

COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

APR 9 2014

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Maine Ethics Commission

STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

For the 2013 Calendar Year: January 1, 2013 - December 31, 2013

Check here if this statement is an update or amendment of a previously filed statement.

Name Ricker Hamilton	Job Title Deputy Commissioner of Programs		
Department Health & Human Services	Phone (work) 207-287-6613		
Mailing Address (work) SHS#11, 221 State Street, Augusta, ME 04333	E-mail Address (work) ricker.hamilton@maine.gov		

GENERAL INSTRUCTIONS

- Complete all sections. If a section is not applicable, check the box marked "None."
- · A glossary is located in the back of this form.
- · If completing this form by hand, please write legibly.
- Report the sources of income for you, your spouse or domestic partner, and your dependent children.
- Report only specific sources of income. Dollar amounts need not be listed.

Please keep a copy of this statement for your records!

REPORTING DEADLINES

Constitutional Officers and the State Auditor

Newly elected constitutional officers and the state auditor must file the financial disclosure statement within 30 days of election and by April 15 of each year they are in office, unless a report for that year has already been filed.

Appointed Executive Employees

Newly appointed executive employees who are appointed by the Governor and confirmed by the Legislature must file the financial disclosure statement prior to their confirmation and by April 15 of each year of their employment, unless a report for that year has already been filed.

Executive Employees in Major Policy-Influencing Positions

Executive employees in major policy-influencing positions must file the financial disclosure statement by April 15 of each year of their employment.

Leaving Office or Terminating Employment

Constitutional officers and the state auditor and all other executive employees must file a final financial disclosure statement within 45 days of leaving office or terminating employment that covers the calendar year of leaving office or terminating employment.

REQUIREMENT TO FILE AN UPDATED STATEMENT

Executive branch employees are required to update their financial disclosure statement within 30 days of a substantial change in income, reportable liabilities or positions of the executive branch employee or an immediate family member (except dependent children) that occurs in the current calendar year. (5 M.R.S.A. § 19(3)(C)) Substantial changes include but are not limited to:

- A new employer or other source of income that has paid the executive branch employee or immediate family member \$2,000 or more in the current year;
- A new reportable liability of \$3,000 or more obtained during the current calendar year;
- A new contract or other arrangement between the executive branch employee, immediate family member or associated organization and a State agency, board or commission for the lease or sale of goods or services with a value of more than \$10,000 during the current calendar year; and
- A new position in a political action committee, ballot question committee, party committee, or non-profit or for profit organization.

Part 1. Income from	Employment	by Anot	her					
☑ None. Check this	box if you did r	ot have	income fror	n employme	ent by a	nother.		
Name of Employer		Address Principal Type of Ecor Business Activity of E				Job Title		
Part 2. Income from	Self-Employn	nent						
■ None. Check this	box if you did r	ot have	income fror	n self-emplo	yment.			
Name of Your Business/Trade Name		Address			Principal Type of Economic or Business Activity			
Ricker Hamilton		16 Fox	Run Road, Fa	lmouth, ME 04	4105	Trainer/Lecturer/Grant Review		
Name of Client or Customer, if required (see instructions)		Address			Principal Type of Economic or Business Activity of Client			
National White Collar Crime Center		Fairmont, WV			Law Enforcement Training/Consultation			
Westover Consultants, Inc.		Silver Springs, MD			Consultation			
Part 3. Business En	tities							
■ None. Check this	box if you and	your imn	nediate fam	ily did not ov	wn or co	ontrol more	than	5% of any business.
Name of Business		Address			Principal Type of Economic or Business Activity			
CCRSolutions, LLC		16 Fox Run Road, Falmouth, ME 04105			Traning/Consultation			
Part 4. Income from	the Practice o	of Law						
☑ None. Check this b	ox if you did n	ot have i	ncome from	the practice	e of law	•		
Name of Practice or Firm Address		s Your Major Areas of Firm' Practice		a's Major Areas of Position: Partner, Associate, Sole Practitioner				
	and the second s							

□ None. Check this box if you did not have income from any other source.				
Name of Source	Address	Description of Income		
Maine State FCU TD Bank	Augusta, ME Portland, ME	Interest Interest		
University FCU American Express Bank	Orono, ME Salt Lake City, UT	Interest Interest		
Morgan Stanley Smith Barney CRowe Price	New York, NY Owings Mill, MD	Interest/Dividends Pension/Dividends		

Part 6-A. Compensation Income of Immediate Family Members						
None. Check this box if no members employment or compensation.	of your immediate family received inco	ome of \$2,000 or more from				
Name and Job Title (do not list name of dependent child)	Employer's Name and Address	Principal Type of Economic or Business Activity of Employer				
Cynthia H. Hamilton, Senior VP	TD Bank, One Portland Square, Portland, ME 04112	Banker				
Cynthia H. Hamilton, Board Member	Delta Dental, One Delta Drive, Concord, NH 03302-2002	Insurance .				

Part 6-B. Other Sources of Income of Immediate Family Members □ None. Check this box if no members of your immediate family received income of \$2,000 or more from any other source.					
TD Bank TD Ameritrade	Toronto, Canada				

Part 7. Loans						
☐ None. Check this box if you o	did not have repo	ortable liabilities.				
Lender's Name		Lender's Address	Principal Type of Economic or Business Activity of Lender			
US Bank	Т	ucson, AZ	Mortgage			
			- AMERICAN TO THE PART OF THE			
Part 8. Gifts, Including Travel	and Accommod	dations				
☑ None. Check this box if you d	id not received a	ny gifts.				
Source of G	ift	THE STATE OF THE S	Source of Gift			
1.		2.				
3.		4.	4.			
Part 9. Honoraria ☑ None. Check this box if you did	d not received ho	pnoraria.				
Source of Hono	oraria		Source of Honoraria			
1.		2.	2.			
3.		4.	4.			
Part 10. Positions in Political A	ction, Ballot Qu	estion or Party Commit	tees			
☑ None. Check this box if you an or fundraiser of a PAC, BQC, or P		e family were not a treasu	ırer, or principal officer, decision-maker			
Name of Committee	•	ficial or Family Member	Title			
1.						
2.						

Part 11. Conducting Business with State Agencies					
☑ None. Check this box if neither year	ou nor your imme	diate family did busine	ss with any State a	gency.	
Name of Agency		ividual/Organization cods or Services	Description of Good or Services		
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Part 12. Representing Others Be	fore State Agenc	ies			
☑ None. Check this box if neither year	ou nor your imme	diate family represente	ed another before a	State agency.	
Name of Agency		Name of Inc	lividual Receiving C	Compensation	
		- 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1			
		•			
Part 13. Positions in For-Profit ar	nd Non-Profit Or	ganizations			
□ None. Check this box if you and a profit organizations.	members your imr	mediate family did not	hold positions in ar	ny for-profit or non-	
Organization/Business and Address	Title	Name of Position Holder	Relationship to executive branch employee	Compensated Yes/No	
Delta Dental, One Delta Drive, Concord, NH 03302-2002	Board Member	Cynthia H. Hamilton	□ Self ☑ Spouse □ Dependent	Yes	
			☐ Self ☐ Spouse ☐ Dependent		
			☐ Self ☐ Spouse ☐ Dependent		
	SIG	NATURE			
I CERTIFY THAT I HAVE EXAMINED CORRECT, AND COMPLETE.	THIS REPORT	AND TO THE BEST O	F MY KNOWLEDG	E IT IS TRUE,	
ficher Harmeton April 7, 2014					
Signature			D.	ate	
THE INTENTIONAL FILING OF A FALSE STATEMENT IS A CLASS E CRIME (5 M.R.S.A. § 19(4)(B))					