

MAY 0 9 2016

# Received COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

MAIL: 135 STATE HOUSE STATION, AUGUSTA, MAINE 04333 OFFICE: 45 MEMORIAL CIRCLE, AUGUSTA, MAINE WEBSITE: WWW.MAINE.GOV/ETHICS

PHONE: 207-287-4179 FAX: 207-287-6775

## CES OF INCOME FOR EXECUTIVE EMPLOYEES

2015 Calendar Year: January 1, 2015 - December 31, 2015

Check here if this statement is an update or amendment of a previously filed statement.

Name KUBERT J. HARREN II	Job Title Supenintendent RPC
Department HS - RPC	Phone (Work) 624-4656
Mailing Address (work)  SHS HII, AUGUSIA, ME 04330	E-mail Address (work)  JAY HARPEN (O MANE, GOV

### **GENERAL INSTRUCTIONS**

- Complete all sections. If a section is not applicable, check the box marked "None."
- A glossary is located in the back of this form.
- If completing this form by hand, please write legibly. NO RED INK!
- Report the sources of income for you, your spouse or domestic partner, and your dependent children.
- Report only specific sources of income. Dollar amounts need not be listed.

Please keep a copy of this statement for your records!

#### REPORTING DEADLINES

#### Constitutional Officers and the State Auditor

Newly elected constitutional officers and the state auditor must file the financial disclosure statement within 30 days of election and by April 15 of each year they are in office, unless a report for that year has already been filed.

Appointed Executive Employees

Newly appointed executive employees who are appointed by the Governor and confirmed by the Legislature must file the financial disclosure statement prior to their confirmation and by April 15 of each year of their employment, unless a report for that year has already been filed.

Executive Employees in Major Policy-Influencing Positions

Executive employees in major policy-influencing positions must file the financial disclosure statement by April 15 of each year of their employment.

Leaving Office or Terminating Employment

Constitutional officers and the state auditor and all other executive employees must file a final financial disclosure statement within 45 days of leaving office or terminating employment that covers the calendar year of leaving office or terminating employment.

#### REQUIREMENT TO FILE AN UPDATED STATEMENT

Executive branch employees are required to update their financial disclosure statement within 30 days of a substantial change in income, reportable liabilities or positions of the executive branch employee or an immediate family member (except dependent children) that occurs in the current calendar year. (5 M.R.S.A. § 19(3)(C)) Substantial changes include but are not limited to:

- · A new employer or other source of income that has paid the executive branch employee or immediate family member \$2,000 or more in the current year;
- A new reportable liability of \$3,000 or more obtained during the current calendar year;
- A new contract or other arrangement between the executive branch employee, immediate family member or associated organization and a State agency, board or commission for the lease or sale of goods or services with a value of more than \$10,000 during the current calendar year; and
- A new position in a political action committee, ballot question committee, party committee, or non-profit or for profit organization.

Part 1. Income from Em	ployment by Another			
☐ None. Check this box i	f you did not have income fro	m employment by another.		
Name of Employer	Address	Principal Type of Economic or Business Activity of Employer	Job Tille	
UnivERSITY OF MAINE	U.MA. Civi Ch Dr. Angusty 04330	TEACHING	LECTURE	
Part 2. Income from Self	-Employment			
None. Check this box i	f you did not have income from	m self-employment.		
Name of Your Business/Trade	Name Add	iress P	rincipal Type of Economic or Business Activity	
,				
Name of Client or Customer, if (see instructions)	required Add		rincipal Type of Economic Business Activity of Client	
Part 3. Business Entitles  None. Check this box if	f you and your immediate fam	ally did not own or control mor	e than 5% of any business.	
Name of Business			rincipal Type of Economic or Business Activity	
Part 4. Income from the		the precioe of law		
	you did not have income fron			
Name of Practice or Firm	Address Your Ma	ajor-Areas Firm's Major Are ractice of Practice	Position: Partner, Associate, Sole Practitioner	

Part 6-A. Gompensation Income of Imm  None. Check this box if no members or employment or compensation.  Name and Job_Title  (do not list name of dependent child)  Part 6-B. Other Sources of Income of Imm  None. Check this box if no members of other source.  Name of Spouse or Partner  (do not list name of dependent child)		
Part 6-A. Compensation Income of Imm  None. Check this box if no members or employment or compensation.  Name and Job Title  (do not list name of dependent child)  Part 6-B. Other Sources of Income of Imm  None. Check this box if no members of other source.  Name of Spouse or Partner (do not list name of dependent child)	your immediate family receiv	ved income of \$2,000 or more from  ess Principal Type of Economic or
None. Check this box if no members of employment or compensation.  Name and Job Title  (do not list name of dependent child)  Part 6-B. Other Sources of Income of Im  None. Check this box if no members of other source.  Name of Spouse of Partner  (do not list name of dependent child)	your immediate family receiv	ved income of \$2,000 or more from  ess Principal Type of Economic or
None. Check this box if no members of employment or compensation.  Name and Job Title  (do not list name of dependent child)  Part 6-B. Other Sources of Income of Im  None. Check this box if no members of other source.  Name of Spouse or Partner	your immediate family receiv	ess Principal Type of Economic or
None. Check this box if no members of employment or compensation.  Name and Job Title  (do not list name of dependent child)  Part 6-B. Other Sources of Income of Im  None. Check this box if no members of other source.  Name of Spouse or Partner  (do not list name of dependent child)	your immediate family receiv	ess Principal Type of Economic or
None. Check this box if no members of employment or compensation.  Name and Job Title  (do not list name of dependent child)  Part 6-B. Other Sources of Income of Im  None. Check this box if no members of other source.  Name of Spouse or Partner  (do not list name of dependent child)	your immediate family receiv	ess Principal Type of Economic or
employment or compensation.  Name and Job Title  (do not list name of dependent child)  Part 6-B. Other Sources of Income of Im  None. Check this box if no members of other source.  Name of Spouse or Partner  (do not list name of dependent child)		ess Principal Type of Economic or
Part 6-B. Other Sources of Income of Im  None. Check this box if no members of other source.  Name of Spouse or Partner.  (do not list name of dependent child)	Employer's Name and Addre	
<ul> <li>□ None. Check this box if no members of other source.</li> <li>Name of Spouse or Partner.</li> <li>(do not list name of dependent child)</li> </ul>		
<ul> <li>□ None. Check this box if no members of other source.</li> <li>Name of Spouse or Partner.</li> <li>(do not list name of dependent child)</li> </ul>		
<ul> <li>□ None. Check this box if no members of other source.</li> <li>Name of Spouse or Partner.</li> <li>(do not list name of dependent child)</li> </ul>		
<ul> <li>□ None. Check this box if no members of other source.</li> <li>Name of Spouse or Partner (do not list name of dependent child)</li> </ul>		
<ul> <li>□ None. Check this box if no members of other source.</li> <li>Name of Spouse or Partner.</li> <li>(do not list name of dependent child)</li> </ul>		
<ul> <li>□ None. Check this box if no members of other source.</li> <li>Name of Spouse or Partner.</li> <li>(do not list name of dependent child)</li> </ul>	nediate Family Members	
(do not list name of dependent child)	our immediate family receive	ed income of \$2,000 or more from any
SA-dra HANREN, SAUGE,	Source of Income Name and Address	Type of Income
	npers	DETINEMENT

Part 7. Loans					
None. Check this box if you die	d not have re	eportable	labilities.		the first section of the first section of the first section of the first section of the section
Lender's Name			ender's Address		Principal Type of Economic or Business Activity of Lender
					•
Part 8. Gifts, Including Travel a	nd Accomm	odations			
None. Check this box if you did	d not receive	d any gifts	S.		
Source of Gift				So	urce of Gift
1.		;	2.		
3.	1 ( 2131)		4.		
Part 9, Honoraria					
None. Check this box if you did	not receive h	nonoraria.			
Source of Honora	ria			Sourc	e of Honoraria
1.			2.		
3.			4.		
Part 10∕ Positions in Political Acti	ion, Ballot G	Question	l or Party₌Commit	ttees	
None. Check this box if you and or fundraiser of a PAC, BQC, or F			were not a treas	urer, or	principal officer, decision-maker
Name of Committee	Name of C	Official or	amily Member		Title
1.					
2.					
3.		****	·		

Part 11. Conducting Business None. Check this box if neither			iness with any State a	gency.		
Name of Agency		Name of Individual/Organization Selling Goods or Services		Description of Good or Services		
Part 12. Representing Others B	efore State Agenc	iles de la companya d				
None. Check this box if neither	you nor your imm	ediate family represe	ented another before a	a State agency.		
Name of Agenc	У	Name of	ndividual Receiving C	ompensation		
Part 13. Positions in For-Profit a	and Non-Profit Or	ganizations				
None. Check this box if you an non-profit organizations.	d members your in	nmediate family did r	not hold positions in ar	ny for-profit or		
Organization/Business and Address	Title	Name of Positio	Relationship to Executive Employee	Compensated Yes/No		
			□ Self □ Spouse □ Dependent			
			□ Self □ Spouse □ Dependent			
			□ Self □ Spouse □ Dependent			
	SIG	NATURE				
CERTIFY THAT I HAVE EXAMINE CORRECT, AND COMPLETE.	D THIS REPORT	AND TO THE BEST	OF MY KNOWLEDGI	E IT IS TRUE,		

THE INTENTIONAL FILING OF A FALSE STATEMENT IS A CLASS E CRIME (5 M.R.S.A. § 19(4)(B))

Signature