

COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

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STATEMENT OF SOURCES OF INCOME FOR LEGISLATORS

2013 Calendar Year: January 1, 2013 - December 31, 2013

Check here if this statement is an update or amendment of a previously filed statement.

Form with fields: Name (Justin Alford), Office (Senate), Mailing Address (143 Vaughan St. Portland ME), District Number (27), City/Town, State, Zip, E-mail Address (justin@justinalford.com)

FILING DEADLINE

Please file this statement with the Clerk of the House or Secretary of the Senate by 5:00 p.m., February 15, 2014.

GENERAL INSTRUCTIONS

- Complete all sections. If a section is not applicable, check the box marked "None."
A glossary is located in the back of this form.
If completing this form by hand, please write legibly.
Report the sources of income for you, your spouse or domestic partner, and your dependent children.
Report only specific sources of income. Dollar amounts need not be listed.
Campaign contributions and Maine Clean Election Act payments duly recorded as required by law need not be reported in this statement.
The completed statements are posted on the Commission's website and copies are made available to the public upon request.
Please keep a copy of this statement for your records.

REQUIREMENT TO FILE AN UPDATED STATEMENT

Legislators are required to update their statement of sources of incomes within 30 days of a substantial change in income, reportable liabilities or positions of the Legislator or an immediate family member (except dependent children) that occurs in the current calendar year. (1 M.R.S.A. § 1016-G(2)(B)) Substantial changes include but are not limited to:

- A new employer or other source of income that has paid the Legislator or immediate family member \$2,000 or more in the current year;
A new reportable liability of \$3,000 or more obtained during the current calendar year;
A new contract or other arrangement between the Legislator, immediate family member or associated organization and a State agency, board or commission for the lease or sale of goods or services with a value of more than \$10,000 during the current calendar year; and
A new position in a political action committee, ballot question committee, party committee, or non-profit or for profit organization.

Please call the Commission staff 207-287-4179 if you have any questions.

Thank you for your cooperation!

**Part 1. Income from Employment by Another** None. Check this box if you did not have income from employment by another.

Name of Employer	Address	Principal Type of Economic or Business Activity of Employer	Job Title
State of Maine	3 House Station Augusta, ME 04330	government	President of the Senate

**Part 2. Income from Self-Employment** None. Check this box if you did not have income from self-employment.

Name of Your Business/Trade Name	Address	Principal Type of Economic or Business Activity
Name of Client or Customer, if required (see instructions)	Address	Principal Type of Economic or Business Activity of Client

**Part 3. Business Entities** None. Check this box if you and your immediate family did not own or control more than 5% of any business.

Name of Business	Address	Principal Type of Economic or Business Activity
Bayside Mzrie LLC	477 Congress St., Suite 2012 Portland, ME 04102	Development
Bowl Portland LLC	58 Alder St. Portland, ME 04101	Business

**Part 4. Income from the Practice of Law** None. Check this box if you did not have income from the practice of law.

Name of Practice or Firm	Address	Your Major Areas of Practice	Firm's Major Areas of Practice	Position: Partner, Associate, Sole Practitioner

Part 5. Income from Any Other Source		
<input type="checkbox"/> None. Check this box if you did not have income from any other source.		
Name of Source	Address	Description of Income
JKR LLC	410 Dexter Enterprise 2 Monument Sq. Portland, ME 04101	Shares of Partnership Income
BNY Wealth Management	201 Washington Street Boston, MA 02108	Investment
Multon Trust of New England	500 Ross Street 650 Pittsburgh, PA 15262-001	Interest

Part 6-A. Compensation Income of Immediate Family Members		
<input checked="" type="checkbox"/> None. Check this box if no members of your immediate family received income of \$2,000 or more from employment or compensation.		
Name and Job Title (do not list name of dependent child)	Employer's Name and Address	Principal Type of Economic or Business Activity of Employer

Part 6-B. Other Sources of Income of Immediate Family Members		
<input checked="" type="checkbox"/> None. Check this box if no members of your immediate family received income of \$2,000 or more from any other source.		
Name of Spouse or Partner (do not list name of dependent child)	Source of Income Name and Address	Type of Income

Part 7. Loans		
<input checked="" type="checkbox"/> None. Check this box if you did not have reportable liabilities.		
Lender's Name	Lender's Address	Principal Type of Economic or Business Activity of Lender

Part 8. Gifts, Including Travel and Accommodations	
<input type="checkbox"/> None. Check this box if you did not received any gifts.	
Source of Gift	Source of Gift
1. State Legislative Leaders Foundation	2.
3.	4.

Part 9. Honoraria	
<input checked="" type="checkbox"/> None. Check this box if you did not received honoraria.	
Source of Honoraria	Source of Honoraria
1.	2.
3.	4.

Part 10. Positions in Political Action, Ballot Question or Party Committees		
<input type="checkbox"/> None. Check this box if you and your immediate family were not a treasurer, or principal officer, decision-maker or fundraiser of a PAC, BQC, or Party Committee.		
Name of Committee	Name of Official or Family Member	Title
1. Alford Business Community Democracy P.A.C.	Justin Alford	Treasurer; principal decision maker
2. State Democratic Campaign Committee	Justin Alford	Principal decision maker

**Part 11. Conducting Business with State Agencies**

None. Check this box if neither you nor your immediate family did business with any State agency.

Name of Agency	Name of Individual/Organization Selling Goods or Services	Description of Good or Services

**Part 12. Representing Others Before State Agencies**

None. Check this box if neither you nor your immediate family represented another before a State agency.

Name of Agency	Name of Individual Receiving Compensation

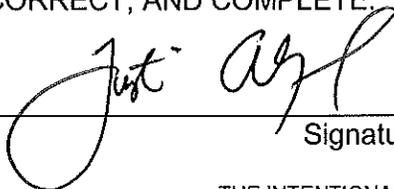
**Part 13. Positions in For-Profit and Non-Profit Organizations**

None. Check this box if you and members your immediate family did not hold positions in any for-profit or non-profit organizations.

Organization/Business and Address	Title	Name of Position Holder	Relationship to Legislator	Compensated Yes/No
William and Joan Foundation 2 Monument Sq. Portland, ME 04101	member	Justin Alford	<input checked="" type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependent	NO
Maine Initiatives 295 Water Street, Suite 100 Augusta, ME 04333	member	Justin Alford	<input checked="" type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependent	NO
Opportunity Maine 26 Beech Hill Road Northport ME 04849	member	Justin Alford	<input checked="" type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependent	NO

**SIGNATURE**

I CERTIFY THAT I HAVE EXAMINED THIS REPORT AND TO THE BEST OF MY KNOWLEDGE IT IS TRUE, CORRECT, AND COMPLETE.



Signature

2/17/14

Date

THE INTENTIONAL FILING OF A FALSE STATEMENT IS A CLASS E CRIME (1 M.R.S.A. § 1016-G(3)(B))

### ADDITIONAL INFORMATION

Please provide any additional information in the space below. Indicate the part number for the information you are providing. Use additional pages if necessary.

Part Number		
5	Purchase Investors C/O A. Vezzo 287 Bowdoin Ave. Purchase NY 10577	Shares of Partnership Income
5	Ellen Loring FBO Justin Atford A. Vezzo Trust 287 Bowdoin Ave Purchase NY 10577	Investments
5	Shack Foods of America LLC 149 Alewife Brook Pkwy Cambridge MA 02140	Shares of Partnership Income
5	Dexter Enterprise 2 Monument Sq. Portland ME 04101	Shares of Partnership Income
5	JJR 443 Congress Street c/o Dexter Enterprise 2 Monument Sq. Portland, ME 04101	Shares of Partnership Income
5	Sweetwater Partners LLC c/o Dexter Enterprise 2 Monument Sq. Portland, ME 04101	Shares of Partnership Income
13	Kennebec Valley Community College 92 Western Ave. Fairfield ME 04937	Trustee Justin Atford self NO
13	Avesta Housing 307 Cumberland Ave. Portland, ME 04101	Member Justin Atford self NO
13	Jewish Community Alliance 57 Ashmont Street Portland ME 04103	Member Spouse Rachael Atford NO
13	Portland Trails 305 Commercial Street Portland ME 04101	Member Rachael Atford Spouse NO