

# RECEIVED COMMISSION ON GO

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Maine Ethics Commission

COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

Mail: 135 State House Station, Augusta, Maine 04333-0135 Office: 45 Memorial Circle, Augusta, Maine

WEBSITE: WWW.MAINE.GOV/ETHICS

PHONE: 207-287-4179 FAX: 207-287-6775

## STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

For the 2013 Calendar Year: January 1, 2013 - December 31, 2013

Check here if this statement is an update or amendment o	f a previously filed statement.
Name Melissa L. Gott	State Budget Officer
Department of Administrative and Financial	Phone (work) 207-624-7806
Mailing Address (work) Services 58 State House Station, Augusta	E-mail Address (work) Melissa, 1, 90++(2) maire. 901

## **GENERAL INSTRUCTIONS**

- Complete all sections. If a section is not applicable, check the box marked "None."
- A glossary is located in the back of this form.
- If completing this form by hand, please write legibly.
- Report the sources of income for you, your spouse or domestic partner, and your dependent children.
- Report only specific sources of income. Dollar amounts need not be listed.

Please keep a copy of this statement for your records!

## REPORTING DEADLINES

## **Constitutional Officers and the State Auditor**

Newly elected constitutional officers and the state auditor must file the financial disclosure statement within 30 days of election and by April 15 of each year they are in office, unless a report for that year has already been filed.

## Appointed Executive Employees

Newly appointed executive employees who are appointed by the Governor and confirmed by the Legislature must file the financial disclosure statement prior to their confirmation and by April 15 of each year of their employment, unless a report for that year has already been filed.

## Executive Employees in Major Policy-Influencing Positions

Executive employees in major policy-influencing positions must file the financial disclosure statement by April 15 of each year of their employment.

## Leaving Office or Terminating Employment

Constitutional officers and the state auditor and all other executive employees must file a final financial disclosure statement within 45 days of leaving office or terminating employment that covers the calendar year of leaving office or terminating employment.

## REQUIREMENT TO FILE AN UPDATED STATEMENT

Executive branch employees are required to update their financial disclosure statement within 30 days of a substantial change in income, reportable liabilities or positions of the executive branch employee or an immediate family member (except dependent children) that occurs in the current calendar year. (5 M.R.S.A. § 19(3)(C)) Substantial changes include but are not limited to:

- A new employer or other source of income that has paid the executive branch employee or immediate family member \$2,000 or more in the current year;
- A new reportable liability of \$3,000 or more obtained during the current calendar year;
- A new contract or other arrangement between the executive branch employee, immediate family member or associated
  organization and a State agency, board or commission for the lease or sale of goods or services with a value of more
  than \$10,000 during the current calendar year; and
- A new position in a political action committee, ballot question committee, party committee, or non-profit or for profit organization.

☑ None. Check this box if	you did not have	e income from emp	loyment by anoth	er.	
Name of Employer	Addres	ss Princ	cipal Type of Economi ness Activity of Emplo	c.or.	Job Title
	,				
W					
Part 2. Income from Self-	Employment				
None. Check this box if	you did not have	income from self-	employment.		
Name of Your Business/Trade	Name	Address			Type of Economic siness Activity
		and the committee of the second se			
,					
Name of Client or Customer, if req instructions)	uired (see	Address		Principal or Busine	Type of Economic ss Activity of Client
	·	'n		·	
		··			
Part 3. Business Entitles					
None. Check this box if	you and your im	mediate family did	not own or contro	l more than	5% of any business.
Name of Business		Address		Principal	Type of Economic siness Activity
Part 4. Income from the P	ractice of Law			Total Control of the	
☑ None. Check this box if	ou did not have	income from the pr	actice of law.		The second secon
Name of Practice or Firm	Address	Your Major Areas Practice	500	r Areas of	Position: Partner, Associate, Sole Practitioner

Part 5. Income from Any Other So	purce			
None. Check this box if you did not have income from any other source.				
Name of Source	Address	Description of Income		
		·		
4 - 24 AMAZ				
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With the history of				

Part 6-A. Compensation Income of Im		
□ None. Check this box if no members employment or compensation.	of your immediate family received inco	ome of \$2,000 or more from
Name and Job Title (do not list name of dependent child)	Employer's Name and Address	Principal Type of Economic or Business Activity of Employer
Aric M. Gott First Class Machinist	Bath Iron Works Corp. 2044 India Road Charlottesville, VA 22901	Shipbuilding
	·	

Part 6-B. Other Sources of Income of Im	mediate Family Members	
None. Check this box if no members of y	our immediate family received inc	come of \$2,000 or more from any
other source.		<u> </u>
Name of Spouse or Partner (do not list name of dependent child)	Source of Income Name and Address	Type of Income
	·	
		•

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None. Check this box if you did not	nave reportable	iadilities.	
Lender's Name		ender's Address	Principal Type of Economic o     Business Activity of Lender
Part 8. Gifts, Including Travel and Ad	ccommodations		
None. Check this box if you did not re	eceived any gifts	•	
Source of Gift			Source of Gift
1.		2.	
3.	4	4.	
	•		
Part 9. Honoraria			
None. Check this box if you did not re	ceived honoraria	le.	
Source of Honoraria		s Sc	ource of Honoraria
1.		2.	
	<del></del>	4.	
3.			•

Part 10. Positions in Political Acti	on, Ballot Question or Party Commit	itees
None. Check this box if you and yor fundraiser of a PAC, BQC, or Part	·	urer, or principal officer, decision-maker
Name of Committee	Name of Official or Family Member	Title
1.		
2.		

None. Check this box if neither you	nor your imme	ediate family did busine	ss with any State a	gency.
Name of Agency	Name of Inc	dividual/Organization	Description of	Good or Services
	Selling G	Goods or Services		
		·		
				Managara
Part 12. Representing Others Befo				110 110 120 120 120 120 120 120 120 120
None. Check this box if neither you	nor your imme		Service American American Company	
Name of Agency		Name of Inc	lividual Receiving C	compensation
		,		
Part 13. Positions in For-Profit and	Non-Profit O	rganizations		
•			hold positions in ar	y for-profit or non-
None. Check this box if you and me profit organizations.	embers your im	nmediate family did not	Relationship to	
None. Check this box if you and me				y for-profit or non- Compensated Yes/No
None. Check this box if you and me profit organizations.  Organization/Business	embers your im	nmediate family did not	Relationship to executive branch employee	Compensated
None. Check this box if you and me profit organizations.  Organization/Business	embers your im	nmediate family did not	Relationship to executive branch employee  Self Spouse	Compensated
None. Check this box if you and me profit organizations.  Organization/Business	embers your im	nmediate family did not	Relationship to executive branch employee	Compensated
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None. Check this box if you and me profit organizations.  Organization/Business	embers your im	Name of Position	Relationship to executive branch employee  Self Spouse Dependent Self Spouse Dependent Self Spouse Dependent	Compensated
None. Check this box if you and meterofit organizations.  Organization/Business  and Address	embers your im	Name of Position Holder	Relationship to executive branch employee  Self Spouse Dependent Self Spouse Dependent Self Dependent Dependent	Compensated
None. Check this box if you and metorofit organizations.  Organization/Business  and Address  CERTIFY THAT I HAVE EXAMINED T	embers your im	Name of Position Holder	Relationship to executive branch employee  Self Spouse Dependent Self Spouse Dependent Self Dependent Dependent	Compensated
None. Check this box if you and meterofit organizations.  Organization/Business  and Address  CERTIFY THAT I HAVE EXAMINED T	embers your im	Name of Position Holder	Relationship to executive branch employee  Self Spouse Dependent Self Spouse Dependent Self Dependent Dependent	Compensated
None. Check this box if you and metorofit organizations.  Organization/Business  and Address  and Address  CERTIFY THAT I HAVE EXAMINED TORRECT, AND COMPLETE.	embers your im	Name of Position Holder	Relationship to executive branch employee  Self Spouse Dependent Self Spouse Dependent Self Dependent Self Dependent FMY KNOWLEDG	Compensated Yes/No
None. Check this box if you and me profit organizations.  Organization/Business	embers your im	Name of Position Holder	Relationship to executive branch employee  Self Spouse Dependent Self Spouse Dependent Self Dependent Dependent	Compensated Yes/No