

COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

APR 13 2012

Maine Ethics Commission

MAIL: 135 STATE HOUSE STATION, AUGUSTA, MAINE 04333
OFFICE: 45 MEMORIAL CIRCLE, AUGUSTA, MAINE
WEBSITE: WWW.MAINE.GOV/ETHICS

PHONE: 207-287-4179 FAX: 207-287-6775

STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

2011 Calendar Year: January 1, 2011 - December 31, 2011

Please file this statement with the <u>Maine Ethics Commission</u> by 5:00 p.m. on April 13, 2012. Please contact Commission staff at 287-4179 or come to the Commission office at 45 Memorial Circle, Augusta, if you have any questions about this form, your reporting requirements, or how to report specific situations.

Reporting Deadlines

- This personal financial disclosure statement must be filed annually by the Governor, constitutional
 officers, State Auditor, all state employees in major policy-influencing positions (other than assistant
 attorneys general), and any other executive branch employee who is appointed by the Governor and
 confirmed by the Legislature.
- The statement must be filed by the close of the second week of April and covers the preceding calendar year (the reporting year).
- No statement is required in April if the executive employee has already filed a statement covering the
 preceding year as an initial report. (Employees appointed by the Governor must file an initial report
 before confirmation by the Legislature, and the Governor, constitutional officers, and State Auditor
 must file an initial report within 30 days of his or her election.)
- If there is a substantial change in the sources of your income or positions during the current calendar year, file an "update statement" for the current year within 30 days of the substantial change.

General Instructions

- Complete all sections. If a section is not applicable, check the box marked "None."
- A glossary is located in the back of this form.
- If completing this form by hand, please write legibly.

REPORT TYPE Update Annual Initial **EXECUTIVE EMPLOYEE INFORMATION** Job Title Name Deputy Secretary of State Julie L. Flynn Phone (Work) Department Secretary of State; Bureau of Corporations, Elections and Commissions 207-624-7736 Mailing Address 101 State House Station, Augusta ME 04333-0101 **Email Address** julie.flynn@maine.gov

Part 1. Income from Employ	yment by Another		
None. Check this box if yo	u do not have income	from employment by another.	
Name of Employer	Address	Principal Type of Economic or Business Activity of Employer	Job Title

Part 2. Income from Self-Employment			
None. Check this box if you do not have income from self-employment.			
Name of Your Business/Trade Name	Address	Principal Type of Economic or Business Activity	
Name of Client or Customer, if required (see instructions)	Address	Principal Type of Economic or Business Activity of Client	

Part 3. Income from the Practice of Law ✓ None. Check this box if you do not have income from the practice of law.				

Part 4. Income from Any Other Sou	urce		
None. Check this box if you do not	have income from any other source.		
Name of Source	Address	Type of Income	
Charles Schwab	211 Main Street, San Francisco CA	nvestments - Joint Account with Spouse	
Part 5-A. Compensation Income of	Immediate Family Members	•	
None. Check this box if no member employment or compensation.	rs of your immediate family derived inco	ome of \$1,000 or more from	
Name and Job Title (do not list name of dependent child	Employer's Name and Address)	Principal Type of Economic or Business Activity of Employer	
Robert V. Flynn, Consultant	Self Employed - Risk Management Assoc 4 Meadow Way, Richmond M	Safety Consulting; expert witness	
Part 5-B. Other Sources of Income	of Immediate Family Members		
	rs of your immediate family derived inco	ome of \$1,000 or more from any	
Name of Spouse or Partner (do not list name of dependent child)	Source's Name and Address	Type of Income	
Robert V. Flynn	see # 4 above		
Robert V. Flynn	Met Life Bank 334 Madison Ave., Morristown NJ	IRA distribution	

Part 6. Loans None. Check this box if you do not have reportable liabilities.			

Part 7. Gifts, Including Travel and Accommodations				
✓ None. Check this box if you have not receive	ed any gifts.			
Source of Gift	Source of Gift			
1.	4.			
2.	5.			
3,	6.			
3.	6.			

Part 8. Honoraria			
✓ None. Check this box if you have not received l	honoraria.		
Source of Honoraria	Source of Honoraria		
1.	4.		
2.	5.		
3.	6.		

Part 9-A. Conducting Business with State Agencies					
None. Check this box if neither you	nor your immed	diate family have done	business with State	e agencies.	
Name of Agency		Name of Ind	Name of Individual Selling Goods or Services		
					
Part 9-B. Representing Others Bef None. Check this box if neither you			acated another hefe	oro a Stota agency	
Name of Agency	Hor your initiou		lividual Receiving C		
Name of Agency		Name of the	Ilvioual receiving C	Ompensauon	
					
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Part 10. Positions in For-Profit and			-1d positions in any	for profit or non	
✓ None. Check this box if you and me profit organizations.	mbers your min	dediate family do not he	old positions in any	TOF-PROBLOT HORE	
Organization/Business and Address	Title	Name of Position Holder	Relationship to Executive Employee	Compensated Yes/No	
			□Self □Spouse □Dependent		
			☐ Self ☐ Spouse ☐ Dependent		
			☐ Self ☐ Spouse ☐ Dependent		
years and the same of the same	SIG	SNATURE			
I CERTIFY THAT I HAVE EXAMINED CORRECT, AND COMPLETE.			F MY KNOWELDG	E IT IS TRUE,	
Soule L. Hypen			4-6-1	10	
Signature			<u>4-6-1</u> Da	ate	
UNSWORN FALSIFICATION IS A CLASS D CRIME (17-A M.R.S.A. §453).					