

MAR 3 1 2014

COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

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# Maine Ethics Commission STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

For the 2013 Calendar Year: January 1, 2013 - December 31, 2013

∠ ∠ c	heck here if this statement is an update or amendment of	of a previously filed statement.
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### **GENERAL INSTRUCTIONS**

- Complete all sections. If a section is not applicable, check the box marked "None."
- · A glossary is located in the back of this form.
- If completing this form by hand, please write legibly.
- Report the sources of income for you, your spouse or domestic partner, and your dependent children.
- Report only specific sources of income. Dollar amounts need not be listed.

Please keep a copy of this statement for your records!

### REPORTING DEADLINES

#### Constitutional Officers and the State Auditor

Newly elected constitutional officers and the state auditor must file the financial disclosure statement within 30 days of election and by April 15 of each year they are in office, unless a report for that year has already been filed.

# **Appointed Executive Employees**

Newly appointed executive employees who are appointed by the Governor and confirmed by the Legislature must file the financial disclosure statement prior to their confirmation and by April 15 of each year of their employment, unless a report for that year has already been filed.

# **Executive Employees in Major Policy-Influencing Positions**

Executive employees in major policy-influencing positions must file the financial disclosure statement by April 15 of each year of their employment.

# **Leaving Office or Terminating Employment**

Constitutional officers and the state auditor and all other executive employees must file a final financial disclosure statement within 45 days of leaving office or terminating employment that covers the calendar year of leaving office or terminating employment.

### REQUIREMENT TO FILE AN UPDATED STATEMENT

Executive branch employees are required to update their financial disclosure statement within 30 days of a substantial change in income, reportable liabilities or positions of the executive branch employee or an immediate family member (except dependent children) that occurs in the current calendar year. (5 M.R.S.A. § 19(3)(C)) Substantial changes include but are not limited to:

- A new employer or other source of income that has paid the executive branch employee or immediate family member \$2,000 or more in the current year;
- A new reportable liability of \$3,000 or more obtained during the current calendar year;
- A new contract or other arrangement between the executive branch employee, immediate family member or associated organization and a State agency, board or commission for the lease or sale of goods or services with a value of more than \$10,000 during the current calendar year; and
- A new position in a political action committee, ballot question committee, party committee, or non-profit or for profit organization.

Part 1. Income from Emp	oloyment	by Another						
None. Check this box it	f you did n	ot have incom	ne from	employme	ent by a	nother.		
Name of Employer		Address		Principal Ty Business A	ype of Eco	onomic or Employer		Job Title
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		*****		••••				
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Part 2. Income from Self	Employm	ient		The second secon	on the second second second	Free Company of the C	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
None. Check this box if			ne from	self-emplo	oyment.			
Name of Your Business/Trade			Addre			Pri	ncipal Typ	e of Economic
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Name of Client or Customer, if req instructions)	uired (see		Addre	SS				e of Economic Activity of Client
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Part 3. Business Entities		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1						
None. Check this box if	you and y	our immediat	te family	did not o	wn or co	ntrol more	than 5%	of any business.
Name of Business	Total Control		Addre	SS	2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	Pri		e of Economic
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Part 4. Income from the F	ractice o	f Law				The second secon		
None. Check this box if	you did no	t have income	e from t	he practic	e of law	•		
Name of Practice or Firm	Address	Ϋ́o	our Major Pract	Areas of ice	Firm's	Major Areas Practice	of	=Position: Partner, 
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None. Check this box if you did not	have income from any other source	•	
Name of Source	Address	Description of Income	
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Part 6-A. Compensation Income of Im	mediate Family Members	
None. Check this box if no members employment or compensation.	of your immediate family received inco	ome of \$2,000 or more from
Name and Job Title (do not list name of dependent child)	Employer's Name and Address	Principal Type of Economic or Business Activity of Employer
	and the second s	
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Part 6-B. Other Sources of Income of Immediate Family Members  None. Check this box if no members of your immediate family received income of \$2,000 or more from any					
other source.	your infiniediate lamily received in	Contract of \$2,000 or more from any			
Name of Spouse or Partner (do not list name of dependent child)	Source of Income Name and Address	Type of Income			
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Part 7. Loans				
None. Check this box if you di	d not have reportabl	e liabilities.		
Lender's Name		Lender's Address	Principal Type of Ec Business Activity of	onomic or f Lender
Part 8. Gifts, Including Travel a	nd Accommodatio	NS		The second secon
None. Check this box if you did	I not received any gi	fts.		
Source of Gif			Source of Gift	
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Part 9. Honoraria				
□ None. Check this box if you did	not received honora	ria.	100 A	
Source of Honor	aria		Source of Honoraria	
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Part 10. Positions in Political Ac	tion Ballot Questio	n or Party Commi	Tees	
☐ None. Check this box if you and	your immediate fam			on-maker
or fundraiser of a PAC, BQC, or Pa				11.00 To 11.
Name of Committee	Iname of Official (	or Family Member		200 (200 (200 (200 (200 (200 (200 (200
1.				
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2.				-

None. Check this box if neither	you nor your imme	diate family did busine	ss with any State a	gency
		ividual/Organization	- I government of the state of	Good or Services
Name of Agency		oods or Services	Description of	Good of Services
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Part 12. Representing Others B	efore State Agend	iles		
None. Check this box if neither		<ul> <li>State of the first Edit and of control of the first f</li></ul>	ed another before a	State agency.
Name of Agenc			lividual Receiving C	
Name or gene	1		THE PROPERTY OF THE PROPERTY O	
		<u> </u>		<u> </u>
Part 13. Positions in For-Profit	and Non-Profit Or	ganizations		
None. Check this box if you and profit organizations.	d members your im	mediate family did not	hold positions in ar	y for-profit or non-
- Organization/Business			Relationship to	
		Name of Position		Compensated
and Address	Title.	Name of Position Holder	executive branch	Compensated Yes/No
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	Title		executive branch employee	
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