

# Received

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Maine Ethics Commission

# COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

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# STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

2018 Calendar Year

Deck here if this statement is an update or amendment of a previously filed statement.

Name	Job Title
Sarah Taylor	Director, Div of Licensing & Cert.
Department Health and Human Services	Phone (work) (207) 287-9338
Mailing Address (work) 41 Anthony Avenue, 11 State House Station, Augusta, ME 04330	E-mail Address (work) sarah.taylor@maine.gov

### **GENERAL INSTRUCTIONS**

- Complete all sections. If a section is not applicable, check the box marked "None."
- A glossary is located in the back of this form.
- · If completing this form by hand, please write legibly.
- Report the sources of income for you, your spouse or domestic partner, and your dependent children.
- Report only specific sources of income. Dollar amounts need not be listed.

# Please keep a copy of this statement for your records!

## REPORTING DEADLINES

#### Constitutional Officers and the State Auditor

Newly elected constitutional officers and the state auditor must file the financial disclosure statement within 30 days of election and by April 15 of each year they are in office, unless a report for that year has already been filed.

## **Appointed Executive Employees**

Newly appointed executive employees who are appointed by the Governor and confirmed by the Legislature must file the financial disclosure statement prior to their confirmation and by <u>April 15</u> of each year of their employment, unless a report for that year has already been filed.

#### Executive Employees in Major Policy-Influencing Positions

Executive employees in major policy-influencing positions must file the financial disclosure statement by <u>April 15</u> of each year of their employment.

# **Leaving Office or Terminating Employment**

Constitutional officers and the state auditor and all other executive employees must file a final financial disclosure statement within 45 days of leaving office or terminating employment that covers the calendar year of leaving office or terminating employment.

## REQUIREMENT TO FILE AN UPDATED STATEMENT

Executive branch employees are required to update their financial disclosure statement within 30 days of a substantial change in income, reportable liabilities or positions of the executive branch employee or an immediate family member (except dependent children) that occurs in the current calendar year. (5 M.R.S.A. § 19(3)(C)) Substantial changes include but are not limited to:

- A new employer or other source of income that has paid the executive branch employee or immediate family member \$2,000 or more in the current year;
- A new reportable liability of \$3,000 or more obtained during the current calendar year;
- A new contract or other arrangement between the executive branch employee, immediate family member or associated
  organization and a State agency, board or commission for the lease or sale of goods or services with a value of more
  than \$10,000 during the current calendar year; and
- A new position in a political action committee, ballot question committee, party committee, or non-profit or for profit organization.

Part 1. Income from Employment by Another							
□ None. Check this box	if you did not hav	ve income fro	m employme	ent by another	•		
Name of Employer	Addre	988 1 1 2 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	Principal Type of Economic or Business Activity of Employer		r	Job Title	
State of Maine, Department of Health and Human Services	221 State Street, 11 Station, Augusta, ME		Regulatory oversight of healthcare and child care facilities		Director	Director	
Part 2. Income from Self-	Employment						
None. Check this box	f you did not hav	ve income fro	m self-emplo	oyment.			
Name of Your Business/Trade	Name	Add	iress			ype of Economic iness Activity	
Name of Client or Customer, If	required	Add	iress			ype of Economic	
(see instructions)					or Busines	s Activity of Client	
Part 3. Business Entities  None. Check this box		mmediate far	mily did not o	wn or control	more than	5% of any business.	
Name of Business			Iress		Principal T	Type of Economic liness Activity	
Safer Healthcare LLC	16 Cob	b Road, Belfast, N	<i>N</i> E 04915	Health (	Care Consultin	ag	
						- M	
Part 4. Income from the				oo of low			
Name of Practice or Firm	Address	Your M	ajor Areas ractice	Firm's Major of Pract		Position: Partner, Associate, Sole Practitioner	
			Marian				

Part 5. Income from Any Other Source	:e		
None. Check this box if you did not	have income from any other source.		
Name of Source	Address	Description of Income	
Part 6-A. Compensation Income of In	nmediate Family Members		
□ None. Check this box if no member employment or compensation.	s of your immediate family received in	ncome of \$2,000 or more from	
Name and Job Title (do not list name of dependent child)	Employer's Name and Address	Principal Type of Economic or Business Activity of Employer	
Jeffrey P. Brown, Director of Quality Improvement	Maine Primary Care Association, Augusta, ME 04330	Professional Association for Federally Qualified Health Centers	
Part 6-B. Other Sources of Income of	   Immediate Family Members		
☐ None. Check this box if no member other source.	rs of your immediate family received in	ncome of \$2,000 or more from any	
Name of Spouse or Partner (do not list name of dependent child)	Source of Income Name and Address	Type of Income	
Jeffrey P. Brown	Bar Harbor Trust, 82 Main St., Bar Harbor, ME 04609	Inherited IRA	
Jeffrey P. Brown	Applied Research Associates, 4300 San Mateo Blvd. NE, Suite A-220 Albuquerque, NM 87110	Sale of Stock	

Part 7. Loans		
■ None. Check this box if you did not	have reportable liabilities.	
Lender's Name	Lender's Addres	Principal Type of Economic or Business Activity of Lender
Part 8. Gifts, Including Travel and Ac	commodations	
■ None. Check this box if you did not	receive any gifts.	
Source of Gift		Source of Gift
1.	2.	
3.	4.	
Part 9. Honoraria		
■ None. Check this box if you did not r	eceive honoraria.	
Source of Honoraria		Source of Honoraria
<b>1.</b>	2.	
3.	4.	
Part 10. Positions in Political Action, E	Ballot Question or Party Com	mittees
■ None. Check this box if you and your or fundraiser of a PAC, BQC, or Party		easurer, or principal officer, decision-maker
Name of Committee Na	me of Official or Family Membe	Title
1.		
2.		
3.		

Part 11. Conducting Business wit	h State Agencies				
■ None. Check this box if neither y	ou nor your immed	liate family did busin	ess with any State	agency.	
Name of Agency		dual/Organization ds or Services	Description of (	Good or Services	
	9				
Part 12. Representing Others Bef	│ ore State Agencie				
None. Check this box if neither y	ou nor your immed	diate family represent	ted another before	a State agency.	
Name of Agency	Aleman et alle Matanae Level et Europe de Matanae Level et aleman et Matanae	Name of Ind	ividual Receiving C	Compensation	
				. •	
Part 13. Positions in For-Profit an	d Non-Profit Orga	nizations			
☐ None. Check this box if you and non-profit organizations.	members your imr	nediate family did no	t hold positions in a	any for-profit or	
Organization/Business and Address	Title	Name of Position Holder	Relationship to Executive Employee	Compensated Yes/No	
Maine Primary Care Association	Director, Quality Improvement	Jeffrey P. Brown	□ Self ■ Spouse □ Dependent	Yes	
Safer Healthcare, LLC	Principal	Jeffrey P. Brown	□ Self ■ Spouse □ Dependent	Yes	
			□ Self □ Spouse □ Dependent		
	SIGN	IATURE		en (Kray - 1906), ja 11836, september (Kray) Proposition (Kray)	
I CERTIFY THAT I HAVE EXAMINED CORRECT, AND COMPLETE.	THIS REPORT A	ND TO THE BEST O	F MY KNOWLED	GE IT IS TRUE,	
land 4	I fanda	)	3/3	5/19	
Signature				oate	
THE INTENTIONAL FILING OF A FALSE STATEMENT IS A CLASS E CRIME (1 M.R.S.A. § 1016-G(3)(B))					