Job Title General Confel
Department  Worker(	OMPENSATION BOD	Phone (Work)
Mailing Address	THE HOUR (THE)	( )

Part 1. Income from Emplo	yment by Another		
None. Check this box if yo	u do not have income f	from employment by another.	
Name of Employer	Address	Principal Type of Economic or Business Activity of Employer	Job Title
·			

Part 2. Income from Self-Employment  None. Check this box if you do not have income from self-employment.				
Name of Client or Customer, if required (see instructions)	Address	Principal Type of Economic or Business Activity of Client		

Part 3. Income from the Practice of Law  None. Check this box if you do not have income from the practice of law.				

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Part 4. Income from Any Other Sc	urce	
□ None. Check this box if you do no	t have income from any other source.	
Name of Source	Address	Type of Income
wh Direct	WILMINGTON, DC	WIENESI
VANHUARD	Valley Forge PA	INTEREST
1- ROWEY RICE	BATMONET MED	Wreicesi

Part 5-A. Compensation Income of Important None. Check this box if no members of employment or compensation.		e of \$1,000 or more from
Name and Job Title (do not list name of dependent child)	Employer's Name and Address	Principal Type of Economic or Business Activity of Employer

Immediate Family Members	`
of your immediate family derived income	of \$1,000 or more from any
Source's Name and Address	Type of Income
Geo. M. Thompson Tryst Limer HALE Roppor, MA 10	inenest wingt
	Source's Name and Address  Seo. M. Thoulson My Louis Limen Have Barron, MA (A

reportable liabilities.	
Lender's Address	Principal Type of Economic of Business Activity of Lender

Part 7. Gifts, Including Travel and Accommodations				
None. Check this box if you have not receive	ed any gifts.			
Source of Gift	Source of Gift			
1.	4.			
2.	5.			
3.	6.			

Part 8. Honoraria		
None. Check this box if you have not received honor	aria.	
Source of Honoraria		Source of Honoraria
1.	4.	
2.	5.	
3.	6.	

tv None. Check this box if neither yo	u nor your immedia	te family have done	business with State	e agencies.
Name of Agency		Name of Individual Selling Goods or Services		
				- Marie
	****			
Bort 0 B. Bourgoonting Others Bo	oforo State Agenci			
Part 9-B. Representing Others Be			sented another befo	ore a State agency.
Name of Agency	a nor your annouse		lividual Receiving C	
, tame or , tgoney				
BASINAN			- Parking and a second	
Part 10. Positions in For-Profit an	d Non-Profit Orga	nizations		
□ None. Check this box if you and m profit organizations.	embers your immed	diate family do not h	old positions in any	for-profit or non-
Organization/Business and Address	Title	Name of Position Holder	Relationship to Executive Employee	Compensated Yes/No
GIRLS ON THE RUN-MANNET POBOX BS	Pinecton	funifer	□ Self SySpouse	1/0
ansprand, ME	•	Colode	□ Dependent	746 -
WX 240 COL 1			□ Self	
		ı	□ Spouse	
			□ Dependent	
		,	□ Self	
-			□ Spouse □ Dependent	
			-	
	SIGNA	ATURE		

I CERTIFY THAT I HAVE EXAMINED THIS REPORT AND TO THE BEST OF MY KNOWELDGE IT IS TRUE, CORRECT AND COMPLETE.

Signature

Part 9-A. Conducting Business with State Agencies

Date

UNSWORN FALSIFICATION IS A CLASS D CRIME (17-A M.R.S.A. §453)