

## COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

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# STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

2015 Calendar Year: January 1, 2015 - December 31, 2015

Check here if this statement is an update or amendment of a previously filed statement.

Name	Job Title
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#### **GENERAL INSTRUCTIONS**

- Complete all sections. If a section is not applicable, check the box marked "None."
- A glossary is located in the back of this form.
- If completing this form by hand, please write legibly. NO RED INK!
- Report the sources of income for you, your spouse or domestic partner, and your dependent children.
- Report only specific sources of income. Dollar amounts need not be listed.

Please keep a copy of this statement for your records!

#### REPORTING DEADLINES

## **Constitutional Officers and the State Auditor**

Newly elected constitutional officers and the state auditor must file the financial disclosure statement within 30 days of election and by April 15 of each year they are in office, unless a report for that year has already been filed.

## **Appointed Executive Employees**

Newly appointed executive employees who are appointed by the Governor and confirmed by the Legislature must file the financial disclosure statement prior to their confirmation and by <u>April 15</u> of each year of their employment, unless a report for that year has already been filed.

### **Executive Employees in Major Policy-Influencing Positions**

Executive employees in major policy-influencing positions must file the financial disclosure statement by <u>April 15</u> of each year of their employment.

## **Leaving Office or Terminating Employment**

Constitutional officers and the state auditor and all other executive employees must file a final financial disclosure statement within 45 days of leaving office or terminating employment that covers the calendar year of leaving office or terminating employment.

#### REQUIREMENT TO FILE AN UPDATED STATEMENT

Executive branch employees are required to update their financial disclosure statement within 30 days of a substantial change in income, reportable liabilities or positions of the executive branch employee or an immediate family member (except dependent children) that occurs in the current calendar year. (5 M.R.S.A. § 19(3)(C)) Substantial changes include but are not limited to:

- A new employer or other source of income that has paid the executive branch employee or immediate family member \$2,000 or more in the current year;
- A new reportable liability of \$3,000 or more obtained during the current calendar year;
- A new contract or other arrangement between the executive branch employee, immediate family member or associated organization and a State agency, board or commission for the lease or sale of goods or services with a value of more than \$10,000 during the current calendar year; and
- A new position in a political action committee, ballot question committee, party committee, or non-profit or for profit organization.

Part 1. Income from En						
None. Check this bo		ldress	ome from employment by and  Principal Type of Econo Business Activity of Em		Job Title	
ate of Maine, DHHS	11 State House Station		Government		Government Relations, Director	
Part 2. Income from Se			e from self-empl	iovment		
None. Check this box if you did not have inc					Principal Type of Economic or Business Activity	
Name of Client or Customer, if required (see instructions)			Address		Principal Type of Economic or Business Activity of Client	
Part 3. Business Entition		ır immediate	e family did not o	own or control mo	re than 5% of any busines	
Name of Business		Address			Principal Type of Economic or Business Activity	
Part 4. Income from the  None. Check this bo			e from the pract	ice of law.		
Name of Practice or Firm Address		Your Major Areas Fire of Practice		Firm's Major Are of Practice	Firm's Major Areas of Practice  Position: Partner, Associate, Sole Practition	

Part 5. Income from Any Other Sour	Ce		
None. Check this box if you did not	have income from any other source.		
Name of Source	Address	Description of Income	
Part 6-A. Compensation Income of I	mmadiata Family Mombars		
	rs of your immediate family received i	ncome of \$2,000 or more from	
Name and Job Title (do not list name of dependent child)	Employer's Name and Address	Principal Type of Economic or Business Activity of Employer	
Part 6-B. Other Sources of Income of	f Immediate Family Members		
<ul> <li>None. Check this box if no membe other source.</li> </ul>	rs of your immediate family received i	ncome of \$2,000 or more from any	
Name of Spouse or Partner (do not list name of dependent child)	Source of Income Name and Address	Type of Income	
annah Adolphsen	Maine Retirement System	Retirement Disbursement	

Part 7. Loans					
None. Check this box if you d	id not have reportabl	e liabilities.			
Lender's Name		Lender's Address	Principal Type of Economic or Business Activity of Lender		
Part 8. Gifts, Including Travel a	nd Accommodation				
■ None. Check this box if you d					
Source of Gift		Source of Gift			
1.		2.			
3.		4.			
Part 9. Honoraria		The second secon			
None. Check this box if you die	d not receive honora	ria.			
Source of Honor	aria		Source of Honoraria		
1.		2.			
3.		4.			
Part 10. Positions in Political Act	tion, Ballot Question	n or Party Commit	tees		
None. Check this box if you an or fundraiser of a PAC, BQC, or	d your immediate fan Party Committee.	nily were not a treas	surer, or principal officer, decision-maker		
Name of Committee	Name of Official or Family Member		Title		
1.					
2.					
3.					

Part 11. Conducting Business w	vith State Agencie	)S		
None. Check this box if neithe	r you nor your imm	ediate family did busin	ess with any State	agency.
Name of Agency	Name of Individual/Organization Selling Goods or Services		Description of	Good or Services
Part 12. Representing Others Bo  None. Check this box if neithe			ted another before	a State agency.
Name of Agenc			ividual Receiving (	
Part 13. Positions in For-Profit a  ☐ None. Check this box if you are non-profit organizations.  Organization/Business and Address			t hold positions in Relationship to Executive Employee	any for-profit or  Compensated Yes/No
non-profit organizations.  Organization/Business		Name of Position	Relationship to Executive	Compensated
			<ul><li>Dependent</li><li>Self</li><li>Spouse</li><li>Dependent</li></ul>	
		NATURE		
I CERTIFY THAT I HAVE EXAMINE CORRECT, AND COMPLETE.  Signature	ED THIS REPORT	AND TO THE BEST O	F MY KNOWLEDO	GE IT IS TRUE,

THE INTENTIONAL FILING OF A FALSE STATEMENT IS A CLASS E CRIME (1 M.R.S.A. § 1016-G(3)(B))