COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES



APR 14 2017 C Maine Ethics Commission

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STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

2016 Calendar Year: January 1, 2016 - December 31, 2016

Check here if this statement is an update or amendment of a previously filed statement.

Name	Job Title
John D. Pelletier	Executive Director
Department	Phone (work)
Maine Commission on Indigent Legal Services	287-3254
Mailing Address (work)	E-mail Address (work)
154 state House Station, Augusta, ME 04330	john.pelletier@maine.gov

GENERAL INSTRUCTIONS

- Complete all sections. If a section is not applicable, check the box marked "None."
- A glossary is located in the back of this form.
- If completing this form by hand, please write legibly. NO RED INKI
- Report the sources of income for you, your spouse or domestic partner, and your dependent children.
- Report only specific sources of income. Dollar amounts need not be listed.
- If April 15 falls on a weekend or holiday, this statement is due on the next business day.

Please keep a copy of this statement for your records!

REPORTING DEADLINES

Constitutional Officers and the State Auditor

Newly elected constitutional officers and the state auditor must file the financial disclosure statement within 30 days of election and by <u>April 15</u> of each year they are in office, unless a report for that year has already been filed.

Appointed Executive Employees

Newly appointed executive employees who are appointed by the Governor and confirmed by the Legislature must file the financial disclosure statement prior to their confirmation and by <u>April 15</u> of each year of their employment, unless a report for that year has already been filed.

Executive Employees in Major Policy-Influencing Positions

Executive employees in major policy-influencing positions must file the financial disclosure statement by <u>April 15</u> of each year of their employment.

Leaving Office or Terminating Employment

Constitutional officers and the state auditor and all other executive employees must file a final financial disclosure statement within 45 days of leaving office or terminating employment that covers the calendar year of leaving office or terminating employment.

REQUIREMENT TO FILE AN UPDATED STATEMENT

Executive branch employees are required to update their financial disclosure statement within 30 days of a substantial change in income, reportable liabilities or positions of the executive branch employee or an immediate family member (except dependent children) that occurs in the current calendar year. (5 M.R.S.A. § 19(3)(C)) Substantial changes include but are not limited to:

- A new employer or other source of income that has paid the executive branch employee or immediate family member \$2,000 or more in the current year;
- A new reportable liability of \$3,000 or more obtained during the current calendar year;
- A new contract or other arrangement between the executive branch employee, immediate family member or associated organization and a State agency, board or commission for the lease or sale of goods or services with a value of more than \$10,000 during the current calendar year; and
- A new position in a political action committee, ballot question committee, party committee, or non-profit or for profit organization.

None. Check this box if you d	d not have income fro	m employment by a	nother.	
Name of Employer	Address	Principal Type of Ecc Business Activity of I	momic or Employer	Job Tille
Part 2. Income from Self-Emplo		om self-employment.		
Name of Your Business/Trade Name	Add	lress	Princip or	al Type of Economic Business Activity
Name of Client or Customer, if required (see instructions)	Add	lress	Princip or Busi	al Type of Economic ness Activity of Client
Part 3. Business Entities	nd your immediate far	nily did not own or c	ontrol more th	an 5% of any busine
Name of Business	Ado	Iress	Princip or	al Type of Economic Business Activity
Part 4. Income from the Practic	of Law			
None. Check this box if you d	d not have income fro			
Name of Practice or Firm Addr			's Major Areas of Practice	Posilion: Partner, Associate, Sole Pracililo
]

None. Check this box if you d	id not have income from any other sour	ce.
Name of Source	Address	Description of Income
<u> </u>		
Part 6-A. Compensation Incom	of Immediate Family Members	
	embers of your immediate family receiv	ed income of \$2,000 or more from
Name and Job Title (do not list name of dependent cl	Employer's Name and Addre	Principal Type of Economic or Business Activity of Employer
Martha Pelletier reacher	Augusta School Department 60 Pierce Drive Augusta, ME 04330	Teacher
	me of Immediate Family Members	
None. Check this box if no mo other source.	embers of your immediate family receiv	ed income of \$2,000 or more from any
Name of Spouse or Partner (do not list name of dependent ch	Source of Income Name and Address	Type of Income
	· · · · · · · · · · · · · · · · · · ·	

Part 7. Loans		
None. Check this box if you did not h	ave reportable liabilities.	
Lender's Name	Lender's Address	Principal Type of Economic or Business Activity of Lender
Part 8. Gifts, Including Travel and Acc	ommodations	
None. Check this box if you did not r	eceive any gifts.	
Source of Gift		Source of Gift
1.	2.	
3.	4.	
Part 9. Honoraria		
None. Check this box if you did not re	ceive honoraria.	
Source of Honoraria		Source of Honoraria
1.	2.	
3.	4.	
Part 10. Positions in Political Action, Ba	allot Question or Party Commi	ttees
None. Check this box if you and your i or fundraiser of a PAC, BQC, or Party (asurer, or principal officer, decision-maker
Name of Committee Nam	ne of Official or Family Member	Title
1.		
2.		
3.		
		<u></u>

the second s				
Name of Agency		vidual/Organization oods or Services	Description of	Good or Services
Part 12. Representing Others	Before State Agenc	les		
None. Check this box if neit	her you nor your imm	ediate family represen	ted another before	a State agency.
Name of Age	incy	Name of Ind	ividual Receiving C	Compensation
Part 13. Positions In For-Prof	it and Non-Profit Or	ganizations		
 None. Check this box if you non-profit organizations. Organization/Business 		nmediate family did no	Relationship to Executive	Compensated
None. Check this box if you non-profit organizations.	and members your ir	nmediate family did no	Relationship to Executive Employee Self Spouse	
 None. Check this box if you non-profit organizations. Organization/Business and Address 	and members your ir Title	nmediate family did no Name of Position Holder	Relationship to Executive Employee	Compensated Yes/No
 None. Check this box if you non-profit organizations. Organization/Business and Address Maine Equal Justice Partnes 	and members your ir Title Board Member	nmediate family did no Name of Position Holder John D. Pelletier	Relationship to Executive Employee	Compensated Yes/No