

COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

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APR 13 2012

Maine Ethics Commission

Mail: 135 State House Station, Augusta, Maine 04333 Office: 45 Memorial Circle, Augusta, Maine

□ Update

WEBSITE: www.maine.gov/ethics Phone: 207-287-4179

FAX: 207-287-6775

STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

2011 Calendar Year: January 1, 2011 - December 31, 2011

Please file this statement with the <u>Maine Ethics Commission</u> by **5:00 p.m. on April 13, 2012.** Please contact Commission staff at 287-4179 or come to the Commission office at 45 Memorial Circle, Augusta, if you have any questions about this form, your reporting requirements, or how to report specific situations.

Reporting Deadlines

- This personal financial disclosure statement must be filed annually by the Governor, constitutional
 officers, State Auditor, all state employees in major policy-influencing positions (other than assistant
 attorneys general), and any other executive branch employee who is appointed by the Governor and
 confirmed by the Legislature.
- The statement must be filed by the close of the second week of April and covers the preceding calendar year (the reporting year).
- No statement is required in April if the executive employee has already filed a statement covering the preceding year as an initial report. (Employees appointed by the Governor must file an initial report before confirmation by the Legislature, and the Governor, constitutional officers, and State Auditor must file an initial report within 30 days of his or her election.)
- If there is a substantial change in the sources of your income or positions <u>during the current</u> calendar year, file an "update statement" for the current year within 30 days of the substantial change.

General Instructions

Annual

Email Address

thomas.arnold@maine.gov

• Complete all sections. If a section is not applicable, check the box marked "None."

□ Initial

- A glossary is located in the back of this form.
- · If completing this form by hand, please write legibly.

REPORT TYPE

Name EXECUTIVE EMPLOYEE INFORMATION Job Title			
Thomas G. Arnold	Deputy Sec. of State		
Department Secretary of State	Phone (Work) 207-624-9023		
Mailing Address 101 Hospital St., State House Station 29, August	a, ME		

None. Check this box if	you do not nave income f	rom employment by another.	
Name of Employer	Address	Principal Type of Economic or Business Activity of Employer	Job Title

☑ None. Check this box if you do not have income from self-employment.			
Name of Your Business/Trade Name	Address	Principal Type of Economic or Business Activity	
Name of Client or Customer, if required (see instructions)	Address	Principal Type of Economic or Business Activity of Client	

☑ None. Check this box	if you do not have in	come from the practice	of law.	
Name of Practice or Firm	Address	Your Major Areas of Practice	Firm's Major Areas of Practice	Position: Partner, Associate, Sole Practitioner

Part 4. Income from Any Other Source None. Check this box if you do not have income from any other source.		
Name of Source	Address	Type of Income
Maine State Employees Retirement System	46 State House Station, Augusta, ME	Retirement Pension

☐ None. Check this box if no members of employment or compensation.	of your immediate family derived incom	e of \$1,000 or more from
Name and Job Title (do not list name of dependent child)	Employer's Name and Address	Principal Type of Economic or Business Activity of Employer
Lisa Arnold, Kindergarten Teacher	Saco School Department	Education

□ None. Check this box if no members of your immediate family derived income of \$1,000 or more from any other source.			
Name of Spouse or Partner (do not list name of dependent child)	Source's Name and Address	Type of Income	

Part 6. Loans ☑ None. Check this box if you do not have reportable liabilities.			
Lender's Name	Lender's Address	Principal Type of Economic or Business Activity of Lender	

□ None. Check this box if you have not received any gifts.		
Source of Gift	Source of Gift	
1. American Assoc. of Motor Vehicle Administrators	4.	
2.	5.	
3.	6.	

Part 8. Honoraria			
ชโNone. Check this box if you have not received honoraria.			
Source of Honoraria	Source of Honoraria		
1.	4.		
2.	5.		
3.	6.		

	ou nor your immedia	te family have done	business with State	agencies.
Name of Agency		Name of Ind	vidual Selling Good	ds or Services
Part 9-B. Representing Others B	efore State Agenci			
None. Check this box if neither you		-,	sented another befo	ore a State agenc
Name of Agency		El Transporta delles, les villes, el la	ividual Receiving C	
Part 10. Positions in For-Profit at Z None. Check this box if you and no profit organizations. Organization/Business and Address			Relationship to Executive Employee	for-profit or non- Compensated Yes/No
			□ Dependent	
			□ Self □ Spouse	
			□ Spouse □ Dependent □ Self □ Spouse	
CERTIFY THAT I HAVE EXAMINED CORRECT, AND COMPLETE.	Control of the Contro	ATURE ND TO THE BEST O	□ Spouse □ Dependent □ Self □ Spouse □ Dependent	E IT IS TRUE,

UNSWORN FALSIFICATION IS A CLASS D CRIME (17-A M.R.S.A. §453)