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## APR 18 2017 COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES



Maine Ethics Commission

Mail: 135 State House Station, Augusta, Maine 04333 Office: 45 Memorial Circle, Augusta, Maine Website: www.maine.gov/ethics Phone: 207-287-4179 Fax: 207-287-6775

# STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

2016 Calendar Year: January 1, 2016 - December 31, 2016

#### □ Check here if this statement is an update or amendment of a previously filed statement.

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## **GENERAL INSTRUCTIONS**

- Complete all sections. If a section is not applicable, check the box marked "None."
- A glossary is located in the back of this form.
- If completing this form by hand, please write legibly. NO RED INK!
- Report the sources of income for you, your spouse or domestic partner, and your dependent children.
- Report only specific sources of income. Dollar amounts need not be listed.
- If April 15 falls on a weekend or holiday, this statement is due on the next business day.

### Please keep a copy of this statement for your records!

#### **REPORTING DEADLINES**

#### **Constitutional Officers and the State Auditor**

Newly elected constitutional officers and the state auditor must file the financial disclosure statement within 30 days of election and by <u>April 15</u> of each year they are in office, unless a report for that year has already been filed.

#### **Appointed Executive Employees**

Newly appointed executive employees who are appointed by the Governor and confirmed by the Legislature must file the financial disclosure statement prior to their confirmation and by <u>April 15</u> of each year of their employment, unless a report for that year has already been filed.

#### **Executive Employees in Major Policy-Influencing Positions**

Executive employees in major policy-influencing positions must file the financial disclosure statement by <u>April 15</u> of each year of their employment.

#### Leaving Office or Terminating Employment

Constitutional officers and the state auditor and all other executive employees must file a final financial disclosure statement within 45 days of leaving office or terminating employment that covers the calendar year of leaving office or terminating employment.

#### REQUIREMENT TO FILE AN UPDATED STATEMENT

Executive branch employees are required to update their financial disclosure statement within 30 days of a substantial change in income, reportable liabilities or positions of the executive branch employee or an immediate family member (except dependent children) that occurs in the current calendar year. (5 M.R.S.A. § 19(3)(C)) Substantial changes include but are not limited to:

- A new employer or other source of income that has paid the executive branch employee or immediate family member \$2,000 or more in the current year;
- A new reportable liability of \$3,000 or more obtained during the current calendar year;
- A new contract or other arrangement between the executive branch employee, immediate family member or associated organization and a State agency, board or commission for the lease or sale of goods or services with a value of more than \$10,000 during the current calendar year; and
- A new position in a political action committee, ballot question committee, party committee, or non-profit or for profit organization.

Part 1. Income from Employment by Another									
None. Check this box if you did not have income from employment by another.									
Name of Employer		Address		Principal Type of Economic or Business Activity of Employer		Job Title			
Part 2. Income from									
None. Check this	box if you did	not have	income fro	m self-empl	oyment.				
Name of Your Business/	Trade Name		Add	ress		Pr	incipal Ty or Busir	pe of Econo less Activity	mic
Name of Client or Custom (see instructior			Add	ress				pe of Econo Activity of C	
	•••								
Part 3. Business Ent		your im	mediate fan	nily did not c	wn or co	ontrol mor	e than 5	5% of any	business.
Name of Busine	355 		Add	ess	ss Principal Type of Econom or Business Activity			mic	
Part 4. Income from the Practice of Law									
₩ None. Check this box if you did not have income from the practice of law.									
Name of Practice or Firm	Address		Your Ma of Pri	or Areas actice		a Major Area f Practice	as /	Position: Associate, Sol	Partner, e Practitioner
	<u> </u>				:				

Part 5. Income from Any Other Source						
None. Check this box if you did	not have income from any other source					
Name of Source	Address	Description of Income				
MeRers	46 SHS, Augusta, ME	Riterement Benefits				
Rental Income	Farmington, ME Augusta, ME	Rental Units				
SSA		social Security Widow's Benefits				
Part 6-A. Compensation Income o	f Immediate Family Members					
None. Check this box if no mem employment or compensation.	bers of your immediate family received	income of \$2,000 or more from				
Name and Job Title (do not list name of dependent child	Employer's Name and Address	Principal Type of Economic or Business Activity of Employer				
Part 6-B. Other Sources of Income	of Immediate Family Members					
None. Check this box if no members of your immediate family received income of \$2,000 or more from any other source.						
Name of Spouse or Partner (do not list name of dependent child)	Source of Income Name and Address	Type of Income				

Part 7, Loans						
None. Check this box if you did	not have reportab	le liabilities.				
Lender's Name		Lender's Address		Principal Type of Economic or Business Activity of Lender		
Part 8. Gifts, Including Travel and	Accommodatio	ns				
None. Check this box if you did	not receive any gi	ifts.				
Source of Gift	a de la companya de la companya Antica esta de la companya de la com		Sourc	e of Gift		
1. Democratic AG's A	ssociation	2. Conferences	>			
3.		4.				
Part 9. Honoraria						
None. Check this box if you did r	not receive honora	ria.				
Source of Honorar	a		Source o	f Honoraria		
1.		2.				
3.		4.				
Part 10. Positions in Political Actio	on, Ballot Questio	n or Party Commit	tees			
V None. Check this box if you and y or fundraiser of a PAC, BQC, or P		mily were not a trea	surer, or pr	incipal officer, decision-maker		
Name of Committee	Name of Official of	or Family Member		Title		
1.						
2.						
3.						

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Part 11. Conducting Business	with State Agencies				
None. Check this box if neithe	er you nor your immed	diate family did busin	ess with an <mark>y</mark> State	agency.	
Name of Agency		dual/Organization ds or Services	Description of Good or Services		
Part 12. Representing Others E	Before State Agencie	S			
Mone. Check this box if neithe	er you nor your immed	liate family represent	ted another before	a State agency.	
Name of Agen	су	Name of Ind	ividual Receiving C	Compensation	
Part 13. Positions in For-Profit None. Check this box if you a non-profit organizations. Organization/Business and Address			t hold positions in a Relationship to Executive Employee	any for-profit or Compensated Yes/No	
			<ul><li>Self</li><li>Spouse</li><li>Dependent</li></ul>		
			<ul> <li>Self</li> <li>Spouse</li> <li>Dependent</li> </ul>		
			<ul><li>Self</li><li>Spouse</li><li>Dependent</li></ul>		
		ATURE			
I CERTIFY THAT I HAVE EXAMIN CORRECT, AND COMPLETE.	ED THIS REPORT AN	ND TO THE BEST O	F MY KNOWLEDG	E IT IS TRUE,	
Signature			4/14 Di	/ / 7 ate	
THE INTENTIONAL FI	LING OF A FALSE STATEME	ENT IS A CLASS E CRIME (	I M.R.S.A. § 1016-G(3)(B	))	