

RECEIVED COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

APR 15 2014

Maine Ethics Commission

MAIL: 135 STATE HOUSE STATION, AUGUSTA, MAINE 04333-0135 OFFICE: 45 MEMORIAL CIRCLE, AUGUSTA, MAINE

WEBSITE: WWW.MAINE.GOV/ETHICS

PHONE: 207-287-4179 FAX: 207-287-6775

STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

For the 2013 Calendar Year: January 1, 2013 - December 31, 2013

| Check here if this statement is an update or amendmen | t of a previously filed statement. |
|---|------------------------------------|
| Name DANIEL Goodheart | Job Title Depty om miss/cwee |
| Department DVEM | Phone (work) (207) 430 = 5741 |
| Mailing Address (work) 5HS 33 Aywta ME 04333-0033 | E-mail Address (work) |

GENERAL INSTRUCTIONS

- Complete all sections. If a section is not applicable, check the box marked "None."
- · A glossary is located in the back of this form.
- If completing this form by hand, please write legibly.
- Report the sources of income for you, your spouse or domestic partner, and your dependent children.
- Report only specific sources of income. Dollar amounts need not be listed.

Please keep a copy of this statement for your records!

REPORTING DEADLINES

Constitutional Officers and the State Auditor

Newly elected constitutional officers and the state auditor must file the financial disclosure statement within 30 days of election and by April 15 of each year they are in office, unless a report for that year has already been filed.

Appointed Executive Employees

Newly appointed executive employees who are appointed by the Governor and confirmed by the Legislature must file the financial disclosure statement prior to their confirmation and by April 15 of each year of their employment, unless a report for that year has already been filed.

Executive Employees in Major Policy-Influencing Positions

Executive employees in major policy-influencing positions must file the financial disclosure statement by April 15 of each year of their employment.

Leaving Office or Terminating Employment

Constitutional officers and the state auditor and all other executive employees must file a final financial disclosure statement within 45 days of leaving office or terminating employment that covers the calendar year of leaving office or terminating employment.

REQUIREMENT TO FILE AN UPDATED STATEMENT

Executive branch employees are required to update their financial disclosure statement within 30 days of a substantial change in income, reportable liabilities or positions of the executive branch employee or an immediate family member (except dependent children) that occurs in the current calendar year. (5 M.R.S.A. § 19(3)(C)) Substantial changes include but are not limited to:

- A new employer or other source of income that has paid the executive branch employee or immediate family member \$2,000 or more in the current year;
- A new reportable liability of \$3,000 or more obtained during the current calendar year;
- A new contract or other arrangement between the executive branch employee, immediate family member or associated
 organization and a State agency, board or commission for the lease or sale of goods or services with a value of more
 than \$10,000 during the current calendar year; and
- A new position in a political action committee, ballot question committee, party committee, or non-profit or for profit organization.

| Part 1. Income from Employm | nent by Another | | | |
|--|--|--|--|--|
| None. Check this box if you | did not have income fron | n employment by a | nother. | |
| Name of Employer | Address | Principal Type of Eco Business Activity of I | onomic or— Employer——— | Job Title |
| | Acta Canada and Canada Can | The state of the s | | |
| | | | - N/T | |
| · . | | | | • |
| Part 2. Income from Self-Emp | loyment | | | |
| None. Check this box if you | did not have income from | n self-employment. | | - 10 |
| Name of Your Business/Trade Name | | | Principa | al Type of Economic Business Activity |
| | | The second secon | The state of the s | disiless Activity |
| | | | | |
| - | | | | |
| Name of Client or Customer, if required | (see Addr | | Principa | il Type of Economic |
| instructions) | (See Addi | The state of the s | or Busin | ess Activity of Client |
| The state of the s | | And A PANC - continued to Colors - Table - Tab | | |
| | | | | |
| | | • | | |
| | · | - | | |
| | | | | |
| Part 3. Business Entities | 1 1 2 2 2 2 2 2 2 2 | | The second section of the second section of the second section of the second section s | |
| None. Check this box if you | | | | |
| Name of Business | Addr | ess | | al Type of Economic |
| | A CONTRACTOR OF THE CONTRACTOR | | | |
| | | | | |
| | | | | |
| • | | , | | · · · · · · · · · · · · · · · · · · · |
| Part 4. Income from the Pract | ice of Law | | | |
| None. Check this box if you c | did not have income from | the practice of law | | |
| Name of Practice or Firm Ad | Idress Your Majo | r Areas of Firm's | s Major Areas of Practice | Position: Partner, Associate, Sole |
| | The second secon | | | Practitioner |
| | | | | |
| | | | | |

| Name of Source | d not have income from any other source Address | Description of Income |
|-------------------|---|-----------------------|
| Ivaliie of Source | Addiess | Description of income |
| US ARMY | DFAS, Clove lAnd | Retirement |
| VA . | US Treasury | Disability |
| | | |

| Part 6-A. Compensation Income of Immediate Family Members | | | | |
|---|--|---|--|--|
| None. Check this box if no members | of your immediate family received inco | me of \$2,000 or more from | | |
| employment or compensation. | | | | |
| Name and Job Title (do not list name of dependent child) | Employer's Name and Address | Principal Type of Economic or Business Activity of Employer | | |
| | | | | |
| | | | | |
| | | | | |
| | • | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

| Part 6-B. Other Sources of Income of Immediate Family Members Whone. Check this box if no members of your immediate family received income of \$2,000 or more from any other source. | | | | |
|---|--------------------------------------|----------------|--|--|
| Name of Spouse or Partner (do not list name of dependent child) | Source of Income Name and Address | Type of Income | | |
| | | · | | |

| Part 7. Loans None. Check this box if you did not have reportable liabilities. | | | | |
|---|------------------|---|--|--|
| Lender's Name | Lender's Address | Principal Type of Economic or Business Activity of Lender | | |
| | | | | |

| ■ Part 8. Gifts, including Travel and Accommodations | |
|---|----------------|
| None. Check this box if you did not received any gifts. | |
| Source of Gift | Source of Gift |
| 1. | 2. |
| 2 | |
| J | 4. |
| | |

| Part 9. Honoraria | |
|--|---------------------|
| None. Check this box if you did not received honoral | ia. |
| Source of Honoraria | Source of Honoraria |
| 1. | 2. |
| | · |
| 3. | 4. |
| | · |

| | · · · · · · · · · · · · · · · · · · · | · · | | | |
|---|---------------------------------------|-------|--|--|--|
| Part 10. Positions in Political Action, Ballot Question or Party Committees | | | | | |
| None. Check this box if you and your immediate family were not a treasurer, or principal officer, decision-maker or fundraiser of a PAC, BQC, or Party Committee. | | | | | |
| Name of Committee | Name of Official or Family Member | Title | | | |
| 1. | | | | | |
| | | | | | |
| 2. | | | | | |
| | | | | | |

| B HONO, ONCON MIND DON II HOMIO | vou nor vour imm | ediate family did busine | ss with any State : | agency |
|---|--|--|--|-----------------------|
| Name of Agency | | dividual/Organization | | Good or Services |
| | Selling C | Goods or Services | | |
| | e i meret i prodesemblikan i kan di kalaba di redik eti (** 1920) I | | | |
| | | | | • |
| | | • | | |
| | | | , | |
| Part 12. Representing Others B | efore State Agen | cles | | |
| None. Check this box if neither | you nor your imm | ediate family represente | d another before | a State agency. |
| Name of Agenc | у | Name of Ind | ividual Receiving | Compensation |
| | | The state of the s | | |
| | | | | |
| | | | | |
| · | | | | |
| | | | | |
| Part 13. Positions in For-Profit a | and Non-Profit O | rganizations | | |
| None. Check this box if you and profit organizations. | members your in | nmediate family did not | hold positions in a | ny for-profit or non- |
| Organization/Business and Address | Title | Name of Position≘ Holder | Relationship to executive branch employee | Compensated Yes/No |
| | 100.00 (100.00 | | | |
| | | | ☐ Self ☐ Spouse | |
| | | | □ Self □ Spouse □ Dependent | |
| | | · . | □ Spouse □ Dependent □ Self | |
| | | | ☐ Spouse ☐ Dependent | |
| | | | ☐ Spouse ☐ Dependent ☐ Self ☐ Spouse ☐ Dependent ☐ Self | |
| Military - Trought | | | ☐ Spouse ☐ Dependent ☐ Self ☐ Spouse ☐ Dependent | |
| | SI | GNATURE | ☐ Spouse ☐ Dependent ☐ Self ☐ Spouse ☐ Dependent ☐ Self ☐ Spouse | |
| CERTIFY THAT I HAVE EXAMINE | | | ☐ Spouse ☐ Dependent ☐ Self ☐ Spouse ☐ Dependent ☐ Self ☐ Spouse ☐ Dependent | GE IT IS TRUE, |
| CERTIFY THAT I HAVE EXAMINE CORRECT, AND COMPLETE | | | ☐ Spouse ☐ Dependent ☐ Self ☐ Spouse ☐ Dependent ☐ Self ☐ Spouse ☐ Dependent | |