

# COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

APR: 1 5 2014

Maine Ethics Commission

Mail: 135 State House Station, Augusta, Maine 04333-0135 OFFICE: 45 MEMORIAL CIRCLE, AUGUSTA, MAINE WEBSITE: WWW.MAINE.GOV/ETHICS

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### STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

For the 2013 Calendar Year: January 1, 2013 - December 31, 2013

I Check here if this statement is an update or amendment of a previously filed statement.

Name Edward A. Dahl	Job Title Director, BG-5
Department DAFS	Phone (work) (207) 624-73/4
Malling Address (work) SHS 77 Augusta MF 04330	E-mail Address (work), ed, Jahl@MAINE. 90V

# GENERAL INSTRUCTIONS

- Complete all sections. If a section is not applicable, check the box marked "None."
- A glossary is located in the back of this form.
- If completing this form by hand, please write legibly.

- Report the sources of income for you, your spouse or domestic partner, and your dependent children.
- Report only specific sources of income. Dollar amounts need not be listed.

Please keep a copy of this statement for your records!

#### REPORTING DEADLINES

#### Constitutional Officers and the State Auditor

Newly elected constitutional officers and the state auditor must file the financial disclosure statement within 30 days of election and by April 15 of each year they are in office, unless a report for that year has already been filed.

#### **Appointed Executive Employees**

Newly appointed executive employees who are appointed by the Governor and confirmed by the Legislature must file the financial disclosure statement prior to their confirmation and by April 15 of each year of their employment, unless a report for that year has already been filed.

# **Executive Employees in Major Policy-Influencing Positions**

Executive employees in major policy-influencing positions must file the financial disclosure statement by April 15 of each year of their employment.

## **Leaving Office or Terminating Employment**

Constitutional officers and the state auditor and all other executive employees must file a final financial disclosure statement within 45 days of leaving office or terminating employment that covers the calendar year of leaving office or terminating employment.

# REQUIREMENT TO FILE AN UPDATED STATEMENT

Executive branch employees are required to update their financial disclosure statement within 30 days of a substantial change in income, reportable liabilities or positions of the executive branch employee or an immediate family member (except dependent children) that occurs in the current calendar year. (5 M.R.S.A. § 19(3)(C)) Substantial changes include but are not limited to:

- A new employer or other source of income that has paid the executive branch employee or immediate family member \$2,000 or more in the current year;
- A new reportable liability of \$3,000 or more obtained during the current calendar year;
- A new contract or other arrangement between the executive branch employee, immediate family member or associated organization and a State agency, board or commission for the lease or sale of goods or services with a value of more than \$10,000 during the current calendar year; and
- A new position in a political action committee, ballot question committee, party committee, or non-profit or for profit organization.

Part 1. Income from Employme				
⋈ None. Check this box if you d				
Name of Employer	Address	Principal Type of Eco Business Activity of I	onomic or =   = = = = = = = = = = = = = = = = =	Job Title
			Land Control of the C	The second secon
Part 2. Income from Self-Emplo	oyment	THY INC. TO A STATE OF THE STAT		
☑ None. Check this box if you d		m self-employment.		
Name of Your Business/Trade Name		dress	Principal	Type of Economic
			or Bu	isiness Activity
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Name of Client or Customer, if required (s instructions)	ee Ad	dress	Principal or Busine	Type of Economic ss Activity of Client
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Part 3. Business Entities	The second secon			
None. Check this box if you a	nd vour immediate fan	nilv did not own or co	ontrol more than	5% of any business.
Name of Business		dress	Principal	Type of Economic
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Part 4. Income from the Practic	e of Law	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		
	A STATE OF THE STA	- the practice of law		
☑ None. Check this box if you di			s Major Areas of	Position: Partner,
Name of Practice or Firm - Add	ress Tour Ma	actice	Practice	Associate, Sole
				Fractitioner
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Part 5. Income from Any Other Sc	purce	
☐ None. Check this box if you did n	ot have income from any other source.	
Name of Source	Address	Description of Income
NAUY PENSION	; ;	Retirement
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Part 6-A. Compensation Income of Im  None. Check this box if no members		ome of \$2,000 or more from
employment or compensation.  Name and Job Title  (do not list name of dependent child)	Employer's Name and Address	Principal Type of Economic or Business Activity of Employer
Mary Tibbetts, pirector, mental Health Scruices	Department of Veterans Attains Tosus VAMC, IVACENTER, Augustu 04330	GOVERNMENT Health Services
	A-mg'usta 04230	

☑ None. Check this box if no members of your immediate family received income of \$2,000 or more from a other source.		
Name of Spouse or Partner (do not list name of dependent child)	Source of Income Name and Address	Type of Income

Part 6-B. Other Sources of Income of Immediate Family Members

None. Check this box if you did not have re	portable liabilities.	
Lender's Name	Lender's Address	Principal Type of Economic Career Business Activity of Lender

Part 8. Gifts, including Travel and Accommodations	
None. Check this box if you did not received any gifts	
Source of Gift	Source of Gift
1.	2.
3	
<b>3.</b>	4.
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Part 9. Honoraria  None. Check this box if you did not received hon	
Source of Honoraria	Source of Honoraria
1.	2.
3.	4.

Part 10. Positions in Political Act	ion, Ballot Question or Party Commit	ltees
None. Check this box if you and or fundraiser of a PAC, BQC, or Pa	To the second of	urer, or principal officer, decision-maker
Name of Committee	Name of Official or Family Member	Title
1.		
		·
2.		
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Part 11. Conducting Business wit  None. Check this box if neither yo			es with any State of	vaconov.
Name of Agency	T	vidual/Organization		Good or Services
Name of Agency		oods or Services	Description of	Good of Services
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Part 12. Representing Others Befo	ore State Agenci	les		
None. Check this box if neither yo	u nor your immed	liate family represente	ed another before a	State agency.
Name of Agency			dividual Receiving (	
		1		
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Part 13.≣Positions in For-Profit an	d Non-Profit Org	anizations		
None. Check this box if you and m			hold positions in ar	y for-profit or non-
None. Check this box if you and m profit organizations.		nediate family did not		
None. Check this box if you and more profit organizations.  Organization/Business		nediate family did not . Name of Position	Relationship to executive branch	Compensated
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