



COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

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Maine Ethics Commissioni

STATEMENT OF SOURCES OF INCOME FOR LEGISLATORS

2017 Calendar Year: January 1, 2017 - December 31, 2017

☐ Check here if this statement is an amendment of a previously filed statement.

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FILING DEADLINE

Please file this statement with the Clerk of the House or Secretary of the Senate by 5:00 p.m., Thursday, February 15, 2018.

GENERAL INSTRUCTIONS TO COMPLETE THIS FORM

- Complete all sections. If a section is not applicable, check the box labeled "None" for that section.
- A glossary is located in the back of this form.
- If completing this form by hand, PLEASE WRITE LEGIBLY. DO NOT USE RED INK
- Report the sources of income for you, your spouse or domestic partner, and your dependent children.
- Report only specific sources of income. Dollar amounts should not be reported.
- Campaign contributions and Maine Clean Election Act payments should not be reported in this statement.
- The completed statements are posted on the Commission's website and copies are made available to the public upon request.
- Please keep a copy of this statement for your records.

IMPORTANT NOTICE: REQUIREMENT TO FILE AN UPDATED STATEMENT

Legislators are required to update their statement of sources of incomes within 30 days of a substantial change in income, reportable liabilities, or positions of the Legislator or an immediate family member (except dependent children) that occurs in the current calendar year. (1 M.R.S.A. § 1016-G(2)(B)) Updated statement forms are available at www.maine.gov/ethics or by calling the Commission. Substantial changes include but are not limited to:

- A new employer or other source of income that has paid the Legislator or immediate family member \$2,000
 or more in the current year;
- A new reportable liability of \$3,000 or more obtained during the current calendar year;
- A new contract or other arrangement between the Legislator, immediate family member or associated organization and a State agency, board or commission for the lease or sale of goods or services with a value of more than \$10,000 during the current calendar year; and
- A new position in a political action committee, ballot question committee, party committee, or non-profit or for profit organization.

Part 1. Income from Employment by Another				
□ None. Check this box if you did not have income from employment by another.				
Name of Employer	Address	Principal Type of Economic or Business Activity of Employer	Job Title	
Maine State Legislature	State House Augusta, ME	Government	Legislator	
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Part 2. Income from Self-				
None. Check this box i	if you did not have income fro	m self-employment.		
Name of Your Business/Trade	Name Ado	iress P	rincipal Type of Economic or Business Activity	
Name of Client or Customer, if i (see instructions)	required Add		rincipal Type of Economic Business Activity of Client	
Part 3. Business Entities				
None. Check this box i	if you and your immediate far	nily did not own or control mo	re than 5% of any business.	
Name of Business	Ado	lress P	rincipal Type of Economic or Business Activity	
	·			
Part 4. Income from the Practice of Law				
None. Check this box if you did not have income from the practice of law.				
Name of Practice or Firm		ajor Areas Firm's Major Are ractice of Practice	Position: Partner, Associate, Sole Practitioner	

Part 5. Income from Any Other Source None. Check this box if you did not have income from any other source.			
Name of Source	Address	Description of Income	
MAINE STATE EMPLOYEES Retirement Fund	46 SHS Augusta ME	Pension	
Pershing Brockerage IRA account	Pershing Plays Jersey City, NJ Jersey City, NJ	mutual fund	
Part 6-A. Compensation Income o	f Immediate Family Members		
None. Check this box if no mem employment or compensation.	bers of your immediate family received	income of \$2,000 or more from	
Name and Job Title (do not list name of dependent child	Employer's Name and Address	Principal Type of Economic or Business Activity of Employer	
Part 6-B. Other Sources of Income	of Immediate Family Members		
None. Check this box if no mem other source.	bers of your immediate family received	income of \$2,000 or more from any	
Name of Spouse or Partner (do not list name of dependent child)	Source of Income Name and Address	Type of Income	

Part 7. Loans				
None. Check this box if you did not have reportable liabilities.				
Lender's Name		_ender's Address		e of Economic or ctivity of Lender
				•
Part 8. Gifts, Including Travel an				-
□ None. Check this box if you die	a not receive any gin	;s.		
Source of Gift			Source of Gift	
1. Peoples Choice Cre	-dit Union	2.		
3.		4.		
Part 9. Honoraria				
None. Check this box if you did	not receive honorari	a.		
Source of Honora	ria .		Source of Honoraria	i
1.		2.		
3.		4.	•	
Part 10. Positions in Political Acti	on, Ballot Question	or Party Committ	ees	
None. Check this box if you and your immediate family were not a treasurer, or principal officer, decision-maker or fundraiser of a PAC, BQC, or Party Committee.				
Name of Committee	Name of Official or	Family Member	Titl	e
1.				
2.				
3.				

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Part 11. Conducting Busine	ss with State Agencies			
None. Check this box if neither you nor your immediate family did business with any State agency.				
Name of Agency		idual/Organization ods or Services	Description of C	Good or Services
Part 12. Representing Other				
None. Check this box if no	either you nor your imme	ediate family represen	ted another before	a State agency.
Name of Ag	gency	Name of Ind	ividual Receiving C	ompensation
	•••			
Part 13. Positions in For-Pro	ofit and Non-Profit Org	anizations		
☐ None. Check this box if you non-profit organizations.	ou and members your im	mediate family did no	t hold positions in a	any for-profit or
non-pront organizations.				
Organization/Business and Address	Title	Name of Position Holder	Relationship to Legislator	Compensated Yes/No
Organization/Business				
Organization/Business and Address Peoples Choice Credit		- Holder Swan	Legislator Self Spouse	Yes/No
Organization/Business and Address Peoples Choice Credit		- Holder Swan	Legislator Self Dependent Self Spouse	Yes/No
Organization/Business and Address Peoples Choice Credit	Directors	- Holder Swan	Legislator Self Dependent Solf Spouse Dependent Self Spouse Dependent Self Solf Solf Solf Solf	Yes/No
Organization/Business and Address Peoples Choice Credit	Directors	- Holder Swan Deschambault NATURE	Legislator Self Spouse Dependent Self Spouse Dependent Self Spouse Dependent Dependent Dependent	Yes/No.
Organization/Business and Address Peoples Choice Credit Union	Directors	- Holder Swan Deschambault NATURE	Legislator Self Spouse Dependent Self Spouse Dependent Self Spouse Dependent Dependent Dependent	Yes/No.

THE INTENTIONAL FILING OF A FALSE STATEMENT IS A CLASS E CRIME (1 M.R.S.A. § 1016-G(3)(B))

ADDITIONAL INFORMATION

Please provide providing. Use	e any additional information in the space below. I e additional pages if necessary.	ndicate the part number for the information you are
Part Number		
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