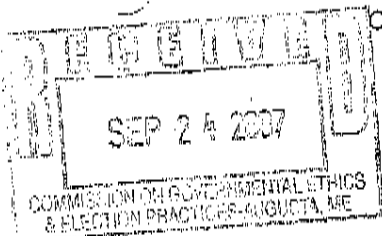


2007 November Special Elections



COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES
Mail: 135 State House Station, Augusta, Maine 04333
Office: 242 State Street, Augusta, Maine

Website: www.maine.gov/ethics
Phone: 207-287-4179
Fax: 207-287-6775

2007 November Special Elections - SEED MONEY CAMPAIGN FINANCE REPORT

(Please Complete ALL Entries)

Name of CANDIDATE KATHERINE HARVEY

Mailing address 51 LANCASTER ST

City, zip code DIXFIELD, ME 04224

Telephone number 562-4469 Fax ---

E-mail _____

Office Sought HOUSE OF REPRESENTATIVE District Number 93

CHECK IF CHANGED
SINCE PREVIOUS
REPORT

Name of TREASURER JON HOLMES

Mailing address PO BOX 609

City, zip code DIXFIELD 04224

Telephone number 562 4690 Fax ---

E-mail holmesjg@verizon.net

CHECK IF CHANGED
SINCE PREVIOUS
REPORT

This is the first report for the candidate's 2007 campaign.

Reports were previously filed for the candidate's 2007 campaign on _____
(Please do not report transactions that were included in previous reports.)

I CERTIFY THAT I HAVE EXAMINED THIS REPORT AND TO THE BEST OF MY KNOWLEDGE IT IS TRUE, CORRECT AND COMPLETE.

Jon Holmes

Jon Holmes
Treasurer's Signature

9/20/07
Date

Katherine N. Harvey
Candidate's Signature

9.23.07
Date

Katherine Harvey
CANDIDATE'S FULL NAME

Page 1 of 1
(Schedule A only)

SCHEDULE A
CASH CONTRIBUTIONS

- Only individuals may make seed money contributions. Contributions must be from their personal funds and not from other sources. Each contributor may give up to \$100 in seed money. Lobbyists, lobbyist associates, and their employers may not make seed money contributions during the legislative session. Members of the candidate's family may each give up to \$100 in seed money, provided the contributions are from their personal funds.
- Total seed money contributions may not exceed \$50,000 for gubernatorial candidates, \$1,500 for Senate candidates, and \$500 for House candidates.
- Itemize all cash contributions from contributors who have given you more than \$50 in seed money contributions. Both cash and in-kind contributions count toward the \$50 threshold.
- Enter the occupation and employer for every individual contributing more than \$50 in seed money. If you have requested employment information from the contributor and the contributor has not provided it, indicate "information requested" for the occupation and employer.
- For cash contributions totaling \$50 or less, enter "total of contributions \$50 or less" and the total amount on this page. Once a contributor has given you more than \$50 in seed money, you must list that contributor separately.

DATE RECEIVED	CONTRIBUTOR'S NAME, ADDRESS, ZIP	OCCUPATION	TYPE (use key code)	AMOUNT
		EMPLOYER		
08/29/07	Paul T. Davis Sr 36 Townhouse Rd Sangerville, ME 04479	—	7	50 ⁰⁰
08/31/07	Jon Holmes P.O. Box 629 Dixfield, ME 04224	—	7	50 ⁰⁰
09/04/07	David Harvey 16 Lancaster St. Dixfield, ME 04224	—	2	50 ⁰⁰
9/4/07	Katherine N. Harvey 51 Lancaster St Dixfield, ME 04224	—	1	25 ⁰⁰ 50⁰⁰
9/13/07	Eugene W. Haines PO Box 122 Dixfield, ME 04224-0122	—	7	20 ⁰⁰
9-30-07	Katherine N. Harvey 51 Lancaster St. Dixfield, ME 04224	—	1	15 ⁰⁰
9-14-07	Clarissa Brown 25 Highland Ave PO Box 484 Dixfield, ME 04224-0484	—	7	50 ⁰⁰
9-18-07	Paul Davis Rocky Ridge Rd. Winslow, ME 70 Plum Brook	Plum Creek General Manager in Maine	2	100 ⁰⁰
9-18-07	Doug Smith 214 River Rd Dover, Foxcroft, ME PO Box Dawn Foxcroft	Tree Farmer — self	2	100 ⁰⁰
Total cash contributions (this page only) ⇒ (combined totals from all Schedule A pages must be listed on Schedule F, line 2)				460 ⁰⁰

Key Codes:

- 1 = Candidate and Candidate's Spouse or Domestic Partner
- 2 = Other Individuals
- 7 = Contributors giving \$50 or less

CANDIDATE'S FULL NAME _____

**SCHEDULE A-1
IN-KIND CONTRIBUTIONS**

In-kind contributions are goods and services (including facilities) that you received at no cost or at a cost less than the fair market value. They include all goods and services purchased for the campaign by the candidate or supporters if the campaign does not expect to reimburse the candidate or supporter. These contributions may come from the candidate, candidate's family, and supporters.

In-kind contributions are subject to the seed money restrictions described on Schedule A.

- Itemize all in-kind contributions from contributors who have given you contributions totaling more than \$50 in this reporting period. Both cash and in-kind contributions count toward the \$50 threshold.
- If you received goods and services at a discount, report the amount of the discount as the fair market value.
- Enter the occupation and employer for every individual contributing more than \$50 in seed money. If you have requested employment information from the contributor and the contributor has not provided it, indicate "information requested" for the occupation and employer.
- For in-kind contributions of \$50 or less, enter "total of contributions \$50 or less" and the total amount on this page. Once a contributor has given you more than \$50 in seed money, you must list that contributor separately.
- Goods that you have retained from an earlier election such as signs are not in-kind contributions to your current campaign.

DATE RECEIVED	CONTRIBUTOR'S NAME, ADDRESS, ZIP	OCCUPATION AND EMPLOYER	DESCRIPTION (of goods, services, facilities, or discounts received)	TYPE (use key code)	VALUE (estimated fair market value)
Total in-kind contributions (this page only) ⇒ (combined totals from all Schedule A-1 pages must be listed on Schedule F, line 7)					

Key Codes:
 1 = Candidate and Candidate's Spouse or Domestic Partner
 2 = Other Individuals
 7 = Contributors giving \$50 or less

KATHERINE HARVEY

CANDIDATE'S FULL NAME

SCHEDULE B
EXPENDITURESPage 1 of 1
(Schedule B only)

- Enter the date, payee, expenditure type, and amount for each expenditure made during the reporting period.
- For expenditure types which require a remark, enter a description of the goods and services purchased.
- Expenditures made with a candidate's or an authorized individual's personal funds must be reimbursed within the same reporting period as the expenditure. Enter the vendor as the payee and the purchase date. Report the name of the individual who made the payment in the remarks section. Report goods and services purchased by others for which no reimbursement will be made as an in-kind contribution on Schedule A-1.
- Only enter expenditures that have actually been paid. Enter unpaid debts and obligations on Schedule D.
- If the campaign pays a lump sum or retainer to a consultant, all campaign-related expenditures paid by the consultant with campaign funds must be itemized as if the campaign itself made the expenditure.

Expenditure Types Requiring <u>NO</u> Remark		Expenditure Types Which <u>REQUIRE</u> Remark	
ADS	Print media ads only (newspapers, magazines, etc.)	CNS	Campaign consultants
CON	Contribution to other candidate, party, committee	EQP	Equipment (office machines, furniture, cellphones, etc.)
FOD	Food for campaign events, volunteers	FND	Fundraising events
MHS	Mail house (all services purchased)	OTH	Other (bank fees, entrance fees, small tools, wood, etc.)
OFF	Office rent, utilities, phone and internet service, supplies	PRO	Other professional services
PHO	Phone banks, automated telephone calls	SAL	Campaign workers' salaries and personnel costs
POL	Polling and survey research	TRV	Travel (fuel, mileage, lodging, etc.)
POS	Postage for U.S. Mail and mail box fees		
PRT	Printing and graphics (flyers, signs, palmcards, t-shirts, etc.)		
RAD	Radio ads, production costs		
TVN	TV or cable ads, production costs		
WEB	Website design, registration, hosting, maintenance, etc.		

DATE EXPENDITURE MADE	NAME OF EACH PAYEE	EXPENDITURE TYPE (use code from above)	REMARK (if the expenditure type requires a remark, describe all goods and services purchased)	AMOUNT
9/10/07	BOB EMRICH	PRT	PRINTING FLYERS	25.00
9/11/07	BOB EMRICH	PRT	PRINTING FLYERS	80.00
9/11/07	USPS PAUL T. DAVIS	POS	STAMPS - Reimbursed Paul Davis PAID TO KINKOS FOR	21.40
9/14/07	KATHERINE HARVEY	PRT	POLITICAL PINS	6.08
9/14/07	POSTMASTER	POS	STAMPS	41.00
9/20/07	POSTMASTER USPS	POS	STAMPS	138.99
9/18/07	Creativity Printing	PRT	CAR MAGNETS 350RS	173.25
9/12/07	Design Boosters	PRT	50 PINS	25.00
9/24/07	USPS	OTH	Money Order Fee	3.15
Total expenditures (this page only) => (combined totals from all Schedule B pages must be listed on Schedule F, line 5)				450.72

KATHARINE HARVEY
CANDIDATE'S FULL NAME

Page 1 of 1
(Schedule D only)

**SCHEDULE D
UNPAID DEBTS AND OBLIGATIONS**

- List any debts or obligations that are unpaid at the close of this period (even if included in earlier reports).
- If the campaign has not received a bill for goods or services or a credit card bill, contact the vendor or credit card company to obtain the amount owed.
- If it is impossible to verify the amount of the debt, enter an estimated amount and indicate that the amount is an estimate in the purpose section.
- Report actual payments to vendors on Schedule B.

DATE OBLIGATION INCURRED	CREDITOR'S NAME AND ADDRESS	PURPOSE	AMOUNT
9/18/07	Creative Printing Bangor, Me.	Palm cards handouts	638.40 132.75
Total unpaid debts and obligations (this page only) ⇒ (combined totals from all Schedule D pages must be listed on Schedule F, line 8)			638.40 132.75

CANDIDATE'S FULL NAME _____

**SCHEDULE E
CAMPAIGN EQUIPMENT/PROPERTY INVENTORY**

PART I - ONGOING INVENTORY OF CAMPAIGN PROPERTY

- List equipment or property that the campaign owned at the end of the reporting period and that may be converted to the candidate's personal use, such as a computer, telephone/fax, photocopier, etc.
- Do not list signs, stationery, campaign literature, and other goods which have value only to the campaign.

DATE RECEIVED OR PURCHASED	DESCRIPTION OF EQUIPMENT OR PROPERTY	PURCHASE PRICE OR ESTIMATED VALUE WHEN ACQUIRED	FAIR MARKET VALUE (at close of this reporting period)
Total estimated value of campaign property at close of this period ⇒			

PART II - SALES OR TRANSFERS OF CAMPAIGN PROPERTY THIS PERIOD

- List in Part II all equipment or property from Part I that was sold, transferred, or donated during this reporting period.

DATE SOLD, DONATED OR TRANSFERRED	NAME AND ADDRESS OF PURCHASER, DONEE, OR TRANSFEREE	DESCRIPTION OF PROPERTY	Column 1 SALE PRICE (if sold this period)	Column 2 FAIR MARKET VALUE OF DONATED OR TRANSFERRED GOODS
Total amounts from equipment/property transfers this period ⇒			Enter on Schedule F, line 3	

KATHERINE HARVEY
CANDIDATE'S FULL NAME

DATE SUBMITTED

SCHEDULE F
SUMMARY SECTION
(SEED MONEY CAMPAIGN FINANCE REPORT)

This page is required for all candidates except those checking the no-activity box on the cover page of the report. The cash balance on line 6 must match the campaign's reconciled bank account balance as of the last day of this reporting period.

CASH ACTIVITY	
1. CASH BALANCE FROM LAST REPORT (if any)	0
2. SEED MONEY (CASH) CONTRIBUTIONS (total of all Schedule A pages)	+ 460.00
3. SALE OF CAMPAIGN PROPERTY THIS PERIOD (Schedule E, Part II)	+ 0
4. OTHER CASH RECEIPTS THIS PERIOD (interest, etc.)	+ 0
5. MINUS TOTAL EXPENDITURES THIS PERIOD (total of all Schedule B pages)	- 453.87 450.72
6. CASH BALANCE AT CLOSE OF PERIOD (lines 1 + 2 + 3 + 4 - 5)	= 6.13 9.13

4693

OTHER ACTIVITY THIS REPORTING PERIOD	
7. TOTAL IN-KIND CONTRIBUTIONS (total all Schedule A-1 pages)	217
8. TOTAL UNPAID DEBTS AT CLOSE OF PERIOD (total all Schedule D pages)	638.40