

COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

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STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

2018 Calendar Year

☐ Check here if this statement is an update or amendment of a previously filed statement.

Job Title COMMISSION CR
Phone (work) 207-623-\$ 7900
E-mail Address (work) LAURA.A.FORTHANO MAINE-92

GENERAL INSTRUCTIONS

- Complete all sections. If a section is not applicable, check the box marked "None."
- · A glossary is located in the back of this form.
- If completing this form by hand, please write legibly.
- Report the sources of income for you, your spouse or domestic partner, and your dependent children.
- Report only specific sources of income. Dollar amounts need not be listed.

Please keep a copy of this statement for your records!

REPORTING DEADLINES

Constitutional Officers and the State Auditor

Newly elected constitutional officers and the state auditor must file the financial disclosure statement within 30 days of election and by <u>April 15</u> of each year they are in office, unless a report for that year has already been filed.

Appointed Executive Employees

Newly appointed executive employees who are appointed by the Governor and confirmed by the Legislature must file the financial disclosure statement prior to their confirmation and by <u>April 15</u> of each year of their employment, unless a report for that year has already been filed.

Executive Employees in Major Policy-Influencing Positions

Executive employees in major policy-influencing positions must file the financial disclosure statement by <u>April 15</u> of each year of their employment.

Leaving Office or Terminating Employment

Constitutional officers and the state auditor and all other executive employees must file a final financial disclosure statement within 45 days of leaving office or terminating employment that covers the calendar year of leaving office or terminating employment.

REQUIREMENT TO FILE AN UPDATED STATEMENT

Executive branch employees are required to update their financial disclosure statement within 30 days of a substantial change in income, reportable liabilities or positions of the executive branch employee or an immediate family member (except dependent children) that occurs in the current calendar year. (5 M.R.S.A. § 19(3)(C)) Substantial changes include but are not limited to:

- A new employer or other source of income that has paid the executive branch employee or immediate family member \$2,000 or more in the current year;
- A new reportable liability of \$3,000 or more obtained during the current calendar year;
- A new contract or other arrangement between the executive branch employee, immediate family member or associated
 organization and a State agency, board or commission for the lease or sale of goods or services with a value of more
 than \$10,000 during the current calendar year; and
- A new position in a political action committee, ballot question committee, party committee, or non-profit or for profit organization.

Part 1. Income from Employment b	by Another				
None. Check this box if you did not have income from employment by another.					
Name of Employer		al Type of Economic or ss Activity of Employer	Job Title		
Part 2. Income from Self-Employm None. Check this box if you did r		mployment.			
Name of Your Business/Trade Name	Address	Princ	pal Type of Economic Business Activity		
LAURA FORTHAN	10 OYSTER CRE	PKLMe COAS	offant -		
	Nobebolo, MAIN	60M22			
Name of Client or Customer, if required (see instructions) HARUARD LAW SCARO	Address	or Bu	ipal Type of Economic siness Activity of Client		
LABOR + WORKlife PROGRAY	50 CRURCHST. CAM BOSTON, HA.	MA. EDU	CA770 N		
Center for LAW + Social Policy	WASLINGTON DO		CATTON - PROFIT		
Part 3. Business Entitles None. Check this box if you and	your immediate family did r	not own or control more t	than 5% of any business		
Name of Business	Address	Princ	ipal Type of Economic		
			r Business Activity		
Part 4. Income from the Practice of					
None. Check this box if you did	not have income from the p	ractice of law.			
Name of Practice or Firm Address	Your Major Areas of Practice	Firm's Major Areas of Practice	Position: Partner, Associate, Sole Practitioner		

Part 5. Income from Any Other So	urce	
$_{\square}$ None. Check this box if you did i	not have income from any other source.	
Name of Source	Address	Description of Income
Vanguard	PO Box 3009 Monroe, WI ₅₃₅₅₆₋₈₃₀₉	Mutual Funds
First National Bank	PO Box 940 Danariscotta, ME 04543	Interest
ESTATE OF ANN U.	10 OYSTER CREEK LAME	ESTATE INTERITANCE
MINARDI	Nobleboro, MAINE	
Part 6-A. Compensation Income of	f Immediate Family Members	
☐ None. Check this box if no mem employment or compensation.	bers of your immediate family received	income of \$2,000 or more from
Name and Job Title (do not list name of dependent child		Business Activity of Employer
Dennis Mercer Independent Spent Fuel Stor Installation Operations Specia	Maine Yankee Atomic Plus age 321 Old Ferry Rd list Wiscusset, ME 0457	Co Spent Nuclear Fuel 8 Storage
Part 6-B. Other Sources of Incom	e of Immediate Family Members	
□ None. Check this box if no mem other source.	nbers of your immediate family received	income of \$2,000 or more from any
Name of Spouse or Partner (do not list name of dependent child	Source of Income Name and Address	Type of Income
Dennis Mercer	U.S. Savings Bonds	Interest

Pa	rt 7. Lc	pans						
×	None.	Check this box if you did	l not have rep	oortable lia	abilities.			
		Lender's Name		Ler	nder's Address		Principal Type Business Acti	of Economic or vity of Lender
		ifts, Including Travel an						
M	None.	Check this box if you di	d not receive	any gifts.				
		Source of Gift				So	urce of Gift	
1.				- Landing and the second and the sec	2.			# # # # # # # # # # # # # # # # # # #
3.					4.			
						-		
Par	t 9. Ho	noraria						
Þ	None.	Check this box if you did	not receive h	nonoraria.				
		Source of Honora	ria	etratural in co		Sourc	e of Honoraria	
1.					2.			
3.					4.			
Par	t 10. P	ositions in Political Act	ion, Ballot Q	uestion c	or Party Commit	tees		
X		Check this box if you and raiser of a PAC, BQC, or			were not a treas	surer, o	r principal office	r, decision-maker
	Nar	ne of Committee	Name of C	official or F	amily Member		Title	
1.								
2.								
۷.								
3.								
								†

Part 11. Conducting Business wi	th State Agencies			
None. Check this box if neither	you nor your immed	iate family did busin	ess with any State	agency.
Name of Agency		ual/Organization ls or Services	Description of G	Good or Services
Part 12. Representing Others Bet	fore State Agencies			
None. Check this box if neither	you nor your immed	iate family represen	ted another before	a State agency.
Name of Agency		Name of Ind	ividual Receiving C	ompensation
Part 13. Positions in For-Profit a	nd Non-Profit Orga	nizations		
™ None. Check this box if you and	d members your imm	sadiata family did na	4 h = d = = = £ = = = = = =	C CI
	a momboro your min	lediate family did no	nt note positions in a	any for-profit or
non-profit organizations.			Relationship	
	Title	Name of Position Holder	·	Compensated Yes/No
non-profit organizations. Organization/Business		Name of Position	Relationship to Executive	Compensated
non-profit organizations. Organization/Business		Name of Position	Relationship to Executive Employee □ Self □ Spouse	Compensated
non-profit organizations. Organization/Business		Name of Position	Relationship to Executive Employee Self Spouse Dependent	Compensated
non-profit organizations. Organization/Business		Name of Position	Relationship to Executive Employee □ Self □ Spouse	Compensated
non-profit organizations. Organization/Business		Name of Position	Relationship to Executive Employee Self Spouse Dependent	Compensated
non-profit organizations. Organization/Business		Name of Position	Relationship to Executive Employee Self Spouse Dependent Self Spouse Dependent Self Spouse Self Spouse Self Spouse Self	Compensated
non-profit organizations. Organization/Business		Name of Position	Relationship to Executive Employee Self Spouse Dependent Self Spouse Dependent Dependent	Compensated
non-profit organizations. Organization/Business	Title	Name of Position	Relationship to Executive Employee Self Spouse Dependent Self Spouse Dependent Self Spouse Spouse Spouse Spouse Spouse Spouse Spouse	Compensated
non-profit organizations. Organization/Business	Title	Name of Position Holder	Relationship to Executive Employee Self Spouse Dependent Self Spouse Dependent Self Spouse Dependent Dependent Dependent	Compensated Yes/No
non-profit organizations. Organization/Business and Address and Address	Title	Name of Position Holder	Relationship to Executive Employee Self Spouse Dependent Self Spouse Dependent Self Spouse Dependent Dependent Dependent	Compensated Yes/No
non-profit organizations. Organization/Business and Address and Address	Title	Name of Position Holder	Relationship to Executive Employee Self Spouse Dependent Self Spouse Dependent Self Spouse Dependent Dependent Dependent	Compensated Yes/No

THE INTENTIONAL FILING OF A FALSE STATEMENT IS A CLASS E CRIME (1 M.R.S.A. § 1016-G(3)(B))