

Received Commission on Governmental Ethics and Election Practices

OFFICE: 45 MEMORIAL CIRCLE, AUGUSTA, MAINE WEBSITE: WWW.MAINE.GOV/ETHICS E-MAIL: ETHICS@MAINE.GOV

PHONE: 207-287-4179

STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

Covering 2018 Calendar Year — Due April 16, 2019

Check here if this statement is an update or amendment of a previously filed statement.

TOHEN MCCAUSLAM	JOHN PORTEMAN
DEPARTMENT PUBLIC DAFETY	Phone (Work) 381/
Mailing Address (work)	E-mail Address (work)
DHS 104 - 04333	John Mc Ausian MAINE, Con

GENERAL INSTRUCTIONS

- Complete all sections. If a section is not applicable, check the box marked "None."
- A glossary is located in the back of this form.
- If completing this form by hand, please write legibly.
- Report the sources of income for you, your spouse or domestic partner, and your dependent children.
- Report only specific sources of income. Dollar amounts need not be listed.

Please keep a copy of this statement for your records!

REPORTING DEADLINES

Constitutional Officers and the State Auditor

Newly elected constitutional officers and the state auditor must file the financial disclosure statement within 30 days of election and by April 15 of each year they are in office, unless a report for that year has already been filed.

Appointed Executive Employees

Newly appointed executive employees who are appointed by the Governor and confirmed by the Legislature must file the financial disclosure statement prior to their confirmation and by April 15 of each year of their employment, unless a report for that year has already been filed.

Executive Employees in Major Policy-Influencing Positions

Executive employees in major policy-influencing positions must file the financial disclosure statement by April 15 of each vear of their employment.

Leaving Office or Terminating Employment

Constitutional officers and the state auditor and all other executive employees must file a final financial disclosure statement within 45 days of leaving office or terminating employment that covers the calendar year of leaving office or terminating employment.

REQUIREMENT TO FILE AN UPDATED STATEMENT

Executive branch employees are required to update their financial disclosure statement within 30 days of a substantial change in income, reportable liabilities or positions of the executive branch employee or an immediate family member (except dependent children) that occurs in the current calendar year. (5 M.R.S.A. § 19(3)(C)) Substantial changes include but are not limited to:

- A new employer or other source of income that has paid the executive branch employee or immediate family member \$2,000 or more in the current year;
- A new reportable liability of \$3,000 or more obtained during the current calendar year;
- A new contract or other arrangement between the executive branch employee, immediate family member or associated organization and a State agency, board or commission for the lease or sale of goods or services with a value of more than \$10,000 during the current calendar year; and
- A new position in a political action committee, ballot question committee, party committee, or non-profit or for profit organization.

Part 1. Income from Employment by Another						
None. Check this box if you did not have income from employment by another.						
Name of Employer Address Principal Type of Economic or Job Title Business Activity of Employer						
Part 2. Income from Self-Employment						
□ None. Check this box if you did not have income from self-employment.						
Name of Your Business/Trade Name Address Principal Type of Economic or Business Activity						
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Name of Client or Customer, if required (see instructions) Address						
Part 3. Business Entities						
□ None. Check this box if you and your immediate family did not own or control more than 5% of any business.						
Name of Business Address Principal Type of Economic or Business Activity						
Part 4. Income from the Practice of Law						
□ None. Check this box if you did not have income from the practice of law.						
Name of Practice or Firm Address Your Major Areas of Practice Firm's Major Areas Of Practice Position: Partner, Associate, Sole Practitioner						

None. Check this box if you d	id not have income fro	om any other source.	•	
Name of Source	Add	Iress	Description of Income	
1				
Y		TO CAME AND		
art 6-A. Compensation Incom	of Immediate Famil	y Members		
None. Check this box if no me employment or compensation.		liate family received	income of \$2,000 or more from	Allegania-ve
Name and Job Title (do not list name of dependent cl		Name and Address	Principal Type of Econom Business Activity of Emp	
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art 6-B. Other Sources of Inco	me of Immediate Far	mily Members		
None. Check this box if no moother source.	embers of your immed	liate family received	income of \$2,000 or more from	any
Name of Spouse or Partner do not list name of dependent ch		e of Income and Address	Type of Income	

Part 7. Loans
☐ None. Check this box if you did not have reportable liabilities.
Lender's Name Lender's Address Principal Type of Economic or Business Activity of Lender
Word -
Part 8. Gifts, Including Travel and Accommodations
□ None. Check this box if you did not receive any gifts.
Source of Gift Source of Gift
1.
3.
Part 9. Honoraria
□ None. Check this box if you did not receive honoraria.
Source of Honoraria Source of Honoraria
1.
3.
Part 10. Positions in Political Action, Ballot Question or Party Committees
☐ None. Check this box if you and your immediate family were not a treasurer, or principal officer, decision-maker or fundraiser of a PAC, BQC, or Party Committee.
Name of Committee Name of Official or Family Member Title
2.
3.

Part 11. Conducting Business with State Agencies						
□ None. Check this box if neither you nor your immed	diate family did busin	ess with any State	agency.			
	dual/Organization ds or Services	Description of G	Good or Services			
		46-H****1				
			d + 1 + 1 + 1 + 1 + 1 + 1 + 1 + 1 + 1 +			
Part 12. Representing Others Before State Agencie	s and the second					
$\ \square$ None. Check this box if neither you nor your immed	diate family represent	ted another before	a State agency.			
Name of Agency	Name of Ind	ividual Receiving C	ompensation			
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			44.44			
Part 13. Positions in For-Profit and Non-Profit Orga	anizations					
□ None. Check this box if you and members your immediate family did not hold positions in any for-profit or non-profit organizations.						
Organization/Business and Address Title	Name of Position Holder	Relationship to Executive Employee	Compensated Yes/No			
		☐ Self	☐ Yes			
	\bigvee	☐ Spouse ☐ Dependent	□ No			
		☐ Self ☐ Spouse	☐ Yes			
		☐ Dependent	□ No			
₩		☐ Self	☐ Yes			
		☐ Spouse ☐ Dependent	□ No			
	IATURE					
I CERTIFY THAT I HAVE EXAMINED THIS REPORT AND TO THE BEST OF MY KNOWLEDGE IT IS TRUE, CORRECT, AND COMPLETE.						
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MIMA		5/24	1-19			
Signature		\ /	ate /			
THE INTENTIONAL FILING OF A FALSE STATEMENT IS A CLASS E CRIME. (1 M.R.S.A. § 1016-G(3)(B))						