

# COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

RECHIVED

APR 17 2014

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# STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

For the 2013 Calendar Year January 1, 2013 - December 31, 2013

Check here if this statement is an update or amendment of a previously filed statement.

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Department Department of Health and Human Services			
Mailing Address (work) 1037 Forest Ave Portland, Maine			

### **GENERAL INSTRUCTIONS**

- Complete all sections. If a section is not applicable, check the box marked "None."
- · A glossary is located in the back of this form.
- If completing this form by hand, please write legibly.
- Report the sources of income for you, your spouse or domestic partner, and your dependent children.
- Report only specific sources of income. Dollar amounts need not be listed.

Please keep a copy of this statement for your records!

#### REPORTING DEADLINES

### Constitutional Officers and the State Auditor

Newly elected constitutional officers and the state auditor must file the financial disclosure statement within 30 days of election and by April 15 of each year they are in office, unless a report for that year has already been filed.

### **Appointed Executive Employees**

Newly appointed executive employees who are appointed by the Governor and confirmed by the Legislature must file the financial disclosure statement prior to their confirmation and by April 15 of each year of their employment, unless a report for that year has already been filed.

### **Executive Employees in Major Policy-Influencing Positions**

Executive employees in major policy-influencing positions must file the financial disclosure statement by April 15 of each year of their employment.

### Leaving Office or Terminating Employment

Constitutional officers and the state auditor and all other executive employees must file a final financial disclosure statement within 45 days of leaving office or terminating employment that covers the calendar year of leaving office or terminating employment.

## REQUIREMENT TO FILE AN UPDATED STATEMENT

Executive branch employees are required to update their financial disclosure statement within 30 days of a substantial change in income, reportable liabilities or positions of the executive branch employee or an immediate family member (except dependent children) that occurs in the current calendar year. (5 M.R.S.A. § 19(3)(C)) Substantial changes include but are not limited to:

- A new employer or other source of income that has paid the executive branch employee or immediate family member \$2,000 or more in the current year;
- A new reportable liability of \$3,000 or more obtained during the current calendar year;
- A new contract or other arrangement between the executive branch employee, immediate family member or associated
  organization and a State agency, board or commission for the lease or sale of goods or services with a value of more
  than \$10,000 during the current calendar year; and
- A new position in a political action committee, ballot question committee, party committee, or non-profit or for profit organization.

Part 1. Income from Employment by Another								
☐ None. Check this box if you did not have income from employment by another.								
Name of Employer	Address			Principal Type of Eco Business Activity of E		nomic or Employer	mic or Job Title ployer	
State of Maine	1037 Forest Avenue, F		, Portland,	Government		Director, Office of Multicultural Affairs		
Part 2. Income from Self-Employment								
■ None. Check this box	if you did r	ot have i	ncome fror	n self-emplo	yment.			
Name of Your Business/Trade Name		Address			Principal Type of Economic or Business Activity			
Name of Client or Customer, if required (see instructions)		Address			Principal Type of Economic or Business Activity of Client			
						_		garage day in the second
Part 3. Business Entitie	 S							
☑ None. Check this box	if you and	your imm	ediate fam	ily did not ov	wn or co	ntrol more	e than	5% of any business.
Name of Business		Address				Principal Type of Economic or Business Activity		
Part 4. Income from the Practice of Law								
☑ None. Check this box if you did not have income from the practice of law.								
Name of Practice or Firm Address				or Areas of actice	Firm's Major Area Practice		s of	Position: Partner, Associate, Sole Practitioner

☑ None. Check this box if you did not h	ave income from any other source.		
Name of Source	Address	Description of Income	
Part 6-A. Compensation Income of In	nmediate Family Members		
None. Check this box if no members employment or compensation.		ome of \$2,000 or more from	
Name and Job Title (do not list name of dependent child)	Employer's Name and Address	Principal Type of Economic or Business Activity of Employer	
Registered Nurse Operating Room	Maine Medical Center, 22 Branhall St. Portland, Maine	Healthcare	
Part 6-B. Other Sources of Income of	Immediate Family Members		
☑ None. Check this box if no members other source.	of your immediate family received inc		
Name of Spouse or Partner (do not list name of dependent child)	Source of Income Name and Address	Type of Income	
	1		

Part 7. Loans							
None. Check this box if you	did not have re	portable liabilities.					
Lender's Name		Lender's Address	Principal Type of Economic o Business Activity of Lender				
	, A 40 - 40 - 40 - 40 - 40 - 40 - 40 - 40	-					
Part 8. Gifts, Including Travel	and Accomm	odations					
☑ None. Check this box if you of		100 100 100 100 100 100 100 100 100 100					
Source of G		,,,	Source of Gift				
1.	.,	2.					
3.		4.					
Part 9. Honoraria							
☑ None. Check this box if you di							
Source of Hon	oraria		Source of Honoraria				
1.		2.	2.				
3.		4.	4.				
Part 10. Positions in Political A	ction, Ballot C	Question or Party Committe	ees				
☑ None. Check this box if you ar or fundraiser of a PAC, BQC, or F			er, or principal officer, decision-maker				
Name of Committee		Official or Family Member	Title				
1.							
2.							

Part 11. Conducting Business with State Agencies							
☑ None. Check this box if neither yo	u nor your imme	diate family did busine	ss with any State a	gency.			
Name of Agency		ividual/Organization oods or Services	Description of Good or Services				
Part 12. Representing Others Bef	ore State Agend	cles	. 1177				
☑ None. Check this box if neither you	ou nor your imme	diate family represente	ed another before a	State agency.			
Name of Agency				Name of Individual Receiving Compensation			
Part 13. Positions in For-Profit an	d Non-Profit Or	ganizations					
☑ None. Check this box if you and members your immediate family did not hold positions in any for-profit or non-profit organizations.							
Organization/Business and Address	Title	Name of Position Holder	Relationship to executive branch employee	Compensated Yes/No			
			□ Self □ Spouse □ Dependent				
			☐ Self ☐ Spouse ☐ Dependent				
			☐ Self ☐ Spouse ☐ Dependent				
SIGNATURE							
I CERTIFY THAT I HAVE EXAMINED THIS REPORT AND TO THE BEST OF MY KNOWLEDGE IT IS TRUE, CORRECT, AND COMPLETE.  4 15 20 14							
Signature Date  THE INTENTIONAL FILING OF A FALSE STATEMENT IS A CLASS E CRIME (5 M.R.S.A. § 19(4)(B))							