



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

To: Commissioners  
From: Erin Gordon, Candidate Registrar  
Date: April 16, 2025  
Re: John DeVeau – Assessment of Penalty for Failure to File Report

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John DeVeau was a traditionally financed unsuccessful primary candidate in 2020 for the legislature. Since January 2021, Mr. DeVeau has been required to file semiannual campaign finance reports, because his reported campaign balance exceeds \$100. He should have filed a 2025 January Semiannual Report on January 15, 2025, but that report remains unfiled. Commission staff recommends assessing a penalty of \$500 for failure to file this report.

## LEGAL REQUIREMENTS

*Duty of former candidates to file semiannual reports.* After an election, a candidate with a campaign surplus or deficit greater than \$100 is required to file semiannual reports with the Commission. The reports are due each January 15<sup>th</sup> and July 15<sup>th</sup>. 21-A M.R.S. § 1017(3-A)(E). The Commission's eFiling system calculates a "cash balance" for each candidate based on the contributions and expenditures the candidate has reported. This reported cash balance is shown on the cover sheet of each campaign finance report PDF. After an election, if a candidate's reported cash balance exceeds \$100, the Commission staff assigns the candidate semiannual reports to be filed until the candidate has spent down the surplus. Candidates are required to dispose of their surplus within four years of the election for which the contributions were received. § 1017(8)

*Penalties for failing to file reports.* The Commission may assess a civil penalty for failing to file a report required in chapter 13, subchapter 2 of the Election Law. § 1020-A(8-A). This subchapter includes the requirement for former candidates to file semiannual reports under § 1017(3-A)(E). The maximum penalty that may be assessed for not filing a post-election semiannual report is \$1,000 per report. § 1020-A(5-A)(C). Also, a candidate who fails to file a required campaign

finance report after the Commission has sent the candidate certain written notifications is guilty of a class E crime. § 1020-A(8).

*Penalties for filing a campaign finance report late.* If a candidate files a late campaign finance report containing *no* contributions or expenditures, the Commission may assess a penalty of up to \$100. § 1004-A(1). When a candidate files a report late that contains contributions or expenditures, the Commission sends a notice to the candidate that a preliminary penalty is owed, and the candidate may request a waiver due to mitigating circumstances. § 1020-A(2) & (6). The amount of the penalty is set by a formula which takes into consideration a percentage of the total contributions or expenditures, whichever is greater, the number of prior violations within a two-year period, and the number of days the report is late. § 1020-A(4-A).

*Referral to Attorney General.* Subsection 4 of the Commission's investigations statute states that "The commission shall refer any apparent violations of this chapter to the Attorney General for prosecution." § 1003(4).

## **DISCUSSION**

Mr. DeVeau served as his own campaign treasurer for his 2020 primary election campaign. He filed three reports in 2020. Both the 11-Day Pre-Primary Report and the 42-Day Post-Primary Report were filed late, and the Commission assessed routine late-filing penalties, which Mr. DeVeau paid. His last report filed in 2020 indicated he had a cash balance of \$1,316.10.

During 2021, 2022, and 2023, Mr. DeVeau was required to file semiannually, because his reported cash balance was \$1,316.10 and the law requires that his balance be whittled down below \$100 before the filing obligations cease. Ahead of the 2024 January Semiannual deadline (January 16, 2024), Commission staff contacted the remaining candidates from the 2020 cycle to advise them that they were required by statute to finish disposing of their surplus funds by the end of the calendar year. In 2024, Mr. DeVeau failed to file both the 2024 January Semiannual and 2024 July Semiannual Reports and did not respond to reminders, so staff began enforcement proceedings for the September 2024 Commission meeting. Ahead of that meeting, Mr. DeVeau filed the two

reports; he was assessed a \$100 penalty for each late-filed report. Those penalties remain unpaid and have been referred to the Attorney General's office for collection proceedings.

In advance of the 2025 January Semiannual Report deadline, Ms. Gordon sent emails to all candidates required to file in addition to the automatic email reminders sent by the eFiling site. Starting January 16, 2025, when the report was unfiled and late, Ms. Gordon sent the following series of contacts to Mr. DeVeau:

- Email reminder of missed filing deadline: January 16
- First notice of unfiled report: January 21, by regular and certified mail (signed mail receipt dated 2/8/25, attached)
- Second notice: February 10, by email, regular and certified mail (envelope returned "Unclaimed/Unable to Forward," attached)
- Final notice: March 21, by email, regular and certified mail (envelope wasn't delivered)

The Commission's executive director also sent two emails to Mr. Deveau on April 10 and 16, 2025. His April 16 letter sent by regular mail notifying Mr. DeVeau of the potential \$500 penalty was not returned.

### **STAFF RECOMMENDATION**

Commission staff initiated this enforcement proceeding because of Mr. DeVeau's continued failure to file reports. Although Mr. DeVeau did eventually file the 2024 reports in September, that and other reminders, including monetary penalties, do not seem to have been sufficient to persuade him to file this final report.

Statute requires that candidates dispose of their surplus balances within four years of their election. Staff routine is to advise candidates with remaining surpluses at the start of that fourth year, to give them two final reports to show their final expenditures. The legislature and citizens of Maine expect that candidates will report the status of their remaining funds accurately and regularly. By avoiding his responsibilities, Mr. DeVeau is depriving the public of that information.

Pursuant to 21-A M.R.S. § 1062-A(8-A), staff recommend assessing a penalty of \$500 against Mr. DeVeau for not filing the semiannual report due January 15, 2025. If Mr. DeVeau files this report prior to the April 30 meeting, Commission staff recommend assessing a smaller

late-filing penalty under § 1004-A(1) or § 1062-A, depending on whether the report contains any expenditures. If the filed report does not dispose of the remaining surplus funds as required by law, Commission staff recommend an additional finding of violation under § 1017(8).

**From:** [Gordon, Erin](#)  
**Bcc:** [scott.strom74@gmail.com](mailto:scott.strom74@gmail.com); [stephaniehawke66@yahoo.com](mailto:stephaniehawke66@yahoo.com); [kmcard8624@gmail.com](mailto:kmcard8624@gmail.com); [dougdamon123@gmail.com](mailto:dougdamon123@gmail.com); [austin@austinthierault.com](mailto:austin@austinthierault.com); [John DeVeau](#); [johndeveau149@usa.com](mailto:johndeveau149@usa.com)  
**Subject:** January Semiannual Report - Due Wednesday  
**Date:** Monday, January 13, 2025 8:32:00 AM

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Good morning candidates,

You are receiving this email because you are required to file the 2025 January Semiannual Report, due Wednesday, January 15, by 11:59 p.m. You are required to file this report because your last filed report showed a remaining cash balance from a previous election of more than \$100.

Log into [www.mainecampaignfinance.com](http://www.mainecampaignfinance.com) and file your report as soon as possible. Please do not hesitate to contact me if you have any questions.

Thank you,

*Erin Gordon*

*Candidate Registrar*

Maine Ethics Commission

(207) 287-3651 | [www.maine.gov/ethics](http://www.maine.gov/ethics)

135 State House Station, Augusta, ME 04333-0135

45 Memorial Circle, Augusta, ME

**From:** [Gordon, Erin](#)  
**To:** [johndeveau149@usa.com](mailto:johndeveau149@usa.com); [John DeVeau](#)  
**Subject:** January Semiannual Report: one day late  
**Date:** Thursday, January 16, 2025 11:53:00 AM

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Good morning,

You were required to file your January Semiannual Report yesterday, January 15, but it hasn't yet been filed. As a candidate in 2020, you were required to have finished disposing of your surplus balance by the end of December 2024; this report should show the final transactions to bring your surplus balance under \$100.

Please log into [www.mainecampaignfinance.com](http://www.mainecampaignfinance.com) as soon as possible and file your report.

Thank you,

*Erin Gordon*

*Candidate Registrar*

Maine Ethics Commission

(207) 287-3651 | [www.maine.gov/ethics](http://www.maine.gov/ethics)

135 State House Station, Augusta, ME 04333-0135

45 Memorial Circle, Augusta, ME



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

January 21, 2025

Mr. John DeVeau  
61 Pioneer Avenue  
Caribou, ME 04736

**Re: Delinquent Campaign Finance Report – Due January 15, 2025**

Dear Mr. DeVeau:

Our records show that you have not filed your January Semiannual Report. You are required to file this report due to a remaining cash balance from a previous election. A person who fails to file a report as required by law within 30 days of the filing deadline is guilty of a Class E crime (21-A M.R.S. § 1062-A(8)), which the Commission is now in a posture to refer to the Office of the Attorney General.

Additionally, the Commission may assess a civil penalty for failure to file a campaign finance report of \$10,000 or the amount of financial activity not reported, whichever is greater. 21-A M.R.S. § 1062-A(8-A). *If you raised or spent money during the filing period, you could be subject to civil penalties, which are accruing on a daily basis.* Once you have filed your report, our office will calculate the penalty and will notify you of the amount of the penalty. **We urge you to file your report as soon as possible.**

Sincerely,

A handwritten signature in black ink, appearing to read "ERIN GORDON", with a long horizontal flourish extending to the right.

Erin Gordon  
Candidate Registrar



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

February 10, 2025

*Sent by US Mail, Certified US Mail, and email*

John DeVeau  
61 Pioneer Avenue  
Caribou, Maine 04736

**Re: SECOND NOTICE – Delinquent Campaign Finance Report – Due January 15, 2025**

Mr. DeVeau:

You have not filed your January Semiannual Report. You are required to file this report because you have an outstanding reported balance of more than \$100 from a prior campaign.

State law [21-A M.R.S. § 1020-A] requires a penalty be assessed for late reports based on the amount of financial activity conducted during the filing period, on the number of calendar days a report is filed late, and on the candidate's filing record. *If you raised or spent money during the filing period, you could be subject to civil penalties, which are accruing on a daily basis.* Once you have filed your report, our office will calculate the penalty and will notify you of the amount of the penalty. **We urge you to file your report as soon as possible.**

Continued failure to file your report could result in a financial penalty for failure to file your report, and/or referral to the Office of the Attorney General.

Sincerely,

Erin Gordon  
Candidate Registrar



**SENDER: COMPLETE THIS SECTION**

- ☒ Complete items 1, 2, and 3.
- ☒ Print your name and address on the reverse so that we can return the card to you.
- ☒ Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

**John DeVeau**  
**61 Pioneer Avenue**  
**Caribou, ME 04736**

9590 9402 7926 2305 3211 76



2. Article Number (Transfer from service label)

**7022 3330 0000 4378 0709**

PS Form 3811, July 2020 PSN 7530-02-000-9053

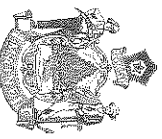
**COMPLETE THIS SECTION ON DELIVERY**

- A. Signature ☒ Agent ☐ Addressee
- B. Received by (Printed Name) John DeVeau C. Date of Delivery 2/8/25
- D. Is delivery address different from item 1? ☐ Yes ☒ No  
 If YES, enter delivery address below:

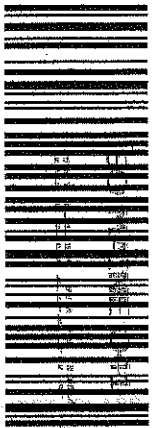
**3. Service Type**

- ☐ Adult Signature Restricted Delivery
- ☒ Certified Mail®
- ☐ Certified Mail Restricted Delivery
- ☐ Collect on Delivery
- ☐ Collect on Delivery Restricted Delivery
- ☐ Insured Mail (over \$500)
- ☐ Priority Mail Express®
- ☐ Registered Mail™
- ☐ Registered Mail Restricted Delivery
- ☐ Signature Confirmation™
- ☐ Signature Confirmation Restricted Delivery

Domestic Return Receipt



COMMISSION ON GOVERNMENTAL ETHICS  
 AND ELECTION PRACTICES  
 135 STATE HOUSE STATION  
 AUGUSTA, MAINE 04333-0135



**7022 3330 0000 4378 0730**

**CERTIFIED MAIL**



US POSTAGE  
 ZIP 04330 \$009.64  
 02/07/25 0000029570 FEB 11 2025

**Received**

**MAR 10 2025**

Maine Ethics Commission

**John DeVeau**  
**61 Pioneer Avenue**  
**Caribou, ME 04736**

NIXIE

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0003/05/25

RETURN TO SENDER  
 UNCLAIMED  
 UNDELIVERABLE

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BC: 04333

\*2321-05285-10-37



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

March 21, 2025

*Sent by US Mail, Certified US Mail, and email*

Mr. John DeVeau  
61 Pioneer Avenue  
Caribou, Maine 04736

**Re: FINAL NOTICE – Delinquent Campaign Finance Report – Due January 15, 2025**

Mr. DeVeau:

You have not filed your 2025 January Semiannual Report. You were required to file this report because you have an outstanding campaign balance from 2020. (21-A M.R.S. § 1017 (3-A)(E)).

A person who fails to file a report as required by law within 30 days of the filing deadline is guilty of a Class E crime. 21-A M.R.S. § 1020-A(8). In addition, the Commission may assess a civil penalty for failure to file a campaign finance report of \$5,000 or the amount of financial activity not reported, whichever is greater. 21-A M.R.S. § 1020-A(8-A).

Failure to file your report by **April 15, 2025**, will result in this matter appearing on the next public meeting agenda of the Ethics Commission.

Sincerely,

Erin Gordon  
Candidate Registrar

MAILING TO: 300 FARM ST, AUGUSTA, ME 04330-0000

**SENDER: COMPLETE THIS SECTION**

- Complete items 1, 2, and 3.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1 Article Addressed to:

John DeVeau  
61 Pioneer Avenue  
Caribou, ME 04736

9590 9402 7926 2305 3213 36

2 Article Number (Transfer from service label)

7022 3330 0000 4378 0747

PS Form 3811, July 2020 PSN 7530-02-000-9053

**COMPLETE THIS SECTION ON DELIVERY**

A. Signature ☐ Agent  
☒ Addressee

B. Received by (Printed Name) ☐ C. Date of Delivery

D. Is delivery address different from item 1? ☐ Yes  
If YES, enter delivery address below: ☐ No

3. Service Type
- ☐ Adult Signature
  - ☐ Adult Signature Restricted Delivery
  - ☒ Certified Mail®
  - ☐ Certified Mail Restricted Delivery
  - ☐ Collect on Delivery
  - ☐ Collect on Delivery Restricted Delivery
  - ☐ Insured Mail
  - ☐ Insured Mail Restricted Delivery (over \$500)
  - ☐ Priority Mail Express®
  - ☐ Registered Mail™
  - ☐ Registered Mail Restricted Delivery
  - ☐ Signature Confirmation™
  - ☐ Signature Confirmation Restricted Delivery

Domestic Return Receipt

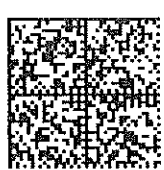
**CERTIFIED MAIL®**



COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE 04333-0135



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US POSTAGE  
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02 7M  
0008029586 MAR 25 2025

**Received**

APR 22 2025

Maine Ethics Commission

Att 3-27 DC  
4/11  
4/10

John DeVeau  
61 Pioneer Avenue  
Caribou, ME 04736

NIXIE 015 DE 1

0004/17/25

RETURN TO SENDER  
VACANT  
UNABLE TO FORWARD

VAC EC: 04333  
0473602440  
#2269-65976-25-46

**From:** [Wayne, Jonathan](#)  
**To:** [John DeVeau](#); [johndeveau149@usa.com](mailto:johndeveau149@usa.com)  
**Cc:** [Gordon, Erin](#)  
**Subject:** FW: File Report to Avoid \$500 Penalty  
**Date:** Wednesday, April 16, 2025 1:50:00 PM  
**Attachments:** [Notice of \\$500 Penalty.pdf](#)

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Mr. DeVeau,

Please file the report using the username and password below to avoid the assessment of a \$500 penalty when the Commission meets on April 30, 2025. Thank you.

Jonathan Wayne

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**From:** Wayne, Jonathan  
**Sent:** Thursday, April 10, 2025 10:33 AM  
**To:** John DeVeau <jdeveau915@gmail.com>; johndeveau149@usa.com  
**Cc:** Gordon, Erin <Erin.Gordon@maine.gov>  
**Subject:** File Report to Avoid \$500 Penalty

Mr. DeVeau,

Our office has been asking you to file the January 2025 Semiannual Report, which covered the second half of 2024. The report is due because your latest campaign finance reports for the 2020 primary election show that your campaign had more than \$100 in surplus (leftover) funds after the 2020 primary election. The reports indicate that you had \$1,316.10 in surplus funds.

As of now, the Commission is scheduled to consider assessing a \$500 penalty against you on April 30<sup>th</sup>.

**To avoid this penalty, please file the January 2025 Semiannual report** by going to [www.maine campaign finance.com](http://www.maine campaign finance.com) and logging in with this information:

(username)   
(password)

For best results, use a computer rather than a mobile device for this website. If you did not have more than \$100 in surplus funds left over after the 2020 primary election, please call our office and we can sort this out with you.

Thank you.

Jonathan Wayne  
Executive Director

Maine Ethics Commission  
(207) 287-4179



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

April 16, 2025

***By Email and Regular Mail***

Mr. John DeVeau  
61 Pioneer Avenue  
Caribou, Maine 04736

Dear Mr. DeVeau,

I am following up on this office's prior correspondence urging you to file the semiannual campaign finance report due January 15, 2025 in connection with your 2020 campaign for the Maine House of Representatives. At its April 30, 2025 meeting, the Commission will consider whether to assess a penalty of \$500 against you pursuant to 21-A M.R.S. §§ 1017(3-A)(E) and 1020-A(5-A)(C), 8 & 8-A.

You can avoid this penalty by filing the overdue report in the next week. If you have questions, please contact Erin Gordon at 287-3651 or [erin.gordon@maine.gov](mailto:erin.gordon@maine.gov). You are also welcome to respond to the proposed penalty by participating in the April 30, 2025 Commission meeting in its office at 45 Memorial Circle in Augusta or by emailing a response. Thank you.

Sincerely,

Jonathan Wayne  
Executive Director

Mr. DeVeau,  
Please use the  
username and  
password on the  
next page to  
file the report.  
Thank you,  
Jonathan  
Wayne

## Wayne, Jonathan

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**From:** Wayne, Jonathan  
**Sent:** Thursday, April 10, 2025 10:33 AM  
**To:** John DeVeau; johndeveau149@usa.com  
**Cc:** Gordon, Erin  
**Subject:** File Report to Avoid \$500 Penalty

Mr. DeVeau,

Our office has been asking you to file the January 2025 Semiannual Report, which covered the second half of 2024. The report is due because your latest campaign finance reports for the 2020 primary election show that your campaign had more than \$100 in surplus (leftover) funds after the 2020 primary election. The reports indicate that you had \$1,316.10 in surplus funds.

As of now, the Commission is scheduled to consider assessing a \$500 penalty against you on April 30<sup>th</sup>.

**To avoid this penalty, please file the January 2025 Semiannual report** by going to [www.mainecampaignfinance.com](http://www.mainecampaignfinance.com) and logging in with this information:

(username) [REDACTED]

(password) [REDACTED]

For best results, use a computer rather than a mobile device for this website. If you did not have more than \$100 in surplus funds left over after the 2020 primary election, please call our office and we can sort this out with you.

Thank you.

Jonathan Wayne  
Executive Director  
Maine Ethics Commission  
(207) 287-4179

## **Title 21-A: ELECTIONS**

### **Chapter 13: CAMPAIGN REPORTS AND FINANCES**

#### **Subchapter 2: REPORTS ON CAMPAIGNS FOR OFFICE**

##### **§1003. Investigations by commission**

4. Attorney General. Upon the request of the commission, the Attorney General shall aid in any investigation, provide advice, examine any witnesses before the commission or otherwise assist the commission in the performance of its duties. The commission shall refer any apparent violations of this chapter to the Attorney General for prosecution.

##### **§1004-A. Penalties**

**1. Late campaign finance report.** A person that files a late campaign finance report containing no contributions or expenditures may be assessed a penalty of no more than \$100.

##### **§1017. Reports by candidates**

**3-A. Other candidates.** A treasurer of a candidate for state or county office other than the office of Governor shall file reports with the commission and municipal candidates shall file reports with the municipal clerk as follows. Once the first required report has been filed, each subsequent report must cover the period from the end date of the prior report filed.

E. Unless further reports will be filed in relation to a later election in the same calendar year, the disposition of any surplus or deficit in excess of \$100 shown in the reports described in [paragraph D](#) must be reported as provided by this paragraph. The treasurer of a candidate with a surplus or deficit in excess of \$100 shall file reports semiannually with the commission within 15 days following the end of the 2nd and 4th quarters of the State's fiscal year, complete as of the last day of the quarter, until the surplus is disposed of or the deficit is liquidated. The first report under this paragraph is not required until the 15th day of the period beginning at least 90 days from the date of the election. The reports will be considered timely if filed electronically or in person with the commission on that date or postmarked on that date. The reports must set forth any contributions for the purpose of liquidating the deficit, in the same manner as contributions are set forth in other reports required in this section.

**8. Disposition of surplus.** A candidate or treasurer of a candidate registered under [section 1013-A](#) or qualified under [sections 335](#) and [336](#) or [sections](#)



[354](#) and [355](#) shall dispose of a surplus exceeding \$100 within 4 years of the election for which the contributions were received by:

- A. Returning contributions to the candidate's or candidate's authorized political committee's contributors, as long as no contributor receives more than the amount contributed;
- B. A gift to a qualified political party within the State, including any county or municipal subdivision of such a party;
- C. An unrestricted gift to the State. A candidate for municipal office may dispose of a surplus by making a restricted or unrestricted gift to the municipality;
- D. Carrying forward the surplus balance to a political committee established to promote the same candidate for a subsequent election;
- D-1. Carrying forward the surplus balance for use by the candidate for a subsequent election;
- E. Transferring the surplus balance to one or more other candidates registered under [section 1013-A](#) or qualified under [sections 335](#) and [336](#) or [sections 354](#) and [355](#), or to political committees established to promote the election of those candidates, provided that the amount transferred does not exceed the contribution limits established by [section 1015](#);
- F. Repaying any loans or retiring any other debts incurred to defray campaign expenses of the candidate;
- G. Paying for any expense incurred in the proper performance of the office to which the candidate is elected, as long as each expenditure is itemized on expenditure reports; and
- H. A gift to a charitable or educational organization that is not prohibited, for tax reasons, from receiving such a gift

The choice must be made by the candidate for whose benefit the contributions were made.

### **§1020-A. Failure to file on time**

**2. Campaign finance reports.** A campaign finance report is not timely filed unless a properly signed or electronically submitted copy of the report, substantially conforming to the disclosure requirements of this subchapter, is received by the commission by 11:59 p.m. on the date it is due. Except as provided in [subsection 7](#), the commission shall determine whether a report satisfies the requirements for timely filing. The

commission may waive a penalty in whole or in part if the commission determines that the penalty is disproportionate to the size of the candidate's campaign, the level of experience of the candidate, treasurer or campaign staff or the harm suffered by the public from the late disclosure. The commission may waive the penalty in whole or in part if the commission determines the failure to file a timely report was due to mitigating circumstances. For purposes of this section, "mitigating circumstances" means:

- A. A valid emergency determined by the commission, in the interest of the sound administration of justice, to warrant the waiver of the penalty in whole or in part;
- B. An error by the commission staff;
- C. Failure to receive notice of the filing deadline; or
- D. Other circumstances determined by the commission that warrant mitigation of the penalty, based upon relevant evidence presented that a bona fide effort was made to file the report in accordance with the statutory requirements, including, but not limited to, unexplained delays in postal service or interruptions in Internet service.

**4-A. Basis for penalties.** The penalty for late filing of a report required under this subchapter is a percentage of the total contributions or expenditures for the filing period, whichever is greater, multiplied by the number of calendar days late, as follows:

- A. For the first violation, 2%;
- B. For the 2nd violation, 4%; and
- C. For the 3rd and subsequent violations, 6%.

**5-A. Maximum penalties. Penalties assessed under this subchapter may not exceed:**

- C. One thousand dollars for reports required under [section 1017, subsection 2, paragraphs A and E](#) and [section 1017, subsection 3-A, paragraphs A and E](#); or

**6. Request for a commission determination.** If the commission staff finds that a candidate or political committee has failed to file a report required under this subchapter, the commission staff shall mail a notice to the candidate or political committee within 3 business days following the filing deadline informing the candidate or political committee that a report was not received. If a candidate or a political committee files a report required under this subchapter late, a notice of preliminary penalty must be sent to the candidate or political committee whose registration or campaign finance report was not received by 11:59 p.m. on the deadline date, informing the candidate or political committee of the staff finding of violation and preliminary penalty calculated under [subsection 4-A](#) and providing the candidate or political committee with an opportunity to request a determination by the commission. Any request for a

determination must be made within 14 calendar days of receipt of the commission's notice. A candidate or political committee requesting a determination may either appear in person or designate a representative to appear on the candidate's or political committee's behalf or submit a sworn statement explaining the mitigating circumstances for consideration by the commission. A final determination by the commission may be appealed to the Superior Court in accordance with [Title 5, chapter 375, subchapter 7](#) and the Maine Rules of Civil Procedure, Rule 80C.

**8. Failure to file report.** The commission shall notify a candidate who has failed to file a report required by this subchapter, in writing, informing the candidate of the requirement to file a report. The notice must be sent by certified mail. If a candidate fails to file a report after 2 notices have been sent by the commission, the commission shall send a final notice by certified mail informing the candidate of the requirement to file and that the matter may be referred to the Attorney General for criminal prosecution. A candidate who fails to file a report as required by this subchapter after the commission has sent the notices required by this subsection is guilty of a Class E crime.

**8-A. Penalties for failure to file report. The penalty for failure to file a report required** under this subchapter may not exceed the maximum penalties as provided in [subsection 5-A](#).

**§1062-A. Failure to file on time**

**8-A. Penalties for failure to file report.** The commission may assess a civil penalty for failure to file a report required by this subchapter. The maximum penalty for failure to file a report required under [section 1059](#) is \$10,000 or the amount of financial activity not reported, whichever is greater.

## Commission Penalty Decisions - Candidates

Candidate	Late Report/Other Violation	Preliminary Penalty	Final Penalty	Commission Meeting Date
<b>Legislative and County candidates</b>				
James Boyle	Late January Semiannual Report	\$1,000.00	\$500.00	03/28/18
Diane Russell	Substantially Non-Compliant January Semiannual		\$100.00	05/30/18
Diane Russell	Substantially Non-Compliant 42-Day Pre-Primary		\$200.00	05/30/18
Ian Schwartz	Late 24-Hour Report	\$384.37	\$300.00	08/29/18
Danny Costain	Late 24-Hour Report	\$2,991.36	\$300.00	08/29/18
Jeffrey Slocum	Late July Semiannual	\$112.00	\$112.00	08/29/18
Mark Lawrence	11-Day Pre-Primary (not compliant)	\$1,739.73	\$400.00	09/26/18
John Tuttle, Jr.	Late 42-Day Post Primary	\$432.00	\$250.00	09/26/18
Dana Dow	Late 24-Hour Report	\$115.93	\$115.93	11/28/18
Jan Collins	Late 24-Hour Report	\$2,565.38	\$300.00	12/19/18
Cynthia Soma-Hernandez	Commingling of funds		\$100.00	12/19/18
John Clark	MCEA Violation-Contributions after Certification		\$250.00	03/06/19
Cathleen Nichols	Late 24-Hour Report	\$3,744.00	\$400.00	03/06/19
James LaBrecque	Late 42-Day Post General	\$235.03	\$235.03	03/06/19
Mark Remick	Late 24-Hour Report (2)	\$2,011.85	\$400.00	03/06/19
Stephen Ball	Commingling of funds waived since he returned \$115 of MCEA funds		\$100.00	06/04/19
Philip Spiller ( <i>Municipal</i> )	Late 24-Hour Report (3)	\$2,395.28	\$900.00	12/18/19
Edward Cohen	Failure to Register		\$100.00	05/27/20
Mark Lawrence	Late 42-Day Post Primary	\$529.50	\$350.00	10/16/20
Brian Redmond	Late 42-Day Post Primary	\$84.00	\$84.00	10/16/20
Mark Andre	Late 42-Day Post Primary	\$132.00	\$132.00	10/16/20
Jeffrey Pierce	Late 42-Day Pre-General	\$40.37	\$40.37	10/30/20
Steve Collins	No Disclaimer on Signs		\$200.00	12/18/20
Troy Jackson	Late 24-Hour Report	\$50.00	\$50.00	01/27/21
Scott Gaiason	Late 11-Day Pre-General Report	\$109.54	\$109.54	01/27/21
William Guerrette	No Disclaimer on Signs		\$0.00	03/08/21
Robyn Stanicki	Late July Semiannual Report	\$637.50	\$250.00	10/29/21
Howard Patten	Failure to Register		\$100.00	04/27/22
Timothy Keenan	Failure to Register		\$100.00	04/27/22
John V. Chambers	Late 42-Day Post Primary	\$34.90	\$34.90	09/28/22
Benjamin J. Meiklejohn	Late 42-Day Post Primary	\$18.03	\$18.03	09/28/22
Nancy Piche	Failure to File SOSOI		\$100.00	09/28/22
Timothy Keenan	Failure to File SOSOI		\$100.00	09/28/22
Daniel Merrill	Failure to File SOSOI		\$100.00	09/28/22
Susan Bernard	No Disclaimer on mailing		\$75.00	10/26/22
Danielle Fienberg	Late 11-Day Pre-General Report	\$368.12	\$300.00	11/30/22
Stephen Hanrahan	Late 24-Hour Report	\$423.54	\$300.00	11/30/22
David Thurston	Late 11-Day Pre-General Report	\$139.82	\$100.00	11/30/22

Scott Gaisaon	Substantially Non-Compliant 11-Day Pre-General Report	\$135.43	\$135.43	11/30/22
Matthea Daughtry	Late 11-Day Pre-General Report	\$658.21	\$150.00	12/21/22
Robert McCarthy	Late 24-Hour Report	\$308.09	\$300.00	12/21/22
Ronald Russell	Late 24-Hour Report	\$1,573.52	\$350.00	01/30/23
Reagan Paul	Late 24-Hour Report	\$1,945.30	\$350.00	01/30/23
Neil MacLean, Jr.	Late 24-Hour Report	\$3,680.00	\$350.00	01/30/23
Sharri MacDonald	Substantially Non-Compliant Pre-General Report	\$962.47	\$150.00	01/30/23
Sharri MacDonald	Substantially Non-Compliant Pre-General Report	\$293.40	\$50.00	01/30/23
Frank Roma	MCEA Overspending		\$200.00	01/30/23
Joseph Galletta	Late registration	\$100.00	\$100.00	05/29/24
John DeVeau	2 Late Semiannual Reports		\$200.00	09/25/24
Richard Cyr	Late 42-Day Pre-General Report	\$498.50	\$300.00	10/30/24
Flavia DeBrito	Late 42-Day Pre-General Report	\$64.90	\$64.90	10/30/24
Scott Cyrway	Late 24-Hour Report	\$43.98	\$43.98	12/18/24
Leo Kenney	Late 11-Day Pre-Primary	\$835.37	\$300.00	12/18/24
Kevin Freeman	Late 11-Day Pre-Primary	\$184.43	\$184.43	12/18/24
Rogert Albert	2 Late 24-Hour Reports	\$2,482.60	\$400.00	01/29/25
Steven Bishop	Late 24-Hour Report	\$2,707.62	\$300.00	01/29/25
Walter Runte	Late 24-Hour Report	\$1,275.55	\$300.00	01/29/25
Joseph Rafferty, Jr.	Late 24-Hour Report	\$5,000.00	\$300.00	01/29/25
Joe Boyd	Late 24-Hour Report	\$2,262.62	\$300.00	01/29/25
Reda Edelman	Late 24-Hour Report	\$1,851.80	\$300.00	01/29/25
Laura Supica	Late 24-Hour Report	\$1,938.64	\$300.00	02/26/25
Holly Rae Eaton	Late 42-Day Post-General Report	\$1,117.21	\$300.00	02/26/25
<b>Candidates for Governor</b>				
Mark Eves	Late 24-Hour Report (2)	\$1,378.70	\$500.00	09/26/18
Paul R. LePage	Late 42-Day Pre-Primary	\$9,091.83	\$3,000.00	06/22/22
Ethan Alcorn	Late 42-Day Pre-Primary	\$200.00	\$200.00	06/22/22