

**RESOLUTION 8
KEY EMPLOYEE IDENTIFICATION
CASINO APPLICATION**

Effective Date: November 15, 2023

Expiration Date: November 17, 2026 (unless rescinded earlier)

Preamble:

8 M.R.S. §1016. Requires that an application for a license must contain, but is not limited to, the information regarding the individual applicant and each key employee, officer, director, partner, shareholder, creditor, associate or owner of any legal or beneficial interest in a person applying for a license.

There is no definition of key employee in the statute. To facilitate future licensing proceedings the Board finds the following positions in a casino meet the definition of key employee and thus background information pertaining to the employees who hold these positions will be required to be disclosed in the casino license application.

Resolve:

For the purposes of a casino application for licensure, the following positions (or their equivalents) are deemed to be key employees as described in 8 M.R.S. §1016:


General Manager
Head of Surveillance
Head of Security
Head of Management Information Services MIS
Head of Accounting/Finance
Head of Main Cage
Head of Marketing
Head of Human Resources
Head of Slots
Head of Table Games
Head of Compliance

The casino shall, in its license application, identify the employee and job title for each of the above designated key positions and further submit to the Board the necessary disclosures for each employee on a separate form provided by the Board.

Adoption of Resolution 8:

Adopted by the Board on November 15, 2023, by a vote of 3 in favor, 0 opposed, 0 abstained, 1 absent.

Dated: 11/15/23



Steven J. Silver, Board Chair