

**16 DEPARTMENT OF PUBLIC SAFETY**

**634 GAMBLING CONTROL UNIT**

**Chapter 41: LICENSEE RECORDS, ANNUAL REPORTING AND AUDITS**

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- §1. A fantasy contest operator shall maintain records of fantasy contestant accounts, for a period of five (5) years, and make these records available for inspection at the request of the director or the director's designee.
- §2. A fantasy contest operator shall submit an annual report to the director pursuant to 8 M.R.S. § 1104(5).
- §3. The following additional information shall be made available to the director upon the director's request, for a period of five (5) years:
1. The number of fantasy contestants in Maine who requested a deposit limit increase;
  2. The number of deposit limit increases granted to fantasy contestants in Maine;
  3. The number of accounts in which a fantasy contestant in Maine was identified as a minor and the action taken as a result;
  4. The number and amount of refunds given to fantasy contestants in Maine; and
  5. The number of fantasy contestants in Maine who requested additional limitations on their accounts and the action taken as a result.
- §4. The director may conduct a financial audit of any fantasy contest operator licensed in the State of Maine to ensure compliance with M.R.S. Title 8, Chapter 33, at any time.
- §5. The fantasy contest operator must provide to the director a copy of the audit report required by 8 M.R.S. § 1104(4)(C) by June 30th of each year.

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STATUTORY AUTHORITY: 8 M.R.S. §§ 1102(1)(D), 1106

EFFECTIVE DATE: June 13, 2021